# What Lies at the Heart of Photobiomodulation: Light, Cytochrome C Oxidase, and Nitric Oxide—Review of the Evidence

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# Abstract

*Objective:* The underlying mechanisms of photobiomodulation (PBM) remain elusive. The most attractive hypotheses revolve around the role of cytochrome c oxidase (CCO) and cellular energetics.

**Background:** No reliable demonstration of any PBM-related light-induced mechanistic effect on CCO has been reported. Studies on PBM have proven to be either nonreproducible, of questionable relevance, or involve wavelengths unlikely to be operative *in vivo*. The literature reveals very few demonstrable mechanistic light effects of any sort on CCO. Nitric oxide (NO) is involved in a number of the reported light effects on CCO. NO inhibits CCO at high reductive pressures by binding to the heme a<sub>3</sub> moiety. This complex is white light labile. *Methods:* The reported photolability of the heme–NO complex seems to be a prime target for PBM studies, as removal of inhibiting NO from the active site of CCO could restore normal activity to inhibited CCO. Another aspect of CCO–NO chemistry has been revealed that shows intriguing possibilities.

**Results:** A novel nitrite reductase activity of solubilized mitochondria has been demonstrated attributable to CCO. NO production was optimal under hypoxic conditions. It was also found that 590 nm irradiation increased NO production by enhancing NO release. The presence of cellular NO has usually been considered metabolically detrimental, but current thinking has expanded the importance and the physiological roles of NO. Evidence shows that NO production is likely to play a role in cardioprotection and defenses against hypoxic damage.

*Conclusions:* Studies combining PBM and hypoxia also point to a connection between light irradiation, hypoxia protection, and NO production. This leads the authors to the possibility that the intrinsic nature of PBM involves the production of NO. The combination of CCO and hemoglobin/myoglobin NO production with photorelease of NO may constitute the heart of PBM.

Keywords: photobiomodulation, cytochrome c oxidase, nitric oxide

# Introduction

A FTER MANY DECADES OF STUDY, the underlying mechanisms of photobiomodulation (PBM) remain elusive. Although the most attractive hypotheses revolve around the role of cytochrome c oxidase (CCO) and cellular energetics, no reliable demonstration of any PBM-related light-induced mechanistic effect on CCO has been reported. Indeed, this has been the bane of the entire field all along, as studies on PBM, most relating to epiphenomena and not mechanistically oriented, have proven to be either nonreproducible, of questionable relevance, or involve wavelengths unlikely to be operative *in vivo*.

# **Review and Discussion**

As endogenous chromophores with relevant absorbances are relatively rare, CCO, and other heme proteins, remains the best target for our investigations. A thorough reading of the literature though reveals very few demonstrable light effects of any sort on CCO. Gibson and Greenwood<sup>1,2</sup> studied the white light flash photolysis of carbon monoxide (CO) from the active site of CCO. Bocian et al.<sup>3</sup> found no photoreduction of oxidized CCO with 600 nm light. Babcock et al.<sup>4</sup> noted that oxygen bound to the heme a<sub>3</sub> site of CCO was photolabile using light of 532 nm. Hallen et al.<sup>5</sup> showed light of 532 nm caused retrograde electron transfer

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from CuB in the absence of CO or oxygen in the CCO E turnover state. Pastore et al.<sup>6</sup> reported that 632 nm laser preirradiation causes improved cytochrome c oxidation and oxygen consumption in isolated CCO. Quirk and Whelan,<sup>7</sup> however, showed no effect on the kinetics of cytochrome c oxidation by isolated CCO using 670 and 830 nm preirradiation, or 660 nm concurrent irradiation. Brooks et al.<sup>8</sup> demonstrated autoreduction of the binuclear center with subsequent oxygen binding using light <300 nm.

Nitric oxide (NO) is involved in many of the reported light effects on CCO. Boelens et al.<sup>9,10</sup> reported, using cryogenic EPR, the photolability of heme  $a_3^{+2}$ -NO, using 595, 560, or 430 nm light, from CCO in the R state, and CuB<sup>+2</sup>-NO using 640 and <400 nm light in the O and E turnover states. Sarti et al.<sup>11</sup> also found that NO bound to the heme  $a_3$  site in the R state (reduced CCO) is white light labile at high reductive pressures (high reduced cytochrome c levels) and room temperature.

Sarti also reports<sup>11</sup> on the dual nature of NO inhibition of CCO. Brown<sup>12</sup> showed that NO inhibits oxygen consumption of CCO in a reversible nature and in competition with oxygen. Again he showed<sup>13</sup> NO rapidly and reversibly inhibited the steady state turnover of isolated CCO, and the inhibition was competitive with oxygen. He found that NO binds with high affinity to the oxygen binding site of CCO when it is reduced. He hypothesized that NO functioned to regulate mitochondrial respiration and cell functions. Sarti found<sup>11</sup> that NO inhibits CCO at high reductive pressures by binding to the heme a<sub>3</sub>. This complex is white light labile. At low reductive pressures, either high turnover or low reduced cytochrome c levels, NO inhibits by binding to oxidized CCO at the CuB center. The NO then becomes an electron donor to heme a<sub>3</sub> or, ultimately, CuA. In this manner, NO is oxidized to nitrite  $(NO_2^{-})$ , which is not light labile. The nitrite formed eventually diffuses from the active site, allowing for more nitrite formation. Cooper<sup>14</sup> further elaborated on the various reactions of NO with CCO. The predominate reactions *in vivo* are the inhibition of CCO by the binding of NO to heme a<sub>3</sub> or CuB. He also reports on the formation of NO by CCO from nitrite, but does consider this unlikely to be important physiologically due to slow kinetics and poor binding of nitrite.

Therefore, the reported photolability of the heme  $a_3^{+2}$ –NO complex would seem to be a prime target for PBM studies, as removal of inhibiting NO from the active site of CCO could restore normal activity to inhibited CCO. Borutaite et al.<sup>15</sup> found that the NO-induced inhibition of respiration in isolated mitochondria due to inhibition of cytochrome oxidase was acutely reversible by white light. Light alone had no effect on oxygen consumption, but did relieve the inhibition induced by the addition of dissolved NO gas. In our own laboratory, studies of oxygen consumption inhibition of isolated CCO with an NO donor show no effect of 670 or 830 nm irradiation (unpublished results).

Although the NO-inhibition relief hypothesis has by no means been exhaustively explored, another aspect of CCO–NO chemistry has been revealed that shows intriguing possibilities. The Poyton group<sup>16</sup> reported a novel nitrite reductase activity of solubilized mitochondria attributed to CCO. They found that NO is produced over a wide range of oxygen concentrations, with NO production increasing as oxygen levels and pH decrease. The NO production was optimal under hypoxic conditions. They also found that irradiation increased NO synthesis in an intensity-dependent manner, without affecting oxygen consumption. The best results were found with 590 nm light, and were operative at physiological nitrite levels. Poyton and Ball lay out the case<sup>17</sup> for considering PBM to be a consequence of this CCO-related NO synthase (NOS) activity. In their view, NO is implicated in PBM, NO is made from nitrite by CCO under both hypoxic and, to some degree, normoxic conditions, and light enhances this activity. They propose that 590 nm irradiation enhances the NO off rate, hence the nitrite on rate and, therefore, NO production.

The presence of cellular NO has usually been considered metabolically detrimental, but current thinking has expanded the importance and the physiological roles of NO.<sup>18,19</sup> In particular, protection against ischemia/reperfusion damage seems to be mediated by the presence or production of NO. Kosaka<sup>20</sup> concluded that NO suppresses oxidative agents and improves oxygen transport, and that NO bound to hemoglobin (Hb) increases oxygen release. DeVisscher et al.<sup>21</sup> found that during reperfusion NO increased to what would be inhibitory levels in vitro, but found no inhibition of CCO in vivo after anoxia. Cooper<sup>22</sup> maintains that NO inhibition of oxygen consumption can increase the zone of oxygenation from blood vessel walls and lower the number of hypoxic cells. Brown and Borutaite<sup>23</sup> claim that Hb and myoglobin (Mb) in the heart may supply NO at low oxygen levels. Umbreit<sup>24</sup> shows that while oxyHb consumes NO, forming nitrite, deoxyHb can consume nitrite, producing NO. Burkard et al.<sup>25</sup> found that overexpression of neuronal NOS in mitochondria is cardioprotective through inhibition of mitochondrial function and decrease of reactive oxygen species. Umbrello et al.<sup>26</sup> present a theory of a role for NO in hypoxia involving energy supply-demand matching. During hypoxia, NO modulates energy metabolism, reducing oxygen consumption and promoting alternative pathways. Under hypoxic conditions, both Hb and Mb can reduce nitrite to NO. He presents four mechanisms for rebalancing oxygen supply and demand in hypoxia: (1) increased NO production by NOS, (2) increased reduction of nitrite to NO by hemes and pterins, (3) increased release of NO from storage pools, and (4) decreased destruction of NO by CCO. La Padula et al.<sup>27</sup> found that rats exposed to simulated high altitude (hypobaric conditions) had increased NO production, leading to cardioprotection. It is noted also that native Tibetans have increased NO levels.

Thus evidence shows that NO production is likely to play a role in cardioprotection and defenses against hypoxic damage. Studies combining PBM and hypoxia also point to a connection between light irradiation, hypoxia protection, and NO production. Zhang et al.<sup>28</sup> reported protection against hypoxia and reoxygenation injury in cardiomyocytes by 670 nm light in a manner that is dependent upon NO derived from NOS and non-NOS sources. The protective effects of irradiation were dependent on the presence of NO. Quirk et al.<sup>29</sup> demonstrated the safety and efficacy of using 670 mm irradiation for cardioprotection in an *in vivo* rat ischemia model, and that this cardioprotection was dependent upon some factor present in blood, but not in perfusion buffer. Lohr et al.<sup>30</sup> studied NO release from nitrosyl-Hb and Mb, and found NO release to be four times faster with

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670 nm irradiation. Hb nitrite reductase activity was found to be maximal at ~50% oxygen saturation. This released NO may enhance the cardioprotective effects of nitrite. Keszler et al.<sup>31</sup> found that diabetic mice, which failed other cardioprotective strategies, were protected by 660 nm irradiation, independent of NOS. Further, 660 nm light released NO from HbNO and MbNO *in vitro*. In 2018 using s-nitrosothiol and dinitrosyl iron compounds, she found 670 nm light the most effective in releasing NO, though only 670, 740, and 830 nm wavelengths were tested.

This "mini-review" of CCO-related light interactions, along with NO–CCO and other heme protein chemistry, leads the authors to the possibility that the intrinsic nature of PBM involves the production of NO. Indeed, the combination of CCO and Hb/Mb NO production with photorelease of NO may constitute the heart of PBM.

### **Summary Points and Conclusions**

- (1) Most published CCO light effects are not reproducible or not relevant (too short wavelength, for example).
- (2) Internal electron transfers in CCO are not light affected.
- (3) Cardioprotection appears to be non-NOS-mediated NO production related.
- (4) NO is produced by (non-NOS) nitrite reduction to NO by CCO or Mb/Hb in a low oxygen environment and (in the case of CCO) high reductive pressure (high metabolic demand). Pathological conditions favor NO production.
- (5) The 590 nm light disassociates NO from CCO (or Mb/Hb), enhancing NO production and effects. Increasing NO off rate increases NO production.
- (6) Most epiphenomenon PBM studies seem to be problematic. Particularly so are the wide variety of wavelengths and power and dose applications reported. Some studies may be subject to wishful thinking, selective data analysis, small effect sizes, small sample sizes, or high variability. Also questionable, but not necessarily intrinsically false, are notions of delayed effects (preirradiation) and the idea of "best dose": that is, lower and higher doses are detrimental, not beneficial. Pulsing may also be questionable. None of these ideas have any solid mechanistic or theoretical basis.
- (7) If the main light-induced effect is indeed enhanced NO off rate by 590 nm light, studies showing effects of >590 nm light may be either faulty or the result of the lower tail end of larger bandwidth sources. Perhaps genuine PBM effects do not require high power, but sources centered off 590 nm need high power to achieve an adequate dose in the 590 nm area. Optimal wavelength, particularly for NO release, requires further extensive exploration. It is noted<sup>32</sup> that 590 nm is located at the edge of the so-called tissue transparency window.
- (8) Hypoxic/pathological PBM studies may be more creditable.
- (9) Therefore, we propose that the major effect of light (PBM) may be enhanced NO production by CCO or Mb/Hb by reducing nitrite to NO. This enhancement is due to the increased disassociation of the produced

NO from the ferrous–nitrosyl active site. Increasing the off rate will shift the equilibrium more in favor of the product (NO). NO may then have various downstream prosurvival actions, such as metabolic regulation, increased oxygen availability, antiapoptotic effects, and other types of signaling.

#### **Author Disclosure Statement**

No competing financial interests exist.

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