

Analysis of three different equations for predicting quadriceps femoris muscle strength in patients with COPD*

Análise de três diferentes fórmulas de predição de força muscular do quadríceps femoral em pacientes com DPOC

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Abstract

Objective: To compare equations for predicting peak quadriceps femoris (QF) muscle force; to determine the agreement among the equations in identifying QF muscle weakness in COPD patients; and to assess the differences in characteristics among the groups of patients classified as having or not having QF muscle weakness by each equation. **Methods:** Fifty-six COPD patients underwent assessment of peak QF muscle force by dynamometry (maximal voluntary isometric contraction of knee extension). Predicted values were calculated with three equations: an age-height-weight-gender equation (Eq-AHWG); an age-weight-gender equation (Eq-AWG); and an age-fat-free mass-gender equation (Eq-AFFMG). **Results:** Comparison of the percentage of predicted values obtained with the three equations showed that the Eq-AHWG gave higher values than did the Eq-AWG and Eq-AFFMG, with no difference between the last two. The Eq-AHWG showed moderate agreement with the Eq-AWG and Eq-AFFMG, whereas the last two also showed moderate, albeit lower, agreement with each other. In the sample as a whole, QF muscle weakness (< 80% of predicted) was identified by the Eq-AHWG, Eq-AWG, and Eq-AFFMG in 59%, 68%, and 70% of the patients, respectively ($p > 0.05$). Age, fat-free mass, and body mass index are characteristics that differentiate between patients with and without QF muscle weakness. **Conclusions:** The three equations were statistically equivalent in classifying COPD patients as having or not having QF muscle weakness. However, the Eq-AHWG gave higher peak force values than did the Eq-AWG and the Eq-AFFMG, as well as showing greater agreement with the other equations.

Keywords: Pulmonary disease, chronic obstructive; Muscle strength; Quadriceps muscle; Reference values.

Introduction

Patients with COPD exhibit functional changes in the airways and lung parenchyma, such as a chronic increase in the respiratory tract inflammatory response, an increase in inflammatory cells throughout the lung tissue, and structural changes related to the injury and repair process.^(1,2) In addition to the functional changes, the disease is characterized by changes of a systemic nature, such as systemic inflammation and increased oxidative stress, nutritional changes (e.g., weight loss and wasting), and generalized skeletal muscle dysfunction. Muscle dysfunction also affects the respiratory muscles, especially the inspiratory muscles, both structurally and functionally.⁽¹⁻⁴⁾

Peripheral muscle dysfunction can be defined as the loss of one of the two main properties of a muscle, which are the force-generating capacity and the endurance capacity.⁽⁵⁾ The dysfunction is characterized by muscle weakness, decreased muscle endurance, and fatigue.^(3,6) It is of note that these three factors can be present simultaneously. The decline in lower limb muscle strength, especially in quadriceps femoral (QF) muscle strength, has been found to be two to four times faster in COPD patients than in healthy individuals.⁽⁷⁾

In severe/very severe COPD, QF muscle weakness is prevalent in approximately 50% of patients.⁽⁸⁾ This impairment has significant clinical consequences in this population,^(4,5,9) since it is associated with

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low exercise tolerance,⁽¹⁰⁾ reduced quality of life,⁽¹¹⁾ increased use of health resources,⁽¹²⁾ and higher mortality risk.⁽¹³⁾

Therefore, it is of utmost importance to assess lower limb muscle strength and to identify muscle weakness. A relatively simple, inexpensive, and easy way to quantify QF muscle strength is to assess peak force during maximal voluntary isometric contraction, which can be done by dynamometry, including with the use of a hand-held dynamometer.^(9,14) It is known that lower limb muscle strength is positively related to variables such as age and body weight, and that males have greater muscle strength than females.^(8,15) In order to take these variation factors (age, weight, gender) into consideration, it is necessary to have reference values for proper interpretation of peripheral muscle strength. However, there are no global reference values for peripheral muscle strength, and the differences among the prediction equations available have not been thoroughly explored in the scientific literature.

Taking all of the above into consideration, the objective of the present study was to compare three equations for predicting peak QF muscle force: one proposed by Neder et al.⁽¹⁶⁾; one proposed by Decramer et al.⁽¹²⁾; and one proposed by Seymour et al.⁽⁸⁾ Other objectives of this study were to determine the agreement among the equations in identifying QF muscle weakness in COPD patients and to assess the differences in characteristics among the groups of patients classified as having or not having QF muscle weakness by each of the three equations.

Methods

This cross-sectional study included 56 COPD patients who were recruited during the initial assessment of a physical training program at the State University at Londrina University Hospital, Londrina, Brazil. The criteria for inclusion in the present study were as follows: having been clinically diagnosed with COPD in accordance with the Global Initiative for Chronic Obstructive Lung Disease (GOLD) criteria⁽¹⁾; being clinically stable, i.e., having had no infections or exacerbations in the previous 3 months; having no severe or unstable heart disease; having no neuromusculoskeletal changes that limited physical activities of daily living; and having had no physical training in the previous year. Patients would be excluded if

they were unable to perform the tests proposed in the study.

The study was approved by the Research Ethics Committee of the State University at Londrina (Protocol no. 061/06). All participants gave written informed consent after explanation of the study procedures.

Pulmonary function was assessed with a Spirobank spirometer, version 3.6 (MIR, Rome, Italy), connected to a computer. Spirometry was performed in accordance with the American Thoracic Society/European Respiratory Society guidelines,⁽¹⁷⁾ with FEV₁, FVC, FEV₁/FVC ratio, and maximal voluntary ventilation being measured. The reference values used were those for the Brazilian population.⁽¹⁸⁾

Body composition was assessed with a bioelectrical impedance device (model 310, version 5.2; Biodynamics Corporation, Seattle, WA, USA), in accordance with the protocol described by Lukaski et al.⁽¹⁹⁾ The device allows the determination of percentage of body fat, total body fat weight, fat-free mass weight, total body water, percentage of fat-free mass, and basal metabolic rate. The reference values used were those of Kyle et al.⁽²⁰⁾ for patients with chronic respiratory failure.

Peripheral muscle strength, represented by peak isometric force of the knee extensor muscles, was assessed with the MicroFET 2 hand-held dynamometer (Hoggan Health Industries, West Jordan, UT, USA), high threshold setting.⁽²¹⁾ The hand-held dynamometer was attached to a multi-station unit (CRW 1000; Embrex, Brusque, Brazil), and assessment occurred at a knee joint angle of 60°, since this is the angle at which the QF muscle produces the greatest muscle force, i.e., the angle at which the greatest torque is produced by the muscle.^(22,23) Patients were seated with their hips flexed at 90°, their backs supported and upright, and their hands resting on their laps (thighs; Figure 1).⁽⁷⁾ Assessment consisted of maximal voluntary isometric contraction of the QF (knee extension) for six seconds. A minimum of four and a maximum of ten measurements were conducted per lower limb assessed. The purpose of this variation in the number of measurements was to ensure that the value of the last measurement was not the highest during the test and that the three highest values differed by less than 5%. The highest value was considered for analysis.⁽⁷⁾ The test was conducted by a trained examiner, who, during the

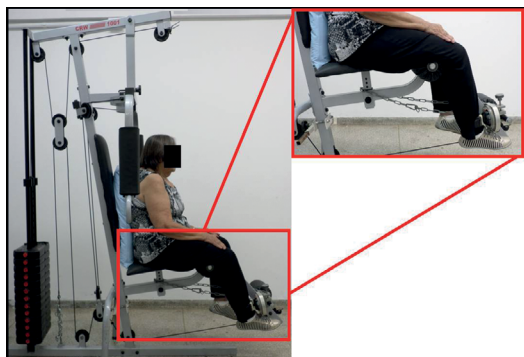


Figure 1 – Assessment of peak quadriceps femoris muscle force by measuring maximal voluntary isometric contraction of knee extension—hand-held dynamometer (MicroFET 2; Hoggan Health Industries, West Jordan, UT, USA) attached to the arm of the knee extension chair of a multi-station unit and positioned above the ankle joint of the patient's dominant limb.

maneuver, provided standardized strong verbal encouragement to patients in order to motivate them to maintain maximal effort throughout muscle contraction. The reference values used were those of Neder et al.⁽¹⁶⁾—values derived from a sample of the Brazilian population—Decramer et al.⁽¹²⁾—values derived from a sample of the Belgian population (II)—and Seymour et al.⁽⁶⁾—values derived from a sample of the UK population (III). Patients were classified as having QF muscle weakness (< 80% of predicted) or as not having QF muscle weakness (\geq 80% of predicted) on the basis of the percentage of predicted values obtained with each formula.⁽²⁴⁾ The prediction equations are as follows:

$$-1.53 \times \text{age} + 133 \times \text{h} + 0.75 \times \text{weight} + 34.44 \times \text{gender} - 66.44 \text{ (I)}$$

where age is in years, height (h) is in m, weight is in kg, and gender is 1 = male, 0 = female.

$$-2.21 \times \text{age} + 1.78 \times \text{weight} + 55.9 \times \text{gender} + 124 \text{ (II)}$$

where age is in years, weight is in kg, and gender is 1 = male, 0 = female.

$$56.2 - 0.30 \times \text{age} + 0.68 \times \text{fat-free mass} - 0.15 \times \text{h} - 3.42 \text{ (if female) (III)}$$

where age is in years, fat-free mass is in kg, and height (h) is in cm.

Statistical analysis

Normality of data distribution was assessed using the Shapiro-Wilk test. Continuous variables

that were normally distributed are expressed as mean and standard deviation, and otherwise as median and interquartile range (25-75% percentile). Categorical variables are expressed as n (%).

The Friedman test with Dunn's post-test was used to compare the mean percentage of the predicted values obtained with the three equations used in the present study, whereas the chi-square test was used to compare the proportions of patients classified as having or not having QF muscle weakness by each of the three equations. An unpaired Student's t-test or Mann-Whitney test and the chi-square test (categorical variables) were used to compare characteristics among the groups of patients classified as having or not having QF muscle weakness by each of the three prediction equations. The correlation between age and the absolute and percentage of predicted values for peripheral muscle strength was tested using Pearson's or Spearman's correlation coefficients. The degree of agreement among the equations was determined using pairwise kappa statistics.⁽²⁵⁾ The level of statistical significance was set at $p < 0.05$ for all tests. The Statistical Package for the Social Sciences, version 20.0 (SPSS Inc., Chicago, IL, USA), and the GraphPad Prism software, version 6 (GraphPad Software Inc., La Jolla, CA, USA), were used for statistical analysis and graphic plotting.

Results

Table 1 describes the general characteristics of the patients included in the sample ($n = 56$). There were no exclusions. In general, the sample was characterized by moderate to very severe airway obstruction, a mean age of 66 ± 9 years, and low percentage of predicted values for fat-free mass.

As expected, patients classified as not having QF muscle weakness by each of the three equations used in the present study had higher peak force values, in Newton-meter (N m) and in kg, than did those classified as having QF muscle weakness (Table 2). Patients identified with QF muscle weakness by the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ were younger compared with those identified without it. Age did not correlate with the absolute values for QF muscle strength ($r = -0.06$, $p = 0.7$ for N m; and $r = -0.07$, $p = 0.6$ for kg) and correlated weakly with the percentage of predicted values obtained with

the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ ($r = 0.32$, $p = 0.02$; and $r = 0.38$, $p = 0.004$, respectively). Patients identified with QF muscle weakness by the equation of Decramer et al.⁽¹²⁾ had a body mass index (BMI) classified as overweight and lower percentage of predicted values for fat-free mass.

Pulmonary function (FEV₁ in L) did not correlate with the percentage of predicted values

obtained with the equations of Neder et al.⁽¹⁶⁾, Decramer et al.⁽¹²⁾ or Seymour et al.⁽⁸⁾ ($r = 0.05$, $p = 0.7$; $r = -0.12$, $p = 0.3$; and $r = 0.29$, $p = 0.03$, respectively), but correlated moderately with the absolute values for QF muscle strength ($r = 0.50$, $p < 0.001$ for N m; and $r = 0.50$, $p < 0.001$ for kg).

The proportion of patients identified with QF muscle weakness (< 80% of predicted) by the equations of Neder et al.⁽¹⁶⁾, Decramer et al.⁽¹²⁾ and Seymour et al.⁽⁸⁾ was 59%, 68%, and 70%, respectively, with no statistically significant difference (Figure 2). However, comparison of the percentage of predicted values for peak force obtained by each of the three equations showed that the equation of Neder et al.⁽¹⁶⁾ gave higher values than did the equations of Decramer et al.⁽¹²⁾ and Seymour et al.⁽⁸⁾ (75 [63-90] % of predicted vs. 69 [56-86] % of predicted and 67 [57-86] % of predicted, respectively; $p = 0.002$). The percentage of predicted values for peak force obtained by the equation of Decramer et al.⁽¹²⁾ and those obtained by the equation of Seymour et al.⁽⁸⁾ were not statistically significantly different (Figure 3).

The equation of Neder et al.⁽¹⁶⁾ showed moderate agreement with the equations of Decramer et al.⁽¹²⁾ and Seymour et al.⁽⁸⁾ ($\kappa = 0.66$, 95% CI: 0.42-0.82; and $\kappa = 0.62$,

Table 1 - General characteristics of the sample.^a

Characteristic	(N = 56)
Gender, M/F	33/23
Age, years	66 ± 9
BMI, kg.m ⁻²	26 [20-30]
Fat-free mass, kg	45 ± 10
Fat-free mass, % of predicted	66 ± 10
FEV ₁ , L	1.0 [0.8-1.5]
FEV ₁ , % of predicted	44 ± 17
FVC, L	2.2 [1.6-2.7]
FVC, % of predicted	66 ± 15
FEV ₁ /FVC, %	51 [39-67]
GOLD, I/II/III/IV	1/20/23/12
Peak QF muscle force, N m	89 ± 29
Peak QF muscle force, kg	29 ± 10

M: males; F: females; BMI: body mass index; GOLD: Global Initiative for Chronic Obstructive Lung Disease; QF: quadriceps femoris; and N m: Newton-meter. ^aData expressed as absolute values, mean ± SD, or median [interquartile range], depending on the normality of data distribution.

Table 2 - Comparison of the characteristics of the groups of patients classified as having or not having quadriceps femoris muscle weakness by each of the three prediction equations used in the present study.^a

Characteristic	Equation of Neder et al. ⁽¹⁶⁾		Equation of Decramer et al. ⁽¹²⁾		Equation of Seymour et al. ⁽⁸⁾	
	< 80% of predicted	≥ 80% of predicted	< 80% of predicted	≥ 80% of predicted	< 80% of predicted	≥ 80% of predicted
	(n = 33)	(n = 23)	(n = 38)	(n = 18)	(n = 41)	(n = 15)
Gender, M/F	19/14	14/9	24/14	9/9	21/20	12/3
Age, years	64 ± 7	70 ± 9*	64 ± 8	70 ± 9*	65 ± 8	68 ± 10
BMI, kg.m ⁻²	24 [20-30]	26 [21-30]	27 [20-32]	22 [19-26]*	26 [20-30]	23 [19-29]
FFM, kg	45 ± 10	45 ± 9	47 ± 10	41 ± 8*	44 ± 10	47 ± 9
FFM, % of predicted	66 ± 11	66 ± 9	64 ± 10	70 ± 10*	63 [57-75]	67 [62-76]
FEV ₁ , L	1.1 [0.8-1.5]	1.1 [0.8-1.5]	1.1 [0.8-1.5]	1.0 [0.7-1.5]	1.1 [0.8-1.5]	1.2 [0.9-1.7]
FEV ₁ , % of predicted	42 ± 15	48 ± 20	44 ± 17	47 ± 18	44 ± 16	47 ± 20
FVC, L	2 [1.6-3.0]	2.1 [1.6-2.7]	2.1 [1.7-2.8]	2.3 [1.6-2.7]	2.1 [1.6-2.7]	2.4 [2.0-3.4]
FVC, % of predicted	67 ± 16	67 ± 15	67 ± 16	66 ± 14	66 ± 15	68 ± 16
FEV ₁ /FVC, %	50 [39-63]	64 [40-69]	51 [38-67]	59 [44-67]	52 ± 14	53 ± 17
GOLD, I/II/III/IV	0/10/15/8	1/10/8/4	0/14/14/10	1/6/9/2	0/14/18/9	1/6/5/3
Peak QF muscle force, N m	76 ± 23	107 ± 27*	83 ± 25	100 ± 34*	76 ± 21	121 ± 20*
Peak QF muscle force, kg	25 ± 8	35 ± 9*	27 ± 8	33 ± 11*	25 ± 7	40 ± 7*

M: males; F: females; BMI: body mass index; FFM: fat-free mass; GOLD: Global Initiative for Chronic Obstructive Lung Disease; QF: quadriceps femoris; and N m: Newton-meter. ^aData expressed as absolute numbers, mean ± SD, or median [interquartile range], depending on the normality of data distribution. * $p \leq 0.05$ vs. < 80% of predicted.

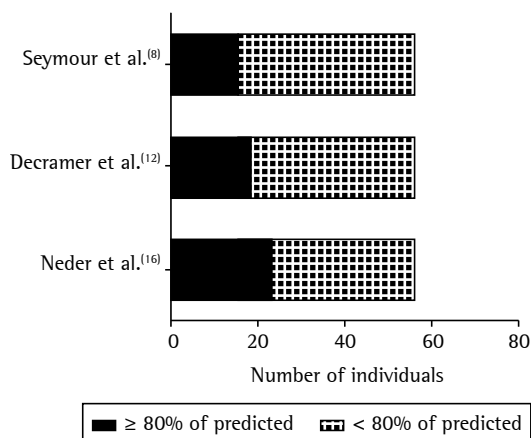


Figure 2 – Comparison of the number of patients identified with and without quadriceps femoris muscle weakness by each of the three prediction equations used in the present study.

95% CI: 0.41-0.83, respectively). The equations of Decramer et al.⁽¹²⁾ and Seymour et al.⁽⁸⁾ also showed moderate, albeit lower, agreement with each other (kappa = 0.52, 95% CI: 0.28-0.76).

Discussion

The present study showed that the three equations studied—those of Neder et al.,⁽¹⁶⁾ Decramer et al.,⁽¹²⁾ and Seymour et al.⁽⁸⁾—were similar in classifying COPD patients as having or not having QF muscle weakness (< 80% of predicted and ≥ 80% of predicted, respectively). However, the prediction equation of Neder et al.⁽¹⁶⁾ gave higher percentage of predicted values for peak force than did the other two equations, as well as showing greater agreement with them.

The equation proposed by Neder et al.⁽¹⁶⁾ was derived in a study involving 96 healthy Brazilian individuals of a wide range of ages (20 to 80 years), with 15 of those 96, who had been randomly selected, being studied in each age group. Muscle strength was assessed with an isokinetic dynamometer, with the unit of measurement being N m. Regression analysis using this equation yields a high coefficient of determination, which explains 81% of the total variance, and the equation uses simple variables such as gender, age, height, and weight, all of which are considered to be simple, low-cost measures that can be assessed in clinical settings.

The equation proposed by Seymour et al.⁽⁸⁾ takes gender, age, height, and fat-free mass into

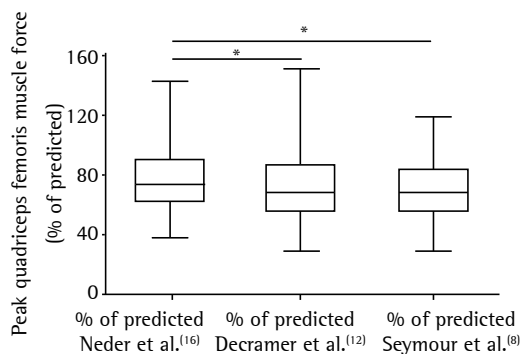


Figure 3 – Comparison of the percentage of predicted values for peak quadriceps femoris muscle force obtained with each of the three prediction equations used in the present study. *p < 0.002.

consideration, which hinders its use in clinical practice because it requires the estimation of fat-free body mass (by bioelectrical impedance or dual-energy X-ray absorptiometry). The development of this equation involved the measurement of peripheral muscle strength in 212 healthy individuals between 40 and 90 years of age by using a load cell, with the unit of measurement being kg. The age range of the sample is narrower; however, the applicability of the equation to COPD patients is appropriate, since most COPD patients are in this age group.⁽²⁶⁾ Regression analysis using this equation yields a modest coefficient of determination, which explains 50% of the total variance.

The equation proposed by Decramer et al.⁽¹²⁾ takes gender, age, and weight into consideration, and the unit of measurement is N m. There is little information of how this equation was derived. Data such as the number of individuals in the derivation sample, the age range of the sample, and the value of the coefficient of determination are missing, and this hinders the understanding of the applicability of the equation. The authors of that study were contacted to provide the missing data, but there was no reply.

In the present study, we found that the percentage of patients classified as having QF muscle weakness ranged from 59% to 70%; in contrast, the literature shows that the proportion of patients with COPD that is more severe—a population similar to that included in the present study—who have muscle weakness is approximately 50%.^(7,8,15) However, there is no well-established cut-off point for determining QF muscle weakness,

and not even the most updated guidelines on peripheral muscle dysfunction in COPD patients⁽⁹⁾ recommend a cut-off point for presence or absence of muscle weakness. The lack of definition of what characterizes the presence of muscle weakness possibly explains this difference. In their study, Seymour et al.⁽⁸⁾ used another cut-off point for presence or absence of muscle weakness, but the unit of measurement used was kg, which makes their cut-off point unsuitable for the present study, since the other equations analyzed use N m as the unit of measurement. Patients classified as having QF muscle weakness in the present study were similar to those classified as not having it in terms of airway obstruction, GOLD⁽¹⁾ classification, and gender. Seymour et al.⁽⁸⁾ stated that there was no difference in the proportion of patients classified as having muscle weakness between males and females or among GOLD disease severity categories.

In the present study, the equation of Neder et al.⁽¹⁶⁾ gave higher percentage of predicted values for peak force than did the other two equations. There are clear indications that Brazilian patients with COPD perform better on the six-minute walk test and have a higher level of physical activity in their daily lives than do patients in Europe^(27,28) and even in Latin America.⁽²⁹⁾ In addition, QF muscle strength is known to be a determinant of performance on the six-minute walk test,⁽¹⁰⁾ as well as correlating moderately with the level of daily physical activity.⁽¹²⁾ One can then hypothesize that QF muscle strength would also be greater in Brazilian patients than in other populations. These factors can explain why the equation of Neder et al.,⁽¹⁶⁾ derived from Brazilian individuals, gave higher values in the present sample and therefore appears to be more applicable to the Brazilian population than do the equations derived from populations in other countries. This hypothesis merits further investigation; however, it is known that the reference values to be used for comparison should ideally have been derived from a population as similar as possible to the study population.⁽³⁰⁾ The present study appears to corroborate this concept, indicating that equation of Neder et al.⁽¹⁶⁾ is the most applicable to the Brazilian population. Further studies involving other populations, such as those from which the other two equations were derived, i.e., the UK and Belgian populations, are needed to confirm that.

One possible explanation for the fact that patients classified as not having QF muscle weakness by the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ were older is that, in the three equations analyzed, the multiplicative coefficient for age is negative, being lower in the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ In other words, these equations predict that increasing age translates into lower muscle strength. Another factor that may explain this finding is that, although age did not correlate with the absolute values for QF muscle strength in the patients of the present study, it showed a moderate positive correlation with the percentage of predicted values obtained with the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ It is of note that, in addition to having a higher BMI, patients identified with QF muscle weakness had lower fat-free mass compared with those identified without it. This is consistent with literature results that show that fat-free mass is related to lower limb muscle strength.⁽³¹⁾

One limitation of the present study is that the sample included only one patient with mild COPD, which can affect the generalizability of the results to this subgroup. However, patients with mild disease were not the target of this investigation, since the possibility of QF muscle dysfunction in this subgroup is lower, although it exists. Another limitation is that peak force was determined by hand-held dynamometry rather than by methods of electrical stimulation of involuntary muscle contraction or computerized dynamometry. Nevertheless, the method employed in the present study involved the use of a hand-held dynamometer “attached” to an assessment chair in order to simulate the position and method of measurement of a maximal voluntary contraction performed in computerized dynamometry. This method has been shown to correlate strongly with the gold standard and is highly reproducible,⁽³²⁾ as well as not affecting the measurement accuracy significantly.⁽³³⁾ Finally, it was not feasible to calculate the sensitivity and specificity of each of the three equations analyzed, since there was no gold standard (or reference) method for determining the presence of muscle weakness against which to compare the equations in the present study.

The main clinical implication of the results of the present study is that they point out to physical therapists which of the equations analyzed is the

best for identifying the presence or absence of QF muscle weakness in Brazilian patients with COPD, facilitating clinical practice to these professionals. The identification of muscle weakness in these patients helps physical therapists to establish the best treatment plan on a case-by-case basis.

We conclude that the three equations were statistically equivalent in classifying COPD patients as having or not having QF muscle weakness. Patients identified with QF muscle weakness by the equations of Neder et al.⁽¹⁶⁾ and Decramer et al.⁽¹²⁾ were younger, and patients classified as having QF muscle weakness by the equation of Decramer et al.⁽¹²⁾ had lower percentage of predicted values for fat-free mass and a higher BMI. The prediction equation of Neder et al.⁽¹⁶⁾ gave higher percentage of predicted values for peak force than did the other two, as well as showing greater agreement with them. These results support the recommendation that, whenever it is available (such as in Brazil), a prediction equation derived from a population as similar as possible to the target population be used.

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