

# Treatment response and disease progression

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This tutorial defines the concepts of disease progression in the context of clinical pharmacology. Disease progression describes the natural history of disease, such as pain, or biomarker of drug response, such as blood pressure. The action of a drug, such as inhibiting an enzyme or activating a receptor, leads to a change in disease status over time. Two main types of drug response can be defined based on the pattern of the time course of disease status. The most common is a symptomatic effect equivalent to a shift up or down of the natural history curve. Less common but quite clinically important is a disease-modifying effect equivalent to a change in the rate of disease progression.

## Objectives

- 1) Understand the concept of disease progression.
- 2) Appreciate the difference between a symptomatic drug response and a disease-modifying drug response.
- 3) Learn examples of symptomatic and disease-modifying effects.
- 4) Know how to distinguish placebo and nocebo responses.

## The components of a model for disease progress

Clinical pharmacology can be described as the science of understanding disease progression (clinical) and drug action (pharmacology). Disease progression implies that the disease changes with time. Drug action refers to the time course of drug effect and includes pharmacokinetics, pharmacodynamics, and a link model to account for delays in effect and clinical response

in relation to drug concentration.[1]

Clinical pharmacology is not a static description of the use of a drug but includes the time course of the disease, drug concentration, and response (Figure 1). This figure expresses these ideas in a mathematical form. The progression of the disease is summed with drug action to describe the clinical pharmacology. Note that drug action encompasses the action of the drug, usually at a receptor, the subsequent effect following receptor activation, and the observable clinical response. Both disease progression and drug action have their own time course.[2-4]

The figure is colour coded to show that disease (red) is usually bad, drug (green) is usually good and clinical pharmacology (gold) is the light that illuminates and leads to understanding of the interaction between disease and drug.

Disease status may be defined in terms of clinical outcomes such as survival and symptoms or in terms of a biomarker. Biomarkers are also known as clinical signs when used by clinicians as diagnostic or prognostic variables. Symptoms are measures of what a patient feels or how they function, which are used to describe the clinical outcome. Survival is another kind of patient outcome. While this term is usually used to refer to being still alive it may also be used to describe the time to other events than death, such as the time to myocardial infarction, stroke, or epileptic seizure.

A symbol to describe disease progress is 'S,' i.e., the disease status. Disease status is expected to vary with time, S(t) (Equation 1). The components of disease status are the baseline, the natural history over time, the response to an active drug treatment and the response to a control treatment (usually inactive).

$$S(t) = \text{Baseline} + \text{Natural History} + \text{Treatment} + \text{Inactive Response}$$

Equation 1

Clinical Pharmacology

=

Disease Progress + Drug Action

**Figure 1.** Clinical pharmacology is the sum of disease progress and drug action.

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## Reviewer

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The time course of the natural history of disease progression based on bone mineral density is shown in Figure 2. The two curves show bone density relative to the maximum in men which occurs around 30 years of age. Bone density increases from birth and reaches a peak around the same time in men and women. It decreases in both sexes but more rapidly in women following the menopause. This is thought to be due to a drop in oestrogen and consequent increased rate of bone loss. The curves are drawn as a series of line segments but this should not be interpreted to mean that disease progression is linear. Indeed, if bone density in women were to be extrapolated using the line segment from 45 to 60 y, the density would become zero around 65 y and then negative at 70 y! Biology is usually curved, and straight lines should be avoided for extrapolation. Note that the curve for women has a final segment which is slower than in men which leads to a more plausible extrapolation at 100 y.

The graph appeared on the reverse of a packet of Swiss muesli where it was used to support advertising of muesli with cal-

cium and vitamin D. The marketing concept was to suggest that eating these 3 ingredients would strengthen bone density. The implied health claim was that this would reduce the risk of bone fractures, especially in post-menopausal women. Dietary supplementation with calcium and vitamin D has some small benefit in improving bone density but little effect in reducing fracture risk and an enhanced risk of cardiovascular disease.[5]

The simplest model to describe changing disease status with time is linear. In general, if the change is relatively small in relation to the time scale of observation, then any disease progress curve will be reasonably described by a linear function.

An example of linear disease progression is shown in Figure 3. The disease status curve,  $S(t)$ , decreases linearly from a baseline,  $S_0$ , with slope  $\alpha$ , as a function of time,  $t$ . The change is not large (25%) over 52 time units (e.g., weeks), so a linear model is reasonable for description over this period.

With any disease progress model, it is possible to imagine a drug action that is equivalent to a change in the baseline parameter of the model. This kind of effect on disease produces a temporary offset. When treatment is stopped the response to the drug washes out and the status returns to the baseline. In many cases it is reasonable to suppose that the processes governing a delay in onset of drug effect will also affect the loss of effect. The offset effects of levodopa treatment in Parkinson's disease are one exception to this assumption [6] because they are more rapid (weeks) compared with onset (years).[7]

The effect of drug treatment on disease progression is shown in Figure 4. The natural history progression line (blue) is shown for reference. The drug effect is delayed in onset but reaches a constant offset from the natural history line around 12 weeks. Drug treatment is stopped at 40 weeks and the drug effect washes out and eventually rejoins the natural history line. There are two hallmarks of this pattern that indicate a symptomatic drug response. The first is the slope of the line is the same in both the natural history curve and the drug treatment curve once the delay in onset of effect has reached equilibrium. The second is

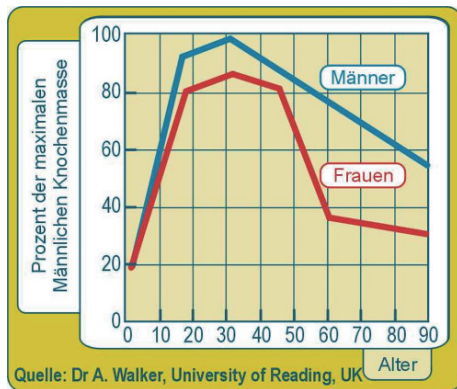


Figure 2. Disease progression across the human life span. This graph shows the change in bone mineral density (Knochenmasse) in men (Männer) and women (Frauen) from age (Alter) 0 to 90 years.

$$S(t) = S_0 + \alpha \cdot t$$

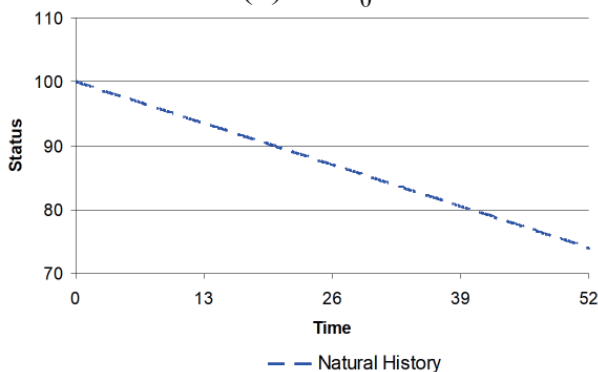


Figure 3. Linear natural history model of disease progression.

$$S(t) = (S_0 + E(t)) + \alpha \cdot t$$

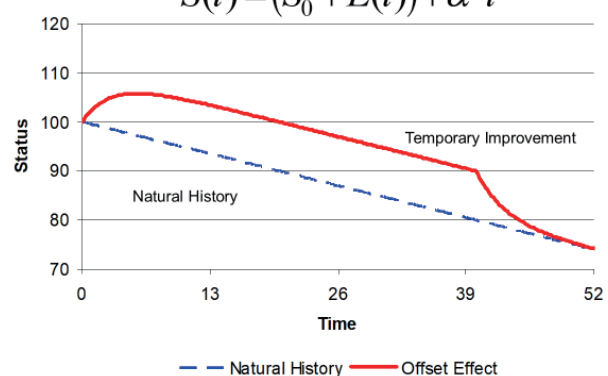
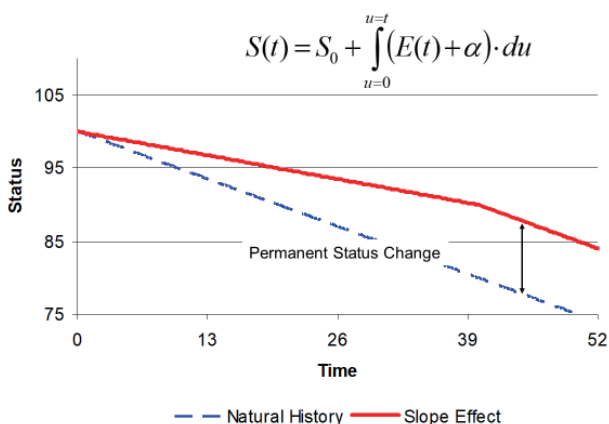


Figure 4. Linear natural history model of disease progression with a symptomatic offset drug effect.

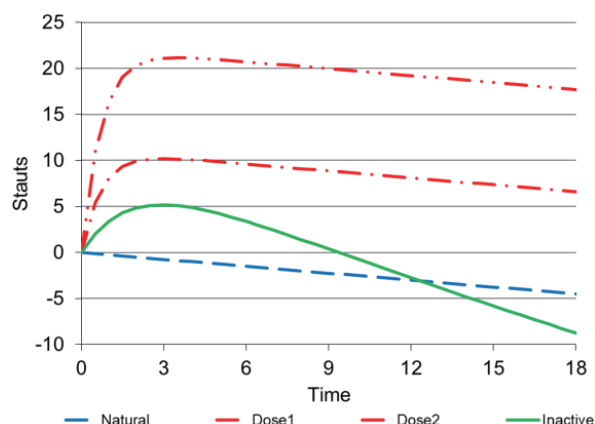


**Figure 5.** Linear natural history model of disease progression with a disease-modifying drug effect.

the washout of drug effect which eventually joins the natural history line and shows the improvement in disease status is just temporary without any lasting modification. The mathematical model for disease status is equivalent to a drug effect on the baseline with no effect on the underlying rate of progression.

The second type of drug effect on disease progression is to modify the rate of progress. This is illustrated in Figure 5. In this example, there is no obvious delay in the onset of the drug effect, but the slope of the disease status curve is reduced compared with the natural history curve. When treatment is stopped at 40 weeks, the disease resumes its original rate but remains parallel to the natural history curve. The change in the rate of progression is the hallmark of a disease-modifying effect. The model for disease progression is more complicated because the slope changes with the time course of drug effect,  $E(t)$ . This requires the integration of the rate of disease progression in order to describe the time course of disease status.

The final component of a disease progress model (Equation 1) – the response to an inactive (control) treatment – is shown in Figure 6. A linear natural progression line is shown for reference. The response to two different drug doses shows a slow delayed onset of effect with a similar final rate to the natural progression. The response to inactive treatment shows an initial placebo response (same direction as improvement in disease status as that produced by active treatment), but later the response drops below the expected natural progression suggesting a nocebo response. This biphasic response can be understood in terms of an initial positive expectation of response in this double-blind controlled trial. But as time passes, the patient becomes aware that there is no real improvement and feels worse than if no treatment had been given. This pattern of response to active and inactive treatment has been observed in a study of two dose rates of prednisone in young boys with Duchenne muscular dystrophy.[8]



**Figure 6.** Linear natural history (blue) with delayed offset drug response at 2 active doses (red) with early placebo and late nocebo response (green).

## Clinical applications

The distinction between symptomatic and disease-modifying response to treatment is important, especially in long term degenerative diseases like Alzheimer's disease, Parkinson's disease, chronic obstructive airway disease. To date, there are no effective disease-modifying treatments for Alzheimer's disease. The pattern of response shown in Figure 4 is typical of the response to treatment. After a delay in onset of response, the progress of the disease remains the same as the natural history indicating the benefit is symptomatic, and after a brief period of benefit, the disease progresses and is no better than at baseline. [9-11] On the other hand, the treatment of Parkinson's disease with deprenyl slows the rate of progression.[7] This disease-modifying effect was subsequently confirmed and shown to be enhanced by co-treatment with levodopa.[6,12] A major effort was made to determine if tiotropium, a muscarinic antagonist bronchodilator, would modify the progression of chronic obstructive airway disease, but the results showed only a symptomatic benefit, which was less than the symptomatic benefit of a beta-agonist bronchodilator, salbutamol.[13]

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## Conflict of interest

- Authors: The author has no conflict of interest.
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