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Molecular Epidemiology and Mechanisms of 43 Low-Level Linezolid-Resistant *Enterococcus faecalis* Strains in Chongqing, China

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Background: *Enterococcus faecalis* strains with low-level resistance to linezolid (an oxazolidinone antibiotic) have become common. No large-scale study has examined the underlying mechanisms in linezolid-resistant *E. faecalis* (LRE) strains. We investigated these mechanisms and molecular characteristics in Chongqing, China.

Methods: A total of 1,120 non-duplicated *E. faecalis* strains collected from August 2014 to June 2017 underwent drug susceptibility testing. LRE strains were screened for *optrA*, *cfr*, and mutations in the 23S rRNA and ribosomal proteins L3 and L4 by PCR amplification and sequencing. Multi-locus sequence typing (MLST) and pulsed-field gel electrophoresis (PFGE) were used for epidemiological analysis.

Results: All 43 low-level LRE strains (minimum inhibitory concentration: 8–16 mg/L) harbored *optrA; cfr* and 23S rRNA mutations were not detected. Novel mutations in the ribosomal proteins L3 and L4—one deletion (Q103del) and four substitutions (S113L, T35A, I98V, and N79D)—were identified. Novel amino acid substitutions at positions E60K, G197D, and T285P of the OptrA protein were observed. MLST revealed 20 types of LRE strains; the most common type was ST16 (32.6%). PFGE showed 14 strains of ST16 with unique banding patterns. Eight novel sequence types (ST823 to ST830) and one allele (*gki*95) were identified for the first time in China.

Conclusions: *optrA* plays an important role in linezolid resistance and may serve as a marker for resistance screening. Since the L3 and L4 mutations did not simultaneously occur in the same strain, they play a negligible role in linezolid resistance. Epidemiological investigation suggested that the LRE cases were sporadic.

Key Words: Linezolid, Resistance, Mechanism, Enterococcus, Epidemiology

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INTRODUCTION

Linezolid is the main oxazolidinone antibiotic in clinical use for treating serious infections caused by multidrug-resistant grampositive organisms [1]. It inhibits bacterial growth by overlapping binding sites at the ribosomal peptidyl transferase center and preventing the formation of the initiation complex necessary for protein synthesis [1]. Point mutations in the 23S ribosomal RNA, the target site of linezolid, are the most prevalent mechanism in linezolid-resistant *Enterococcus faecalis* (LRE) isolates [2]. The main types of mutations include G2576T, G2447U, and G2504A [3, 4]. Alterations in the conserved domains of ribosomal proteins L3 and L4, encoded by *rplC* and *rplD*, respectively, have been reported to be a less important mechanism associated with linezolid resistance (minimum inhibitory concentrations [MICs] of 4–8 mg/L) in enterococci [5].

Recently, a highly effective and transferable form of resistance related to the RNA methyltransferase chloramphenicol/florfenicol resistance (*cfr*) gene has been associated with linezolid resistance in *Enterococcus* isolates [6]. A second transferable resistance gene, *optrA*, from *E. faecalis* E349, described as a plasmid-borne ATP-binding cassette (ABC) transporter, has also been identified as a mediator of novel efflux-mediated mechanism of oxazolidinone and phenicol resistance [7]. This ABCtype transporter mediates resistance to oxazolidinones (linezolid and tedizolid) and amide alcohols (chloramphenicol and florfenicol) through ribosomal protection and could be readily transferred among enterococci [7-9].

However, these mechanisms cannot explicitly explain some non-susceptible or low-level linezolid-resistant enterococci strains (MICs of 4–16 mg/L) identified in various antimicrobial resistance surveillance programs [5, 10-12]. At present, there is no consensus on the definition of low-level linezolid-resistance in *Enterococcus* spp., while an MIC range of 8–16 mg/L has been recommended [13]. A high level of drug resistance is initially defined as MIC>64 mg/L [13]. Low-level resistance may serve as a stepping-stone for developing higher levels of resistance, which may lead to the evolution of hospital "superbugs" [14].

We investigated the molecular characteristics and mechanisms of low-level LRE isolates from the First Affiliated Hospital of Chongqing Medical University in Chongqing, southwest China.

METHODS

Bacterial strain collection and antimicrobial susceptibility testing

A total of 1,120 non-duplicated E. faecalis strains were retrospectively obtained in the First Affiliated Hospital of Chongqing Medical University from August 2014 to June 2017. The strains were from different samples, such as urine, secretions, blood drainage tubes, seroperitoneum, purulence, bile, and puncture fluid, and were initially identified using the VITEK2 compact and API systems (BioMérieux, Lyon, France). Antimicrobial susceptibility to linezolid, clindamycin, dalfopristin, tetracycline, erythromycin, ciprofloxacin, moxifloxacin, levofloxacin, vancomycin, ampicillin, penicillin, tigecycline, streptomycin, and gentamicin was determined using AST-GP67 cards (BioMérieux). Results were interpreted according to the CLSI guidelines [15]. The MICs of the LRE strains screened as described above were confirmed using the broth microdilution method, following the CLSI guidelines [16]. The MIC used in this study was 8–16 mg/L. The reference strain E. faecalis ATCC 29212 served as a quality control



for the broth microdilution method. Since the experiment did not involve animals, it did not require the approval of the Institutional Review Board.

Amplification of resistance genes and sequencing

The genomic DNA of each LRE isolate was extracted using the HiPure Bacterial DNA Kit (Magen, Guangzhou, China), according to the manufacturer's instructions and stored at -20°C until use. The possible mechanisms of linezolid resistance, were screened by PCR using previously described primers and conditions: the 23S rRNA domain [17], ribosomal protein (L3 and L4) domain [6], the methyltransferase gene *cfr* [18], and ABC-type transporter gene *optrA* [7]. All positive PCR products were sequenced and blasted against the wild-type sequences from *E. faecalis* ATCC 29212 (GenBank Accession No. CP008816.1) and the complete *optrA* gene sequence from plasmid pE349 (GenBank Accession No. NG_048023.1). Nucleotide and deduced amino acid (AA) sequences were aligned using the multiple alignment algorithm in the MegAlign package (version 7.1.0; DNASTAR, Madison, WI) with Clustal W [19].

Molecular epidemiology investigation

Multi-locus sequence typing (MLST) was performed by amplifying seven relatively conserved *E. faecalis* housekeeping genes (*gdh, gyd, pstS, gki, aroE, xpt,* and *yqiL*) [20] according to the database methodology and guidelines available at http://pubmlst. org/efaecalis/ [20]. For a more detailed analysis of the different phylogeny, we used PHYLOViZ to generate goeBURST diagrams of the typed isolates. The clonal relatedness between sequence types (STs) was analyzed by PHYLOViZ against the entire *E. faecalis* database (http://www.phyloviz.net) [21]. All identified novel STs and alleles were submitted to the PubMLST database. In addition, strains with the most common ST were subjected to further pulsed-field gel electrophoresis (PFGE) analysis, as previously described [18], which allows for more comprehensive evolutionary comparisons.

RESULTS

Antimicrobial susceptibility testing and clinical information

Among the 1,120 strains of *Enterococcus faecalis*, resistance was observed against linezolid (3.8%), clindamycin (3.8%), dalfopristin (3.8%), tetracycline (3.6%), erythromycin (3.5%), ciprofloxacin (2.3%), moxifloxacin (1.9%), and levofloxacin (2.2%). All strains were susceptible to vancomycin, ampicillin, penicillin, and tigecycline. Further, 2.1% and 2.3% exhibited high-level

Table 1. General characteristics and resistance mechanisms of 43 low-level linezolid-resistant Enterococcus faecalis isolates

No. Case	Date	Comorbidities	Samples	Wards	Linezolid	ST	Genetic resistance phenotype [#]		
					MIC (mg/L)		L3	L4	OptrA
1*	2014.9.13	Colon neoplasms	Seroperitoneum	Gastrointestinal surgery ICU	16	828	WT	Q103del	E60K
2*	2014.10.27	Upper gastrointestinal bleeding	Urine	ICU	16	16	WT	Q103del	E60K
3*	2014.10.28	Type 2 diabetes with multiple complications	Urine	Endocrinology	16	16	WT	Q103del	+
4*	2014.10.29	Varicose veins of lower limb with ulcer	Ulcer tissue	Vascular surgery	16	826	S113L	WT	T285P
5*	2015.3.28	Cerebral infarction	Urine	Neurology	16	16	WT	Q103del	+
6*	2015.4.3	Cervical cancer	Drainage tube	Gynecology	16	386	WT	198V	+
7*	2015.4.22	Cerebral infarction	Urine	Neurology	16	714	WT	T35A	+
8	2015.6.25	Ectopic pregnancy	Puncture fluid	Obstetrics	16	16	WT	Q103del	+
9*	2015.7.25	Hydronephrosis	Urine	Urology Surgery	16	480	WT	WT	+
10*	2015.8.2	Rectal cancer	Secretion	Gastrointestinal surgery	16	480	WT	WT	+
11*	2015.8.20	Hemoptysis	Urine	ICU	8	585	WT	WT	+
12*	2015.8.24	Bladder tumor	Urine	Urology Surgery	16	16	WT	Q103del	+
13*	2015.10.4	Deep venous thrombosis	Urine	ICU	16	16	WT	Q103del	+
14*	2015.11.13	Skin infections	Secretion	Dermatology	16	825	WT	Q103del	T285P
15*	2015.11.17	Cerebrovascular disease	Blood	Neurology	16	16	WT	Q103del	+
16*	2015.12.18	Gastrointestinal perforation	Seroperitoneum	ICU	16	69	WT	T35A	T285P
17*	2015.12.20	Rectal cancer	Bile	Gastrointestinal surgery	8	826	WT	WT	G197D
18*	2015.12.26	Cervical cancer	Drainage tube	Gynecology	16	823	WT	N79D	E60K
19*	2015.12.27	Rectal cancer	Seroperitoneum	Gastrointestinal surgery	16	631	S113L	WT	+
20	2015.12.29	Bone exposed	Secretion	Orthopedics	16	631	S113L	WT	+
21*	2016.1.16	Cervical cancer	Urine	Gynecology	16	16	WT	Q103del	+
22*	2016.2.16	Intraspinal occupying lesion	Urine	Neurosurgery	8	16	WT	Q103del	+
23*	2016.3.7	Cervical intra-epithelial neoplasia	Drainage tube	Gynecology	8	632	WT	T35A	E60K
24*	2016.5.21	Cervical cancer	Secretion	Gynecology	16	824	WT	T35A	T285P
25*	2016.6.8	Urinary tract infection	Urine	Gynecology	16	116	WT	WT	+
26*	2016.6.18	Chest tightness	Secretion	Gastrointestinal surgery	16	330	WT	WT	+
27	2016.7.25	Appendicitis	Drainage tube	Hepatobiliary Surgery	8	16	WT	Q103del	+
28*	2016.7.26	Drug poisoning	Urine	ICU	16	16	WT	Q103del	+
29*	2016.8.22	Sigmoid colon carcinoma	Seroperitoneum	Gastrointestinal surgery	16	480	WT	Q103del	T285P
30	2016.8.22	Hepatolithiasis	Secretion	Hepatobiliary Surgery	16	830	WT	Q103del	+
31*	2016.8.28	Prostatic hyperplasia	Urine	Urology Surgery	8	632	WT	T35A	T285P
32	2016.9.3	Prostate cancer	Urine	Geriatrics	16	69	WT	T35A	T285P
33*	2016.10.22	Urinary tract infection	Urine	Urology Surgery	16	16	WT	Q103del	+
34*	2016.11.14	Chronic renal failure	Urine	Nephrology	16	16	WT	Q103del	+
35*	2016.11.21	Pelvic mass	Drainage tube	Gynecology	8	69	WT	T35A	T285P
36*	2016.11.24	Rectal cancer	Seroperitoneum	Gastrointestinal surgery	16	823	WT	N79D	E60K
37	2016.12.18	Gastric retention	Purulence	Gastrointestinal surgery	8	618	WT	WT	G197D
38*	2017.1.1	Rectal cancer	Drainage tube	Gastrointestinal surgery	8	69	WT	T35A	T285P
39	2017.3.31	Cholelithiasis with cholecystitis	Purulence	Hepatobiliary Surgery	8	829	WT	198V	T285P
40*	2017.4.1	Belly-ache	Urine	Geriatrics	16	585	WT	WT	+
41	2017.4.12	Colon cancer	Secretion	Gastrointestinal Surgery	16	376	S113L	WT	E60K
42*	2017.4.14	Uterine cancer	Drainage tube	Gynecology	8	632	WT	T35A	T285P
43*	2017.5.13	Cervical cancer	Urine	Gynecology	16	16	WT	Q103del	+

*Multi-drug resistant phenotype; #23S rRNA mutations and *cfr* gene were not detected.

Abbreviations: ICU, intensive care unit; MIC, minimum inhibitory concentration; ST, sequence type; rRNA, ribosomal RNA; WT, wild-type; T, Thr; A, Ala; P, Pro; I, Ile; V, Val; Q, Gln; S, Ser; L, Leu; E, Glu; K, Lys; N, Asn; D, Asp; G, Gly.



resistance to streptomycin and gentamicin, respectively. In total, 43 LRE strains (all exhibiting low-level resistance) were isolated with MICs of 8–16 mg/L, and 35 of these strains showed a multidrug-resistant phenotype (non-susceptibility to at least one agent in three antimicrobial categories) [22] (Table 1).

Resistance genes and sequencing results

All 43 LRE strains were positive for the *optrA* gene. Mutations in domain V of the 23S rRNA genes as well as the presence of the methyltransferase *cfr* gene were not identified in any of the strains. Comparison of the OptrA amino acid sequences of the 43 LRE

Table 2. OptrA protein polymorphisms detected in 43 optrA-positive linezolid-resistant Enter
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OptrA variant	Mutations compared with (first-time reported) OptrAE349 from <i>E. faecalis</i> E349	Isolates (N)	Reference(s)
OptrAE349	No mutations	24	This study
D	G197D	2	This study
К	E60K	6	This study
Р	T285P	11	This study
DD	Y176D, G393D	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
DK	Y176D, E256K	-	Cui <i>et al.</i> [32]
DP	Y176D, T481P	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
ED	K3E, Y176D	-	Cui <i>et al.</i> [32]
EDD	K3E, Y176D, G393D	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
EDM	K3E, Y176D, I622M	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
EDP	K3E, Y176D, T481P	-	Cai <i>et al.</i> [27]
EYDNDM	K3E, N12Y, Y176D, D247N, G393D, I622M	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
KD	T112K, Y176D	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]
KDP	T112K, Y176D, T481P	-	Cui <i>et al.</i> [32]
RDK	I104R, Y176D, E256K	-	Cai <i>et al.</i> [27], Cui <i>et al.</i> [32]



Fig. 1. Epidemiology analysis of low-level linezolid-resistant *Enterococcus faecalis* isolates by MLST and PFGE. (A) GoeBURST diagram for the MLST data set of 43 linezolid-resistant *E. faecalis* isolates. The eBURST diagram was calculated using PHYLOViZ with the goeBURST algorithm; it contains 20 unique STs for a total of 43 isolates in the database. Each circle represents one ST and the size represents the number of strains in each ST. (B) PFGE fingerprinting dendrogram and PFGE pattern of 14 linezolid-resistant ST16 *E. faecalis* strains Abbreviations: ST, sequence type; MLST, multi-locus sequence typing; PFGE, pulsed field gel electrophoresis.

strains with that of the original OptrA from *E. faecalis* E349 (designated as the wild-type) revealed that 19 strains contained three novel point mutations at positions E60K, G197D, and T285P. A novel mutation in the *rplC* gene was observed in four LRE strains; the C333T substitution resulted in an S113L change in the L3 deduced amino acid sequence. Mutation analysis of the L4 protein from 31 LRE strains identified the T35A, I98V, and N79D novel substitutions and the Q103 novel deletion (Tables 1 and 2).

Molecular epidemiology analysis

MLST showed that the 43 LRE strains could be classified into different STs (See Supplemental Data Table S1). Nine LRE strains exhibited eight novel *E. faecalis* sequence types (ST823 to ST830), and a new gki95 allele was also observed (and submitted to the PubMLST database) for the first time in China. GoeBURST analysis illustrated that none of the 43 strains exhibited clonal aggregation based on the available global datasets, suggesting that the infected cases presented were sporadic. Although MLST indicated that Strains 2, 3, 5, 8, 12, 13, 15, 21, 22, 27, 28, 33, 34, and 43 were all ST16, PFGE showed that they were of different types with 14 unique banding patterns (Lanes 1–14 represent the above-mentioned strains, respectively), indicating that MLST has a lower discriminatory ability than PFGE (Fig. 1).

DISCUSSION

Mutations in the central loop of domain V of the 23S rRNA gene have been considered the main mechanism of linezolid resistance among different clinical enterococci strains [2]. Since the first description of *cfr*-mediated linezolid resistance in an *E. faecalis* isolate (ST16) of human origin [6], few similar cases have been reported [7, 18, 23, 24]. Our results did not reveal any 23S rRNA mutation sites; the presence of *cfr* seems to account for the high-level linezolid resistance by altering the drug target in linezolid-resistant strains [25, 26]. However, systematic surveillance showed that low-level LRE did not possess any known resistance together with the *optrA* gene [7, 27].

The *optrA* gene was recently identified in low-level LRE strains lacking the *cfr* gene or mutations in the 23S ribosomal RNA and ribosomal protein L3 [28-30]. Previously, we conducted a transcriptome analysis of LRE strains compared with a linezolid-susceptible strain; the results showed that the *optrA* gene was upregulated in the LRE strains [31]. We have now presented several lines of evidence of a low-level linezolid resistance mecha-

nism involving the *optrA* gene. Our data showed that all LRE strains harbored the *optrA* gene alone or in combination with mutations in ribosomal proteins L3 and L4. Several *optrA* gene sequence variants have been previously identified in isolates with the efflux phenotype, including the DK, ED, and KDP variants [27, 32, 33]. In our study, the 43 LRE strains exhibited novel amino acid substitutions at positions of E60K, G197D, and T285P compared with *E. faecalis* E349. These mutation sites have been previously reported in China as those likely to elevate linezolid MICs in *E. faecalis* [27, 32]. Two important questions remaining are whether ABC transporter inhibitors are effective against *optrA*-positive strains and whether the presence of *optrA* can be verified by observing changes in MICs.

Another mechanism underlying linezolid resistance involves mutations in ribosomal proteins L3 and L4 [5, 34-36]. Of the 43 LRE strains, 35 had mutations compared with *E. faecalis* ATCC-29212. Although these sequence changes resulted in amino acid changes, there were no differences in linezolid MICs among the 43 LRE strains. Previous studies have demonstrated the presence of mutations (N158S, F101L) in the L4 protein in linezolid-susceptible isolates, suggesting that some mutations may not be strongly associated with linezolid resistance [4, 32, 36]. Since these mutations did not simultaneously occur in the same strain, they appear to play a negligible role in linezolid resistance. In addition, the possibility that several mechanisms contribute to decreasing linezolid sensitivity cannot be excluded.

MLST analysis revealed that the 43 LRE strains belonged to 20 STs, and ST16 was the most common type (14/43). The epidemiological investigation showed no clonal complex correlation between these isolates, indicating that the cases in our hospital were sporadic rather an outbreak. Furthermore, MLST demonstrated eight novel STs (ST823 to ST830) and one allele (*gki*95) identified for the first time in China. These low-level LRE isolates could not be attributed to clonal dissemination, as determined by MLST and PFGE analysis. Interestingly, isolates with differently related PFGE patterns had the same ST (ST16). This indicates that PFGE is more discriminatory than MLST for homology analysis of small areas in the short term, such as the examination of hospital or ward isolates.

This study is the first to collect a large number of LRE isolates and to explore the mechanisms underlying low-level linezolid resistance in southwest China. The high prevalence of the *optrA* gene in LRE strains indicates that it may serve as a useful marker for linezolid resistance screening. Further studies are required to determine the relationship between *optrA* variants and linezolid resistance and the transmission mechanism. Furthermore,



routine and persistent screening for *optrA* gene risk factors associated with the rapid occurrence and dissemination of linezolid resistance is urgently required.

Authors' Disclosures of Potential Conflicts of Interest

No potential conflicts of interest relevant to this article were reported.

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