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# ORIGINAL ARTICLE



# Under-representation of elderly in clinical trials: An analysis of the initial approval documents in the Food and Drug Administration database

#### Correspondence

Robert Rissmann, PhD, Centre for Human Drug Research, Zernikedreef 8, 2333CL Leiden, the Netherlands. Email: rrissmann@chdr.nl **Aims:** To evaluate the availability of pharmacokinetic, safety and efficacy analyses specifically targeted at elderly, prior to the authorization of drugs.

**Methods:** A cross-sectional, structured review of publicly available initial approval documents of Food and Drug Administration-approved drugs was performed. The 10 most frequently on-label prescribed drug classes, drugs with known pharmacokinetic differences in the elderly or drugs that are relatively contraindicated in elderly (e.g. anticholinergics or benzodiazepines) were included in the analyses.

**Results:** In total, 1129 unique active pharmaceutical ingredients were found eligible for the analyses, of these, 506 were found in the Food and Drug Administration database (45%). The initial approval documents were available for 182 drugs. For the majority of the drugs, the initial approval documents in the database showed information on pharmacokinetics in elderly (n = 113; 62%). Furthermore, over time, the availability of information with regard to elderly increased statistically significantly from 0% in the period 1970–1979 to 76% for the period 2010–2018. Information on safety and efficacy was less frequently present, i.e. 42% and 45%, respectively and, moreover, the availability of information did not improve over time.

**Conclusion:** The under-representation of elderly in clinical trials thereby challenging the external validity of benefit/risk assessments of launched drugs was confirmed. Priority should be given to a study population that is representative for the target population.

### KEYWORDS

clinical trials, efficacy, elderly, pharmacokinetics, safety

# 1 | INTRODUCTION

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The elderly represent a fast-growing majority of the population in the Netherlands and worldwide. <sup>1,2</sup> In Europe, 25% of the population is aged

The authors confirm that the PI for this paper is Rikje Ruiter.

60 years or over and is expected to grow to 35% in 2050.<sup>3</sup> Importantly, the representation of older people in clinical drug trials requires special attention, as it is known that pharmacokinetics and pharmacodynamics (and hence efficacy and safety) substantially change after the age of 75 years; albeit, not all drugs are similarly affected leading to increased variability in drug levels.<sup>4</sup> In literature, different physiological

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parameters are discussed to affect absorption, distribution, metabolism and excretion of drugs during aging. For example, sarcopenia and increased percentage of fat tissue results in a different distribution volume.<sup>5</sup> With regard to metabolism, the total liver mass reduces with age and there is a lower capacity for phase 1 reactions through the cytochrome P-450 enzymes.<sup>6,7</sup> In contrast, conjugation reactions are not affected by ageing.<sup>5</sup> Also, the hepatic blood flow is lower, which results in a reduced first pass effect.<sup>8</sup> The renal function diminishes with age: there is reduced renal blood flow, diminished glomerular filtration rate and a reduced renal tubular secretory function. 5 It is generally accepted that of the pharmacokinetic parameters, absorption is least affected by age.<sup>5</sup> Pharmacodynamic changes in the elderly are the consequence of diminished reserve capacity or diseases of organ systems and changes in receptor number and affinity.5 In addition, comorbidity associated polypharmacy is more common among elderly and consequently the risk of interactions as well as adverse drug reactions is higher. These age-related differences may give rise to age-specific risk/benefit ratios for drugs in elderly.

The elderly consume the majority of prescribed medications and carry the largest burden of chronic diseases. 10 Their representation in clinical trials should reflect this. For this reason, in 1993, the International Conference on Harmonization (ICH) of technical requirements for registration of pharmaceuticals for human use issued the ICH E7 guideline for the carrying out of studies in the geriatric population, stating that the trial population should represent the population that will consume the drug and should include a minimum percentage of older participants. The ICH E7 guideline was endorsed, among others, by the Food and Drug Administration (FDA) and pharmaceutical companies. 11 Nevertheless, previous reports described that elderly are generally under-represented in clinical studies in cardiology 12-17 and oncology<sup>18-21</sup> as they are excluded due to older age, multimorbidity or polypharmacy. Descriptive studies showed that in 30-40% of the original research papers in major medical journals, elderly people were excluded without justification.<sup>22,23</sup> Recent investigations carried out to evaluate the adherence to the ICH E7 guideline, showed that the proportion of the elderly in clinical trials is unacceptably low (1-9% in trials involving diseases not unique to old age).<sup>24</sup> This was confirmed by an evaluation of the clinical trial database (clinicaltrials.gov).<sup>25</sup> However, no investigation has systematically reviewed the available information on publicly available database of health care regulators.

In this study, we aimed to evaluate the availability of pharmacokinetic, safety and efficacy analyses specifically targeted at the elderly, prior to the authorization of the most frequently prescribed drug classes during the past years.

### 2 | METHODS

### 2.1 Design

A cross-sectional, structured assessment of publicly available initial approval documents of FDA-approved drugs was performed. To obtain marketing authorization for newly developed drugs, companies are

# What is already known about this subject

- Under-representation of elderly in clinical trials has been described earlier, thereby challenging the external validity of benefit/risk assessments of launched drugs.
- Pharmacokinetic differences in the elderly may give rise to differences in safety and efficacy. Therefore, it is pivotal include geriatric patients in clinical trials of medical substances.

# What this study adds

- This is the first cross sectional, structured research on availability of pharmacokinetic, safety and efficacy analyses of the publicly available initial approval documents of Food and Drug Administration-approved drugs.
- For the majority of the drugs, the initial approval documents showed information on pharmacokinetics in elderly, but information on safety and efficacy was missing.

required to deliver quality, safety and efficacy information about the drug.

# 2.2 | Drugs of interest

The following initial approval documents were assessed: those of frequently on-label prescribed drug classes, those of drugs with known pharmacokinetic differences in the elderly, or those of drugs that are relatively contraindicated in elderly (e.g. anticholinergics or benzodiazepines). The following most frequently described drug classes were selected: antihypertensive drugs, medication for pain, drugs used for mental health or nervous system disorders, antibacterial drugs, lipid regulators, glucose lowering drugs, respiratory drugs, antiulcer drugs and thyroid therapies based on IQVIA. Furthermore, drugs with known large or small volumes of distribution and/or high or low hepatic clearance or renal excretion were included. Last, drugs with relative contraindications in elderly (e.g. anticholinergics or benzodiazepines).

# 2.3 | Assessment of information on pharmacokinetics, safety and efficacy

For these drug classes all available medical substances were extracted in December 2017 from the World Health Organization Anatomical Therapeutical Chemical Classification (ATC) index 2018.<sup>28</sup> All drugs selected for the analyses were included in Table S1. Subsequently, using the FDA drug database, initial approval documents were retrieved for all



selected drugs<sup>29</sup> during the period December 2017-March 2018. When initial approval documents were available, these were evaluated for availability of data on pharmacokinetics, efficacy and safety analyses. The availability of analyses in the geriatric population was assessed as sufficient or insufficient, based on thorough assessment of the complete initial approval document. Information was deemed sufficient if information on pharmacokinetics, efficacy and safety was present in adequate numbers (e.g. representative of the target population). Adequate was assessed in concordance with the ICH7 guideline: "geriatric patients should be included in the Phase 3 database (and in Phase 2, at the sponsor's option) in meaningful numbers." With regard to pharmacokinetic studies it is stated in the ICH7 guideline that "a pilot trial of limited size conducted under steady-state conditions to look for sizable differences between older and younger subjects or patients" can be performed and "a larger, single-dose pharmacokinetic study of sufficient size to permit statistical comparisons between geriatric and younger subjects' or patients' pharmacokinetic profiles is also acceptable." A pharmacokinetic screening approach as described in the ICH7 guideline was also deemed adequate.

Furthermore, the year of initial marketing approval was extracted. One researcher (Ri.R.) performed the inclusion and assessments. In case of uncertainty, a second researcher (Ro.R.) was consulted. A random sample of 10% (n = 18) was selected and double checked by the second researcher (Ro.R.), to ensure correct assessment of adequateness. Outcomes were numbers (%) of initial approval documents that contained adequate data on pharmacokinetics, efficacy and safety analyses.

### 2.4 | Statistical analyses

To assess whether the percentage of available initial approval documents significantly increased during a certain time frame,  $\chi^2$  test statistics were used, considering P < .05 statistically significant. Analyses were performed using SPSS statistics version 23.

# 3 | RESULTS

In the ATC database, 1129 unique active pharmaceutical ingredients were found for the analyses (Table S1). Of these, 506 medical substances were found in the FDA database (45%). The majority consisted of drugs

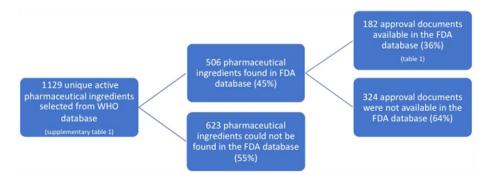
for mental health or nervous system disorders (n = 132; 26%); followed by antibacterial drugs (n = 101; 20%) and respiratory drugs (n = 86; 17%). Seventy-one antihypertensive drugs were found (14%) and 39 glucose-lowering drugs (8%). All other drugs each comprised <5% of the total amount of drugs found in the FDA database (Figure 1).

Of the 506 medical substances, 182 (36%) initial approval documents were available (Table 1). Of these, the majority were drugs for mental health or nervous system disorders (n = 51; 28%); followed by glucose-lowering drugs (n = 32; 18%) and antibacterial drugs (n = 22; 12%). Twenty-one antihypertensive drugs were found (12%), 16 lipid-lowering drugs (9%) and 15 respiratory drugs (8%). Antiulcer drugs comprised 7% (n = 13) and all other drugs each comprised <5% of the total amount.

For the majority of the drugs, the initial approval documents in the database did show information on pharmacokinetics in elderly (n = 113; 62%). For 1 drug, it was explicitly stated that information on pharmacokinetics, safety and efficacy in elderly was not applicable (ivacaftor). Furthermore, over time, the availability of information on pharmacokinetics in elderly increased statistically significantly from zero in the period 1979–1979 to 76% (n = 32) in the period 2010–2018 (p = .02; Table 2). For safety and efficacy information in elderly, detailed information was present in respectively 77 and 81 documents (42% and 45%). In addition, the availability of information on safety and efficacy in elderly did not improve over time (p = 0.13 and 0.11, respectively).

### 4 | DISCUSSION

In this study the availability of pharmacokinetic, safety and efficacy analyses specifically targeted at the elderly, prior to the authorization of the most frequently prescribed drug classes was evaluated. Based on the available initial approval documents, it was concluded that 62% of the FDA documents included reports on pharmacokinetic analyses, and 42 and 45% on safety and efficacy analyses in the elderly. For the majority of the drugs, the initial approval documents were not available in the database; however, over time, the percentage of available initial approval documents, as well as the information on pharmacokinetics increased significantly. With regard to crucial data on safety and efficacy, presence of information specifically on elderly was insufficient and did not increase over time.



**FIGURE 1** Flow diagram of the availability of initial approval documents in the Food and Drug Administration (FDA) database. WHO, World Health Organization



**TABLE 1** Overview of the 182 substances included in the analyses (sorted on ATC code)

Number ATC code Generic product 1 A02BA01 Cimetidine 2 A02BA02 Ranitidine 3 A02BA03 Famotidine 4 A02BA04 Nizatidine 5 A02BB01 Misoprostol 6 A02BC01 Omeprazole 7 A02BC02 Pantoprazole 8 A02BC03 Lansoprazole 9 A02BC04 Rabeprazole 10 A02BC05 Esomeprazole 11 A02BC06 Dexlansoprazole 12 A02BX02 Sucralfate 13 A02BX05 Bismuth subcitrate 14 A10AB01 Insulin (inhalation) 15 A10AB02 Insulin (glargine) A10AB03 16 Insulin (inhalation) 17 A10AB06 Insulin glulisine 18 A10AD04 Insulin lispro 19 Insulin degludec and insulin aspart A10AD06 20 A10AE04 Insulin glargine 21 A10AE05 Insulin detemir 22 A10AE06 Insulin degludec 23 A10BA02 Metformin 24 A10BB02 Chlorpropamide 25 A10BB07 Glipizide 26 A10BB12 Glimepiride 27 A10BF01 Acarbose 28 A10BG01 Troglitazone 29 A10BG02 Rosiglitazone 30 A10BG03 Pioglitazone 31 A10BH01 Sitagliptin 32 A10BH03 Saxagliptin 33 A10BH04 Alogliptin 34 A10BH05 Linagliptin 35 A10BJ01 Exenatide 36 A10BJ02 Liraglutide 37 A10BJ03 Lixisenatide 38 A10BJ04 Albiglutide 39 A10BJ05 Dulaglutide 40 A10BJ06 Semaglutide 41 A10BK01 Dapagliflozin 42 A10BK02 Canagliflozin 43 A10BK03 Empagliflozin 44 A10BX03 Nateglinide 45 A10BX05 Pramlintide 46 C03DA04 Eplerenone 47 C03XA01 Tolvaptan C03XA02 48 Conivaptan

TABLE 1 (Continued)

TABLE 1	(Continued)					
Number	ATC code	Generic product				
49	C04AB01	Phentolamine				
50	C07AB09	Esmolol				
51	C07AB12	Nebivolol				
52	C07AG02	Carvedilol				
53	C08CA01	Amlodipine				
54	C08CA02	Felodipine				
55	C08CA03	Isradipine				
56	C08CA16	Clevidipine				
57	C09AA02	Enalapril				
58	C09AA03	Lisinopril				
59	C09AA10	Trandolapril				
60	C09AA13	Moexipril				
61	C09CA02	Eprosartan				
62	C09CA03	Valsartan				
63	C09CA04	Irbesartan				
64	C09CA06	Candesartan				
65	C09CA07	Telmisartan				
66	C09CA08	Olmesartan medoxomil				
67	C09CA09	Azilsartan medoxomil				
68	C09XA02	Aliskiren				
69	C10AA01	Simvastatin				
70	C10AA02	Lovastatin				
71	C10AA03	Pravastatin				
72	C10AA04	Fluvastatin				
73	C10AA05	Atorvastatin				
74	C10AA06	Cerivastatin				
75	C10AA07	Rosuvastatin				
76	C10AA08	Pitavastatin				
77	C10AB05	Fenofibrate				
78	C10AB11	Choline fenofibrate				
79	C10AC04	Colesevelam				
80	C10AX09	Ezetimibe				
81	C10AX11	Mipomersen				
82	C10AX12	Lomitapide				
83	C10AX13	Evolocumab				
84	C10AX14	Alirocumab				
85	G04BD07	Tolterodine				
86	H03AA01	Levothyroxine sodium				
87	J01AA12	Tigecycline				
88	J01DD15	Cefdinir				
89	J01DD16	Cefditoren				
90	J01DE01	Cefepime				
91	J01DH02	Meropenem				
92	J01DH03	Ertapenem				
93	J01DH04	Doripenem				
94	J01DI02	Ceftaroline fosamil				
95	J01FA13	Dirithromycin				
96	J01FA15	Telithromycin				
97	J01MA12	Levofloxacin				

(Continues) (Continues)



TABLE 1 (Continued)

98         J01MA13         Trovafloxacin           99         J01MA14         Moxifloxacin           100         J01MA15         Gemifloxacin           101         J01MA16         Gatifloxacin           102         J01XA03         Telavancin           103         J01XA04         Dalbavancin           104         J01XA05         Oritavancin           105         J01XX02         Tinidazole           106         J01XX08         Linezolid           107         J01XX09         Daptomycin           108         J01XX11         Tedizolid           109         M01AH01         Celecoxib           110         N02A05         Oxycodone           111         N02A05         Oxycodone           112         N02AN06         Tapentadol           113         N02B03         Fentanyl           112         N02AN06         Tapentadol           113         N02B08         Ziconotide           114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan     <	Ni	ATC :	Company I
99 J01MA14 Moxifloxacin 100 J01MA15 Gemifloxacin 101 J01MA16 Gatifloxacin 102 J01XA03 Telavancin 103 J01XA04 Dalbavancin 104 J01XA05 Oritavancin 105 J01XD02 Tinidazole 106 J01XX08 Linezolid 107 J01XX09 Daptomycin 108 J01XX11 Tedizolid 109 M01AH01 Celecoxib 110 N02AA05 Oxycodone 111 N02AB03 Fentanyl 112 N02AX06 Tapentadol 113 N02BG08 Ziconotide 114 N02CC02 Naratriptan 115 N02CC03 Zolmitriptan 116 N02CC04 Rizatriptan 117 N02CC05 Almotriptan 118 N02CC06 Eletriptan 119 N02CC07 Frovatriptan 120 N03AF02 Oxcarbazepine 121 N03AF03 Rufinamide 122 N03AF04 Eslicarbazepine 123 N03AG04 Vigabatrin 124 N03AG06 Tiagabine 125 N03AX11 Topiramate 126 N03AX14 Levetiracetam 127 N03AX15 Zonisamide 128 N03AX16 Pregabalin 129 N03AX18 Lacosamide 129 N03AX18 Lacosamide 130 N03AX22 Perampanel 131 N03AX23 Brivaracetam 132 N04 BC05 Pramipexole 133 N04 BC05 Pramipexole 134 N04 BC06 Cabergoline 135 N04 BC07 Apomorphine 136 N04 BC09 Rotigotine 137 N04BD02 Rasagiline 138 N04BD03 Safinamide 139 N04BX01 Tolcapone 140 N04BX02 Entacapone 141 N05AH04 Quetiapine 143 N05AH03 Olanzapine 144 N05AH04 Quetiapine 145 N05AH05 Asenapine	Number	ATC code	Generic product
100         J01MA15         Gemifloxacin           101         J01MA16         Gatifloxacin           102         J01XA03         Telavancin           103         J01XA04         Dalbavancin           104         J01XA05         Oritavancin           105         J01XD02         Tinidazole           106         J01XX08         Linezolid           107         J01XX09         Daptomycin           108         J01XX11         Tedizolid           109         M01AH01         Celecoxib           110         N02AA05         Oxycodone           111         N02AB03         Fentanyl           112         N02AX06         Tapentadol           113         N02BG08         Ziconotide           114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC03         Almotriptan           118         N02CC04         Rizatriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide <td></td> <td></td> <td></td>			
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107         J01XX09         Daptomycin           108         J01XX11         Tedizolid           109         M01AH01         Celecoxib           110         N02AA05         Oxycodone           111         N02AB03         Fentanyl           112         N02AX06         Tapentadol           113         N02BG08         Ziconotide           114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AF04         Eslicarbazepine           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX11         Topiramate           127         N03AX15         Zonisamide           128         N03AX15         Zonisamide			
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110         NO2AA05         Oxycodone           111         NO2AB03         Fentanyl           112         NO2AX06         Tapentadol           113         NO2BG08         Ziconotide           114         NO2CC02         Naratriptan           115         NO2CC03         Zolmitriptan           116         NO2CC04         Rizatriptan           117         NO2CC05         Almotriptan           118         NO2CC06         Eletriptan           119         NO2CC07         Frovatriptan           120         NO3AF02         Oxcarbazepine           121         NO3AF03         Rufinamide           122         NO3AF04         Eslicarbazepine           123         NO3AF04         Eslicarbazepine           124         NO3AF04         Vigabatrin           125         NO3AX11         Topiramate           126         NO3AX11         Topiramate           127         NO3AX15         Zonisamide           128         NO3AX15         Zonisamide           129         NO3AX18         Lacosamide           129         NO3AX18         Lacosamide           130         NO3AX22         Perampa	108	J01XX11	
111         NO2AB03         Fentanyl           112         NO2AX06         Tapentadol           113         NO2BG08         Ziconotide           114         NO2CC02         Naratriptan           115         NO2CC03         Zolmitriptan           116         NO2CC04         Rizatriptan           117         NO2CC05         Almotriptan           118         NO2CC06         Eletriptan           119         NO2CC07         Frovatriptan           120         NO3AF02         Oxcarbazepine           121         NO3AF03         Rufinamide           122         NO3AF04         Eslicarbazepine           123         NO3AF04         Eslicarbazepine           124         NO3AF04         Vigabatrin           125         NO3AX11         Topiramate           126         NO3AX11         Topiramate           127         NO3AX15         Zonisamide           128         NO3AX15         Zonisamide           129         NO3AX18         Lacosamide           129         NO3AX18         Lacosamide           130         NO3AX22         Perampanel           131         NO3AX23         Brivar	109	M01AH01	Celecoxib
112         N02AX06         Tapentadol           113         N02BG08         Ziconotide           114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pra	110	N02AA05	Oxycodone
113         N02BG08         Ziconotide           114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         C	111	N02AB03	Fentanyl
114         N02CC02         Naratriptan           115         N02CC03         Zolmitriptan           116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07 <td< td=""><td>112</td><td>N02AX06</td><td>Tapentadol</td></td<>	112	N02AX06	Tapentadol
115	113	N02BG08	Ziconotide
116         N02CC04         Rizatriptan           117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02	114	N02CC02	Naratriptan
117         N02CC05         Almotriptan           118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX11         Topiramate           127         N03AX15         Zonisamide           128         N03AX15         Zonisamide           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safi	115	N02CC03	Zolmitriptan
118         N02CC06         Eletriptan           119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX11         Topiramate           127         N03AX15         Zonisamide           128         N03AX15         Zonisamide           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC06         Cabergoline           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolca	116	N02CC04	Rizatriptan
119         N02CC07         Frovatriptan           120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD03         Safinamide           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Ent	117	N02CC05	Almotriptan
120         N03AF02         Oxcarbazepine           121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC07         Apomorphine           136         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE05         Lur	118	N02CC06	Eletriptan
121         N03AF03         Rufinamide           122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE05         Lurasidone           143         N05AH03         Olanzapi	119	N02CC07	Frovatriptan
122         N03AF04         Eslicarbazepine           123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC07         Apomorphine           136         N04 BC07         Apomorphine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanza	120	N03AF02	Oxcarbazepine
123         N03AG04         Vigabatrin           124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC06         Cabergoline           136         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH05         Asenapine<	121	N03AF03	Rufinamide
124         N03AG06         Tiagabine           125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine <td>122</td> <td>N03AF04</td> <td>Eslicarbazepine</td>	122	N03AF04	Eslicarbazepine
125         N03AX11         Topiramate           126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC05         Pramipexole           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	123	N03AG04	Vigabatrin
126         N03AX14         Levetiracetam           127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	124	N03AG06	Tiagabine
127         N03AX15         Zonisamide           128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	125	N03AX11	Topiramate
128         N03AX16         Pregabalin           129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	126	N03AX14	Levetiracetam
129         N03AX18         Lacosamide           130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	127	N03AX15	Zonisamide
130         N03AX22         Perampanel           131         N03AX23         Brivaracetam           132         N04 BC04         Ropinirole           133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	128	N03AX16	Pregabalin
131       N03AX23       Brivaracetam         132       N04 BC04       Ropinirole         133       N04 BC05       Pramipexole         134       N04 BC06       Cabergoline         135       N04 BC07       Apomorphine         136       N04 BC09       Rotigotine         137       N04BD02       Rasagiline         138       N04BD03       Safinamide         139       N04BX01       Tolcapone         140       N04BX02       Entacapone         141       N05AE04       Ziprasidone         142       N05AE05       Lurasidone         143       N05AH03       Olanzapine         144       N05AH04       Quetiapine         145       N05AH05       Asenapine	129	N03AX18	Lacosamide
132       N04 BC04       Ropinirole         133       N04 BC05       Pramipexole         134       N04 BC06       Cabergoline         135       N04 BC07       Apomorphine         136       N04 BC09       Rotigotine         137       N04BD02       Rasagiline         138       N04BD03       Safinamide         139       N04BX01       Tolcapone         140       N04BX02       Entacapone         141       N05AE04       Ziprasidone         142       N05AE05       Lurasidone         143       N05AH03       Olanzapine         144       N05AH04       Quetiapine         145       N05AH05       Asenapine	130	N03AX22	Perampanel
133         N04 BC05         Pramipexole           134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	131	N03AX23	Brivaracetam
134         N04 BC06         Cabergoline           135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	132	N04 BC04	Ropinirole
135         N04 BC07         Apomorphine           136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	133	N04 BC05	Pramipexole
136         N04 BC09         Rotigotine           137         N04BD02         Rasagiline           138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	134	N04 BC06	Cabergoline
137       N04BD02       Rasagiline         138       N04BD03       Safinamide         139       N04BX01       Tolcapone         140       N04BX02       Entacapone         141       N05AE04       Ziprasidone         142       N05AE05       Lurasidone         143       N05AH03       Olanzapine         144       N05AH04       Quetiapine         145       N05AH05       Asenapine	135	N04 BC07	Apomorphine
138         N04BD03         Safinamide           139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	136	N04 BC09	Rotigotine
139         N04BX01         Tolcapone           140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	137	N04BD02	Rasagiline
140         N04BX02         Entacapone           141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	138	N04BD03	Safinamide
141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	139	N04BX01	Tolcapone
141         N05AE04         Ziprasidone           142         N05AE05         Lurasidone           143         N05AH03         Olanzapine           144         N05AH04         Quetiapine           145         N05AH05         Asenapine	140	N04BX02	Entacapone
142 N05AE05 Lurasidone 143 N05AH03 Olanzapine 144 N05AH04 Quetiapine 145 N05AH05 Asenapine	141	N05AE04	
144 N05AH04 Quetiapine 145 N05AH05 Asenapine	142	N05AE05	·
144 N05AH04 Quetiapine 145 N05AH05 Asenapine	143	N05AH03	Olanzapine
N05AH05 Asenapine			•
·			
	146	N05AX08	Risperidone

TABLE 1 (Continued)

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Number	ATC code	Generic product
147	N05AX12	Aripiprazole
148	N05AX13	Paliperidone
149	N05AX14	lloperidone
150	N05AX15	Cariprazine
151	N05AX16	Brexpiprazole
152	N05AX17	Pimavanserin
153	N05BA09	Clobazam
154	N05CF02	Zolpidem
155	N05CF03	Zaleplon
156	N05CF04	Eszopiclone
157	N05CH02	Ramelteon
158	N05CH03	Tasimelteon
159	N05CM18	Dexmedetomidine
160	N05CM19	Suvorexant
161	N06AB04	Citalopram
162	N06AB10	Escitalopram
163	N06AX17	Milnacipran
164	N06AX21	Duloxetine
165	N06AX23	Desvenlafaxine
166	N06AX24	Vilazodone
167	N06AX26	Vortioxetine
168	R01AA04	Phenylephrine
169	R01AD13	Ciclesonide
170	R03AC13	Formoterol
171	R03AC18	Indacaterol
172	R03AC19	Olodaterol
173	R03BB04	Tiotropium bromide
174	R03BB05	Aclidinium bromide
175	R03BB07	Umeclidinium bromide
176	R03DC03	Montelukast
177	R03DX05	Omalizumab
178	R06AX17	Ketotifen
179	R06AX24	Epinastine
180	R06AX27	Desloratadine
181	R07AX01	Nitric oxide
182	R07AX02	Ivacaftor

Our results are in line with earlier studies on under-representation of the elderly in (pre-authorization) trials and published reports. <sup>12,13,18,22-25</sup> It was reported that only 3 of the 155 clinical trials on 4 widely prescribed drugs were exclusively designed for patients aged 65 years and older. <sup>30</sup> Moreover, a recent assessment of all performed clinical trials in 2012 revealed that only 2% of the randomized controlled trials were designed for elderly aged 65 and over. <sup>31</sup>

Unfortunately, we have shown that despite efforts to include elderly patients in clinical drug trials, under-representation of elderly patients is still present, which challenges the external validity of benefit/risk assessments of launched drugs and leads to the phenomenon of off label prescribing in old patients.<sup>32</sup> With regard to elderly, adequate representation of the targeted population in clinical trials is

(Continues)

**TABLE 2** Availability of information on pharmacokinetics, safety or efficacy with regard to elderly in the initial approval documents in the Food and Drug Administration database for the 10 most frequently prescribed drug classes, drugs with known large or small volumes of distribution, and/or high or low hepatic clearance or renal excretion, or which are relatively contraindicated in elderly per time period

	Before 1950	1950- 1959	1960- 1969	1970- 1979	1980- 1989	1990- 1999	2000- 2009	2010 onwards	Total
Number of available initial approval documents	0	1	0	2	9	59	69	42	182
Information on pharmacokinetics with regard to elderly sufficient, n (%)	NA	0 (0)	NA	0 (0)	1 (11)	36 (61)	44 (64)	32 (76)	113 (62)
Information on safety with regard to elderly sufficient, n (%)	NA	1 (100)	NA	0 (0)	4 (44)	19 (32)	30 (43)	23 (55)	77 (42)
Information on efficacy with regard to elderly sufficient, n (%)	NA	1 (100)	NA	0 (0)	4 (44)	20 (34)	32 (46)	24 (57)	81 (45)

NA not available.

of pivotal importance as pharmacokinetic differences may give rise to differences in safety and efficacy. However, there are differences in opinion on this issue between EU countries leading to differences in clinical trial regulations and practice in older people, further complicating the adequate inclusion of elderly in clinical trials. 16 Efforts have been made to overcome the underrepresentation of the elderly in clinical research. The updated ICH E7 guideline emphasizes the need for additional short- and long-term safety data, adapted age-specific endpoints and subjective outcomes such as quality of life. Moreover, the population under research should reflect the population at which the drug under investigation is aimed. Unfortunately, no specific percentage can be given as the percentage of elderly in the target population differs per drug. Nevertheless, efforts should be made to include patients aged >80 years with different degrees of comorbidity and frailty.<sup>33</sup> For example, it was recommended to include older people in phase 1 clinical trials on gynaecological cancers, as they have similar toxicity profiles compared to their younger counterpart.<sup>34</sup> However, including elderly in clinical trials remains challenging: given the presence of protocol restrictions (e.g. exclusion criteria on age, polypharmacy and multimorbidity), many elderly must be screened before 1 study participant can be enrolled.30

One of the major drawbacks of this study is that it could not be verified which specific older patients in terms of age, ethnicity, sex and comorbidities were included in the clinical trials. This is important as the elderly population included in the assessed initial approval documents could have consisted of relatively healthy elderly with 1 disease, thus not being representative of the target population of elderly which the drugs are using namely those elderly with multiple comorbidities and polypharmacy.<sup>33</sup> Furthermore, the presence of information on pharmacokinetic studies could only be quantitatively assessed and not qualitatively. The available information was highly variable per assessed molecular entity; for example, different definitions of elderly were used, and information on numbers of elderly in clinical trials was missing frequently. As noticed in the methods section, the ICH7 guideline was used to deem whether numbers of included elderly were adequate, a random sample (10%) of the assessed reports was double checked to verify correct assessment of adequateness. Also, pages of the assessed initial approval reports were regularly withdrawn for confidentiality reasons

of the submitting company. Furthermore, there is no way to determine whether all data submitted to the FDA are available in the online published documents. Lastly, not all pharmaceutical ingredients were found as drugs in the FDA database, which probably leads to nondifferential misclassification.

To our knowledge, this is the first study to assess the presence of information on pharmacokinetics, safety and efficacy in initial approval documents accessible in the FDA database. During the period 1927-2013, a total of 1453 drugs obtained FDA approval and the FDA still approves dozens of drugs each year.<sup>35</sup> However, an analysis on the availability of pre-authorization information on pharmacokinetics, safety and efficacy in elderly in the FDA database has not yet been performed. In a methodological guideline on the use of FDA documents for evidence syntheses, the benefit of using aggregated clinical trial information from FDA documents for the interpretation of data was emphasized, as it is less biased than published trial information.<sup>36</sup> Nevertheless, since 2010, there is still insufficient data available on safety and efficacy of the most frequently prescribed drugs in the elderly. Knowing that older people account for the majority of all drug consumers, priority should be given to clinical research with a study population that is representative for the actual patient population. 10

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### **COMPETING INTERESTS**

There are no competing interests to declare.

# **CONTRIBUTORS**

Ri.R., J.B. and Ro.R. designed the study. Ri.R. and Ro.R. performed the analyses. Ri.R., J.B. and Ro.R. wrote the manuscript.

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# SUPPORTING INFORMATION

Additional supporting information may be found online in the Supporting Information section at the end of the article.

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