

Ketamine may block NMDA receptors in astrocytes causing a rapid antidepressant effect

Bernhard J. Mitterauer*

Volitronics-Institute for Basic Research, Psychopathology and Brain Philosophy, University of Salzburg, Salzburg, Austria *Correspondence: bernhard.mitterauer@sbg.ac.at

Edited by:

Dirk Feldmeyer, RWTH Aachen University, Germany

Reviewed by:

Sheriar Hormuzdi, University of Dundee, UK Filippo Tempia, University of Turin, Italy Marlene Bartos, University of Freiburg, Germany

Depression is associated both with a reduced size of brain regions regulating mood and cognition, including the prefrontal cortex and the hippocampus, and decreased neuronal synapses in these areas. Antidepressants can block or reverse these neuronal deficits although they have limited efficacy and delayed response time of weeks or months. Basic studies show that ketamine, an N-methyl-D-asparate (NMDA) receptor antagonist, produces rapid (within hours) antidepressant effects in patients with chronic depression who are resistant to typical antidepressants (Zarate et al., 2006; Machado-Vieira et al., 2012). The rapid antidepressant actions of ketamine could involve a number of cortical and limbic brain regions, many of which are reported to show alterations of glutamate transmission and synaptic activity (Li et al., 2010). There is experimental evidence that ketamine induces synaptogenesis leading to the synaptogenic hypothesis of depression and treatment response (Duman and Aghajanian, 2012).

Ketamine rapidly reverses the deficit in spine density, as well as the anhedonia and anxiety caused by exposure to chronic stress. However, the mechanisms underlying this action of ketamine, an NMDA antagonist, is as yet not fully understood (Diazgranados et al., 2010). Li et al. (2010) observed that ketamine rapidly activated the mammalian target of rapamacin (mTOR) pathway, leading to increased synaptic signaling proteins and increased number and function of new spine synapses in the prefrontal cortex of rats. Importantly, these researchers admit that the mechanisms underlying rapid antidepressant actions have not been identified so far and that they are likely

more complicated than simple NMDA receptor blockade. According to Duman and Aghajanian (2012), the discovery that ketamine rapidly increases the number and function of synaptic connections has focused attention on synaptogenesis as a fundamental process for the treatment of depressive symptoms, and it also suggests that disruption of synaptogenesis and loss of connections underlies the pathophysiology of depression.

In addition, we should also refer to glial-neuronal synaptic units. First of all, perisynaptic astrocytes (glial cells) play a role in promoting the stabilization and maturation of dendritic spines (Stevens, 2008). Life imaging experiments indicate that astrocytes show striking morphological plasticity at the synapse. Time-lapse confocal imaging of dendritic spines and astrocytes in acute slices from transgenic mice revealed that astrocytes rapidly extend and retract filopodia-like processes within a time-scale of minutes (Hirrlinger et al., 2004). Hence, this modulatory function of astrocytes in synaptogenesis has been ignored in the current model of the pathophysiology of depression. There is clear spatiotemporal correlation between the onset of synaptogenesis and the appearance and association of immature astrocytes with neurons at central nervous system synapses (Ullian et al., 2004), suggesting that astrocytes may provide instructive signals to control the formation and development of synapses. Experimental findings indicate that astrocytes have a powerful ability to promote excitatory and inhibitory synaptogenesis (Hu et al., 2007; Stevens, 2008). Moreover, astrocytes exert a modulatory function of neurotransmission in glial-neuronal

synaptic units, also called tripartite synapses (Haydon and Carmignoto, 2006). Given the fact that glial-neuronal synaptic units are experimentally well established, we are faced with the question of the role of astrocytes in the pathophysiology of depression. I have hypothesized (Mitterauer, 2009, 2010a,b) that in depression an astrocytic syncytiopathy (glial network disorder)-caused by a downregulation of connexins (proteins of gap junctions)-may lead to an upregulation of astrocytic receptors (Rouach et al., 2002; Suadicani et al., 2003). Such an excess of astrocytic receptors exerts a relative lack of neurotransmitters such that the synaptic information processing is imbalanced. It has been experimentally verified that astrocytes express all receptors for the occupancy with the cognate neurotransmitter types (Hansson and Rönnbäck, 2004). This holds also for NMDA receptors. There is definitive experimental evidence for the existence of functional NMDA receptor expression in human astrocytes (Lee et al., 2010).

Admittedly, ketamine could act on various neuronal and glial cell types and my hypothesis seems to be plausible for signals acting at very low concentrations such as neurotrophins, whereas for glutamate, the main excitatory transmitter of the central nervous system, some supporting arguments are necessary. Principally, upregulation of hippocampal metabotropic glutamate receptor 5 has been identified in temporal lobe epilepsy (Notenboom et al., 2006). Importantly, upregulations of other receptors in astrocytes have also been found in brains with Alzheimer disease (Yu et al., 2012) and with Parkinson disease. The increased

expression of protease-activated receptors-1 in astrocytes in substantia nigra pars compacta of Parkinson disease brains is the restorative move taken by the brain to provide neuroprotection against neuronal degeneration (Ishida et al., 2006). Since upregulations of astrocytic receptors occur in brains with neurodegenerative diseases, upregulation of glutamatergic receptors in astrocytes could also be found in brains with major depression. However, the mechanism proposed causing synaptic imbalance is new and must be experimentally verified.

The physiological and pathophysiological considerations shortly discussed allow the following hypothesis: supposing that in therapy-resistant depression a significant excess of NMDA receptors in astrocytes is causing a severe lack of glutamate which cannot be balanced by reuptake inhibitory drugs, the blockade of the excess of NMDA receptors in astrocytes may rapidly balance synaptic information processing. Importantly, since all of the various neurotransmitters and their receptors in the neuronal and glial cell systems may play a role in depression, my model of depression might open a new dimension in the development of antidepressants, especially what the treatment of patients with a chronic depression concerns. It should also be noted that this model is testable by counting or quantifying astrocytic receptors at least in postmortem brains.

REFERENCES

Diazgranados, N., Ibrahim, L., Brutsche, N., Newberg, A., Kronstein, P., Khalife, S., et al. (2010). A randomized add-on trial of an N-methyl-D-asparate antagonist in treatment-resistant bipolar depression. Arch. Gen. Psychiatry 67, 793-802.

- Duman, R. S., and Aghajanian, G. K. (2012). Synaptic dysfunction in depression: potential therapeutic targets. *Science* 338, 68–72.
- Hansson, E., and Rönnbäck, L. (2004). Astrocytic receptors and second messenger systems. *Adv. Mol. Cell Biol.* 31, 475–501.
- Haydon, P. G., and Carmignoto, G. (2006). Astrocyte control of synaptic transmission and neurovascular coupling. *Physiol. Rev.* 86, 1009–1031.
- Hirrlinger, J., Hulsmann, S., and Kirchhoff, F. (2004). Astroglial processes show spontaneous motility at active synaptic terminals *in situ*. *Eur. J. Neurosci.* 20, 2235–2239.
- Hu, R., Cai, W. Q., Wu, X. Q., and Yang, Z. (2007). Astrocyte-derived estrogen enhances synapse formation and synaptic transmission between cultured neonatal cortical neurons. *Neuroscience* 144, 1229–1240.
- Ishida, Y., Nagai, A., Kobayashi, S., and Kim, S. U. (2006). Upregulation of protease-activated receptor-1 in astrocytes in Parkinson disease: astrocyte-mediated neuroprotection through increased levels of glutathoine peroxidase. *J. Neuropathol. Exp. Neurol.* 65, 66–77.
- Lee, M., Ting, K. K., Adams, S., Brew, B. J., Chung, R., and Guillemin, G. (2010). Characterization of the expression of NMDA receptors in human astrocytes. *PloS ONE* 5:e14123. doi: 10.1371/journal.pone.0014123
- Li, N., Lee, B., Liu, R. J., Banasr, M., Dwyer, J. M., Iwata, M., et al. (2010). mTor-dependent synapse formation underlies the rapid antidepressant effects of NMDA antagonists. *Science* 329, 959–964.
- Machado-Vieira, R., Ibrahim, L., Hentor, I. D., and Zarate, C. A. Jr. (2012). Novel glutamatergic agents for major depressive disorder and bipolar disorder. *Pharmacol. Biochem. Behav.* 100, 678–687.
- Mitterauer, B. (2009). Narziss und Echo. Ein Psychobiologisches Modell der Depression. Wien: Springer Verlag.
- Mitterauer, B. (2010a). The syncytiopathy hypothesis of depression: downregulation of glial connexins may protract synaptic information processing and cause memory impairment. *Med. Hypotheses* 74, 497–502.

- Mitterauer, B. (2010b). Synaptic imbalances in endogenous psychoses. *Biosystems* 100, 113–121.
- Notenboom, R. G., Hampson, D. R., Jansen, G. H., van Rijenz, P. C., van Veelen, C. W., van Nieuwenhuizen, O., et al. (2006). Upregulation of hippocampal metabotropic glutamate receptor 5 in temporal lobe epilepsy patients. *Brain* 129, 96–107.
- Rouach, N., Calvo, C. F., Glowinski, J., and Giaume, C. (2002). Brain macrophages inhibit gap junctional communication and downregulate connexin 43 expression in cultured astrocytes. *Eur. J. Neurosci.* 15, 403–407.
- Stevens, B. (2008). Neuron-astrocyte signaling in the development and plasticity of neural circuits. *Neurosignals* 16, 278–288.
- Suadicani, S. O., De Pina-Benabou, M. H., Urban-Maldonado, M., Spray, D. C., and Scemes, E. (2003). Acute downregulation of Cx43 alters P2Y receptor expression levels in mouse spinal cord astrocytes. *Glia* 42, 160–171.
- Ullian, E. M., Christopherson, K. S., and Barres, B. A. (2004). Role for glia in synaptogenesis. *Glia* 47, 209–216.
- Yu, W., Mechawar, N., Krantic, S., Chabot, J., and Quirion, R. (2012). Upregulation of astrocytic α7 nicotinic receptors in Alzheimer's disease brain – possibly relevant to amyloid pathology. *Mol. Neurodegener*. 7(Suppl. 1), 07.
- Zarate, C. A. Jr., Singh, J. B., Carlson, P. J., Brutsche, N. E., Ameli, R., Luckenbaugh, D. A., et al. (2006). A randomized trial of an N-methyl-D-asparate antagonist in treatment-resistant major depression. Arch. Gen. Psychiatry 63, 856–864.

Received: 13 November 2012; accepted: 07 December 2012; published online: 24 December 2012.

Citation: Mitterauer BJ (2012) Ketamine may block NMDA receptors in astrocytes causing a rapid antidepressant effect. Front. Syn. Neurosci. 4:8. doi: 10.3389/ fnsyn.2012.00008

Copyright © 2012 Mitterauer. This is an open-access article distributed under the terms of the Creative Commons Attribution License, which permits use, distribution and reproduction in other forums, provided the original authors and source are credited and subject to any copyright notices concerning any third-party graphics etc.