

1 Intermediate molecular phenotypes to identify genetic markers of 2 anthracycline-induced cardiotoxicity risk

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75

76 **Running Title**

77 Genetic determinants of CDA.

78

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95 **Abstract**

96 Cardiotoxicity due to anthracyclines (CDA) affects cancer patients, but we cannot predict who may
97 suffer from this complication. CDA is a complex disease whose polygenic component is mainly
98 unidentified. We propose that levels of intermediate molecular phenotypes in the myocardium
99 associated with histopathological damage could explain CDA susceptibility; so that variants of genes
100 encoding these intermediate molecular phenotypes could identify patients susceptible to this
101 complication. A genetically heterogeneous cohort of mice generated by backcrossing (N = 165) was
102 treated with doxorubicin and docetaxel. Cardiac histopathological damage was measured by fibrosis
103 and cardiomyocyte size by an Ariol slide scanner. We determine intramyocardial levels of intermediate
104 molecular phenotypes of CDA associated with histopathological damage and quantitative trait loci
105 (ipQTLs) linked to them. These ipQTLs seem to contribute to the missing heritability of CDA because
106 they improve the heritability explained by QTL directly linked to CDA (cda-QTLs) through genetic
107 models. Genes encoding these molecular subphenotypes were evaluated as genetic markers of CDA in
108 three cancer patient cohorts (N = 517) whose cardiac damage was quantified by echocardiography or
109 Cardiac Magnetic Resonance. Many SNPs associated with CDA were found using genetic models.
110 LASSO multivariate regression identified two risk score models, one for pediatric cancer patients and
111 the other for women with breast cancer. Molecular intermediate phenotypes associated with heart
112 damage can identify genetic markers of CDA risk, thereby allowing a more personalized patient
113 management. A similar strategy could be applied to identify genetic markers of other complex trait
114 diseases.

115

116 **Keywords**

117 Anthracyclines / Cardiotoxicity / Complex genetic disease / Intermediate molecular phenotypes /
118 Quantitative trait loci.

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121

122 **Introduction**

123 Cardiotoxicity due to anthracyclines (CDA) is a frequent problem in cancer patients that limits the
124 efficacy of chemotherapy (Caron and Nohria 2018). Long-term cardiotoxicity has repercussions for
125 oncological disease prognosis (Patnaik et al. 2011) and a far-reaching impact on patients' quality of life
126 (Pein et al. 2004). Anthracyclines produce acute necrosis and apoptosis of cardiomyocytes, leading to
127 myocardial fibrosis and varying degrees of chronic functional damage, and even heart failure
128 (Chatterjee et al. 2010). The degree of chronic CDA depends on many factors, including dose, age,
129 gender, previous heart diseases, and combined treatment with other drugs (Grenier and Lipshultz 1998).
130 Since it is difficult to determine which patients will develop chronic CDA, efforts have been made to
131 identify genetic risk markers. However, current evidence about the role of pharmacogenomic screening
132 in anthracycline therapy is mixed because of the heterogeneity of the results obtained so far (Leong et
133 al. 2017).

134

135 The diversity of the results observed when attempting to identify CDA genetic markers may result from
136 the CDA being a complex polygenic disease or complex trait influenced by multiple genes contributing
137 at systemic, tissue, cellular, and molecular levels (Duan et al. 2007). However, it is difficult to determine
138 how best to measure the genetic influence of complex traits. The proportion of phenotypic variation
139 explained by the genetic component is known as narrow-sense heritability. Though, the genetic variants
140 associated with complex diseases account for only 10-20% of the phenotypic variation attributable to
141 genetics. The genetic variants responsible for the remaining phenotypic variation cannot be identified
142 and are considered missing heritability; identifying its origins remains a contentious matter (Manolio et
143 al. 2009).

144

145 Complex diseases and traits arise from multiple intermediate phenotypes or endophenotypes that
146 participate in their pathogenesis (Blanco-Gomez et al. 2016). Also, intermediate phenotypes may
147 themselves be complex traits. For instance, myocardial infarction is a complex-trait disease,
148 susceptibility to which is determined by intermediate phenotypes such as arterial hypertension,
149 hypercholesterolemia, and the response to tobacco exposure. However, these intermediate phenotypes
150 are also complex traits influenced by lower-ranking intermediate phenotypes located at the systemic,

151 cellular, and molecular levels. Genetic determinants directly act on the intermediate molecular
152 phenotypes implicit in this multidirectional network of intermediate phenotypes (Blanco-Gomez et al.
153 2016). Indeed, a specific protein and RNA would be the simplest intermediate phenotypes, controlled
154 by a few genes (including the coding gene, the genes encoding promoter-regulating transcription
155 factors, and those controlling post-translational activity regulators, such as phosphorylation) (Civelek
156 and Lusic 2014). The variable phenotypic presentation of complex diseases is related to the expressivity
157 of their intermediate phenotypes (Gottesman and Gould 2003; Blanco-Gomez et al. 2016). Thus,
158 different degrees of susceptibility to CDA could result from the variable expression of intermediate
159 molecular phenotypes participating in CDA pathogenesis.

160

161 It was previously proposed that the missing heritability of complex traits could be due to genes that
162 exert their influence at the level of intermediate phenotypes, such as arterial hypertension. However,
163 they would not be powerful enough to be detected at the level of the primary complex phenotype, such
164 as acute myocardial infarction (Castellanos-Martin et al. 2015; Blanco-Gomez et al. 2016), in whose
165 pathogenesis they participate. This possibility is consistent with the heritability being missing because
166 differences between many common variants cannot attain statistical significance in GWAS studies
167 (International Schizophrenia et al. 2009; Yang et al. 2010; Loh et al. 2015; Shi et al. 2016). Therefore,
168 it was hypothesized that genes lacking sufficient strength to be detected at the main trait level (as
169 mediated pleiotropy of intermediate phenotypes) account for a proportion of the missing heritability of
170 this complex trait (Blanco-Gomez et al. 2016).

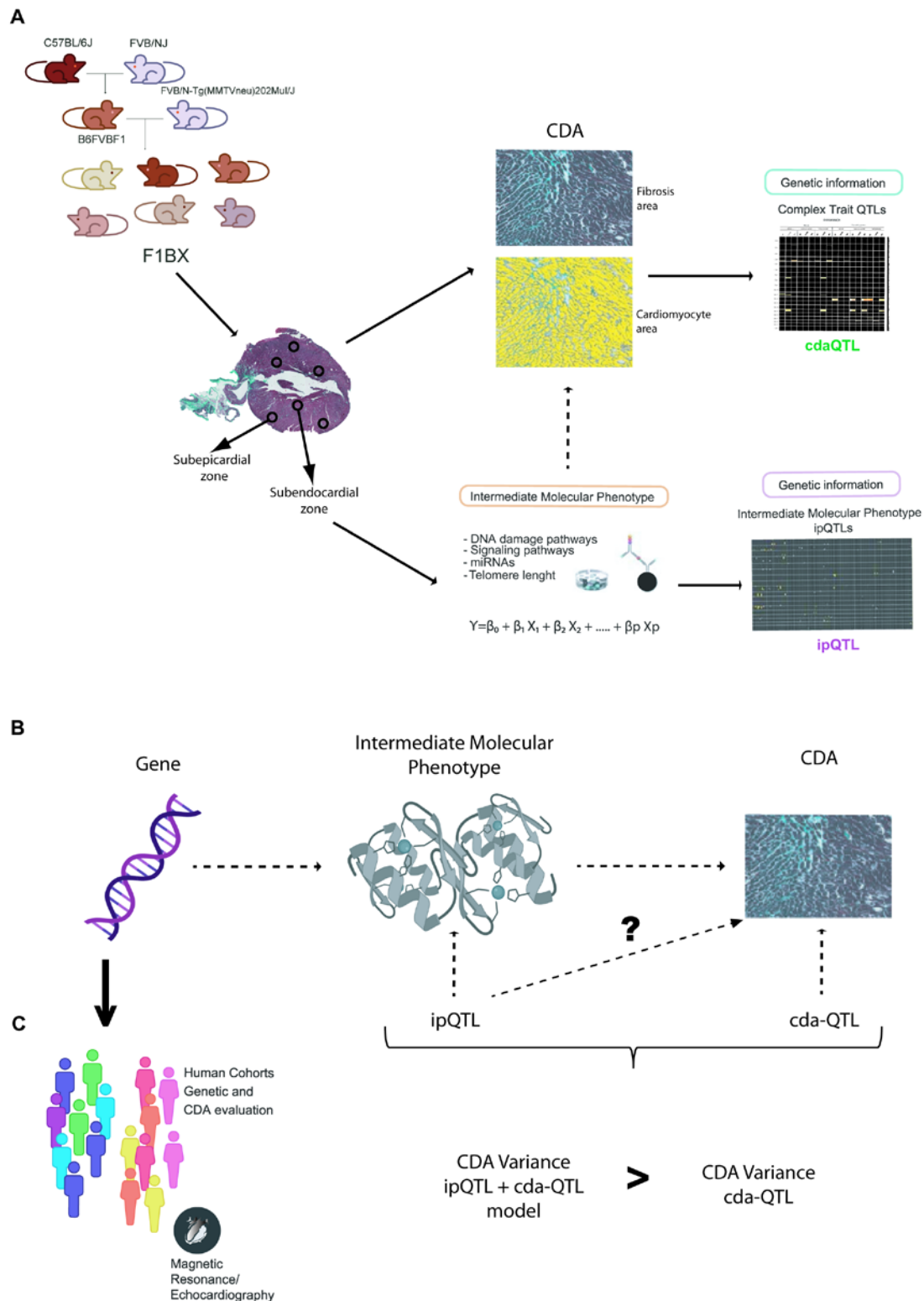
171

172 It is predicted that common small-effect genes affecting a complex trait might be located throughout
173 much of the genome. For example, between 71% and 100% of 1 Mb windows could contribute to the
174 heritability of schizophrenia (Loh et al. 2015), and thousands of eQTLs may control blood gene
175 expression (Vosa et al. 2021). Additionally, mathematical models predict that between 0.1 and 1% of
176 SNPs have a causal effect on most diseases studied (Khera et al. 2018). These observations align with
177 the omnigenic model that purports to explain missing heritability (Boyle et al. 2017; Liu et al. 2019).
178 Thus, attributing missing heritability to the genetic determinants that influence numerous intermediate
179 phenotypes of a complex disease would require thousands of genes acting on its phenotypic variation

180 and susceptibility (Blanco-Gomez et al. 2016). However, it is difficult to identify some of these genetic
181 markers that also would help predict the risk of complex trait diseases. Efforts have been made to
182 develop genome-wide polygenic scores based on thousands of genetic variants (Khera et al. 2018).

183

184 In this work, we propose that genetic determinants linked to intermediate molecular phenotypes of CDA
185 could help quantify susceptibility to this chemotherapy complication. We illustrate the rationale of our
186 study in **Fig. 1**.



187

188 **Figure 1. Scheme of the general approach.** A) This paper studies the different susceptibility to
 189 anthracycline cardiotoxicity (CDA) in a cohort of mice generated by backcrossing. The degree of CDA
 190 was quantified at the histopathological level using an Ariol slide scanner in the subepicardial and
 191 subendocardial zones of the heart. In addition, the levels in the myocardium of different molecules
 192 (intermediate phenotypes) associated with cardiotoxicity were quantified. Subsequently, genetic

193 *regions or quantitative trait loci (QTL) associated with CDA (cdaQTL) and other QTLs associated with*
194 *intramyocardial levels of intermediate molecular phenotypes (ipQTL) were identified. B) We then*
195 *evaluate whether some of the ipQTLs contribute significantly to the phenotypic variation (susceptibility)*
196 *of CDA, despite not being directly linked to it. To do this, we evaluate using genetic models whether the*
197 *phenotypic variation explained by the ipQTL together with the cdaQTL exceeded that explained by the*
198 *cdaQTL alone. If so, the genetic determinant linked to the myocardial levels of this intermediate*
199 *molecular phenotype contributes to CDA susceptibility. C) The genes responsible for ipQTLs are*
200 *unknown; however, in principle, any genetic determinant that influences the levels of these molecules*
201 *associated with cardiotoxicity could contribute to CDA susceptibility. Therefore, allelic forms of the*
202 *encoding genes of these intermediate molecular phenotypes would be candidates to be evaluated in the*
203 *human population.*

204

205 Therefore, we assess the degree of CDA in a cohort of genetically heterogeneous mice generated by
206 backcrossing. To identify intermediate molecular phenotypes of CDA, we quantify the myocardial
207 levels of signaling pathways, microRNAs (miRNAs), and telomere length and evaluate their association
208 with histopathological heart damage after chemotherapy. We then demonstrate that the genetic
209 determinants associated with the levels of some of these intermediate molecular phenotypes in the
210 myocardium contribute to the heritability of CDA. To do so, (i) first, we identify quantitative trait loci
211 (QTLs) linked to the CDA intermediate molecular phenotypes (ipQTLs); (ii) second, we show that
212 ipQTLs integrated into genetic models with a QTL directly linked to myocardial damage (cda-QTL)
213 explain a more significant proportion of the phenotypic variability than does the cda-QTL alone. We
214 conclude that since ipQTLs are not directly linked to CDA, they must contribute to its missing
215 heritability. Thus, genetic determinants influencing the levels of intermediate molecular phenotypes in
216 the myocardium, including the genes encoding the intermediate molecular phenotypes themselves,
217 would contribute to the heritability of CDA. Subsequently, genes encoding intermediate molecular
218 phenotypes of CDA may be markers of susceptibility to this side effect of chemotherapy. We evaluate
219 this possibility in three cohorts of human patients.

220

221 **Results**

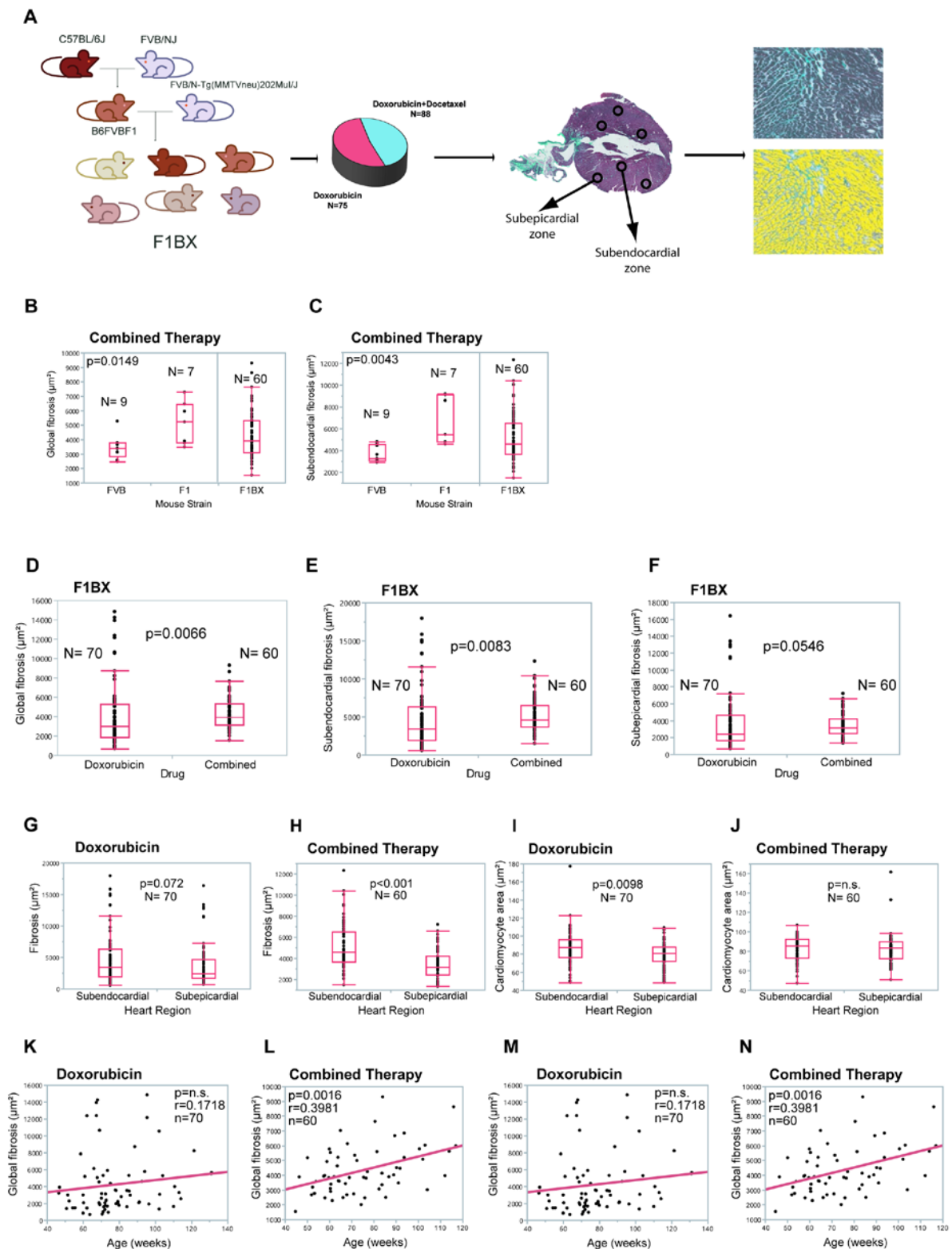
222 **Cardiotoxicity due to anthracyclines behaves as a complex trait in a genetically heterogeneous** 223 **mouse cohort**

224 CDA is a complex trait, and as such, identifying the genetic component in humans that influences CDA
225 susceptibility is a challenging task (Leong et al. 2017). However, crosses between syngeneic mouse
226 strains enable part of the genetic background components, and thereby complex traits, to be identified
227 (Hunter and Crawford 2008; Castellanos-Martin et al. 2015). Therefore, we generated a cohort of mice
228 with a heterogeneous genetic background by backcrossing to identify genetic determinants linked to
229 CDA susceptibility. We crossed MMTV-*ErbB2/Neu* transgenic mice with FVB background with F1
230 non-transgenic mice to generate the backcrossed cohort (hereafter, F1BX). These mice were treated
231 with doxorubicin or combined therapy once they had developed breast cancer. Since doxorubicin and
232 docetaxel are used in human cancer chemotherapy (De Laurentiis et al. 2008), the mice were treated
233 once they had developed breast cancer induced by the MMTV-*ErbB2/Neu* transgene (Guy et al. 1992)
234 (**Fig. 2A**). Previous studies showed that, after anthracycline chemotherapy, a subclinical injury could
235 be detected at the histopathological level in the myocardium even before functional damage had
236 occurred (Billingham et al. 1978; Friedman et al. 1978). Anthracyclines induce the death of
237 cardiomyocytes that are replaced by fibrosis, leading to atrophy of the left ventricle. Also, the atrophy
238 of cardiomyocytes secondary to the toxicity of anthracyclines is described, which can be observed early
239 by CMR (Ferreira de Souza et al. 2018). However, in the long term, there is hypertrophy of the
240 remaining cardiomyocytes due to ventricular remodeling secondary to diastolic overload when heart
241 failure occurs (Goorin et al. 1990; Lipshultz et al. 1991; Piek et al. 2016). Thus variable grades of
242 cardiomyocyte hypertrophy, myocytolysis, and fibrosis are characteristic features of ventricular
243 remodeling associated with anthracycline exposure (Segura et al. 2015). Thus, interstitial fibrosis and
244 cardiomyocyte area modification are phenotypes of pathological cardiac remodeling and chronic CDA
245 (Lipshultz et al. 1991; Piek et al. 2016). We quantified both pathophenotypes in the myocardium after
246 chemotherapy using an Ariol slide-scanner to evaluate the degree of CDA, considering the global,
247 subendocardial, and subepicardial zones of the heart (**Fig. 2A** and **Supplemental_Fig_S1.pdf**.)

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251

252 *Figure 2. Anthracycline-induced cardiotoxicity differs according to genetic background, therapy*

253 *regime, and age. A) Cardiotoxicity due to anthracyclines (CDA) is evaluated in a cohort of mice*

254 *generated by backcrossing. The mice are treated with chemotherapy, and cardiotoxicity is quantified*
255 *at the histopathological level. **B, C**) Comparison of cardiotoxicity between mouse strains of*
256 *homogeneous genetic background. After combined chemotherapy, FVB mice showed less global heart*
257 *fibrosis (**B**) and less subendocardial fibrosis (**C**) than F1 mice. No differences exist between the parental*
258 *strains after chemotherapy with doxorubicin alone (not shown). Note the distribution of heart fibrosis*
259 *as a continuum in F1BX mice (**A, B**). **D-F**) In the genetically heterogeneous cohort of F1BX mice, the*
260 *combined treatment is more cardiotoxic than doxorubicin alone in terms of the degree of global (**D**),*
261 *subendocardial (**E**), and subepicardial (**F**) fibrosis. **G-J**) CDA was higher in the subendocardial zone*
262 *than in the heart's subepicardial location in F1BX1 mice, in fibrosis (**G, H**) and cardiomyocyte area (**I,***
263 ***J**). Mann–Whitney U test. **K, L**) CDA in the whole cohort of F1BX mice based on age and type of*
264 *chemotherapy. Global fibrosis is not correlated with age for the treatment with doxorubicin alone (**K**);*
265 *however, it is positively correlated with age after the combined treatment (**L**), as estimated by the*
266 *Spearman correlation coefficient. **M, N**) Global fibrosis increases with age in old mice treated with*
267 *doxorubicin (**L**) or combined therapy (**M**), as determined by the Spearman correlation coefficient. We*
268 *show only those results that were statistically significant.*

269

270 Initially, we explored and compared heart fibrosis and the cardiomyocyte area between FVB and F1
271 mice. F1 mice treated with combined therapy had significantly higher levels of global ($p = 0.0149$) and
272 subendocardial ($p = 0.0043$) fibrosis than did FVB mice (**Fig. 2B, C**); we did not find more differences
273 between both strains (**Supplemental_Table_S1A.xls**.)

274

275 We evaluated CDA in the F1BX genetically heterogeneous cohort of mice generated by backcrossing.
276 As expected, the observed degree of cardiotoxicity spanned a wider range than seen in the parental
277 strains and was distributed as a continuum throughout the F1BX mice (**Fig. 2B, C**), as is characteristic
278 of complex traits(Mackay 2009). We then compared the CDA after doxorubicin treatment and
279 combined therapy in the F1BX mice. Cardiotoxicity was higher after the combined therapy for global
280 ($p = 0.0066$), subendocardial ($p = 0.0083$), and subepicardial fibrosis ($p = 0.0546$) than when
281 doxorubicin was administered alone (**Fig. 2D-F**); we did not observe differences in the cardiomyocyte
282 area between both regimes of therapy (**Supplemental_Table_S1B.xls**). Globally, cardiotoxicity was

283 more significant in the subendocardial than in the subepicardial area of the heart (**Fig. 2G-J**). As
284 expected, the combined therapy was more cardiotoxic than therapy with doxorubicin alone
285 (**Supplemental_Table_S1C.xls**.)

286 Chronic CDA susceptibility increases with age in humans (Aapro et al. 2011), so we evaluated how
287 heart damage varied with mouse age. Heart fibrosis increased with age after combined therapy ($p =$
288 0.0016) but not significantly after therapy solely with doxorubicin in global heart and subepicardial and
289 subendocardial zones (**Fig. 2K-N** and **Supplemental_Table_S2A.xls**). We divided the cohort into
290 young and old groups of mice based on the sample's median age of 71 weeks. Fibrosis increased with
291 age in the old group after doxorubicin alone ($p = 0.0052$) and the combined treatment ($p = 0.021$) but
292 not in young mice (**Supplemental_Table_S2A.xls**). We did not observe differences in cardiomyocyte
293 area (**Supplemental_Table_S2B.xls**). Together, these results indicate that older mice were more
294 sensitive to chronic CDA than younger mice, as previously observed in cancer patients (Aapro et al.
295 2011).

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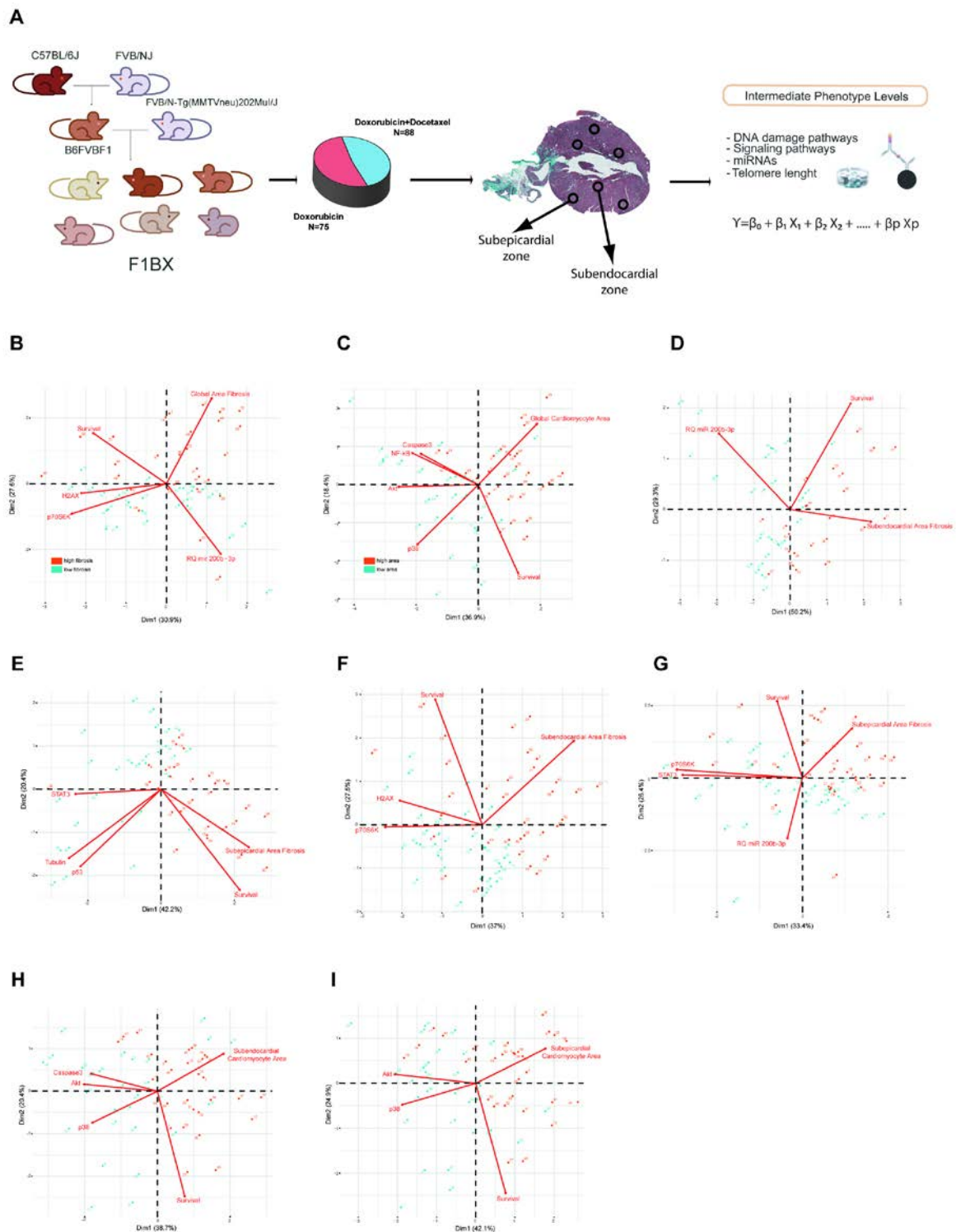
297 The behavior and distribution of chronic CDA in F1BX mice as a complex trait are like those found in
298 humans. Indeed, CDA was significantly greater after combined therapy than with doxorubicin alone
299 (Salvatorelli et al. 2006; Salvatorelli et al. 2007) and with increasing age (Aapro et al. 2011; Armenian
300 et al. 2017) and was distributed as a complex trait in the F1BX mice (Mackay 2009). All these
301 similarities justified using the F1BX backcross model to identify the genetic background component
302 linked to chronic CDA.

303

304 **Intermediate phenotype levels of molecular origin in the myocardium are associated with** 305 **chemotherapy-induced cardiotoxicity**

306 CDA is a complex trait. As such, its pathogenesis is influenced by different intermediate phenotypes at
307 the systemic, tissue, cellular and molecular levels (Gianni et al. 2008; Blanco-Gomez et al. 2016). We
308 used this mouse backcross strategy as a simplified model to seek intermediate molecular phenotypes
309 associated with CDA. We quantified the levels of several molecules in the myocardium after
310 chemotherapy and evaluated their association with heart fibrosis and the cardiomyocyte area (**Fig. 3A**).
311 The molecules were selected based on their involvement in the pathogenesis of cardiomyopathy, as

312 described in previous reports. Using multiplex bead arrays, we quantified levels of the myocardium
 313 proteins involved in antigenotoxicity pathways (34) and cell-signaling pathways that favor or inhibit
 314 heart damage caused by anthracycline (35). We also used qPCR to determine the miRNAs involved in
 315 cardiac diseases and cardiotoxicity (Ruggeri et al. 2018) and in controlling myocardium telomere length
 316 (De Angelis et al. 2010).



317

318 **Figure 3. Intermediate molecular phenotypes are associated with cardiotoxicity due to anthracyclines**
319 **(CDA). A) Quantification in the myocardium of F1BX mice of different intermediate molecular**
320 **phenotypes after chemotherapy. B-I) Principal component analyses classify mice with high and low**
321 **CDA susceptibility based on the levels of intermediate molecular phenotypes in the myocardium under**
322 **different conditions: fibrosis after doxorubicin (B), cardiomyocyte area after the combined therapy (C),**
323 **subendocardial zone fibrosis (combined therapy) (D), subepicardial zone fibrosis (combined therapy)**
324 **(E), subendocardial zone fibrosis (doxorubicin) (F), subepicardial fibrosis zone (doxorubicin) (G),**
325 **subendocardial cardiomyocyte area after combined therapy (H), subepicardial cardiomyocyte area**
326 **(combined therapy) (I). Mice with high (brown) and low (blue) levels of fibrosis and cardiomyocyte**
327 **area were differentiated by the median. This figure is related to Supplemental Table S3.**

328

329 The levels of intermediate molecular phenotypes involved in the pathogenesis of complex traits should
330 be statistically significantly associated with the complex trait (Gottesman and Gould 2003). Indeed,
331 some intermediate molecular phenotypes were associated with the variation of fibrosis and the
332 cardiomyocyte area in the F1BX mice (**Supplemental_Table_S3.xls**). The integration of these
333 intermediate molecular phenotypes by principal component analyses (PCA) helped to distinguish
334 between mice with high and low CDA in different conditions (**Fig. 3B-I**)

335

336 We subsequently used multiple regression to evaluate which intermediate phenotypes were most
337 important for defining CDA (**Supplemental_Table_S4.xls**). For instance, after doxorubicin
338 chemotherapy, young mice with low levels of P70S6K(pT412) and old mice with low levels of
339 H2AX(pS139) in the myocardium had higher global fibrosis in the heart. After combined therapy,
340 young mice with high levels of CREB1(pS133) presented high global fibrosis in the myocardium.
341 AKT1(pS473), P38MAPK(pT180/pY182), β -tubulin and TP53(S15), and the miRNAs miR210_3p,
342 mR215_5p, Let7d_5d, and Let7d_5p were associated with CDA under a variety of conditions. These
343 selected molecular intermediate phenotypes also help to identify mice with high and low CDA
344 susceptibility by PCA (**Supplemental_Fig_S2.pdf**.)

345

346 In summary, it may be concluded that all these molecules associated with heart fibrosis and the
347 cardiomyocyte area after chemotherapy could be intermediate phenotypes related to chronic CDA
348 susceptibility variation in the F1BX cohort of mice.

349

350 **Identification of genetic determinants linked to intermediate molecular phenotypes of CDA**

351 It has been indicated that genetic determinants linked to the intermediate phenotypic function of a
352 complex trait could account for some of the phenotypic variations in the latter and contribute to its
353 missing heritability (Gottesman and Gould 2003; Blanco-Gomez et al. 2016). Among the genetic
354 determinants that determine the functional activity of an intermediate molecular phenotype, there are
355 fundamentally those that regulate its levels. These determinants also include the gene that encodes the
356 molecule with its regulatory sequences in *cis* and another series of genes in QTL regions located in
357 *trans* that helps regulate molecular levels and activity (Civelek and Lusis 2014; Vosa et al. 2021), which
358 we call intermediate phenotype QTLs (ipQTLs).

359

360 Following on, we asked whether the ipQTLs associated with intermediate molecular phenotypes of
361 CDA contribute to the phenotypic variation of the latter. We set about integrating the ipQTLs with
362 directly linked QTLs into genetic models with CDA (cdaQTLs) to determine whether they could
363 account for more of the CDA phenotype variation than that explained solely by cda-QTLs (**Fig. 1**).
364 Accordingly, we looked for ipQTLs and cdaQTLs in the F1BX genetically heterogeneous mice that
365 could be used subsequently in the genetic models (**Fig. 1**). Thus, firstly, we looked for the genetic
366 regions (ipQTLs) associated with the myocardium levels of the intermediate molecular phenotypes
367 identified (**Fig. 4A**). The global scenario of ipQTLs identified is shown as a heatmap (**Figure 4B**), and
368 the specific information for each genetic locus is presented in **Supplemental_Table_S5.xls**.

369

370

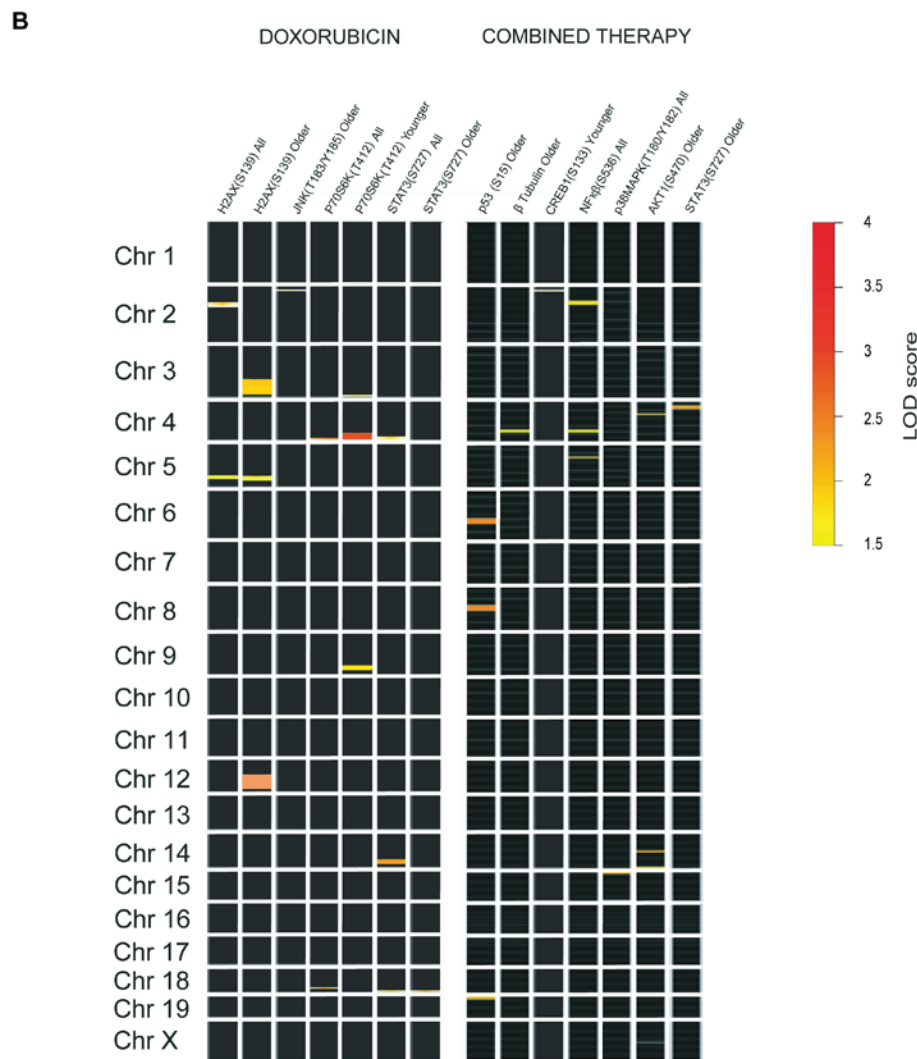
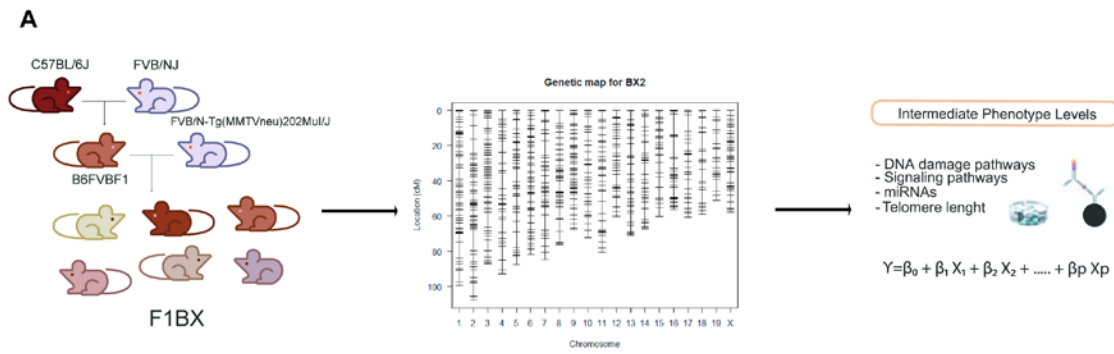
371

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376

377 **Figure 4. Identification of quantitative trait loci associated with intermediate molecular phenotypes**
 378 **(ipQTLs) of CDA susceptibility.** A) *F1BX1* mice generated by backcrossing were genotyped using 1499
 379 SNPs on an Illumina platform. Linkage analysis was performed to detect ipQTLs. B) The heatmap
 380 shows the global scenario of ipQTLs after doxorubicin or combined therapy. Each square represents a
 381 chromosome; its number is on the left. Each square's intensity mark signifies the degree of association

382 (*LOD score*) between the genetic markers and the phenotype according to the indicated scale. The
383 marks' location of each square occupies the relative area within each chromosome (centromere and
384 telomere positions above and below, respectively). Only linkages with a *LOD score* > 1.5 (suggestive)
385 are represented. *R/qtl* software was used to identify *ipQTLs*. The exact location of each *ipQTL* in each
386 chromosome and the associated genetic markers are shown in **Supplemental_Table_S5A.xls**
387 (*doxorubicin therapy*) and **Supplemental_Table_S5B.xls** (*combined therapy*).

388

389 **Identification of genetic determinants directly linked to CDA (cdaQTLs)**

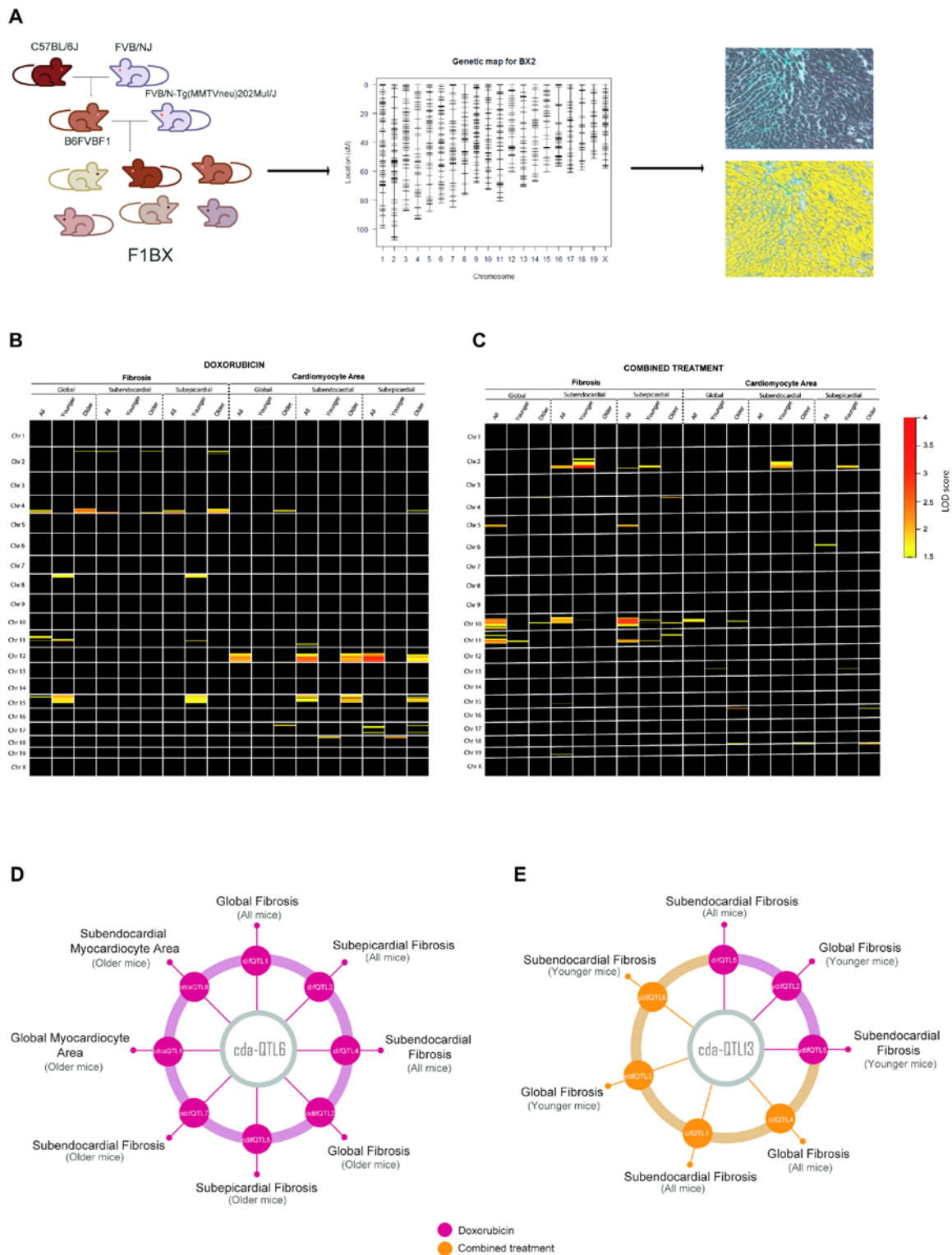
390 Following on, we searched for *cdaQTLs* associated with heart fibrosis and the cardiomyocyte area based
391 on the type of chemotherapy (anthracycline alone or combined therapy) in different conditions: heart
392 zone (whole heart, subendocardium or subepicardium) and age (young or old mice) (**Fig. 5A**). *QTLs*
393 linked to CDA under these different conditions were represented as heatmaps to visualize the global
394 scenario of the genetic regions linked to heart damage after doxorubicin or combined therapy (**Fig. 5B,**
395 **C, and Supplemental_Table_S6.xls.**)

396

397 Eighty *cda-QTLs* were identified, but some were in the same chromosome (Chr.) and were genetic
398 regions simultaneously linked to several CDA conditions. In the end, we identified 27 *cda-QTLs* in full
399 (**Supplemental Table S6**). For example, the same *QTL* was sometimes associated with the degree of
400 fibrosis and the cardiomyocyte area under different conditions; this was the case of *cda-QTL6* on Chr.
401 4 after doxorubicin treatment and *cda-QTL11* on Chr. 10 after combined therapy (**Figure 5D** and
402 **Supplemental_Table_S7.xls**). The same *QTL* and pathophenotype were occasionally associated in
403 both chemotherapy regimens, e.g., the *cda-QTL13* on Chr. 11 and heart fibrosis (**Figure 5E** and
404 **Supplemental_Table_S7.xls**). Notably, the *cda-QTL6* on Chr. 4 was explicitly associated with CDA
405 in old mice, whereas the *cda-QTL13* on Chr. 11 was most frequently related to CDA susceptibility in
406 young mice (**Fig. 5D, E** and **Supplemental_Table_S7.xls**). The identification of multiple *QTLs*
407 associated with CDA confirmed the polygenic component of susceptibility to this complication, even
408 in a simplified model like that of the F1BX mouse cohort (Duan et al. 2007).

409

410



411

412 **Figure 5. Quantitative trait loci linked to cardiotoxicity due to anthracyclines (cda-QTLs).** A) The

413 genotyping of the F1BX mouse cohort permitted to locate cdaQTLs directly linked to the CDA

414 susceptibility measured at the histopathological level. B, C) The heatmaps show the global scenario of

415 the cda-QTLs linked to cardiac fibrosis and cardiomyocyte area under different conditions (age and

416 type of therapy): after chemotherapy with doxorubicin (B) or after combined treatment (C). For both

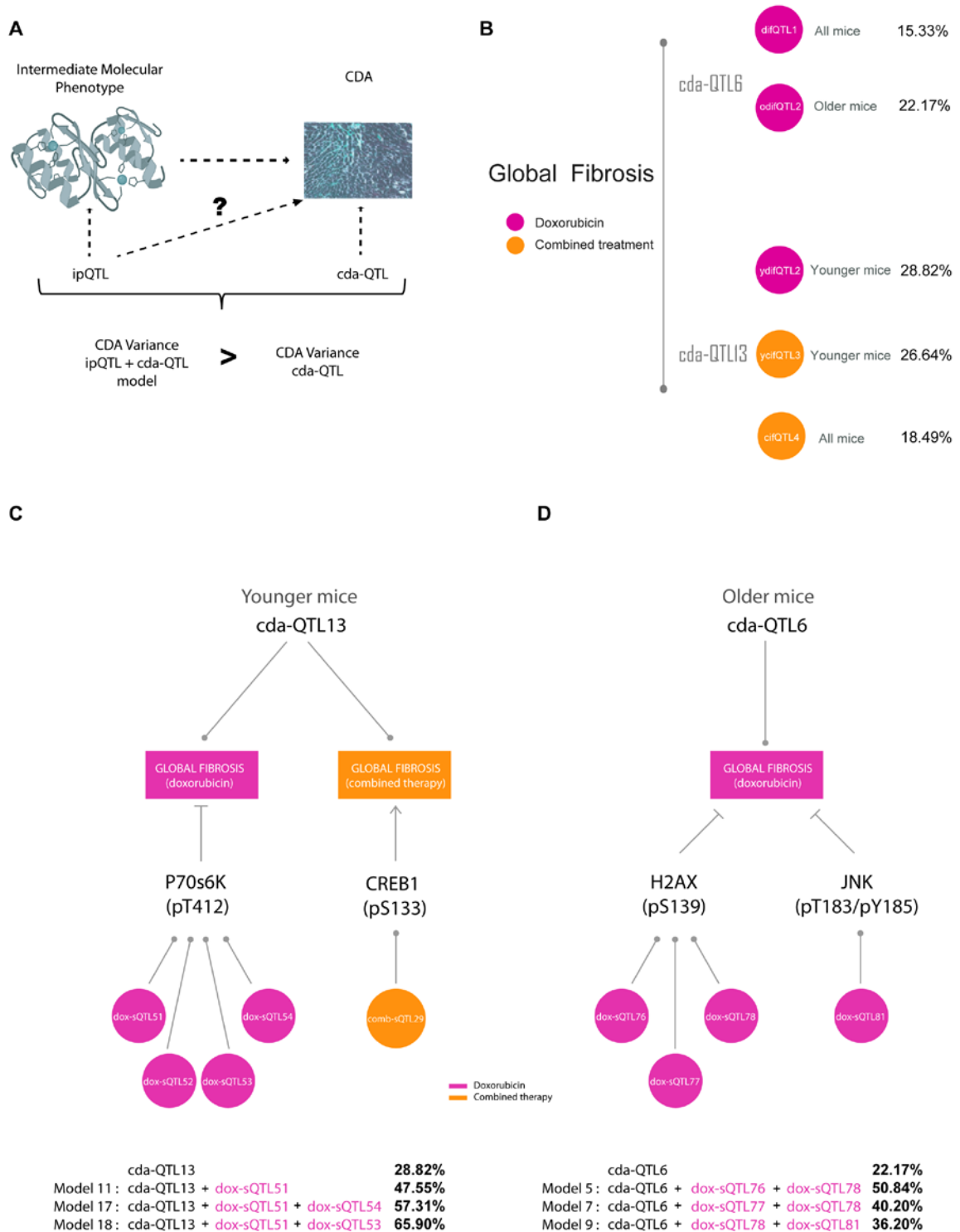
417 panels, B and C: only QTLs with a LOD score > 1.5 were plotted along each chromosome. Analyses
418 were carried out with R/qtl software. Each square represents one chromosome; its number is indicated
419 on the left. According to the indicated scale, the intensity of marks within each square indicates the
420 degree of association (LOD score) between the genetic markers and the phenotype. The mark's location
421 corresponds to its relative location within the chromosome (centromere and telomere positions above
422 and below, respectively). The exact locations of each cda-QTL and its associated genetic markers are
423 shown in *Supplemental Table S5*. **D**) The cda-QTL6 was associated with CDA under different conditions
424 in old mice. cda-QTL6 colocalized with different QTLs associated with CDA under different conditions
425 in old mice, specifically with difQTL1 (doxorubicin-induced fibrosis QTL1), difQTL3, difQTL4,
426 odifQTL 4 (old mice odifQTL4), odifQTL5, odifQTL7, odcaQTL1 (old mice doxorubicin-induced
427 cardiomyocyte area QTL1) and odcaQTL8. **E**) cda-QTL13 was associated with fibrosis in younger mice
428 and colocalized with the following QTLs: difQTL5, ydifQTL2 (young mice difQTL2), ydifQTL5,
429 cifQTL4 (combined therapy-induced fibrosis QTL4), cifQTL11, ycifQTL3 (young mice QTL3), and
430 ycifQTL6. The numerical data (LOD score and peak locations of markers) from panels C and D are
431 shown in *Supplementary Tables S5 and S6*.

432

433 **ipQTLs integrated into genetic models with cdaQTLs account for more phenotypic variation of** 434 **CDA than explained by cda-QTLs alone**

435 Our next step was to integrate the ipQTLs with cda-QTLs into the genetic models (Broman et al. 2003)
436 to evaluate whether these could account for more of the CDA phenotype variation than that explained
437 solely by the cda-QTL (**Fig. 6A**). In doing so, we wanted to demonstrate that these ipQTLs contribute
438 to the missing heritability of the CDA. The cda-QTL would enable the ipQTLs contributing to the
439 missing heritability of CDA to be revealed by the genetic models (**Fig. 1B**). As examples, we selected
440 cdaQTL6 and cdaQTL13 (**Fig. 5D, E**) to evaluate whether ipQTLs integrated with these cdaQTLs could
441 account for more of the CDA phenotype variation than that explained solely by cdaQTL6 and
442 cdaQTL13 (**Fig. 6A**). First, we estimated the phenotypic variance of global fibrosis attributable to them
443 in the F1BX cohort. CdaQTL6 explained 22.17% of the CDA variance in global fibrosis in old mice
444 after doxorubicin chemotherapy, and cdaQTL13 accounted for 28.82% and 26.64% of the CDA

445 variance in global fibrosis in younger mice treated with doxorubicin or combined therapy, respectively
 446 (Fig. 6B.)



447

448 **Figure 6. Percentage of global fibrosis explained by cda-QTL6 or cda-QTL13 and genetic models.**

449 **A) Genetic models between ipQTLs and cdaQTL are generated to assess whether an ipQTL contributes**
 450 **to the phenotypic variation of CDA. If the variability of the model significantly exceeds that of the**

451 *cdaQTL*, the *ipQTL* would contribute to the phenotypic variation of CDA and susceptibility. **B)** Above,
452 the diagram shows the percentage of global fibrosis explained by *cda-QTL6* after treatment with
453 doxorubicin (red). The circles on the right show the name of the *cda-QTL6* under these conditions,
454 *difQTL1* (doxorubicin-induced fibrosis *QTL1*), which appears linked to global fibrosis in all mice, and
455 *odifQTL2* (old mice *difQTL2*), which seems to be associated with global fibrosis in all mice. Below is
456 the percentage of global fibrosis explained by *cda-QTL13* following treatment with doxorubicin (red)
457 or combined therapy (yellow). The circles on the right show the other names of the *cda-QTL13* for those
458 conditions: *ydifQTL2* (young mice doxorubicin-induced fibrosis *QTL2*), *ycifQTL3* (young mice
459 combined therapy-induced fibrosis *QTL3*), and *cifQTL4* (combined therapy-induced fibrosis *QTL3*),
460 the latter linked to global fibrosis in all mice. **C, D)** we chose *cda-QTL6* and *cda-QTL13* to assess
461 whether *ipQTLs* combined with these *cda-QTLs* could account for more of the CDA trait variation than
462 that justified exclusively by *cda-QTL6* and *cda-QTL13*. Thus, we selected *cda-QTL6* and *cda-QTL13*
463 as examples to generate the genetic models. Schemes illustrating the components used to develop the
464 genetic models (Table 1). **C)** In this case, the objective was to evaluate whether genetic models can
465 explain more global cardiac fibrosis variance after doxorubicin treatment in young mice than *cda-*
466 *QTL13*. To this end, the two intermediate molecular phenotypes associated with global fibrosis in young
467 mice after treatment with doxorubicin were *P70S6K(pT412)*, and *CREB1(pS133)* (Table 1A and
468 *Supplemental_Table_S3.xls*) and the *ipQTLs* linked with them are shown in Table 1A and
469 *Supplemental_Table_S5.xls*. The genetic models were developed with *cda-QTL13* and four
470 combinations of the *ipQTLs* linked to *P70S6K(pT412)* (*dox-ipQTL51*, *dox-ipQTL52*, *dox-ipQTL53*, and
471 *dox-ipQTL54*), and one combination, *comb-ipQTL29*, linked to *CREB1(pS133)*. The phenotypic
472 variability explained by *cda-QTL13* alone and the significant increase in those genetic models in which
473 it improved are indicated below the diagram (Table 1B). **D)** Scheme to illustrate the same results as
474 panel C, but for the case of *cda-QTL6* and global fibrosis in old mice. Panels C and D correspond to
475 Table 1.

476

477 We then assessed whether *ipQTLs* linked to myocardium molecules increased the amount of global
478 heart fibrosis explained by *cdaQTL6* in old mice and *cdaQTL13* in young mice. The intermediate
479 molecular phenotypes that were correlated with global fibrosis under these conditions and their *ipQTLs*

480 are shown in **Fig. 6C, D**, and **Table 1A**. We examined all the viable genetic models with *cdaQTL6* or
 481 *cdaQTL13* and the ipQTLs linked to the intermediate molecular phenotypes associated with global
 482 fibrosis(Broman et al. 2003) (**Supplemental_Table_S8.xls**).

483

484 **Table 1. Genetic models. A) QTLs used to develop the genetic models, B) Improvement of the CDA**
 485 **variation explained by *cdaQTL6* or *cda-QTL13* with ipQTLs integrated into genetic models. Genetic**
 486 **models combining ipQTLs linked to intermediate molecular phenotypes increase the proportion of**
 487 **phenotypic variation of global fibrosis explained by *cdaQTL13* in younger mice and *cda-QTL6* in older**
 488 **mice treated with doxorubicin. Only models that improved the fibrosis phenotypic variability explained**
 489 **are included (**Supplemental_Table_S8.xls**). Genetic models were generated by the *Fitqtl* function (*r/qtl***
 490 **package). This table is related to Fig. 6C, D.**

| A) QTLs and CDA conditions used in the genetic models | | | | | |
|---|------------------|-----------------|-----------------------|---|--------------|
| cda-QTL | Therapy type | Mouse age group | Pathophenotype of CDA | (a) Molecular Intermediate Phenotypes Associated with Global Fibrosis | (b) ipQTLs |
| cda-QTL6 | Doxorubicin | Old Mice | Global Fibrosis | γH2AX(S139) | dox-ipQTL76 |
| | | | | | dox-ipQTL77 |
| | | | | JNK(T183/Y185) | dox-ipQTL78 |
| | | | | | miR200b-3p |
| cda-QTL13 | Doxorubicin | Young Mice | Global Fibrosis | p70S6K(T412) | dox-ipQTL51 |
| | | | | | dox-ipQTL52 |
| | | | | | dox-ipQTL53 |
| | | | | | dox-ipQTL54 |
| | Combined Therapy | Young Mice | Global Fibrosis | CREB(S133) | comb-ipQTL29 |

| B) Improvement of the global fibrosis variation explained by <i>cda-QTL6</i> and <i>cda-QTL13</i> with ipQTLs in genetics models | | | | | |
|--|--------------|---------------------------------------|-----------|------------------------|-----------|
| Basal effect / Model effect | | Model components | LOD score | Fibrosis variation (%) | P - value |
| cda-QTL13 | Basal effect | n.a. | 2.38 | 28.82 | n.a. |
| Models with cda-QTL13 in young mice | Model 11 | cda-QTL13 * dox-ipQTL51 | 3.78 | 47.55 | 0.0006 |
| | Model 17 | cda-QTL13 * dox-ipQTL51 * dox-ipQTL54 | 4.99 | 57.31 | 0.002 |
| | Model 18 | cda-QTL13 * dox-ipQTL51 * dox-ipQTL53 | 6.3 | 65.90 | 0.0001 |
| cda-QTL6 | Basal effect | n.a. | 2.29 | 22.17 | n.a. |
| Models with cda-QTL6 in old mice | Model 5 | cda-QTL6 * dox-ipQTL76 * dox-ipQTL78 | 6.63 | 50.84 | 0.00007 |
| | Model 7 | cda-QTL6 * dox-ipQTL77 * dox-ipQTL78 | 4.8 | 40.20 | 0.0024 |
| | Model 9 | cda-QTL6 * dox-ipQTL78 * dox-ipQTL81 | 4.2 | 36.20 | 0.0075 |

491

492 (a) Intermediate molecular phenotypes associated with global fibrosis in young and old mice
493 (Supplemental Table S3). (b) ipQTLs linked to these intermediate phenotypes are shown (Supplemental
494 Table S5). For further clarification, see Fig. 6B, C. N.I., not identified.

495

496 With respect to global heart fibrosis in young mice, we observed that the phenotypic variation due to
497 cda-QTL13 increased after including some of the ipQTLs associated with P70S6K in genetic models
498 (Fig. 6C and Table 1B). The ipQTLs linked to P70S6K levels in young mice were located on Chr. 3
499 (dox-ipQTL51), Chr. 4 (dox-ipQTL52), Chr. 9 (dox-ipQTL53), and Chr. 17 (dox-ipQTL54) (Table
500 1A). The variance in global fibrosis explained by cda-QTL13 was 28.82%. This increased to 47.55%
501 when considering the dox-ipQTL51 (model 11), to 57.31% when including the dox-ipQTL51 and dox-
502 ipQTL54 (model 17), and to 65.9% for dox-ipQTL51 and dox-ipQTL53 (model 18) (Fig. 6C and Table
503 1B).

504 As indicated, the criteria for choosing intermediate molecular phenotypes of CDA were based on the
505 evidence from previous studies. In the case of P70S6K, protective and anti-protective effects after
506 treatment with doxorubicin have both been described (Xu et al. 2012; Yu et al. 2013; Lee et al. 2015).
507 We confirmed its role in CDA through functional *in vitro* studies. Human-induced pluripotent stem
508 cell-derived cardiomyocytes (hi-PSC-CMs) are used to ensure the involvement of genes in
509 cardiotoxicity at a functional level (Sharma et al. 2018). Hence, as an example, we demonstrated that
510 downregulating *RPS6KBI* levels with siRNA in hiPSC-CMs increases their sensitivity to doxorubicin,
511 confirming the role of P70S6K as an intermediate molecular phenotype of CDA
512 (Supplemental_Fig_S3.pdf.)

513

514 Similarly, concerning global heart fibrosis in old mice, cdaQTL6 explained 22.17% of the phenotypic
515 variation. This value was higher when ipQTLs associated with myocardium levels of γ H2AX and pJNK
516 were included in the models (Fig. 6D and Table 1). The amount of phenotypic variation in CDA
517 explained by genetic determinants directly linked to CDA (cdaQTLs) was boosted using ipQTLs linked
518 to intermediate molecular phenotypes associated with CDA, implying that the genetic determinants
519 controlling the intramyocardial levels of these intermediate molecular phenotypes contribute to the
520 phenotypic variance of CDA. However, as they are QTLs directly linked to the CDA, we can deduce

521 that they are the source of some of the missing CDA heritability. This large amount of phenotypic
522 variation elucidated can be explained by the simplicity of the backcross model, with a more limited
523 genetic diversity than human populations (Buchner and Nadeau 2015).

524

525 **Genes encoding intermediate molecular phenotypes associated with myocardium damage in mice**
526 **can be genetic determinants of CDA in patients**

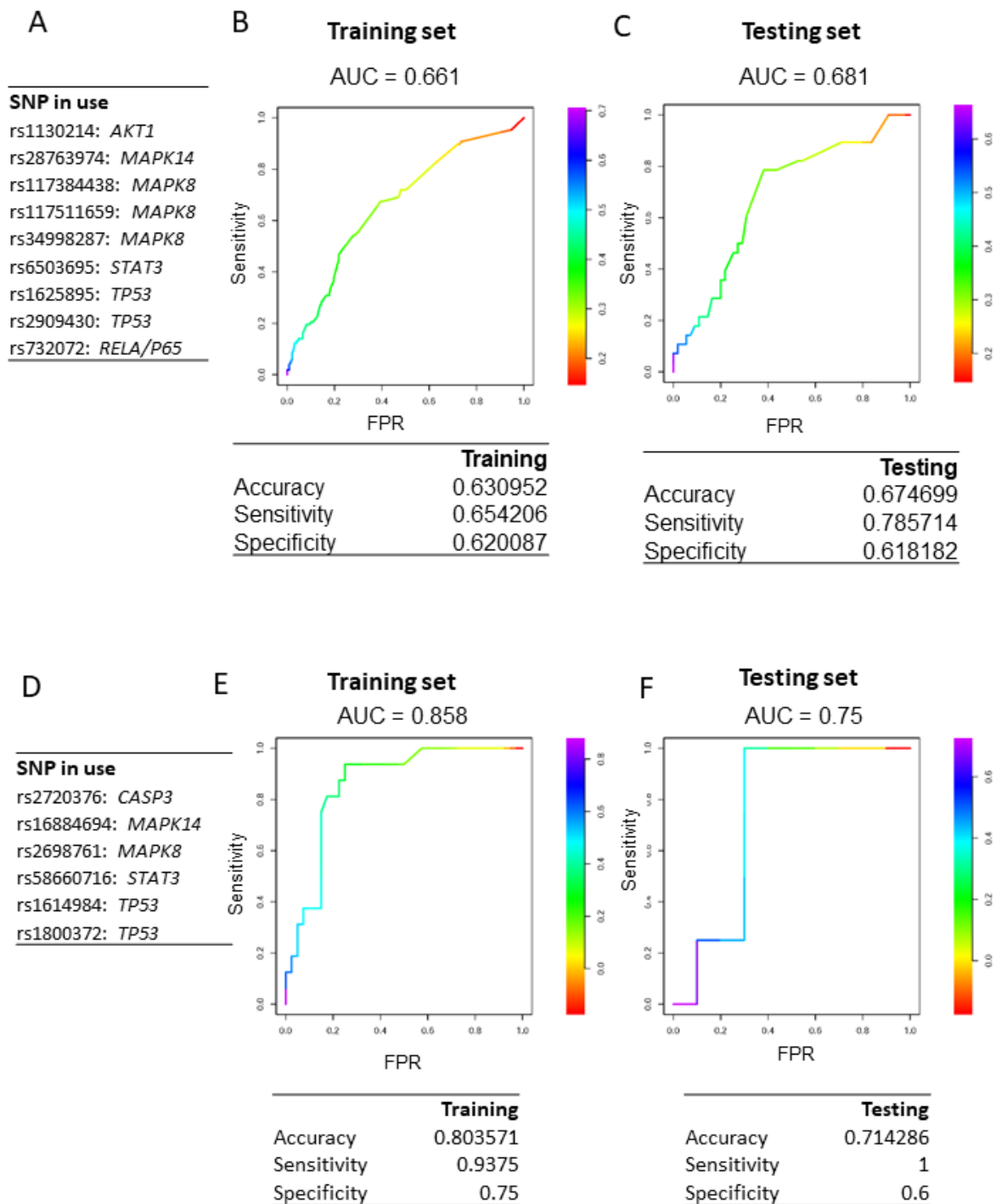
527 The previous analyses showed that ipQTLs linked to the levels of intermediate molecular phenotypes
528 of CDA increase the proportion of phenotypic variation explained by cda-QTLs. However, the levels
529 of these intermediate phenotypes associated with CDA also depend on the regulatory regions of the
530 genes encoding the intermediate phenotypes themselves. Given this, we can presume that all of them,
531 the regulatory regions in *cis* with the gene encoding the intermediate phenotype, and the ipQTLs, mostly
532 in *trans*, may contribute through the levels of the intermediate molecular phenotype to CDA variation.
533 We have not identified the ipQTL driver, but it is reasonable to propose that the genes known to encode
534 the intermediate molecular phenotypes of CDA probably can be genetic determinants of CDA
535 susceptibility (**Fig. 1B, C**).

536

537 We evaluated the extent to which allelic forms of the genes encoding intermediate molecular
538 phenotypes associated with myocardium damage in mice could be genetic determinants of CDA in three
539 cohorts of cancer patients treated with anthracyclines. CDA was evaluated by echocardiography in a
540 cohort of women with breast cancer and another with pediatric cancer; in the third cohort, the CDA was
541 evaluated by cardiac magnetic resonance (CMR), considering an LVEF reduction of 5% or more during
542 the first six months or throughout the complete follow-up. We only evaluated SNPs from those genes
543 that encoded molecules associated with CDA in mice, testing the most probably genetic model (**Fig. 3**
544 and **Supplemental_Table_S3.xls**). Several single nucleotide variants (SNVs) were associated with
545 susceptibility to CDA in patients, some of which were noted in more than one cohort
546 (**Supplemental_Table_S9.xls**).

547

Figure 7



548

549 *Figure 7. Genetic models of CDA risk generated by bootstrapping 100 times and LASSO multivariate*

550 *regression. A-C) CDA risk model for the cohort of breast cancer patients. The genetic model (A) and*

551 *the ROC curves of the training set (B) and the testing set (C) are shown. D-E) Genetic risk model for*

552 *the pediatric patient cohort. The genetic model (D) and the ROC curves for the training set (E) and the*

553 *testing set (F) are shown. Cohorts were split at 80% for the training and 20% for the testing set. FPR,*
554 *false positive rate.*

555

556 Subsequently, we used the two largest cohorts, formed by breast cancer patients and pediatric patients,
557 to generate two polygenic risk scores. Thus, each cohort was divided into a training set (80%) and a
558 testing set (20%). In the training set, after bootstrapping 100 times, a series of SNPs associated with
559 susceptibility to CDA were identified. Subsequently, two different risk models were obtained using the
560 restrictive LASSO regression system (**Fig. 7**). Interestingly, the SNPs that were part of the risk scores
561 belonged to the same five genes in both models. Indeed, the CDA model in adults consisted of SNPs of
562 *AKT1, STAT3, TP53, MAPK8, MAPK11, and RelA/P65*; the pediatric model consisted of SNPs of the
563 same first five genes.

564

565 Our results highlight the value of genetic mouse models as tools for identifying the intermediate
566 phenotypes that contribute to the variation of CDA and the use of the genes encoding them as potential
567 susceptibility markers.

568

569 **Discussion**

570 Chronic CDA is a common side effect that can be very severe and affect the continuity of chemotherapy
571 treatment (Caron and Nohria 2018). CDA susceptibility varies considerably among patients (Grenier
572 and Lipshultz 1998), but significant efforts have been made, through the use of genetic markers, to
573 identify the patients who are susceptible to developing chronic CDA (Duan et al. 2007). Predisposition
574 to chronic CDA has a strong genetic component and, as a complex disease or trait, it is, by definition,
575 polygenically inherited (Leong et al. 2017). The genetic elements of complex characters are difficult to
576 identify; there are substantial discrepancies between the proportion of phenotypic variance expected to
577 arise from genetic causes (expected heritability) and the heritability explained by identified DNA
578 sequencing variants (DSVs). The difference between them is known as missing heritability (Manolio et
579 al. 2009). CDA, being a complex trait, has an unknown degree of missing heritability, making it
580 challenging to identify most of its genetic components.

581 The use of intermediate phenotypes to identify a part of the genetic component of complex traits has
582 been proposed in psychiatric disorders (Gottesman and Gould 2003) and extended to other
583 fields(Blanco-Gomez et al. 2016). It has been suggested that identifying the genetic determinants
584 associated with intermediate phenotypes essential for complex-trait pathogenesis could help identify a
585 part of the missing heritability and yield genetic markers for predicting complex disease susceptibility
586 (Blanco-Gomez et al. 2016). Part of the genetic component of the missing heritability could be
587 explained by the genetic determinants, such as QTLs, that are linked to intermediate phenotypes
588 involved in the pathogenesis of complex traits. Indeed, a highly influential QTL can be simultaneously
589 detected at the intermediate phenotype and primary complex trait levels, reflecting a process known as
590 mediated pleiotropy (Solovieff et al. 2013). It has been suggested that the QTLs that cannot be detected
591 as mediated pleiotropy are part of the missing heritability because they are too weak to be revealed by
592 genetic analysis at the complex-trait level (Castellanos-Martin et al. 2015; Blanco-Gomez et al. 2016).

593

594 The network of intermediate phenotypes at the systemic, tissue, cellular and molecular levels that
595 determines the pathogenesis of a complex trait is regulated by a multitude of genes acting at all those
596 levels. This scenario coincides with the omnigenic model, involving a series of gene networks with core
597 genes and many peripheral genes, that has recently been proposed to explain missing heritability(Boyle
598 et al. 2017; Liu et al. 2019). It is inferred from this model that the complete heritability of a complex
599 trait is controlled by most of the genome (Loh et al. 2015; Khera et al. 2018; Liu et al. 2019). The source
600 of most heritability is genes with so little effect that many are difficult, or even impossible, to identify,
601 no matter how many individuals are studied.

602

603 From a practical point of view, the challenge is to find a way to determine the genetic markers of
604 susceptibility to a complex trait. The focus on the genetic determinants associated with the variation of
605 intermediate phenotypes (which, in turn, are associated with a complex trait) makes it possible to
606 identify essential genes that may be involved in the missing heritability and that can be susceptibility
607 markers of diseases of complex genesis. In this sense, the approach proposed in this study could be
608 adopted as a general strategy for better identifying genetic markers of high-prevalence complex

609 diseases, e.g., type II diabetes, autoimmune diseases, thrombosis, cardiovascular diseases, sporadic
610 cancers, and CDA (Maher 2008).

611

612 The initial search for genetic determinants associated with intermediate phenotypes can be simplified
613 in models of limited genetic variability, such as crosses of genetically homogeneous mouse strains
614 (Hunter and Crawford 2008; Quigley and Balmain 2009). It is difficult to determine the polygenic
615 component of complex human population traits because of their genetic complexity and sophisticated
616 interaction with the environment(Hunter and Crawford 2008). Identifying genes with a weak effect in
617 human studies using techniques such as GWAS is complicated because enormous sample sizes are
618 required to demonstrate statistical significance. In addition, the massive amount of multiple testing
619 supposed by the analysis of millions of SNPs dramatically reduces statistical power, especially when
620 trying to locate variants of common genetic variants of weak effect. However, quantifying the
621 phenotypic variation of complex traits, under controlled environmental conditions, in a simplified
622 genetic model consisting of crosses between genetically homogeneous strains of mice can guide the
623 choice of candidate genes and pathways to be tested in human populations. Identifying candidate genes
624 in this simplified genetic model reduces the number of genetic variants that need to be
625 considered(Quigley and Balmain 2009). We think this strategy makes it possible to identify genetic
626 markers of complex traits without carrying out studies in thousands of patients because evaluating
627 intermediate molecular phenotypes in the simplified genetic model in mice enables the selection of
628 candidate genes. One of the main limitations of GWAS is the difficulty of subsequent validation in
629 other populations(Wray et al. 2013). Thus, to confirm in humans the candidate genes identified in the
630 genetically heterogeneous cohort of mice, we think it could be more effective to use several cohorts of
631 cancer patients with different conditions than a larger, though more homogeneous cohort, as we have
632 done here with several distinct patient cohorts treated with anthracyclines. We think finding the
633 association of genetic markers with CDA simultaneously in several of these other conditions increases
634 the possibility of them being genuine and of subsequent utility.

635

636 This work has identified part of the genetic component linked to intermediate molecular phenotypes
637 associated with CDA in a mouse backcross model, which helped identify some genetic elements related

638 to the CDA susceptibility itself. Since anthracyclines exert their toxicity by damaging DNA, CDA
639 intermediate phenotypes would differ in terms of the molecular pathways involved in DNA damage
640 response or in the signaling pathways, such as AKT (Ichihara et al. 2007) and P38MAPK (Kang et al.
641 2000), that promote or protect from heart damage by anthracyclines (Ghigo et al. 2016). We also
642 hypothesize that other CDA intermediate phenotypes can be molecules involved in heart diseases and
643 cardiotoxicity, such as cell signaling pathways (Ghigo et al. 2016), miRNAs (Ruggeri et al. 2018), and
644 telomere length (De Angelis et al. 2010). Multiple regression models allowed us to pinpoint which of
645 these intermediate molecular phenotypes, determined in mouse myocardium, are best able to explain
646 the phenotypic variation in the CDA. We then evaluated the extent to which the ipQTLs associated with
647 these intermediate molecular phenotypes account for chronic CDA.

648
649 Although ipQTLs were not directly linked to CDA, we used genetic models to demonstrate that ipQTLs
650 allow more of the phenotypic variability of QTLs directly linked to CDA to be explained, thereby
651 showing that these ipQTLs account for part of the missing heritability of the CDA. They help control
652 in *trans* the levels of intermediate protein phenotypes located in the myocardium. One of the variables
653 that most strongly influences the activity of a molecule is its level, and this depends on factors in *cis*,
654 the most important of these being the gene sequences encoding the molecule and various elements in
655 *trans*. However, we cannot rule out the possibility that any of the ipQTLs identified may act at other
656 levels, for example, by contributing to the control of the proteins' phosphorylation. We have used
657 ipQTLs in *trans* to demonstrate that genetic determinants linked to the levels of the molecule contribute
658 to the phenotypic variability of the complex trait. Although we do not know which genes drive the
659 effects of ipQTLs, we know the gene encoding the intermediate protein phenotype in *cis*. So, if genetic
660 variants of these genes do determine the levels of the protein intermediate phenotype, they will also
661 contribute to the heritability of the complex trait. For this reason, we looked for variants of these genes
662 to evaluate in the human population.

663
664 An enormous number of intermediate phenotypes affect the pathogenesis and variation in a complex
665 trait like CDA, so it is unsurprising that many of the contributing genetic determinants cannot be
666 detected among those of the central phenotype, for which reason they are responsible for much of the

667 missing heritability (Blanco-Gomez et al. 2016). Furthermore, the myriad interactions between
668 intermediate phenotypes and the abundance of QTLs associated with them make it unlikely that the
669 sources of missing heritability of a complex phenotype, including CDA, could ever be accounted for
670 completely. Indeed, these intermediate phenotype interactions at different levels may involve most of
671 the genome (Liu et al. 2019).

672

673 **Conclusions**

674 A genetically heterogeneous cohort of mice was used to identify the genetic component of proteins
675 whose levels in the myocardium are associated with histopathological damage after chemotherapy, and
676 thereby to reveal some of the missing genetic elements linked to CDA in mice and humans. Identifying
677 genetic and molecular factors responsible for the increased risk of CDA will eventually improve our
678 ability to predict and prevent CDA. Our results suggest that, in general, the proposed strategy facilitates
679 the identification of susceptibility markers of complex diseases. The genetic markers identified could
680 also help identify patients at high risk of developing CDA, enabling personalized patient management
681 and optimized individualized chemotherapy to reduce the risk of severe adverse drug reactions.

682

683 **Methods**

684 **Patients**

685 The association of genetic variants with CDA was evaluated in four patient cohorts previously published
686 by some of us. In the first three cohorts, comprising 71 anthracycline-treated pediatric cancer patients
687 (Ruiz-Pinto et al. 2017) (Paediatric Cohort) and 420 breast cancer patients (Breast Cancer Cohort)
688 (Vulsteke et al. 2015), cardiac function was assessed by echocardiography to evaluate the left
689 ventricular ejection fraction (LVEF) or left ventricular fractional shortening (LVFS). In the third cohort,
690 cardiac magnetic resonance (CMR) was carried out in 24 cancer patients (CMR cohort) (Barreiro-Perez
691 et al. 2018) at baseline and after every two cycles of a regular course of anthracycline therapy. All
692 patients received anthracyclines in their treatment. Their clinical features have already been published
693 (Vulsteke et al. 2015; Ruiz-Pinto et al. 2017; Barreiro-Perez et al. 2018; Ruiz-Pinto et al. 2018).
694 Following the Declaration of Helsinki, we obtained the Bioethics Committee's permission and the

695 informed consent of the patients or their relatives in the case of pediatric patients. The CMR study is
696 described below and was approved by the University Hospital of Salamanca's Institutional Ethics
697 Review Board.

698

699 **Cardiac magnetic resonance: acquisition and analysis**

700 Cardiac magnetic resonance (CMR) examinations were conducted with a Philips 1.5-Testa Achieva
701 whole-body scanner (Philips Healthcare) equipped with a 16-element phased-array cardiac coil and
702 fully installed and managed by the Cardiology Department at the University Hospital of Salamanca
703 (Barreiro-Perez et al. 2018). The imaging protocol always included a standard segmented cine steady-
704 state free-precession (SSFP) sequence to provide high-quality anatomical references. The imaging
705 parameters for the SSFP sequence were: 280 x 280 mm field of view, 8 mm slice thickness with no gap,
706 3 ms repetition time, 1.50 ms echo time, 60° flip angle, 30 cardiac phases, 1.7 x 1.7 mm voxel size and
707 a single excitation. CMR images were analyzed using dedicated software (MR Extended Work Space
708 2.6, Philips Healthcare, Netherlands) by two observers experienced in CMR analysis and blinded
709 concerning time-point allocation and patient identification.

710

711 **Mouse generation and chemotherapy**

712 We generated a genetically heterogeneous mouse cohort by backcrossing two inbred strains. We crossed
713 a breast cancer-resistant mouse strain, C57BL/6 (hereafter C57), with a susceptible strain, FVB/J, to
714 generate F1 mice. Later, the non-transgenic F1 mice were crossed with *FVB/N-Tg(MMTVneu)202Mul/J*
715 transgenic mice (hereafter FVB), carrying the *Avian erythroblastosis oncogene B2/Neuroblastoma-*
716 *derived (ErbB2/cNeu)* protooncogene, expressed under the mouse mammary tumor virus (MMTV)
717 promoter (MMTV-*ErbB2/Neu* transgene) and allowed to develop breast cancer (Guy et al. 1992).

718

719 Each mouse from the backcross cohort carried a unique combination of alleles from the two strains
720 (FVB and C57) in variable proportions. In this combination, the genetic component from the FVB strain
721 was predominant since it was the one used to generate the backcross with the F1 mice. FVB alleles can
722 be homozygous or heterozygous, while the C57 component is reduced and heterozygous when present.
723 The cross was designed to enrich the alleles for susceptibility to breast cancer in the cohort. The mice

724 were administered chemotherapy once they had developed breast cancer under isofluorane anesthesia.
725 Mice were euthanized by CO₂ when the tumors were bigger than 15 mm or showed signs of suffering.
726 We evaluated cardiotoxicity in 164 mice: 130 F1BX, 18 FVB, and 16 F1 (the latter having been
727 generated after crossing FVB transgenic mice with C57). FVB transgenic mice were obtained from the
728 Jackson Laboratories, and wild-type FVB/N and C57BL/6 mice were purchased from Charles River.
729
730 All mice were housed in ventilated filter cages in the Animal Research Facility of the University of
731 Salamanca under specific-pathogen-free (SPF) conditions and fed and watered *ad libitum*. One group
732 (N = 87) was treated with doxorubicin every 10 days with a dose of 5 mg/kg, and another group (N =
733 77) received the combined therapy of doxorubicin (Pfizer) (5 mg/kg) plus docetaxel (Sanofi Aventis)
734 (25 mg/kg), administered intraperitoneally every 10 days. The drug doses used mimicked the clinically
735 relevant drug concentration (Rottenberg et al. 2007). Mice received four therapy cycles or five if the
736 chemotherapy was well-tolerated. Once the treatment had finished, the mice's evolution and tumor
737 development were assessed for 2 months. Necropsies were then performed, and the heart and other
738 tissues were collected. All practices were previously approved by the Institutional Animal Care and
739 Bioethics Committee of the University of Salamanca and conformed to the guidelines from Directive
740 2010/63/EU of the European Parliament on animals' protection for scientific purposes.

741

742 **Mouse genotyping**

743 Briefly, DNA was extracted from the tail by the phenol-chloroform method. DNA concentrations were
744 measured with a Nanodrop ND-1000 Spectrophotometer, and the PicoGreen double-stranded
745 quantification method (Molecular Probes, Thermo Fisher Scientific Inc., Waltham, MA USA) was used
746 for genotyping. Genome-wide scanning was carried out at the Spanish National Centre of Genotyping
747 (CeGEN) at the Spanish National Cancer Research Centre (CNIO, Madrid, Spain). The Illumina Mouse
748 Medium Density Linkage Panel Assay was used to genotype 130 F1BX mice at 1449 single nucleotide
749 polymorphisms (SNPs). Genotypes were classified as FVB/FVB (F/F) or FVB/C57BL/6 (F/B).
750 Ultimately, 806 SNPs were informative from the FVB and C57BL/6 mice; the average genomic
751 distance between these SNPs was 9.9 Mb. The genotype proportion among the F1BX mice was
752 normally distributed.

753 **Heart-tissue processing and CDA quantification**

754 Hearts were fixed in 4% paraformaldehyde (Scharlau FO) for 24 hours and then processed in an
755 automatic system (Shandon Excelsior, Thermo). The subsequent samples were sectioned, embedded in
756 paraffin, and stained with hematoxylin-eosin with a standard protocol or the Masson Trichrome Goldner
757 kit (Bio-Optics) to evaluate the cardiac fibrosis cardiomyocyte area. We automatically quantified heart
758 fibrosis and the average area of myocardial fibers as pathophenotypes of CDA using the Ariol slide
759 scanner to avoid intra- and inter-observer deviations. Histopathological damage was measured in the
760 subendocardium and subepicardium from five randomly chosen regions of each sample.

761

762 **Protein extraction**

763 Approximately 10-15 mg of frozen cardiac tissue were homogenized using the FastPrep Homogenizer
764 system (FP120, Bio 101 Thermo Savant) and ceramic beads (Precellys Lysing Kit CkMix, Precellys)
765 in lysis buffer (Lysis Buffer 1X, Milliplex) to which a cocktail of protease inhibitors (Roche Complete
766 Mini) and phosphatase inhibitors (PhosSTOP EASYpack, Roche) was added. The quantification of
767 signaling proteins and other intermediate molecular phenotypes is described in the supplementary
768 methods.

769

770 **hiPSC-CMs infection and viability analysis**

771 The supplementary methods describe the generation of human-induced pluripotent stem cell-derived
772 cardiomyocytes (hi-PSC-CMs) and lentiviral infection.

773

774 **Mouse QTL genetic analyses and genetic models**

775 Linkage analysis was carried out using interval mapping with the expectation-maximization (EM)
776 algorithm and R/QTL software. The criteria for significant (lod score > 3) and suggestive (lod score >
777 1.5) linkages for single markers were chosen based on the findings of Lander and Kruglyak (Lander and
778 Kruglyak 1995). In the QTL results tables, the cXX.loc.XX markers do not refer to real SNPs but instead
779 to genetic locations where the conditional genotype probabilities for the EM algorithm were calculated
780 using the *calc.genoprob* function in R/qtl, with a step of 2.5 and an error.prob of 0.001. Various QTL
781 models were developed in which the *fitQTL* function was used with Haley-Knott regression in R/qtl to

782 fit and compare their LOD scores and the percentage of the explained variance (Broman et al. 2003).
783 QTLs were chosen for inclusion in the final model only if they demonstrated a significant additive or
784 interaction effect ($p < 0.05$), determined by a "drop-one-QTL-at-a-time" analysis, which evaluates the
785 impact of single QTLs or interactions.

786

787 **Human genetic analysis**

788 The existence of associations of CDA, measured by echocardiography or CMR, with SNVs was
789 evaluated in the four patient cohorts. We looked for alleles encoding proteins whose levels in the
790 myocardium were associated with CDA in mice. SNVs were detected on the Infinium™ Global
791 Screening Array-24 v2.0 BeadChip. Data were imputed using the Michigan Imputation Server with
792 Minimac4(Das et al. 2016). After retrieving the data, all markers with $R^2 < 0.7$ were removed from the
793 analysis before proceeding further. Data were analyzed in R v3.6.0(1). The SNPassoc package v2 was
794 used to explore associations between mutations. Employing the "association" function, we performed
795 case/control analysis (Chi-square test) of all the possible genetic models (codominant, dominant,
796 recessive, overdominant, and log-additive) to examine the associations between phenotypes and input
797 mutations.

798

799 **Prediction of CDA in Human Cohorts**

800 Each human cohort was first randomly partitioned into 80% training set and 20% testing set. During
801 model construction, logistic regression was deployed in a bootstrapping strategy with a fixed sampling
802 rate (80% evaluation and 20% validation) and many iterations (100). After bootstrapping, the SNPs,
803 significantly associated with CDA in both evaluation and validation data for at least 5 times across 100
804 iterations, were selected to construct the Least Absolute Shrinkage and Selection Operator (LASSO)
805 regression model on the 80% training set. After training, the best cutoff on the receiver operating
806 characteristic (ROC) curve was optimized based on the maximum Youden's index
807 (sensitivity+specificity-1), and the LASSO models, as well as the corresponding optimal cutoff, were
808 applied on the 20% testing set for independent evaluation of the model performance.

809 For addicinal information, see also **Supplemental_Methods.pdf**.

810

811 **Data access**

812 This published article and its supplemental information files include most of the data generated and
813 analyzed in this study. Related metadata underlying the findings are available as additional datasets in
814 the public repository DIGITAL.CSIC <http://hdl.handle.net/10261/239215>. The other human genetic and
815 clinical data are available upon reasonable request from those of us who are the corresponding authors
816 of previously published manuscripts.

817

818 Supplementary Datasets that are available in the DIGITAL_CSIC repository are:

819 1. Folder-1: datasets related to the quantification of CDA pathophenotypes.

820 2. Folder-2: datasets associated with the quantification of intermediate molecular phenotypes.

821 3. Folder-3: datasets related to mouse genotyping.

822 4. Folder-4: datasets associated with LOD scores of ipQTLs.

823 5. Folder-5: datasets associated with LOD scores of cdaQTLs.

824 6. Folder-6: datasets related to patients.

825

826 **Competing interests**

827 The authors declare that they have no competing interests.

828

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850

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