



# Case Report: Toripalimab Combined With Anlotinib in a Patient With Metastatic Upper Tract Urothelial Carcinoma After Pembrolizumab Failure

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Urothelial carcinoma is the most common primary upper tract urinary carcinoma. If surgery, chemotherapy, and immunotherapy fail, the prognosis for upper tract urinary carcinoma is extremely poor. Immunotherapy combined with antiangiogenesis therapy is a new therapeutic regimen with a synergistic antitumor effect. We present a case of metastatic upper tract urinary carcinoma in which the patient underwent surgery and treatment with gemcitabine combined with platinum-based chemotherapy. Radiotherapy and second-line immunotherapy (pembrolizumab) were administered after the cancer had progressed to the left lymph node of the abdominal aorta in the umbilical plane. However, the patient developed liver metastases while being treated with pembrolizumab. He was administered off-label immunotherapy (toripalimab) combined with antiangiogenesis therapy (anlotinib) and achieved a long-term clinical response for over 25 months. Toripalimab combined with anlotinib has potential therapeutic value for locally advanced or metastatic upper tract urinary carcinoma in patients who had previously received platinum-based chemotherapy and had disease progression or after treatment with a PD-1 inhibitor.

**Keywords:** upper tract urothelial carcinoma, immunotherapy, antiangiogenesis therapy, toripalimab, anlotinib, immune checkpoint inhibitor, PD-1

## INTRODUCTION

Urothelial carcinoma is the most common type of primary upper tract urinary carcinoma (UTUC). The first option for UTUC is surgery. For advanced and metastatic UTUC, platinum-based chemotherapy is the preferred treatment. However, the median overall survival (OS) is only 12.5–15.5 months, and almost all patients experience disease progression (1). Developing new treatment strategies is crucial, especially for advanced and metastatic UTUC. Immunotherapy, particularly pembrolizumab, is the

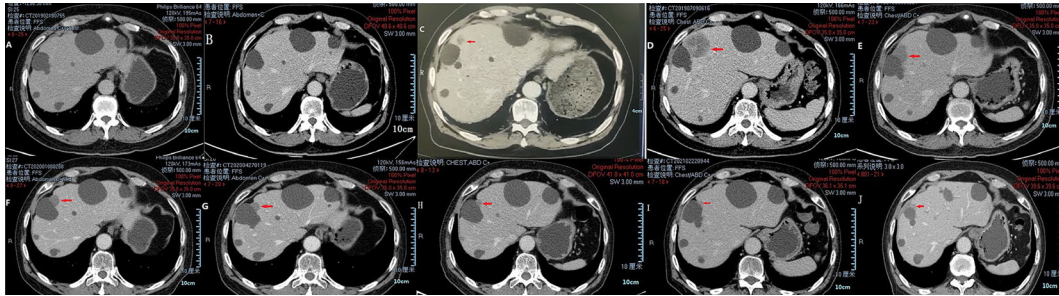
**Abbreviations:** CT, computed tomography; CTCAE, Common Terminology Criteria for Adverse Events; FGFR, fibroblast growth factor receptor; OS, overall survival; PD, progressive disease; RECIST, response evaluation criteria in solid tumors; SD, stable disease; UTUC, upper tract urinary carcinoma; ICI, immune checkpoint inhibitor; IV, intravenous.

main option for second-line treatment following platinum-based chemotherapy. It increased OS by 2.9 months compared to standard paclitaxel, docetaxel, or vinflunine in a randomized, phase III trial (2). A positive objective response rate was also observed in clinical trials involving nivolumab (3), avelumab (4), and atezolizumab (5). Moreover, avelumab administered as maintenance therapy after a platinum-based first-line treatment increased the median OS by 7.1 months compared to the supportive care for advanced or metastatic urothelial carcinoma (6). Once immunotherapy fails, patients who had received platinum-containing chemotherapy and immunotherapy can choose enfortumab vedotin for locally advanced or metastatic urothelial carcinoma (7) or erdafitinib for locally advanced, unresectable, or metastatic urothelial carcinoma with fibroblast growth factor receptor (FGFR) alterations (8). However, there are no definite guidelines for recommending combination immunotherapy regimens after failure of second-line immunotherapy. Using a combination of immunotherapy and antiangiogenic therapy to treat UTUC after second-line immunotherapy failure has not been reported, although this approach has been used in other cancers. For instance, nivolumab combined with cabozantinib is used to treat renal cell carcinoma (9). Cabozantinib also has an immunomodulatory effect in relapsed/refractory metastatic urothelial carcinoma (10). This provides a rationale for combining antiangiogenic and immunotherapeutic treatments. This report presents a case of metastatic UTUC that achieved long-term clinical response after pembrolizumab failure when treated with toripalimab and anlotinib.

## CASE DESCRIPTION

In March 2018, a 71-year-old Chinese male was initially admitted to the West China Hospital of Sichuan University for hypogastralgia, which had lasted 2 months, and remained hospitalized. The patient had no family history of cancer. Computed tomography (CT) scans revealed the possibility of ureteral carcinoma. The lumen of some segments of the left ureter was inhomogeneously dilated. The lumen of multiple segments could not be visualized. Multiple soft tissue density nodules and masses with a large cross-section of about  $3.5 \times 2.1$  cm were observed. The adjacent fat space was blurred. Peripheral lymph nodes were increased and enlarged. The left renal margin and renal pelvis wall were rough. Nodules were seen in the left adrenal gland. The patient underwent a ureteroscopy under general anesthesia on April 24, 2018. The ureteroscope revealed a yellowish-white flocculent neoplasm with a diameter of 4 cm in the left ureter. The surgeon took three specimens using biopsy forceps for examination. Histopathology indicated that the left ureter neoplasm was fibrous tissue hyperplasia with inflammatory cell infiltration. However, a few heterologous cells were found in the superficial mucosa. Immunohistochemical results indicated a high suspicion of urothelial carcinoma, but only a few idioblasts were found in the tissue. The immunohistochemical staining

results were as follows: GATA-3 (+), P63 (+), P53 (+), CD44 (+), CK20 (-), and Ki-67 (+30%). The patient agreed to undergo exploratory surgery to accurately identify the pathology type and receive radical surgery if the surgeon found it possible. On May 3, 2018, the patient underwent surgery, and during exploratory surgery, the surgeon found that a radical operation could be performed. The patient received a radical resection of the left ureteral carcinoma. The surgeon observed that the left ureter had thickened, and the ureteral lumen (with a diameter of 2–4 cm) had disappeared. The lymph nodes were diffusely enlarged and partially fused next to the left common iliac artery, iliac artery bifurcation, and external iliac artery. A lesion (with a volume of  $4 \times 3 \times 2$  cm) in the descending mesocolon near the left renal artery level was found. The postoperative histopathological findings revealed a high-grade invasive urothelial carcinoma with adenoid differentiation and squamous metaplasia. The tumor had also invaded surrounding tissues, including the periureteral adipose tissue, perirenal adipose tissue, and renal parenchyma. A lymph node metastasis at the iliac artery bifurcation and a cancerous nodule in a mesenteric lesion was found. Immunohistochemical staining results were as follows: GATA-3 (+), CK5/6 (+), P63 (+), CK7 (+), CK20 (-), CgA (-), Syn (-), and PDL1 (+; about 70%). The patient was diagnosed with UTUC (stage IV, T4N1M1) based on disease history, symptoms, and examination findings. Beginning in May 2018, the patient was treated with gemcitabine ( $1000 \text{ mg/m}^2$ , intravenous [IV], days 1 and 8) and cisplatin ( $75 \text{ mg/m}^2$ , IV, day 1) every 3 weeks for one cycle. He continued to be treated with gemcitabine ( $1000 \text{ mg/m}^2$ , IV, days 1 and 8) and nedaplatin ( $80 \text{ mg/m}^2$ , IV, day 1) every 3 weeks for one cycle due to a decrease in creatinine clearance. On June 29, 2018, abdominal contrast-enhanced CT revealed a suspiciously thickened inner segment of the ureter bladder wall, a slightly enlarged left lymph node of the abdominal aorta in the umbilical plane was, and a thickened bladder wall. The patient was treated with gemcitabine ( $1000 \text{ mg/m}^2$ , IV, days 1 and 8) and nedaplatin ( $80 \text{ mg/m}^2$ , IV, day 1) every 3 weeks for one cycle. On July 17, 2018, a right ureteroscopy revealed that the right ureter and bladder were normal. The patient continued to be treated with gemcitabine ( $1000 \text{ mg/m}^2$ , IV, days 1 and 8) and nedaplatin ( $80 \text{ mg/m}^2$ , IV, day 1) every 3 weeks for two cycles. On October 25, 2018, abdominal contrast-enhanced CT revealed progressive disease (PD) in the left lymph node of the abdominal aorta in the umbilical plane, according to the response evaluation criteria in solid tumors 1.1 (RECIST1.1). Because there was only one isolated lesion, the patient received intensity-modulated radiation therapy for the lymph node. On December 13, 2018, abdominal contrast-enhanced CT revealed that the lymph node was slightly enlarged. The effective evaluation was stable disease (SD) according to the RECIST1.1. On February 20, 2019, abdominal contrast-enhanced CT revealed PD in the left lymph node and multiple liver cysts (Figure 1A). Compared with the cysts observed on June 29, 2018, the liver cysts persisted and did not change (Figure 1B). The patient received  $I^{125}$  interstitial brachytherapy to control the progression of the lymph node on March 21, 2019, and was treated with



**FIGURE 1** | CT images of liver metastases during treatment of pembrolizumab and toripalimab combined with anlotinib. Multiple liver cysts (A, B). Multiple new lesions in the liver (C). Enlarged new liver lesions (D). Liver lesions controlled via treatment (E–J).

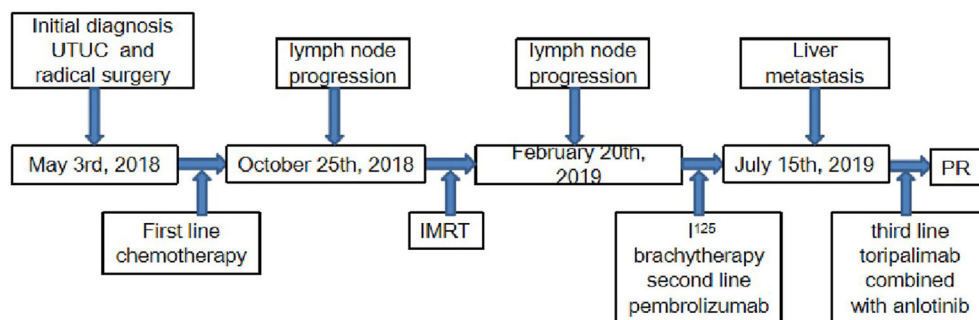
pembrolizumab (200 mg, IV, day 1) every 3 weeks starting on April 6, 2019. The patient had no other obvious adverse drug reactions. On May 13, 2019, contrast-enhanced CT revealed multiple new lesions in the liver (Figure 1C). The patient refused positron emission tomography/computed tomography (PET/CT). The patient was treated with pembrolizumab because the imaging features of the new liver lesions were not typical for tumors. As of July 15, 2019, contrast-enhanced CT revealed the disappearance of the lymph node. Multiple new lesions appeared in the liver (Figure 1D). The imaging features of the new liver lesions were enlarged and typical for tumors. The effective evaluation of the liver lesions was PD according to the RECIST1.1. The patient was administered off-label toripalimab and anlotinib with his consent. The patient was treated with toripalimab (240 mg, IV, day 1) and anlotinib (12 mg, oral, days 1–14) every 3 weeks beginning July 23, 2019. He developed lower limb weakness after the first cycle of toripalimab combined with anlotinib [Common Terminology Criteria for Adverse Events (CTCAE) grade 1]. Symptoms improved after rest. On September 2, 2019, the patient developed herpes zoster after the second cycle of toripalimab combined with anlotinib (CTCAE grade 2), which improved after 2 weeks of treatment with valacyclovir hydrochloride tablets and aciclovir cream. The patient continued to be treated with toripalimab and anlotinib as per the recommended dosage. On October 8, 2019, contrast-enhanced CT revealed significantly reduced liver lesions

(Figure 1E). The effective evaluation was “partial response”, according to RECIST1.1. The patient continued to be treated with toripalimab (240 mg, IV, day 1) and anlotinib (12 mg, oral, day 1–day 14) every 3 weeks. Contrast-enhanced CT revealed that the metastatic liver lesions achieved long-term SD according to RECIST1.1 as of January 10, 2020 (Figure 1F), May 8, 2020 (Figure 1G), September 21, 2020 (Figure 1H), February 25, 2021 (Figure 1I), and June 30, 2021 (Figure 1J). The patient continued treatment with toripalimab (240 mg, IV, day 1) and anlotinib (12 mg, oral, day 1–day 14) every 3 weeks, and the disease has been under control for over 25 months. The timeline of the patient’s treatment is shown in Figure 2.

## DISCUSSION

In recent years, studies have confirmed that immune checkpoint inhibitors (ICIs) show positive efficacy in both second-line and first-line treatments for advanced urothelial carcinoma (11, 12). Although the effect of ICIs is stable and lasting, drug resistance will occur. There are no clear guidelines or recommendations for combination immunotherapy after second-line immunotherapy fails.

Immunotherapy combined with antiangiogenic therapy has a synergistic effect in antitumor therapy (13). The antitumor effects of antiangiogenic therapy and immunotherapy are closely related to the tumor microenvironment (14). Immunotherapy is most effective



**FIGURE 2** | Timeline of the patient’s treatment.

when the inflammatory response is activated in the tumor microenvironment (15). Antiangiogenic drugs can increase the infiltration of lymphocytes into tumors and further reverse the immunosuppressive state of the tumor microenvironment, improving the efficacy of ICIs (16), thereby inhibiting the formation of tumor blood vessels and normalizing the vasculature surrounding the tumor. Vascular normalization improves the antitumor immune response (17) and enhances the initiation and activation of T cells in the presentation of tumor antigen. Tissue hypoperfusion causes immunosuppressive cells to gather in the hypoxic environment and inhibits the activation of immune cells (18–22). Vascular normalization also enhances the tissue perfusion and T cell infiltration of the tumor and formation of an inflammatory immune environment.

The IMpower150 clinical trial demonstrated the synergistic effect of immunotherapy combined with antiangiogenic therapy (13). The combination of pembrolizumab with ramucirumab showed a favorable manageable safety and antitumor activity in patients with urothelial carcinoma (23). Several preclinical experiments and phase I, II, and III clinical trials have shown the antitumor efficacy of immunotherapy combined with antiangiogenic therapy in treating urogenital tumors (24). Pembrolizumab plus lenvatinib therapy in ICI-pretreated patients with renal cell carcinoma showed an objective response rate of 55.8% at 24 weeks; the combination therapy demonstrated positive antitumor activity and manageable safety (25). This result provides a rationale for combining antiangiogenic and immunotherapeutic treatments after immunotherapy failure.

In this case, the patient developed liver metastases during pembrolizumab treatment. He continued with toripalimab and anlotinib treatment and has achieved a long-term clinical response. Toripalimab is a recombinant, humanized PD-1 monoclonal antibody that is well tolerated and has demonstrated promising antitumor activity in urologic cancers (26). Anlotinib is a small-molecule tyrosine kinase inhibitor. Its targets include vascular endothelial growth factor receptors 1, 2, and 3; fibroblast growth factor receptors (FGFR1, FGFR2, FGFR3, and FGFR4); c-Kit; and platelet-derived growth factor receptors  $\alpha$  and  $\beta$ . Furthermore, it can also inhibit tumor angiogenesis and tumor cell proliferation (27–29). FGFR2/3 mutation and fusion are common problems in urothelial

carcinoma patients (30). About 20% of advanced urothelial carcinoma patients and up to 37% of UTUC patients have FGFR mutations (31, 32). FGFRs are also targeted by anlotinib. Unfortunately, the FGFR status of our patient is not known.

In this case, the patient achieved a long-term clinical response when treated with toripalimab combined with anlotinib after pembrolizumab failure. This finding has potential therapeutic value for locally advanced or metastatic UTUC in patients who had previously received platinum-containing chemotherapy and had had disease progression during or after treatment with a PD-1 inhibitor. However, additional studies and clinical trials are needed to establish the value of this approach.

## DATA AVAILABILITY STATEMENT

The datasets for this study can be found in the supplementary material. Further inquiries can be directed to the corresponding author.

## ETHICS STATEMENT

Written informed consent was obtained from the individual(s) for the publication of any potentially identifiable images or data included in this article.

## AUTHOR CONTRIBUTIONS

NZ wrote the paper. XZ collected the case data. LD collected the information. ZL guided article writing. DY prepared the photos. JL and FG proofread the manuscript. All authors contributed to the article and approved the submitted version.

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