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## ***In Utero* Nicotine Exposure Promotes M2 Activation in Neonatal Mice Alveolar Macrophages**

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### **Abstract**

**Background**—Maternal smoking *in utero* has been associated with adverse health outcomes including lower respiratory tract infections in infants and children, but the mechanisms underlying these associations continue to be investigated. We hypothesized that nicotine plays a significant role in mediating the effects of maternal tobacco smoke on neonatal alveolar macrophage (AM) function, the resident immune cell in the neonatal lung.

**Methods**—Primary AMs were isolated at postnatal day 7 from a murine model of *in utero* nicotine exposure. The murine AM cell line MH-S was used for additional *in vitro* studies.

**Results**—*In utero* nicotine increased IL-13 and transforming growth factor beta one (TGF $\beta$ 1) in the neonatal lung. Nicotine-exposed AMs demonstrated increased TGF $\beta$ 1 and increased markers of alternative activation with diminished phagocytic function. However, AMs from mice deficient in the  $\alpha$ 7 nicotinic acetylcholine receptor ( $\alpha$ 7 nAChR) had less TGF $\beta$ 1, reduced alternative activation and improved phagocytic functioning despite similar *in utero* nicotine exposure.

**Conclusion**—*In utero* nicotine exposure, mediated in part via the  $\alpha$ 7 nAChR, may increase the risk of lower respiratory tract infections in neonates by changing the resting state of AM towards alternative activation. These findings have important implications for immune responses in the nicotine-exposed neonatal lung.

### **INTRODUCTION**

Despite the well-known health risks of smoking, the use of cigarettes and tobacco continues to contribute significantly to healthcare problems in our society. Twenty-two percent of women of reproductive age smoke cigarettes exposing nearly 20% of pregnancies to this toxin (1–3). It is clear that *in utero* and postnatal exposure to smoking increases the risk of

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serious lower respiratory tract infections in infants and children (4). Specifically for the newborn, smoking during pregnancy increases the risks of prematurity, alters immune defenses, and increases risk factors for neonatal sepsis (5, 6). Despite advances in neonatal intensive care, infection increases mortality and morbidity, particularly for the premature newborn (7, 8).

Nicotine, the fat soluble and addictive component of tobacco, readily crosses the placenta, is present in the amniotic fluid, and adversely affects lung development (9). Levels of nicotine and its metabolites in the fetus closely mirror those observed in maternal plasma(10). *In utero* nicotine exposure alters multiple developing organs including the lung and affects branching morphogenesis (11–13). The effects of nicotine on the developing lung are likely mediated through the  $\alpha 7$  nicotinic acetylcholine receptor (nAChR), one of a family of cation channels predominantly found in the nervous system (12, 14). However, the direct effects of nicotine or the role of the  $\alpha 7$ nAChR on inflammatory-mediated functioning of the resident immune cell of the lung, the alveolar macrophage (AM), are not fully known.

Several pathways govern the activation states of the normally resting AM (15). Classical activation of AM (TH1 response or M1 macrophage) is predominantly characterized by a robust cellular response to eliminate microbes with efficient phagocytosis, respiratory burst, and the generation of pro inflammatory cytokines. In contrast, alternative activation of AM (TH2 response or M2 macrophage) is currently defined by signature gene expression profiles and response to pathogenic stimuli that have been observed in mostly murine models. While the definition of alternative activation has been evolving, alternatively activated macrophages are presently characterized by a dampening of the immune response with decreased phagocytosis and respiratory burst. While the classical pathway involves microbial stimuli and efficient clearance of microbes, the alternative pathway can skew the AM response away from microbial clearance to leave the lung more susceptible to infections if the M2 phenotype persists. Another described feature of M2 activation is increased expression and activity of arginase 1 which shunts arginine away from the production of the antimicrobial nitric oxide and promotes remodeling and repair through enhanced collagen deposition (15).

In this study, we *hypothesized* that exposure to nicotine *in utero* places the neonate at risk for respiratory infections by influencing AM function through alternative activation (M2 activation). Using the mouse macrophage cell line MH-S and a mouse model of *in utero* nicotine exposure, the goals of this study were to: 1) determine whether chronic nicotine exposure polarized the AM towards an M2 phenotype and 2) whether these changes were mediated via the  $\alpha 7$  nAChR.

## RESULTS

### Nicotine exposure increased $\alpha 7$ nAChR on the AM

By western blot techniques, chronic nicotine exposure to the MHS cell line significantly increased the protein expression of  $\alpha 7$  nAChR 1.3-fold compared to control cells (Figure 1A). Similarly, primary P7 AM exposed to *in utero* nicotine demonstrated significant increase in  $\alpha 7$  nAChR immunofluorescence as compared to control (Figure 1B).

### ***In utero* nicotine skewed cytokines in the neonatal lung and the AM towards TH2 via the $\alpha 7$ nAChR**

At baseline, neonatal lungs exposed to nicotine demonstrated significantly increased IL-13 and decreased IL1 $\beta$  at the mRNA level at P7 (Figure 2A–B), suggesting that the cytokine milieu of the nicotine-exposed lung was shifted towards TH2. This shift towards TH2 was supported by significantly increased active TGF $\beta$ 1 in the ELF of P7 pup exposed to *in utero* nicotine (Figure 3). The  $\alpha 7$  nAChR modulated these nicotine-induced changes in active TGF $\beta$ 1, since nicotine did not induce active TGF $\beta$ 1 in the ELF of the  $\alpha 7$  nAChR<sup>-/-</sup> neonatal mice.

The neonatal AM contributed to these nicotine-induced changes in IL-13 and TGF $\beta$ 1. Nicotine *in vitro* significantly increased IL-13 and TGF $\beta$ 1 in MHS cells at the mRNA level (Figure 4A and B). Blockage of the  $\alpha 7$  nAChR with the addition of  $\alpha$ BGT significantly blunted nicotine effects on both IL-13 and TGF $\beta$ 1 mRNA. Furthermore, primary P7 AM demonstrated a significant increase in TGF $\beta$ 1 via immunohistochemistry (Figure 4C), which was significantly attenuated in the  $\alpha 7$ K/O despite *in utero* nicotine exposure.

### **Nicotine exposure induced alternative activation in the AM via the $\alpha 7$ nAChR**

*In vitro* exposure to nicotine induced markers of alternative activation in the MHS cell as demonstrated by significant increases in arginase-1 (Arg1), YM1 and FN at the mRNA level (Figure 5A–C). Correspondingly, *in utero* nicotine also demonstrated significant increases in these markers of alternative activation (Figure 5D–F). Blockade of the  $\alpha 7$  nAChR with  $\alpha$ BGT blunted *in vitro* nicotine-induced increases in Arg1, YM1 and FN on the MH-S cell line. Similarly, nicotine-induced increases in these markers were blocked in the P7  $\alpha 7$  nAChR deficient AM.

### **Nicotine-exposed AM promote FN expression in a paracrine fashion**

In addition to increased FN induced by nicotine exposure, AM treated with nicotine *in vitro* can also promote FN transcription in adjacent cells. MH-S cells treated with nicotine *in vitro* and cultured on permeable membrane supports separating them from NIH 3T3 fibroblasts permanently transfected with a FN-luciferase reporter were able to induce fibronectin transcription in fibroblasts, as evidenced by increased luciferase production by the transfected NIH 3T3 cells (Figure 6). These effects were dependent on  $\alpha 7$  nAChR signaling, since concurrent incubation with  $\alpha$ BGT abrogated the nicotine effect. Increased fibronectin transcription was absent in fibroblasts cultured without MH-S cells in the membrane supports, demonstrating the nicotine exposed MH-S cells were capable of promoting fibronectin transcription in fibroblasts. Nicotine exposed MH-S cells also affected type I collagen expression in fibroblasts.

### ***In utero* nicotine impaired AM phagocytic function via the $\alpha 7$ nAChR**

Lastly, we evaluated the effects of *in utero* nicotine exposure on the phagocytic function of the primary neonatal AM. *In utero* nicotine exposure significantly impaired the ability of the neonatal AM to phagocytose inactivated *staph aureus* by approximately 50% (Figure 7). Despite nicotine exposure, AM lacking the  $\alpha 7$  nAChR maintained phagocytosis at control

levels, suggesting that nicotine-induced deranged phagocytic function was modulated via the  $\alpha 7$  nAChR.

## DISCUSSION

Despite the known risk of cigarette smoke exposure on childhood health, maternal use of cigarettes during pregnancy remains an issue with approximately 10–12% of pregnant women admitting to tobacco use (16). Use of cigarettes during pregnancy exposes the developing neonatal lung to nicotine. There remains a gap of knowledge regarding the specific effects of nicotine on the characteristics and functioning of the developing AM, the resident immune cell in the lung. The current study demonstrated that *in utero* nicotine exposure induced a TH2 milieu in the neonatal lung at baseline and skewed the resting state of the neonatal AM towards M2 activation, impairing phagocytic function. The  $\alpha 7$  nAChR played an important role in modulating nicotine's effect as demonstrated by the effects of  $\alpha$ BGT *in vitro* and the attenuation of nicotine's effect *in vivo* in the  $\alpha 7$  nAChR deficient neonatal mouse.

The nAChRs are found in a number of cell types and non-neuronal organs, including the lung. Within the lung, nAChRs are present in epithelial cells, fibroblasts, smooth muscles cells and AM(12, 14). We have previously demonstrated that nicotine increased branching morphogenesis of the developing lung in the pseudoglandular stage through  $\alpha 7$  nAChR-mediated signals (12). Others have shown that nicotine-induced  $\alpha 7$  nAChR expression in fetal lung, particularly around the airways (14).  $\alpha 7$  nAChRs have been found in peripheral blood monocytes and macrophages and they are capable of influencing immune responses (17). An anti-inflammatory role for the  $\alpha 7$  nAChR has been noted since a specific  $\alpha 7$  nAChR agonist decreased TNF- $\alpha$  release in the lung, while  $\alpha 7$  nAChR agonists, including nicotine, also inhibited NF- $\kappa$ B activity and LPS-induced release of TNF- $\alpha$  in the lung(18). However, literature also demonstrates that  $\alpha 7$  nAChR has been associated with pro-inflammatory effects. Prostaglandins and cyclo-oxygenase 2 expression were enhanced by activation of  $\alpha 7$  nAChR, whereas nicotine induced NF- $\kappa$ B and inducible nitric oxide synthase (iNOS) in peritoneal macrophages in a model of atherosclerosis (19, 20). Expression of nAChRs was upregulated by TNF- $\alpha$ , supporting a potential link between inflammation and nAChRs (21). For the developing AM, the current study suggested that nAChR activation via *in utero* nicotine shifted the baseline cytokine milieu towards TH2, increased TGF $\beta$ 1 and skewed the resting AM state towards M2.

Currently available knowledge suggests the skewed responses of alternatively activated macrophages may leave the lung more susceptible to infections and remodeling. As a professional phagocyte within the lung, the AM patrols the lung, defending it against foreign particles and infection by initiating immune responses, participating in phagocytosis and particle clearance, and orchestrating subsequent inflammatory processes (22). Studies have demonstrated that complete absence of macrophages led to dramatically increased mortality after bacterial infection (23). Alternatively activated macrophages have also been shown to promote fibroblast proliferation as well as production of collagen and fibronectin production, extracellular matrix proteins important in remodeling (24). The current study shows that nicotine-induced alternative activation of the AM leads to increased FN within

the alveolar macrophage and induction of FN transcription in fibroblasts in the setting of increased TGF $\beta$ <sub>1</sub>, a well known stimulus for fibronectin expression, potentially to promote airway remodeling (25). These effects on airway remodeling in the neonatal lung require additional investigation.

The nicotine-exposed neonatal lung and AM were hallmarked by increased TGF $\beta$ <sub>1</sub>, a well described anti-inflammatory mediator in monocytic cells and AM(26, 27). Furthermore, TGF $\beta$ <sub>1</sub> contributes to the development of chronic lung disease (bronchopulmonary dysplasia) in the premature lung (28, 29). In a neonatal hyperoxia model, neutralization of TGF $\beta$ <sub>1</sub> improved alveologensis and microvascular development (30). Taken together, our data suggests that not only are the AM functionally impaired with *in utero* nicotine exposure, but the lung parenchyma and vasculature are at greater risk for injury in the setting of increased TGF $\beta$ <sub>1</sub>.

Many studies focus on the effects of cigarette smoke exposure on the lung, but the complex nature of cigarette smoke can potentially impede full mechanistic understanding of pathophysiology. In other examinations of adult human AMs, adult human AMs exposed to cigarette smoke similarly exhibit signs of M2 activation (31, 32). Taken together with previously published literature, our findings support the possible role of nicotine as a significant player in the pathophysiology behind the adverse effects of prenatal tobacco smoke exposure on the fetus since the effects from nicotine exposure alone mimic those seen with tobacco smoke exposure. Although cessation of cigarette smoking is advocated to all pregnant women, our results suggest that nicotine replacement therapy as a strategy for smoking cessation therapy may not be desirable in this population and alternative smoking cessation therapies may need to be considered.

## MATERIALS AND METHODS

All animal and experimental protocols were approved by the Emory University Institutional Animal Care and Use Committee and the Office of Biosafety.

### Model of *in utero* nicotine exposure and timed breeding

Female C57BL6/J mice and Chrna7<sup>-/-</sup> mice ( $\alpha$ 7 nAChR<sup>-/-</sup>,  $\alpha$ 7K/O in C57BL6/J background, Jackson Laboratories, Bar Harbor, ME) were administered  $\pm$  nicotine (100  $\mu$ g/ml) in the drinking water ad libitum for 6–8 weeks prior to timed breeding. Pregnant female mice continued to drink nicotine treated water throughout the pregnancy. In this model, pregnant female mice are able to establish a steady state plasma level of nicotine similar to that seen in heavy smokers (12, 33). Post-natal day 0 (P0) was determined by the day of birth. Pups were evaluated at post-natal days 7 (P7). 100% of the pups in the nicotine group remained exposed to nicotine through maternal breast milk until P7.

### *In vitro* Nicotine exposure

The MH-S murine AM cell line (ATCC, Manassas, VA) was cultured in RPMI media containing 10% fetal bovine serum, 100 U/ml penicillin, 100  $\mu$ g/ml streptomycin, and 0.25  $\mu$ g/ml amphotericin B. Cells were exposed to  $\pm$  nicotine (50  $\mu$ g/ml) for 2–48 hrs. In some

experiments, the cells were incubated with  $\alpha$ -bungarotoxin ( $\alpha$ BGT, 5 nM), an inhibitor of  $\alpha$ 7 nAChR (34).

### Recovery of primary AM and epithelial lining fluid (ELF)

AM were isolated from P7 neonatal pups as previously described (27).

### Measurement of ELF cytokine levels

Pooled pup ELF was evaluated for IL-13 and active TGF- $\beta$ 1 via commercial ELISAs per the manufacturers' instructions (IL-13- R&D Systems, Minneapolis, MN, Active TGF $\beta$ 1- Promega, WI). Values were normalized to protein as determined by the BCA protein assay (Bio-Rad, Hercules, CA). Data are presented as the mean (IL-13 or TGF- $\beta$ 1 in pg/ $\mu$ g protein)  $\pm$  SEM (27).

### Measurement of $\alpha$ 7 nAChR

Levels of AM  $\alpha$ 7 nAChR were measured by Western blot analysis as previously described (35, 36). Primary P7 mouse AM obtained from pooled bronchoalveolar lavages were evaluated via immunofluorescence for  $\alpha$ 7 nAChR and markers of M2 activation using methods previously described (27).

### RNA extraction, semi-quantitative bioluminescent, and quantitative real time RT-PCR

The determination of mRNA levels was done by a semi-quantitative bioluminescence-based RT-PCR assay and quantitative real time RT-PCR as previously described (12). The primers used were synthesized based on GenBank published sequences: IL-13: 5' GGAGCTGAGCAACATCACACA 3' and 5' GGTCTGTAGATGGCATTGCA 3'; TGF- $\beta$ 1: 5' CCCACTCCCGTGGCTTC 3' and 5' TAGTAGTCCGCTTCGGGCT 3'; IL1- $\beta$ : 5' GAGCACCTTCTTTTCC 3' and 5' GGAAAAGAAGGTGGTC 3'; Ym1/2: 5' TTATCCTGAGTGACCCTTCTAAG 3' and 5' TCATTACCCAGATAGGCATAGG 3'; arginase I: 5' TGGACCTGGCCTTTGTTGA 3' and 5' GGTTGTCAGGGGAGTGTT 3'; fibronectin: 5' CTGTGACAACTGCCGTAG 3' and 5' ACCAAGGTCAATCCACAC 3'.

### Fibronectin luciferase assay

MH-S cells were cultured on a permeable membrane Transwell® support with NIH 3T3 fibroblasts permanently transfected with the fibronectin promoter attached to a luciferase reporter (FN-Luc) in the bottom well. After overnight serum starvation, MH-S cells on the permeable membrane were then treated with 5 nM  $\alpha$ BGT for 1 hour prior to addition of 50  $\mu$ g/ml of nicotine. After 24 hours, the MH-S cells and membrane supports were removed and fibronectin transcription in the transfected 3T3 fibroblasts was measured by luciferase activity. Relative luciferase units were recorded and are presented as mean  $\pm$  SD (37).

### Measurement of phagocytosis

Phagocytosis was measured in freshly isolated P7 AM as previously described (27, 38).

## Statistical Analyses

Sigma Stat for Windows (Systat Software, San Jose, CA) was used for all statistical analyses. ANOVA or ANOVA on Ranks was used as appropriate. Student Newman Keul's or Dunn's test was used for multiple comparisons, respectively. A  $p < 0.05$  was considered significant. Each  $n$  represents a separate mouse litter or a separate experimental condition.

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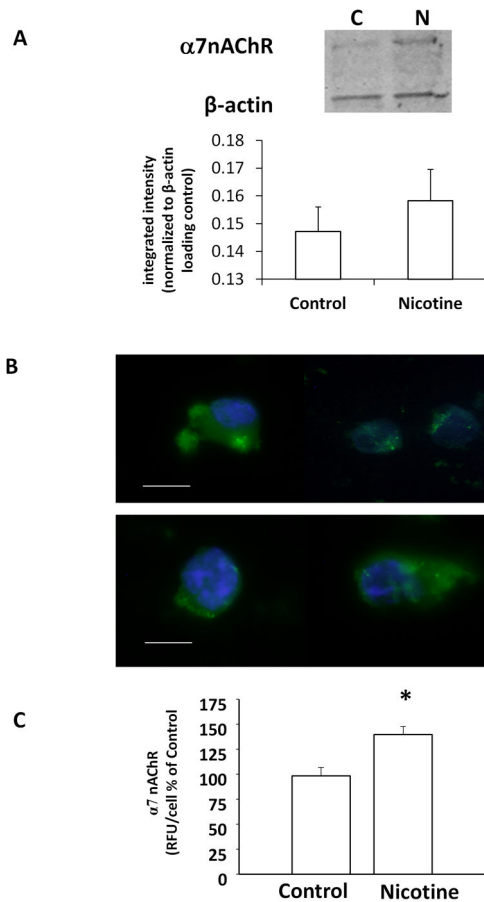
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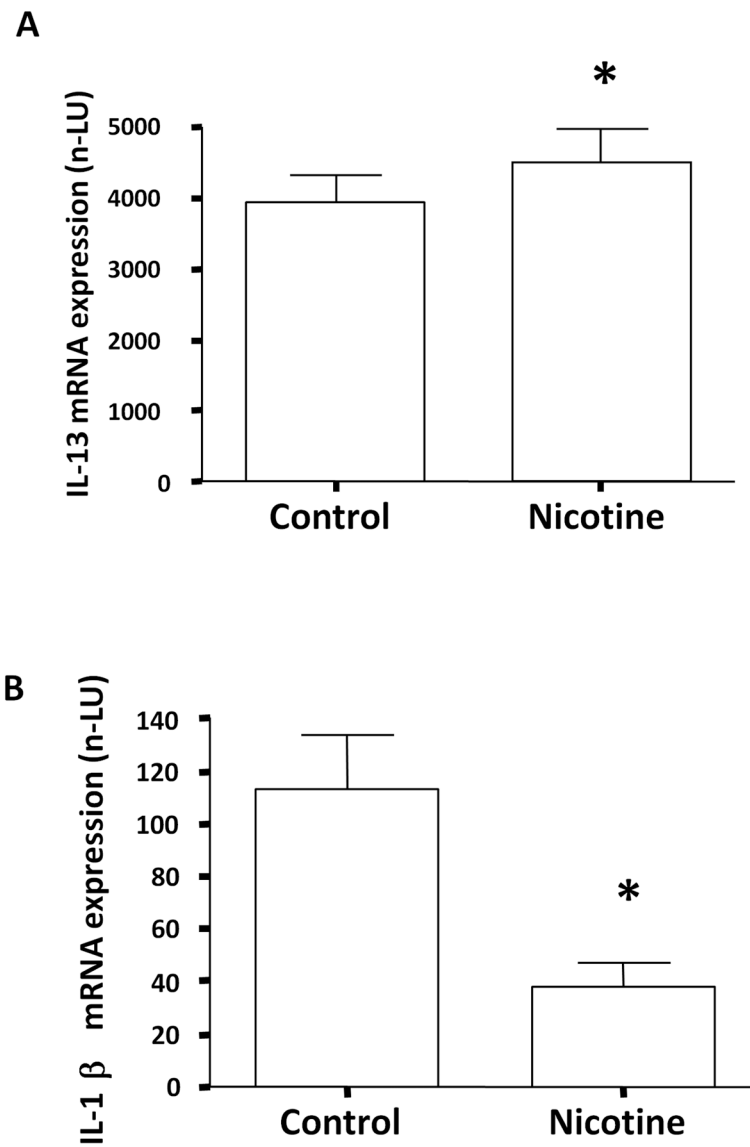


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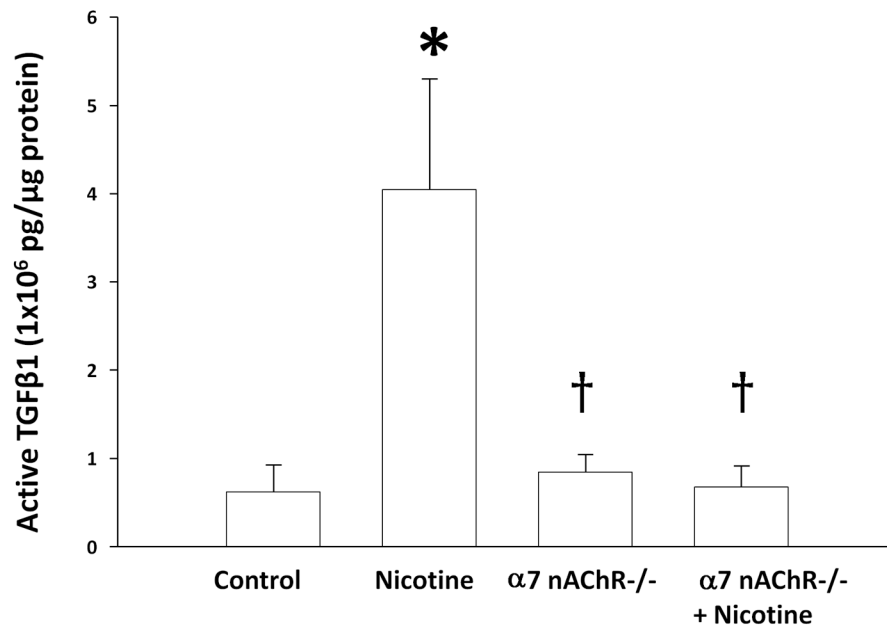


**Figure 1. Nicotine induced  $\alpha 7$  nAChR expression in alveolar macrophages**

Cultured murine alveolar macrophages (MH-S cells) were serum starved overnight and treated with 50  $\mu$ g/ml of nicotine for 24 hours. By Western blot (n=4), nicotine (N) treatment increases expression of  $\alpha 7$  nAChR in MH-S cells after 24 hours when compared to untreated control (C)(1A). Female C57 BL/6J mice were administered 100  $\mu$ g/ml of nicotine in the drinking water for 6–8 weeks prior to timed breeding. AM were harvested from the offspring at P7. In AM harvested from pups exposed to nicotine (bottom color panel, 1B), expression of  $\alpha 7$  nAChR in relative fluorescent units per cell (RFU/cell) was significantly increased compared to untreated control (top color panel, 1B) when analyzed with confocal microscopy (1C). \*  $p < 0.05$  vs. Control, 4 separate litters in each condition were analyzed; image is representative image at 100X magnification. Scale bar represents 5  $\mu$ m.

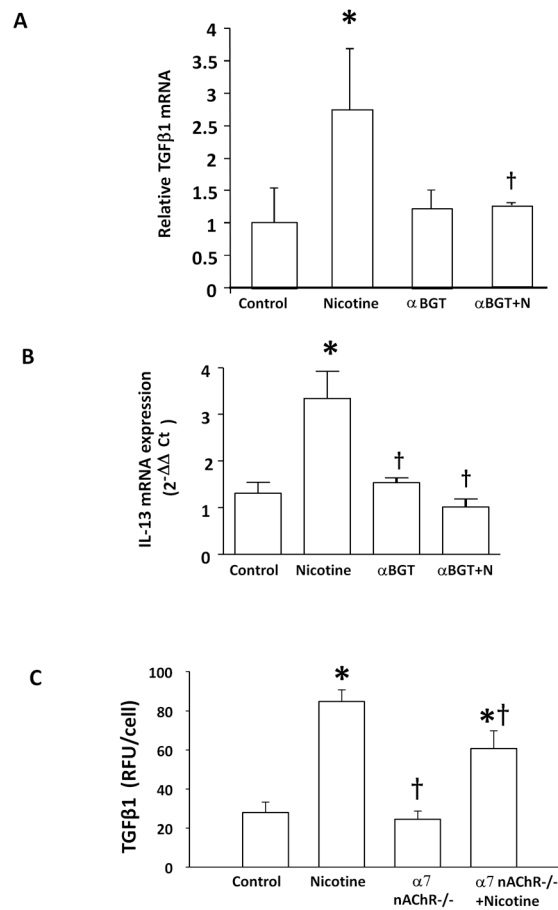


**Figure 2. Neonatal lungs exposed to nicotine *in utero* exhibited a shift towards a Th2 profile** Female C57 BL/6J mice were administered 100  $\mu\text{g}/\text{ml}$  of nicotine in the drinking water for 6–8 weeks prior to timed breeding. Whole lung homogenates were collected from the offspring at P7 for mRNA analysis. Using semi-quantitative bioluminescent RT-PCR, P7 lungs had increased mRNA expression of IL-13 (2A) and decreased mRNA expression of IL-1 $\beta$  (2B). \*  $p < 0.05$  vs. Control.  $n = 4$ . n-LU denotes luciferase units normalized to endogenous loading control.



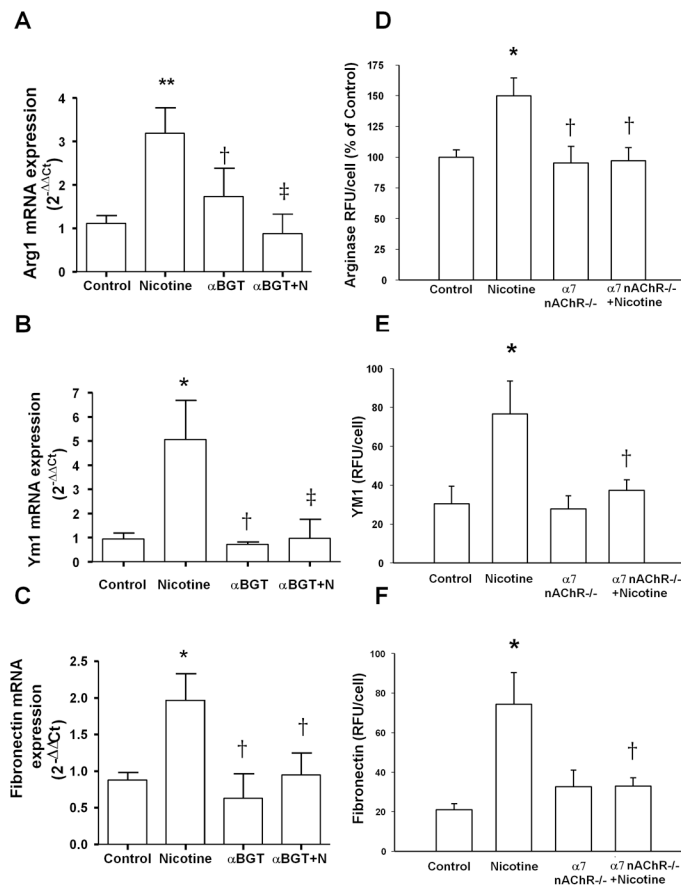
**Figure 3.  $\alpha 7$  nAChR signaling mediated increased active TGF $\beta$ 1 found in the alveolar ELF of P7 pups exposed to nicotine *in utero***

Alveolar ELF was collected from P7 litters and pooled for measurement of active TGF $\beta$ 1 by ELISA. *In utero* nicotine exposure induced increased active TGF $\beta$ 1 expression in P7 pups. *In utero* nicotine exposure did not induce active TGF $\beta$ 1 expression in  $\alpha 7$  nAChR<sup>-/-</sup> pups. \* p<0.05 vs. Control, †p<0.05 vs. Nicotine. Minimum of 4 separate litters in each experimental condition..



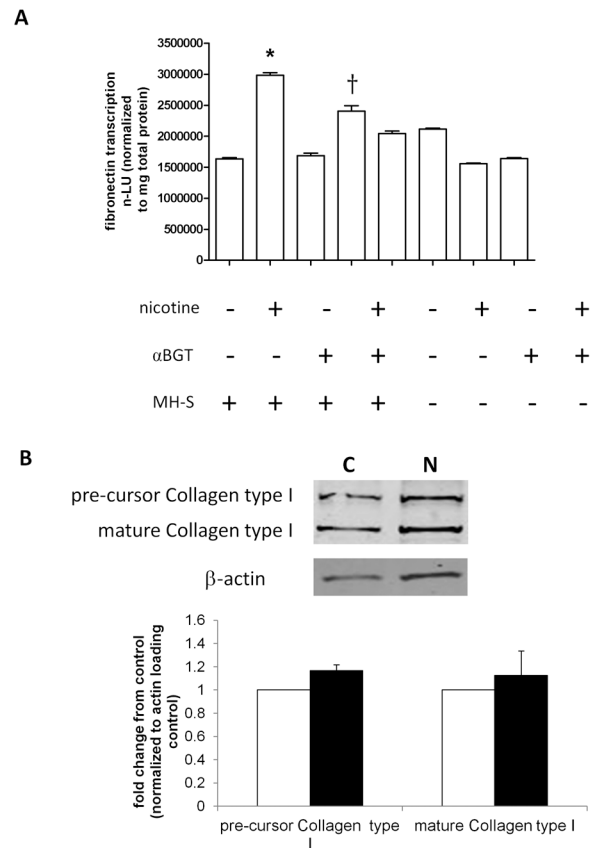
**Figure 4. Nicotine-induced increases in mRNA expression of TGFβ1 and IL-13 was dependent on  $\alpha 7$  nAChR mediated signals**

MH-S cells were serum starved overnight and treated with 50  $\mu$ g/ml of nicotine (N) after 1 hour pre-treatment with 5 nM of  $\alpha$ -bungarotoxin ( $\alpha$ BGT), a snake venom toxin that is an inhibitor of  $\alpha 7$  nAChR. Cells were harvested after 24 hours for mRNA analysis using quantitative real-time PCR. Nicotine induced mRNA expression of TGFβ1 (4A) and IL-13 (4B) and pre-treatment with  $\alpha$ BGT blocked induction of TGFβ1 (4A) and IL-13 (4B) by nicotine. Primary AM were harvested from the offspring at P7. Immunofluorescent staining for TGFβ1 was significantly increased after *in utero* nicotine exposure in primary AM while TGFβ1 was not increased in  $\alpha 7$  nAChR<sup>-/-</sup> pups despite nicotine exposure (4C). \* p < 0.05 vs. Control, † p < 0.05 vs. Nicotine. 3–4 separate litters in each experimental condition.



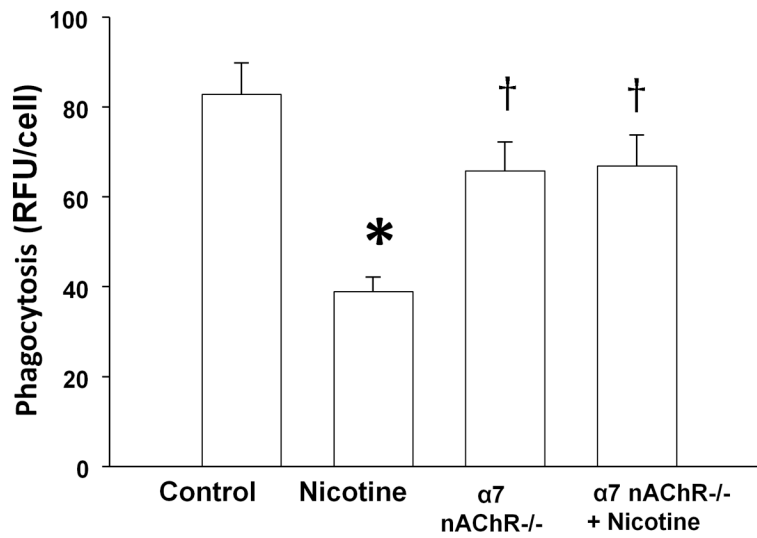
**Figure 5. Nicotine promoted M2 activation of AM *in vitro* and *in vivo***

Primary AM were harvested at P7. In addition, MH-S cells were serum starved overnight and treated with 50  $\mu\text{g}/\text{ml}$  of nicotine after 1 hour pre-treatment with 5 nM of  $\alpha\text{BGT}$ . Cells were harvested after 24 hours for mRNA analysis using quantitative real-time PCR. Nicotine induced mRNA expression of the following markers of M2 activation: Arg1, Ym1, and fibronectin (5A–C, respectively). Similarly, increased expression of Arg1, Ym1 and fibronectin were seen by immunofluorescent staining in relative fluorescent units per cell (RFU/cell) using confocal microscopy in primary AM at P7 (5D–F, respectively). Pre-treatment with  $\alpha\text{BGT}$  blocked in the nicotine induced changes in mRNA expression. *In utero* nicotine exposure did not induce changes in mRNA expression of Arg1, Ym1, and fibronectin in primary AM from  $\alpha 7$  nAChR<sup>-/-</sup> pups. \* $p < 0.05$  vs. control, \*\* $p < 0.01$  vs. control, † $p < 0.05$  vs. Nicotine, ‡ $p < 0.01$  vs. Nicotine. Figure is a composite of 3–5 separate litters in each experimental condition.



**Figure 6. Nicotine promotes expression of extracellular matrix proteins associated with alternative activation**

MH-S cells were serum starved overnight, pre-treated with 5 nM of BGT to inhibit  $\alpha 7$  nAChR, then treated with 50  $\mu\text{g}/\text{ml}$  of nicotine and co-cultured with fibroblasts permanently transfected with a fibronectin-luciferase reporter for 24 hours. MH-S cells treated with nicotine promoted transcription of fibronectin in co-cultured fibroblasts as measured by luciferase assay (6A). Pre-treatment with  $\alpha$ BGT blocked in the nicotine induced increase in fibronectin transcription. Collagen type I expression was also analyzed in the MH-S cells co-cultured with fibroblasts in Figure 6A. Nicotine treated MH-S cells (N, **black bars**) also had increased collagen type I expression by Western blot analysis after 24 hours when compared to untreated MH-S (C, **white bars**) (6B). Image is a representative Western blot. \*  $p < 0.05$  vs. Control, †  $p < 0.05$  vs. Nicotine.  $n = 3$ .



**Figure 7. *In utero* nicotine exposure impaired the phagocytic response of AM and nicotine's effect was dependent on  $\alpha 7$  nAChR mediated signals**

Primary AM were harvested at P7 and were administered pH rhodo-labeled *S. aureus*, which fluoresces when incorporated into an acidic phagolysosome. AM from *in utero* nicotine exposed pups demonstrated diminished phagocytosis, but AM from  $\alpha 7$  nAChR $^{-/-}$  pups maintained their phagocytic ability despite *in utero* nicotine exposure. \*  $p < 0.05$  vs. Control, †  $p < 0.05$  vs. Nicotine. Minimum of 4 separate litters in each experimental condition.