


Muscle and cerebral oxygenation during cycling in chronic obstructive pulmonary disease: A scoping review

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Abstract

To synthesize evidence for prefrontal cortex (PFC), quadriceps, and respiratory muscle oxygenation using near-infrared spectroscopy (NIRS) during cycling in individuals with chronic obstructive pulmonary disease (COPD). A scoping review was performed searching databases (inception-August 2020): Ovid MEDLINE, EMBASE, Cochrane Systematic Reviews, Cochrane Central Register of Controlled Clinical Trials, CINAHL, SPORTDiscus and Pedro. The search focused on COPD, cycling, and NIRS outcomes. 29 studies (541 COPD participants) were included. Compared to healthy individuals (8 studies), COPD patients at lower cycling workloads had more rapid increases in vastus lateralis (VL) deoxygenated hemoglobin (HHb); lower increases in VL total hemoglobin (tHb) and blood flow; and lower muscle tissue saturation (StO₂). Heliox and bronchodilators were associated with smaller and slower increases in VL HHb. Heliox increased VL and intercostal blood flow compared to room air and supplemental oxygen in COPD patients (1 study). PFC oxygenated hemoglobin (O₂Hb) increased in COPD individuals during cycling in 5 of 8 studies. Individuals with COPD and heart failure demonstrated worse VL and PFC NIRS outcomes compared to patients with only COPD—higher or more rapid increase in VL HHb and no change or decrease in PFC O₂Hb. Individuals with COPD present with a mismatch between muscle oxygen delivery and utilization, characterized by more rapid increase in VL HHb, lower muscle O₂Hb and lower muscle StO₂. PFC O₂Hb increases or tends to increase in individuals with COPD during exercise, but this relationship warrants further investigation. NIRS can be used to identify key deoxygenation thresholds during exercise to inform PFC and muscle oxygenation.

Keywords

Skeletal muscle, near-infrared spectroscopy, oxygenation, respiratory muscles, prefrontal cortex

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Introduction

Chronic Obstructive Pulmonary Disease (COPD) is associated with significant morbidity and represents the third leading cause of mortality worldwide.¹ COPD patients experience decreased exercise tolerance as a function of their disease.^{2,3} Such exercise intolerance is multifactorial and comprised of disease driven limitations involving the respiratory, cardiovascular, and musculoskeletal systems.^{4,5} More recently, the contributions of pre-frontal cortical activity have been investigated to understand the effects of hypoxemia on neural tissue.⁶

Skeletal muscle dysfunction is common in COPD patients.^{7,8} Fiber atrophy, a shift in fiber type distribution, and mitochondrial abnormalities can contribute to skeletal muscle dysfunction. As such, individuals with COPD often experience decreased muscle strength, endurance and oxidative capacity; and increased muscle fatigability.^{3–5} Muscle oxidative capacity is of particular importance during periods of increased exertion, such as with exercise or performance of activities of daily living. These bioenergetic muscle abnormalities can have negative effects on both respiratory and locomotor muscles.

Other contributing factors associated with exercise intolerance are potentially mediated centrally.^{9–12} Specifically, the prefrontal cortex (PFC) activity has been associated with dyspnea and exercise intolerance in COPD patients.¹³ It has been reported that PFC oxygenation and blood flow increase in response to submaximal exercise in healthy adults and patients with COPD.^{14,15} This increase is needed to match the heightened level of neuronal metabolism during times of increased exertion¹⁵ and with executive function (i.e. planning and strategizing), which are required during motor performance.^{15,16}

Near infrared spectroscopy (NIRS) is a non-invasive tool used to measure the changes in oxygenation of the chromophore hemoglobin.¹⁷ The principles and calculations of NIRS-derived parameters have been previously described.¹⁸ Briefly, NIRS emits two different wavelengths of infrared light through the target tissue.¹⁹ Some infrared light is absorbed by oxygenated hemoglobin (O₂Hb) and some by deoxygenated hemoglobin (HHb).¹⁹ The absorbance, adjusted by the modified Beer-Lambert law, is used to calculate the concentration of the chromophores (i.e. O₂Hb and HHb). The sum of these values is the total hemoglobin (tHb) that can provide an estimate of blood volume beneath the NIRS

optode.²⁰ In skeletal muscle, Δ HHb is used as an index of oxygen extraction in the muscle, whereas the oxygen saturation of the tissue (StO₂) calculated as the ratio of O₂Hb to tHb in percentage, reflects the balance between oxygen delivery and utilization.²¹ In the brain, StO₂ is used as surrogate of tissue oxygenation, while an increase in Δ O₂Hb is indicative of increased neural activation.²²

To our knowledge, there are no reviews that summarize the effect of cycling on the aforementioned NIRS parameters in individuals with COPD. Given the variety of NIRS outcomes in response to exercise, a scoping review was performed to characterize and describe its application to people with COPD. Specifically, the objective of this review was to evaluate changes in NIRS outcomes of respiratory and limb muscles and cerebral oxygenation in response to cycling in COPD patients. Through an explorative scoping review methodology, this review aims to synthesize evidence on the relationship among NIRS outcomes and intolerance during cycling in these patients with COPD. Further characterization of this relationship may help to inform practice geared toward management and rehabilitation practices in chronic lung disease patients.

Methods

This review utilizes the established guidelines for scoping studies, including identifying the research question, searching and selecting relevant studies, charting the data, and summarizing and reporting the results.²³

Protocol and registration

This protocol was drafted using reported items for scoping reviews and was revised by members of the research team. The protocol was registered prospectively with Open Science Framework on May 22nd, 2020 (<https://osf.io/w4b59/>).

Identifying the research question

How do quadriceps, inspiratory muscles, and cerebral oxygenation levels respond to cycling in COPD patients?

Eligibility criteria

Papers that were eligible for this review were those that included COPD participants who performed a cycling intervention, and underwent evaluation using

NIRS on quadriceps, inspiratory muscles, or the cerebral cortex. Included NIRS measures were: ΔHHb , $\Delta\text{O}_2\text{Hb}$, ΔtHb , StO_2 (also termed tissue saturation index [TSI]), or blood flow (BF) measured by indocyanine green. To capture the possibility of much older yet fundamental NIRS literature, there were no date limitations assigned for this review. Only full text articles in English were included. Randomized control trials, systematic reviews and meta-analyses, controlled studies, cohort studies, case control studies, and case series and reports were eligible for this review to capture the range of study designs used to address this topic. Research in progress, conference proceedings and abstracts, dissertations and theses, and book chapters were excluded.

Information sources

A research librarian (AOC) from the University Health Network (UHN) was consulted to perform a comprehensive search strategy to identify English-language studies on near-infrared spectroscopy and cycling. The initial search strategy was developed for Ovid MEDLINE using a combination of database-specific subject headings and text words. The search strategy was then customized for each database. Searches were executed in the following databases on April 28, 2020 and updated August 14, 2020: Ovid MEDLINE, Ovid Embase, Cochrane Database of Systematic Reviews, Cochrane Central Register of Controlled Clinical Trials, CINAHL with Full Text, SPORTDiscus and Pedro. No date limits were imposed. Searches were limited to humans and adults. See Supplementary Appendix (Table A1) for a detailed search strategy.

Selection of sources of evidence

Two reviewers, MM and ST, independently screened the titles and abstracts to determine the eligibility of the studies. If this information could not be attained from reading the title and abstract, full text screening was performed. Disagreements were resolved by discussion with senior authors, WDR and DR, as needed.

Data charting process

An abstraction form developed by the research team was utilized. Data abstraction was performed independently by three reviewers (MM, ST, and LX) on included full text articles. MM performed data abstraction for all included articles with the other two

reviewers serving as secondary abstractors. Any disagreements were resolved by discussion with WDR and DR. The data charting form was continuously revised through an iterative process until a consensus was reached.

Data items

The reviewers abstracted data on study design of the individual articles, inclusion and exclusion criteria, participant demographics, disease severity for COPD, cardiopulmonary parameters (heart rate, oxygen uptake), cycling protocol used, exercise progression, performance during cycling test, NIRS optode placement, and NIRS outcomes (O_2Hb , HHb , StO_2 , tHb , BFI) as described above.

Critical appraisal of individual sources of evidence

A quality appraisal was performed using the modified Downs and Black assessment tool by three independent reviewers until a consensus was reached.²⁴ The modified Down's and Black checklist consists of 15 items that assess reporting and internal and external validity that has been previously applied in several reviews.^{25–27} Quality assessments were expressed as the total of positive scores and were also expressed as a percentage of positive scores for each item and each included article. For the purposes of this scoping review, studies meeting 60–74% of the criteria was considered as moderate, $\geq 75\%$ as high, and $< 60\%$ as low.²⁸

Data synthesis

Studies were grouped by the target tissue, (skeletal muscle versus prefrontal cortex) and by type of cycling protocol (symptom-limited versus constant workload to exhaustion). These findings were used to generate three tables. The tables describe baseline patient demographics and characteristics of the cycling protocol, NIRS outcomes related to muscle, and NIRS outcomes related to cerebral oxygenation.

Results

The search generated 2552 titles and abstracts (Figure 1).²⁹ Removal of duplicates using Covidence online software resulted in 1325 records.³⁰ 1284 studies were excluded for the following reasons: non-COPD sampling, non-cycling exercise intervention, and research presented as abstract or conference proceedings. One study evaluated both COPD and interstitial lung disease (ILD) patients,³ but given only five

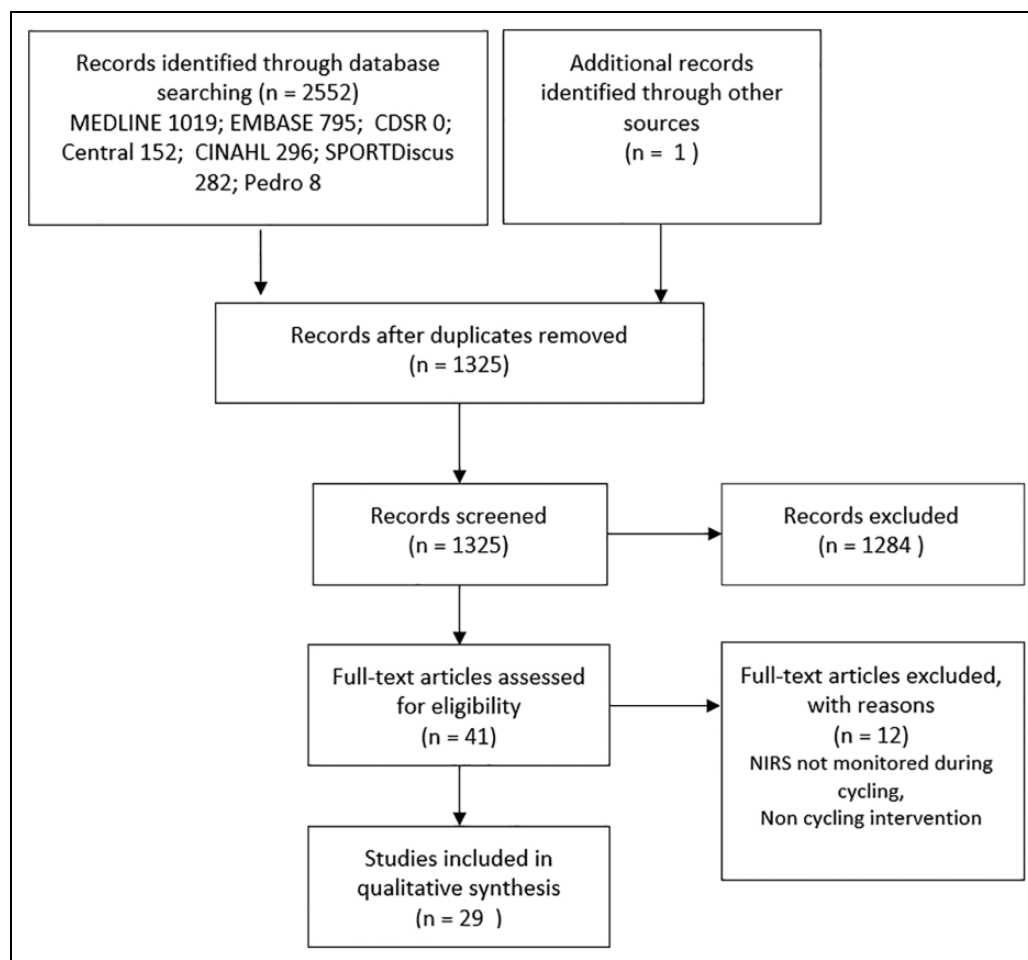


Figure 1. Preferred reporting items for systematic reviews and meta-analyses (PRISMA) flow diagram of the literature search.²⁹ **Abbreviations:** CDSR = Cochrane Database of Systematic Reviews; Central = Cochrane Central Register of Controlled Clinical Trials; CINAHL = Cumulative Index for Nursing and Allied Health Literature; CDSR = Cochrane Database of Systematic Reviews; Central = Cochrane Central Register of Controlled Clinical Trials; EMBASE = Excerpta Medica Database, NIRS = Near Infrared Spectroscopy; PEDRO = Physiotherapy Evidence Database.

ILD patients were described in this study, no further inclusion or data analysis was performed. One additional article was brought to the attention of the research team that was not captured in the search. Full-text screening was performed on 41 articles and 12 were excluded because the NIRS parameters were not measured during a cycling protocol. Twenty-nine articles were included in this scoping review.^{2-5,9,13,17,20,21,31-50}

Quality assessment score of articles ranged between 63% and 88% (10–13 maximum points) with a mean of 72%. 17 studies scored between 60% and 74%, (Table A2). The remaining studies scored 75% or greater. No studies scored less than 60%. All studies received points for: clearly stating the hypothesis and methods; describing the main outcomes in the introduction and methods section; describing the

characteristics of included patients; describing the main findings; addressing if results were based on data dredging; appropriate statistical testing; and valid and reliable measurement of results. For the one question scored out of two points that addressed the distribution of principle cofounders, 86% of studies scored 1 point. Fewer than half of the articles described: the inclusion of participants that were representative of the populations they were drawn from; blinding of assessors measuring the main outcomes; recruiting participants over the same time period; and adjustment for confounding variables. The items involving reporting of actual probability values, and the inclusion of subjects that were prepared to participate were reported 52% and 90% of the time, respectively.

Detailed information regarding participant demographics are shown in Table 1. The forced expiratory

volume (FEV₁) for COPD patients ranged from 19–66% predicted. The mean age range of patient participants for the included studies was 60 to 73 years old. Overall, there were 541 COPD patients (83.4% male; 14.4% female; and 2.2% not reported in one study³⁹) and 120 healthy adults (88.3% male) evaluated in the 29 papers. Fifteen studies included only male populations and 11 studies reported a higher male to female ratio. Two studies reported a higher female to male ratio^{5,45}; no studies reported solely a female population.

With respect to the exercise intervention, the majority of studies (n = 20) utilized constant work rate cycling. The remaining studies (n = 9) reported use of a symptom-limited incremental cycling protocol. Of the 12 studies that utilized constant work rate cycling, nine studies reported a pre-determined exercise time, ranging from 6 to 45 minutes. All remaining studies utilizing constant work rate and incremental protocols required participants to cycle to exhaustion, ranging from 6 to 17 minutes. Cycling workloads for COPD patients were consistently lower than those performed by healthy participants (see further details below).

In terms of placement of the NIRS optodes, 23 studies monitored NIRS parameters of the vastus lateralis (VL) and one study monitored the vastus medialis (VM).³¹ Six studies evaluated the 7th intercostal muscle (IC),^{17,21,37,39,49,50} one study monitored the serratus anterior (SA),³⁵ and one study examined the abdominal muscles, over the upper rectus abdominis.²¹ Eight studies evaluated oxygenation of the cerebral cortex^{9,13,36,41–43,47,50} and two studies examined the VL in conjunction with the cerebral cortex.^{36,50}

Vastus lateralis oxygenation and deoxygenation responses to exercise

Of the studies that reported changes in oxygen extraction (HHb) of VL (n = 8), all reported a statistically significant increase in this value in response to cycling in COPD (Table 2). Of the studies that monitored VL or VM StO₂ (n = 11), most studies^{2,20,31,35,36,38,39,45,48} reported a decrease in this value, whereas two studies reported unchanging levels of VL StO₂ (Table 2).^{5,37}

Vastus lateralis tHb and blood flow responses to exercise

Of the studies that examined VL tHb or BFI measured by indocyanine green (ICG), all reported

an increase in this value throughout cycling (Table 2).^{2,17,21,32,38,39,43,45,49}

Muscle oxygenation and blood flow in COPD compared to healthy controls

Of the 23 studies examining muscle oxygenation, eight articles compared COPD to healthy participants (Table 1). Patients with COPD demonstrated a shorter VL HHb time constant in one report³³ and the response magnitude of VL HHb relative to workload did not differ from healthy controls in one study.³⁵ Moreover, of the studies that measured StO₂ (n = 5), one study reported that VL StO₂ levels were lower in healthy controls compared to COPD patients,²⁰ and two studies observed a larger or more rapid decrease in response to exercise in healthy adults.^{20,48} BFI was lower in limb muscles of COPD patients compared to healthy individuals.⁴⁴ With respect to the cycling protocol, two studies utilized incremental cycling, with peak workloads that were lower in COPD patients compared to healthy controls (COPD range: 73 to 87 watts versus Controls: 113 to 144 watts; relative work rate difference of 35 to 40% lower in COPD).^{35,48} For constant workload cycling, COPD patients and healthy controls cycled at a similar percentage of maximum effort generally ranging from 50 to 75% as shown in Table 1, but this occurred at a lower absolute workload (watts) for COPD patients (peak work rate difference of 30 to 76% lower).^{3,5,20,31,33,40}

Muscle deoxygenation and blood flow in COPD compared to congestive heart failure

COPD patients with congestive heart failure (HF) experienced a more marked increase in VL and IC HHb compared to those with COPD (Table 2).^{44,50} BFI was lower in individuals with COPD and HF overlap compared to those with only COPD.⁴⁴

The effect of respiratory aids on muscle oxygenation

Two studies examined the effects of heliox on exercise performance. One study demonstrated that the use of heliox was effective in slowing the onset of the VL HHb response³⁴ and the other showed greater VL, IC and rectus abdominis blood flow compared to room air.²¹ Other interventions utilized were bronchodilators^{4,32} and non-invasive ventilation (NIV).³⁷ Only one of these three studies reported that the use

Table 1. Characteristics of participants and cycle ergometer test.

First Author	Sample (n)	Age	Male: Female	BMI Kg/m ²	FEV ₁ (% pred)	Starting Intensity and Progression	Duration	SaO ₂ /SpO ₂ at end exercise (%)	NIRS optode location
Constant Workload—Fixed Duration—Muscle									
Austin ⁵	COPD (8)	69	1:7	28.2	51	50% peak work load	6 min test; 2 min warmup	NR	VL
	Healthy (8)	68	1:7	28.7	91	50–60 rpm		NR	
Prieur ⁴⁵	COPD (8)	60	3:5	23.6	53	50% Peak work load 50–60 rpm	30 min; 2 min warmup	96	VL
Okamoto ⁴⁰	COPD (15)	73	15:0	NR	66	50% Peak work load	6 min	NR	VL
	Healthy (5)	34	5:0	NR	NR			NR	
McNarry ³	COPD (6)	66	5:1	24.6	51	Work rate that elicited a VO ₂ of 40% of the difference between the GET and peak VO ₂	6 min test; 6 min warmup	NR	VL
	Healthy (10)	63	9:1	25.1	NR			NR	
Puente-Maestu ⁴⁶	COPD (21)	63	21:0	28.9	40	70% peak WR	45 min; 3 min warmup	NR	VL
Vogiatzis ²⁰	COPD (13)	65	13:0	26.4	43	75% WRpeak	6 min; 3 min warmup	95	VL
	Healthy (7)	60	7:0	28.1	105			96	
Constant Workload to Exhaustion—Muscle									
Chiappa ³³	COPD (10)	60	10:0	25.1	44	75% WRpeak	6.2 min	92	VL
	Healthy (11)	61	11:0	24.3	100		10.6 min	94	
Chiappa ³⁴	COPD (12)	62	12:0	24.5	45	70–80% WRpeak	Room Air: 6.2 min; Heliox: 10.6 min	Room air: 90; Heliox: 92	VL
Louvaris ³⁹	COPD (12)	64	NR	28.2	58	75% WRpeak	11.4 min	89	VL, IC
Berton ³²	COPD (20)	69	12:8	24.8	42	75% WRpeak	↑ 1.5 min post bronchodilators vs placebo	Placebo: 94.4; Tiotropium/olodaterol: 95.6	VL
Berton ⁴	COPD (12)	65	12:0	23.4	39	70–80% WRpeak	Reported as correlation	Placebo: 91; Bronchodilators: 90	VL
Louvaris ³⁸	COPD: Hyperinflators (9)	64	14:3	25.2	39	75% WRpeak	Room air: 6.1 min	Room air: 90.2	VL
	COPD: Non-hyperinflators (8)	62		27.4	48	40–50 rpm	Heliox: 10.0 min	Heliox: 91.3	
Louvaris ²¹	COPD (10)	65	8:2	25.0	46	75% WRpeak	Room air: 7.0 min Heliox: 11.2 min	Room air: 91.7 Heliox: 92.4	VL, IC, AB
Barberan-García ³¹	COPD (16)	70	15:1	25	46	70% WRpeak	Room air: 6.8 min Heliox: 11.0 min	88 91	
Gloeckl ³⁷	Healthy (10)	65	8:2	26	105	Pre-post 8 week intervention	100% O ₂ : 11.6 min	99	VL
Oliveira ⁴⁴	COPD (20)	60	12:8	23.2	19	60% WRpeak	NR	94 to 91	
	COPD (16)	69	16:0	26.6	54	80% WRpeak following 20% warmup	NIV + O ₂ : 11 min; O ₂ : 8min	96 to 97	VL, IC
	HF (15)	68	15:0	24.4	79		COPD: 5.5 min	NIV + O ₂ : 96.7; O ₂ : 93.1	VL
	COPD + HF (16)	71	16:0	27.1	57		5.3 min	88	
	Healthy (12)	69	12:0	25.8	94		3.4 min	94	
Goulart ⁵⁰	COPD + HF (11)	69	11:0	28	59	80% WRpeak	17.3 min	95	VL, IC, PFC
	HF (11)	62	11:0	29	89		NR	COPD + HF: 93.1 HF: 96.9	

(continued)

Table 1. (continued)

First Author	Sample (n)	Age	Male: Female	BMI Kg/m ²	FEV ₁ (% pred)	Starting Intensity and Progression	Duration	SaO ₂ /SpO ₂ at end exercise (%)	NIRS optode location
Incremental to Exhaustion—Muscle									
Tabira ²	COPD (8)	71	8:0	19.1	42	10 watts/min	10 min		VL
Tateishi ⁴⁸	COPD (16)	70	16:0	20.5	53	10 watts/min; 3 min warmup	NR	COPD: 90.7 Healthy: 97.5	VL
	Healthy (10)	67	10:0	23.7	93				
Chuang ³⁵	COPD (77)	66	70:7	24	59	5–20 watts/min	8.4 min	COPD: 94.6 Healthy: 92.9	VL
	Healthy (37)	55	33:4	23.8	97	(depending on fitness level)			SA
Incremental—Fixed Duration—Muscle									
Vogiatzis ⁴⁹	COPD (10)	60	9:1	26.1	51	25% & 50% WRpeak for 5 min 75% WRpeak for 3–4 min 100% WRpeak for 2–3 min	See cell to left	92	VL, IC
Louvaris ¹⁷	COPD (10)	60	9:1	26.1	51	25% & 50% WRpeak for 5 min 75% WRpeak for 3–4 min 100% WRpeak for 2–3 min	See cell to left	92	VL, IC
Constant Workload—Fixed Duration—Prefrontal Cortex									
Higashimoto ¹³	COPD (10)	69	10:0	22.3	54	40% WRpeak	10 min	Reported as correlation	PFC, TPC
	Healthy (10)	71	10:0	22.8	92			Reported as correlation	
Furian ³⁶	COPD (31)	66	19:12	27.3	56	60% WRpeak; 40 rpm	@ 490 m: 8.3	@ 490 m: 92	PFC, VL
Andriano-poulos ⁹	COPD + CI (21)	68	14:7	23.4	51	75% WRpeak	CN: 11.4	CN: 92.3	PFC
	COPD + CN (31)	69	16:15	24.1	51		CI: 9.4	CI: 90.3	
Incremental to Exhaustion—Prefrontal Cortex									
Rodrigues ⁴⁷	COPD (13)	65	13:0	24.2	49	10 watts/min	NR	Sham + HOx: 98; NIV + HOx:99	PFC
Oliveira ⁴²	COPD (15)	65	15:0	24.2	46	NR	NR	90	PFC
	COPD + HF (18)	67	18:0	25	64			93	
Oliveira ⁴¹	COPD desaturators (8)	67	8:0	24.1	45	10 watts/min following warmup	NR	NR	PFC
	COPD-non desaturators (12)	60	12:0	25.9	49				
Incremental—Fixed Time—Prefrontal Cortex									
Oliveira ⁴³	COPD (10)	65	10:0	22	44	20%, 40%, 60% and 80% WRpeak for 4 min	12 min cycling; 12 min of interspersed rest	87	PFC
	COPD + HF (8)	65	8:0	25	54			93	

Abbreviations: bmp: beats per minute; CI: cognitively impaired; CN: cognitively normal; COPD: chronic obstructive pulmonary disease; GET: graded exercise test; HF: heart failure; HR: heart rate; IC: intercostal; ILD: interstitial lung disease; min: minutes; NIRS: near infrared spectroscopy; NR: not reported; NIV: non-invasive ventilation; rpm: revolutions per minute; O₂: supplemental oxygen to maintain partial pressure of oxygen ≥ 60 mmHg; PFC: prefrontal cortex; SA: serratus anterior; SpO₂: oxygen saturation measured by pulse oximetry; TPC: temporoparietal cortex; VL: vastus lateralis; HOx: Hyperoxia with an FIO₂ = 0.4; VO₂: oxygen uptake; WR: work rate; WRpeak: peak work rate; @ 490 m: at 490 m altitude; %pred: percent predicted.

Table 2. Muscle oxygenation/deoxygenation and blood volume/flow outcomes—changes from baseline to peak exercise, between treatments or between groups.

Author	NIRS Device*	Sample	Muscle	Oxygenation/Deoxygenation**	Blood volume (tHb)/Blood flow
Constant Workload—Fixed Duration					
Austin5	Inspectra	COPD Healthy	VL	VL StO ₂ : ↔ VL StO ₂ : ↔	NR
Vogiatis20	Inspectra	COPD Healthy	VL	VL StO ₂ : ↓ VL StO ₂ : ↓	NR
Prieur45	Portamon.	COPD/FES cycling	VL	VL O ₂ Hb: ↑ VL HHb: ↑ VL StO ₂ : ↓ VL O ₂ Hb: ↑ VL HHb: ↑ VL StO ₂ : ↓	tHb: ↓ %Δ 164 ± 32; %Δ 212 ± 65; %Δ -15.5 ± 6.3; %Δ 133 ± 10; %Δ 84 ± 29; P < 0.0001 between groups %Δ -7.7 ± 8; P < 0.0001 between groups O ₂ Hb recovery τ: 25.6 ± 12.9 s O ₂ Hb recovery τ: 11.1 ± 3.3 s; P = 0.025 between groups
Okamoto40	HEO-200, OMRON	COPD Healthy	VL	VL StO ₂ : ↓	NR
McNarry3	Portamon	COPD	VL	HHb time delay: 18 ± 9 s HHb τ 51 ± 23 s	NR
Puente-Maestu46	CWS 2000	COPD—Pre-post training	VL	O ₂ Hb τ: ↓	NR
Furian36	NIRO-200NX	COPD	VL	VL StO ₂ : ↓	NR
Constant Workload to Exhaustion					
Chiappa33	NIRO 200	COPD	VL	VL HHb: ↑ VL HHb: ↑ expressed as % VL HHb: ↑ expressed as %	NR
Chiappa34	NIRO 200	COPD/Room air	VL	VL HHb: ↑ expressed as %	NR
Berton4	NIRO 200	COPD/Heliox	VL	VL HHb: ↑ expressed as % VL HHb: ↑ Time delay: 10.1 ± 1.7s	NR
Berton32	OxyMon	COPD/ placebo COPD + tiotropium/ olodaterol	VL	VL HHb: ↑ VL HHb: ↑	BFI ↑ BFI ↑ 0.82 ± 0.62 0.73 ± 0.50; NS between treatments

(continued)

Table 2. (continued)

Author	NIRS Device*	Sample	Muscle	Oxygenation/Deoxygenation**	Blood volume (tHb)/Blood flow
Louvaris39	NIRO 200	COPD Constant WR protocol COPD interval exercise protocol	VL 7th IC	VL StO ₂ : ↓ ↓ IC: StO ₂ : ↓ ↓ VL StO ₂ : ↓ IC: StO ₂ : ↓	VL tHb IC tHb VL tHb IC tHb P = 0.019; interval greater than constant WR P = 0.26; interval greater than constant WR
Louvaris38	NIRO 200	COPD Hyperinflators COPD non-hyperinflators COPD + HF	VL	VL StO ₂ : ↓ VL StO ₂ : ↓	Δ BF: 6.1 ± 1.3 ml·min ⁻¹ ·100 g ⁻¹ greater at isotime on heliox than on room air Δ BF: 7.2 ± 1.6 ml·min ⁻¹ ·100 g ⁻¹ greater at isotime on heliox than on room air
Goulart50	Oxymon	HF	VL IC	VL HHb: ↑ IC HHb: ↑ VL HHb: ↑ IC HHb: ↑	NR
Louvaris21	NIRO 200	COPD/Room Air COPD/heliox COPD/100% oxygen	VL AB 7th IC	NR changes in VL HHb and IC HHb occurred a longer t _{lim} in HF compared to COPD + HF group (P < 0.05)	25.4 ± 2.9 ml·min ⁻¹ ·100 g ⁻¹ 6.0 ± 0.5 ml·min ⁻¹ ·100 g ⁻¹ 6.8 ± 0.5 ml·min ⁻¹ ·100 g ⁻¹ 30.2 ± 4.1 ml·min ⁻¹ ·100 g ⁻¹ ; P < 0.01 from RA 8.0 ± 0.7 ml·min ⁻¹ ·100 g ⁻¹ ; P < 0.05 from RA 9.5 ± 0.6 ml·min ⁻¹ ·100 g ⁻¹ ; P < 0.05 from RA Less than heliox (p < 0.01) Less than heliox (p < 0.05) Less than heliox (p < 0.05)
Barberan-Garcia31	Inspectra	COPD Healthy	VM	VM StO ₂ : ↓ VM StO ₂ : ↓	NR Pre-post training ΔStO ₂ = -8 ± 10%; P < 0.05 from pre training Pre-post training ΔStO ₂ = -10 ± 12%; P < 0.05 from pre training
Gloeckl37	PortaLite Artinis	COPD/O ₂ COPD/O ₂ /NIV	VL 7th IC VL StO ₂ : ↔ 7th IC StO ₂ : ↔	VL StO ₂ : ↔ 7th IC StO ₂ : ↔ 1.75 ± 3.31% difference from baseline 1.51 ± 2.12% difference from baseline. NS between treatments -29 ± 4.26% difference from baseline. NS between treatments	NR 1.00 ± 2.27% difference from baseline 1.75 ± 3.31% difference from baseline 1.51 ± 2.12% difference from baseline. NS between treatments
Oliveira44	NIRO 200	COPD HF COPD + HF Healthy	VL	VL HHb: ↑ VL HHb: ↑ VL HHb: ↑ VL HHb: ↑	Lowest VL BFI in COPD + HF at WRpeak versus healthy and COPD; and highest VL BFI in healthy adults at WRpeak compared to all three groups (P < 0.05).
Incremental to Exhaustion					
Tabira2	BOM-LITRW	COPD	VL	VL O ₂ Hb: ↓ VL HHb: ↑ VL StO ₂ : ↓	Data expressed as correlation coefficients; correlation between oxygen consumption, muscle oxygenation, heart rate, and oxygen saturation measured by pulse oximetry

(continued)

Table 2. (continued)

Author	NIRS Device*	Sample	Muscle	Oxygenation/Deoxygenation**	Blood volume (tHb)/Blood flow
Tateishi48	Hamamatsu	COPD	VL	Rest: 54.9 ± 6.2; Peak: 47.3 ± 6.8; P < 0.05 from rest Rest: 58.4 ± 8.0; Peak: 49.9 ± 7.7; P < 0.05 from rest; StO ₂ /WR slope greater in healthy than COPD group (P < 0.001)	NR
Chuang35	OxiplexTS	COPD	VL SA	Loaded cycling significantly increased in VL HHb and SA HHb and significantly decreased in VL StO ₂ and SA StO ₂ in both groups (P < 0.05). NS between group differences.	NR
		Healthy	VL HHb: ↑ VL StO ₂ : ↓ SA HHb: ↑ SA StO ₂ : ↓ VL HHb: ↑ VL StO ₂ : ↓ SA HHb: ↑ SA StO ₂ : ↓		
Incremental—Fixed Duration					
Vogiatzis49	NR	COPD	VL IC		WRpeak: 39.8 ± 7.1 ml/min per 100 g ⁻¹ WRpeak: 4.5 ± 0.8 ml/min per 100 g ⁻¹ (P = 0.003)
Louvaris17	NIRO-200	COPD	VL 7th IC		Rest to WRpeak: 2.8 ± 0.4 to 39.7 ± 7.0 ml·min ⁻¹ ·100 g ⁻¹ Rest to WRpeak: 2.56 ± 0.23 to 1.69 ± 0.14 ml·min ⁻¹ ·100 g ⁻¹

Abbreviations: AB: abdominal muscle; BF: blood flow; CI: cognitively impaired; CN: cognitively normal; COPD: chronic obstructive pulmonary disease; GET: graded exercise test; HF: heart failure; HHb: deoxyhemoglobin; HOx: Hyperoxia with an FIO₂ = 0.4; IC: intercostal; ILD: interstitial lung disease; min: minutes; NIRS: near infrared spectroscopy; NIV: non-invasive ventilation; rpm: revolutions per minute; NR: not reported; NS: not significant; O₂Hb: oxyhemoglobin; O₂: supplemental oxygen to maintain partial pressure of oxygen ≥ 60 mmHg; RA: room air; SA: serratus anterior; StO₂, oxygen saturation of tissue; tHb: total hemoglobin; t_{lim}: endurance time; VL: vastus lateralis; WR: work rate; WRpeak: peak work rate; ↑: increased; ↓: decreased; ↔: no change; Δ: change; τ: time constant; %Δ: percent change from baseline

*BOM-LITRW, Omegawave, Tokyo, Japan; CWS 2000 Runman, Philadelphia, USA; Inspectra Tissue Spectrometer Model 325 System, Hutchinson Technology Inc., Hutchinson, MN; OxiplexTS™ system Frequency Domain ISS, Champaign, IL, USA; NIRO-200NX, Hamamatsu, Photonics Japan; Oxymon, Artinis Medical Systems, Elst, The Netherlands; Portalite, Artinis Medical Systems, Elst, The Netherlands; Portamon, Artinis Medical Systems, Elst, The Netherlands

**units for O₂Hb, HHb and tHb can be expressed as arbitrary units (AU) or in micromolar (μM), which in essence have a similar meaning because the volume through which NIRS transmits light is not determined by many devices.

Table 3. Prefrontal cortex oxygenation/deoxygenation and blood volume/flow outcomes—changes from baseline to the endpoint of exercise.

Author	NIRS Device*	Sample	Oxygenation/Deoxygenation Outcomes*	Blood volume (tHb)/Blood flow outcomes
Constant Workload—fixed duration				
Higashimoto 13	ETG-7100	COPD Healthy	Δ PFC O ₂ Hb: ↑ Δ PFC O ₂ Hb: ↑ Δ PFC HHb: ↔ Δ PFC O ₂ Hb: ↔ Δ PFC StO ₂ : ↔ Δ PFC HHb: ↔ Δ PFC O ₂ Hb: ↔ Δ PFC StO ₂ : ↔ Δ PFC StO ₂ : ↔	NS between groups 0.7 ± 1.5 μM sec ⁻¹ 2.3 ± 3.3 μM sec ⁻¹ -0.24 ± 2.7% 0.5 ± 1 μM sec ⁻¹ 1.5 ± 3.2 μM sec ⁻¹ -0.27 ± 3.2% Baseline: 62(59;67)%; Post exercise: 62(56;66)%; Median (quartiles)
Andriano-poulos 9	PortaLite	COPD + CN		Δ PFC tHb: ↑ Δ PFC tHb: ↑ Δ PFC tHb: ↑ Tended to increase but NS Tended to increase but NS 3.0 ± 3.2 μM sec ⁻¹ ; P = 0.001 from baseline
Furian 36	NIRO-200NX	COPD		Δ PFC tHb: ↑ Δ PFC tHb: ↑ 2.0 ± 3.2 μM sec ⁻¹ ; P = 0.028 from baseline. NS between groups
Constant workload to exhaustion				
Goulart 50	Oxymon,	COPD + HF HF	Δ PFC O ₂ Hb: ↓↓ Δ PFC O ₂ Hb: ↓	NR
Incremental to exhaustion				
Rodrigues47	NIRO 200	COPD/HOx COPD/NIV/HOx	Δ PFC O ₂ Hb: ↑ Δ PFC O ₂ Hb: ↑↑	NR
Oliveira42	NIRO 200	COPD COPD + HF	Δ PFC O ₂ Hb: ↑ Δ PFC O ₂ Hb: ↓	NR
Oliveira 41	NIRO 200	COPD desaturators	Δ PFC O ₂ Hb: ↑ Δ PFC O ₂ Hb: ↑	NR
		COPD non-desaturators	Normoxia: 0.57 ± 0.20 fold; Hyperoxia: 2.09 ± 0.42 fold; P < 0.05 between treatments Normoxia: 0.78 ± 0.37 fold; Hyperoxia: 0.71 ± 0.52 fold; P < 0.05 between groups for both treatments	
Incremental—Fixed time				
Oliveira 43	NIRO 200	COPD	Δ PFC O ₂ Hb: ↑	PFC BF: ↑ 41.1 ± 8.9% increase from rest to 80% WRpeak
		COPD + HF	Δ PFC O ₂ Hb: ↓	PFC BF: ↓ 10.2 ± 8.2% decrease at WRpeak

Abbreviations: BF: blood flow; Cl: cognitively impaired; CN: cognitively normal; COPD: chronic obstructive pulmonary disease; HF: heart failure; HHb: deoxyhemoglobin; HOx: Hyperoxia with an FiO₂ = 0.4; NIV: non-invasive ventilation; NR: not reported; NS: not significant; O₂Hb: oxyhemoglobin; PFC: Prefrontal cortex; StO₂: oxygen saturation of tissue; tHb: total hemoglobin; WRpeak: Peak work rate; ↑: increased; ↓: decreased; ↔: no change; Δ: change;

*ETG-7100, Hitachi Medical Corporation, Tokyo, Japan; NIRO 200, Hamamatsu Photonics KK, Hamamatsu, Japan; Oxymon, Artinis Medical Systems, Elst, The Netherlands; PortaLite, Artinis Medical Systems, Elst, The Netherlands.

**units for O₂Hb, HHb and tHb can be expressed as arbitrary units (AU) or in micromolar (μM), which in essence have a similar meaning because the volume through which NIRS transmits light is unknown.

of respiratory aids, namely bronchodilators,⁴ elicited a VL HHb response that was slower than the placebo treatment.

Pre-frontal cortical oxygenation and deoxygenation response to exercise

Of the studies that examined cerebral oxygenation ($n = 8$), five studies^{13,41–43,47} indicated that oxygen delivery (O_2Hb) levels increased during exercise and one study⁹ reported no significant changes to O_2Hb in COPD patients (Table 3). Two studies reported a decline in cerebral O_2Hb in response to cycling in the patient group.^{42,50} Oliveira et al reported that COPD patients with HF demonstrated a decline in PFC O_2Hb , which is consistent with the findings of Goulart et al who reported a decrease in the PFC O_2Hb in the HF group.^{42,50}

Three studies examined the tHb response to cycling.^{9,13,43} Two studies^{9,43} reported an increase in PFC tHb compared to baseline in COPD patients, while one study reported that COPD patients with HF demonstrated a decrease in PFC blood flow in response to exercise compared to COPD controls.⁴³ Rodrigues et al. demonstrated that the change in PFC oxygenation in COPD patients was greater in those performing cycling using a combination of non-invasive ventilatory support and heliox compared to heliox alone, suggesting a possible central hemodynamic benefit with non-invasive ventilatory support.⁴⁷

Pre-frontal cortical oxygenation changes in COPD patients compared to control

Only one study compared PFC O_2Hb levels in COPD patients to that of healthy controls.¹³ Although both groups demonstrated increases in this variable, between group differences were not significant.

Discussion

Summary of results

The main findings of this scoping review of 541 COPD patients (83% males) provides evidence that oxygen extraction (HHb) increases more quickly in the vastus lateralis at lower absolute workloads (range of 30 to 76% lower) during cycling compared to healthy persons. Although this response is faster in COPD patients compared to healthy adults, the increase in oxygen extraction experienced by patients can be mitigated by the use of respiratory aids such as

heliox and bronchodilators. Of the studies that reported VL StO_2 , most demonstrated a decrease during both constant workload and incremental cycling. As expected during exercise, VL blood flow increased during cycling as indicated by an increase in VL tHb or ICG blood flow measures, but these increases were lower in COPD compared to healthy individuals. Even though there were fewer reports evaluating PFC compared to peripheral muscle oxygenation, cortical oxygen levels were observed to increase during cycling in COPD patients, but a diminished response to exercise was shown in COPD patients with HF.

Mechanisms of oxygen extraction

Our scoping review revealed that VL HHb increased more quickly during cycling in patients with COPD compared to healthy people with submaximal exercise, typically using a constant workload protocol ranging from 50 to 75% peak work rate. Even though relative work intensity was commonly used to compare between COPD and controls, several studies accounted for the lower workload in COPD participants by evaluating the rate of change in HHb. The quicker rate of oxygen extraction (HHb) may be attributed to increased local acidosis,⁵¹ which reduces hemoglobin's affinity for oxygen by virtue of the "Bohr effect."⁵² In addition, it has been proposed that the heightened levels of peripheral muscle deoxygenation at lower absolute workloads observed in COPD patients may be a result of impaired oxygen delivery due to low gas exchange and impaired cardiovascular function.⁴⁴ The altered mechanics of breathing in these patients may cause disturbances in cardiac output leading to an imbalance between oxygen delivery and extraction, reflected as lower StO_2 levels.⁵³ Furthermore, the increased rate of oxygen extraction can't be attributed to metabolic oxidative capacity, given the decreased oxidative enzymatic activity reported in skeletal muscle of COPD patients.^{51,54}

The effect of respiratory aids on muscle oxygenation

Several studies indicate that blood flow to the quadriceps increases during cycling in patients with COPD. Notably, this muscle perfusion can be enhanced by treatments such as NIV and Heliox.^{4,34,38} These interventions improve oxygen delivery by countering the characteristic exercise onset of

dynamic hyperinflation in COPD, and reducing the work of breathing.³⁸ Furthermore, lung hyperinflation may increase ventricular afterload and decrease pre-load, resulting in a decrease in stroke volume and cardiac output.³² This effect on cardiac output may have downstream effects on peripheral muscle oxygen saturation, especially during periods of heightened cardiac and ventilatory requirements with exercise. Additional benefits of heliox include a reduction of diaphragmatic activity, and increase in exercise tolerance, and less leg discomfort.^{21,34,38} In addition, treatment with inhaled bronchodilators can reduce airflow obstruction leading to slower oxygen extraction kinetics following treatment.⁴ Thus, as demonstrated in several studies, treatment aimed at reducing lung hyperinflation and airway obstruction may improve limb muscle oxygen saturation and slow the kinetics of oxygen extraction by alleviating the mechanical burden of breathing in patients with COPD.^{4,32,34}

Blood flow distribution

The rationale for the aforementioned benefits of respiratory aids coincide with findings of blood flow distribution relative to respiratory muscle loading.⁵ It has been postulated that blood flow is redistributed from limb to respiratory muscles when the respiratory load is increased in COPD and healthy controls, or from the respiratory to the limb muscle when the respiratory load is decreased.⁵⁵ This postulate is supported by a study of healthy adults exposed to expiratory flow limitation (EFL) during exercise; they demonstrated an increased intercostal muscle blood flow index (BFI), but a decrease in quadriceps BFI suggesting a redistribution of blood flow from the locomotor to the respiratory muscles.⁵⁶ This occurred at an exercise level that demanded submaximal cardiac output when leg muscle blood flow could have been sustained, if cardiac output was the rate limiting factor. Alternatively, other factors such as hypoxemia and hypercapnia associated with EFL may have resulted in increased sympathetic discharge and consequent vasoconstriction, leading to locomotor blood flow *reduction*, as opposed to redistribution.⁵⁵

More recent studies have investigated blood flow distribution during cycling in COPD patients. When individuals with COPD were given 100% oxygen or heliox (helium 79% and oxygen 21%), in an attempt to reduce the work of breathing during constant load cycling at 75% of peak capacity, no redistribution of

blood flow from the intercostals relative to the vastus lateralis was observed.²¹ Moreover, 100% oxygen and heliox compared to room air, resulted in longer cycling endurance times compared to the room air condition. At the end of cycling, intercostal and quadriceps muscle blood flow were both greater with heliox compared with room air or 100% oxygen.²¹ Although the work of breathing and dyspnea was reduced with the use of heliox, redistribution of blood flow from intercostal muscles to the locomotor muscles was not observed. Further work investigating other mechanisms of how heliox decreases dyspnea and improves exercise endurance is needed. The changes in blood flow redistribution of other inspiratory muscles (i.e. diaphragm, sternocleidomastoid) could be one potential mechanism.

Blood flow distribution among respiratory and locomotor muscles may vary during different types of exercise stimuli. A recent study conducted on 18 COPD patients by Louvaris et al.⁵⁷ demonstrated that during high intensity constant-load cycling (80% peak work rate), intercostals, scalene, and abdominal muscle blood flow did not change from rest with cycling despite ongoing cardiac output reserve, and the muscle oxygenation saturation in these muscles was lower compared to the hyperpneic state. This contrasts with the isocapnic hypernea protocol performed without locomotor muscle movement, where increases in muscle perfusion of the aforementioned muscles was observed for a given minute ventilation.⁵⁷ Thus, these results highlight that high-intensity exercise impairs the blood flow to the extra-diaphragmatic respiratory muscles in COPD patients and may in part account for the dyspnea experienced by COPD patients with exercise.^{55,57} However, an important limitation of the blood flow distribution theory is that blood flow to the diaphragm and accessory muscles of inspiration have not been measured simultaneously. Furthermore, to our knowledge there have been no reports describing potential differences in blood flow redistribution in COPD compared to healthy controls utilizing a similar ventilatory and exercise protocol. This is an area in need of future investigation to further understand the blood flow distribution theory between respiratory and locomotor muscles.⁵⁵

Cerebral oxygenation

Compared to the breadth of studies examining peripheral muscle oxygenation and blood flow in respiratory patients, investigations of prefrontal

cortical oxygenation response to exercise is less conclusive. However, several reports demonstrate that cortical oxygen levels (O_2Hb) of COPD patients increased in response to exercise,^{13,41,43,44,47} albeit to a lesser extent or decreased in COPD patients with HF.^{44,50} When neural activity and associated metabolism increases, cerebral vessels dilate to enhance regional oxygen availability.⁹ This results in higher tHb values in addition to increased O_2Hb .¹³ In contrast, prefrontal cortical oxygenation increases during incremental cycling in healthy persons but decreases preceding task failure due to exhaustion.⁵⁸ Although this has been considered a mechanism of “central fatigue,” it may be attributed to hyperventilation induced hypocapnia and consequent cerebral vasoconstriction.⁵⁸ In contrast, hyper- rather than hypocapnia may occur at task failure in COPD patients in those with marked ventilatory limitations, postulated to be related to gas exchange abnormalities and significant hyperinflation.^{59,60} The specific mechanisms contributing to cerebral oxygenation during cycling in COPD patients with and without HF require further delineation.

The influence of heart failure on cerebral oxygenation

COPD patients with HF demonstrated either a decrease in cerebral oxygenation or had no change in oxygenation compared to COPD controls,^{43,44,50} which differed from responses of COPD patients without this comorbidity. Furthermore Oliveira et al. reported that in addition to the diminished cerebral oxygenation increase in COPD patients with HF, prefrontal cortical blood flow decreased, while the COPD-only group increased blood flow to this region.⁴³ Impairments in cerebral blood flow and oxygenation in COPD patients with HF may be attributed to the decrease in cardiac output and lower amounts of cerebral vasodilation associated with the HF state.⁴³ In addition, it has been suggested that cerebral hypoperfusion can result in the abnormalities in cerebral metabolism that are commonly observed in patients with advanced lung disease.^{61,62}

Limitations

This scoping review has some limitations. For one, NIRS parameters were expressed in a variety of ways across the included studies. Thus, a meta-analysis was not possible due to the diverse NIRS measurement

techniques, lack of reporting of absolute changes, and several studies not reporting participant adipose tissue thickness beneath NIRS optode sites. Adipose tissue thickness (>10 mm) is an important exclusion criteria because thicker adipose can confound NIRS measurements by increasing resting oxygenation values, reducing optical density, and decreasing sensitivity to detect oxygenation changes in the tissue of interest, in this case muscle or prefrontal cortex.⁶³ Moreover, this review is limited to the effects of cycle ergometry on NIRS outcomes, and did not investigate other methods of exercise. In addition, male COPD patients were overwhelmingly represented in the aforementioned studies (83% men), possibly masking the muscle and PFC oxygenation response to cycling in women, and concealing potential sex differences, if any. Lastly, there were limited reports of cerebral oxygenation response to cycling in COPD patients, and thus prefrontal cortical oxygenation studies may underrepresent a full spectrum of outcomes in the current review.

Clinical relevance

There are several benefits associated with NIRS technology when applied to exercise. For one, the utility of NIRS for examining prefrontal cortical changes becomes apparent when compared to traditional imaging techniques such as functional magnetic resonance imaging (fMRI). Unlike fMRI, NIRS can measure oxygenation changes during whole body movement, making it more suitable for exercise.⁶⁴ Since exercise is often a component of many programs aimed at rehabilitation, the ability to detect physiological changes during activity highlights the advantages of using NIRS in a clinical setting. NIRS may help identify oxygen extraction thresholds with various therapeutic strategies (i.e. exercise training, oxygen supplementation), but important to account in the analysis the lower absolute workloads observed in COPD patients compared to controls. Furthermore, NIRS oxygenation outcomes are relevant beyond the scope of exercise. For example, impaired matching between oxygen delivery and consumption and resultant fatigue could be evaluated to determine its influence on COPD patient's ability to perform activities of daily living such as dressing or bathing.⁶⁵ Moreover, the ability of NIRS to detect changes in cerebral oxygenation in COPD patients becomes relevant when assessing cognitive health. As lower cerebral O_2Hb may be associated with reduction in cognitive

performance, there is a need to evaluate ways that may enhance or at least maintain cerebral oxygenation.⁶⁶

Conclusion

COPD patients experience faster quadriceps oxygen desaturation at lower workloads during cycling exercise compared to healthy controls. Faster dynamics of oxygen extraction together with diminished oxygen delivery may play a role in exercise intolerance. Although studies show that cerebral oxygenation increases during exercise in patients with COPD, the coexistence of heart failure may diminish this response. NIRS appears to be a promising modality for assessment of prefrontal cortical neural activity and peripheral oxygen extraction during cycling and potentially for other physical activities in COPD patients.

Authors' note

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Supplemental material

Supplemental material for this article is available online.

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