- 1 Using genomic epidemiology and geographic activity spaces to investigate tuberculosis
- 2 outbreaks in Botswana

13

- 4 Chelsea R. Baker, Ivan Barilar, Leonardo S. de Araujo, Daniel M. Parker, Kimberly
- 5 Fornace, Patrick K. Moonan, John E. Oeltmann, James L. Tobias, Volodymyr M. Minin,
- 6 Chawangwa Modongo, Nicola M. Zetola¹, Stefan Niemann¹, and Sanghyuk S. Shin¹
- 7 Author affiliations: University of California, Irvine, California, USA (C.R. Baker, D.M. Parker,
- 8 V.M. Minin, S.S. Shin); Forschungszentrum, Borstel, Germany (I. Barilar, L.S. de Araujo, S.
- 9 Niemann); National University of Singapore (K. Fornace); US Centers for Disease Control and
- 10 Prevention, Atlanta, Georgia, USA (P.K. Moonan, J.E. Oeltmann, J.L. Tobias,); Botswana-
- 11 Upenn Partnership, Gaborone, Botswana/ Victus Global Botswana Organisation, Gaborone,
- 12 Botswana (C. Modongo, N.M. Zetola)
- 14 These senior authors contributed equally to this article.
- 15 Corresponding author:
- 16 Sanghyuk Shin, PhD
- 17 Associate Professor, Sue & Bill Gross School of Nursing
- 18 Email: ssshin2@uci.edu
- 19 Phone: 949-576-8675
- 21 **Key words:** Tuberculosis transmission, spatial analysis, activity space, whole genome
- 22 sequencing, geographic heterogeneity, outbreak, infectious disease control

23 **Abstract** 24 Background 25 The integration of genomic and geospatial data into infectious disease transmission analyses 26 typically includes residential locations and excludes other activity spaces where transmission 27 may occur (e.g. work, school, or social venues). The objective of this analysis was to explore 28 residential as well as other activity spaces of tuberculosis (TB) outbreaks to identify potential 29 geospatial 'hotspots' of transmission. 30 Methods We analyzed data that included geospatial coordinates for residence and other activity spaces 31 32 collected during 2012–2016 for the Kopanyo Study, a population-based study of TB transmission 33 in Botswana. We included participants with results from whole genome sequencing conducted on archived samples from the original study. We used a spatial log-Gaussian Cox process model to 34 35 detect core areas of increased activity spaces of individuals belonging to TB outbreaks 36 (genotypic groups with ≤ 5 single-nucleotide polymorphisms), which we compared to ungrouped 37 participants (those not in a genotypic group of any size). 38 **Findings** We analyzed data collected from 636 participants, including 70 participants belonging to six 39 40 outbreak groups with a combined total of 293 locations, and 566 ungrouped participants with a 41 combined total of 2289 locations. Core areas of activity space for each outbreak group were geographically distinct, and we found evidence of localized transmission in four of six outbreaks. 42 43 For most of the outbreaks, including activity space data led to the detection of larger areas of 44 higher spatial intensity and more focal points compared to residential location alone.

45 <u>Interpretation</u>

- 46 Geospatial analysis using activity space data (social gathering places as well as residence) may
- 47 lead to improved understanding of areas of infectious disease transmission compared to using
- 48 residential data alone.
- 49 Funding

- 50 This work was supported by funding from the National Institute of Allergy and Infectious
- 51 Diseases R01AI097045, R01AI147336, and R01AI170204.

54

55

56

57

58

59

60

61

62

63

64

65

66

67

68

69

70

71

72

73

74

Background Tuberculosis (TB) remains among the leading causes of death due to infectious illness, despite being a preventable and curable disease¹. In 2022, over 10 million people became sick with TB, and 1.3 million died¹. Progress toward TB elimination has been slow and many targets set by the World Health Organization (WHO) have not been met¹. New strategies and tools are needed for TB prevention¹. In high-burden settings, where a substantial portion of disease incidence is due to recent infection, interventions to stop ongoing transmission are especially important ^{1–5}. A promising tool is the integration of geospatial and pathogen genomic data. Pathogen whole genome sequencing (WGS) can be used to identify closely related M. tuberculosis isolates and help reconstruct likely transmission chains⁶. Geographic and genomic data can be combined to help detect areas of sustained transmission, locate outbreaks, and investigate the geographic range of different strains^{7,8}. Spatial analysis of WGS data can help identify high-risk areas that could be targeted for public health interventions to interrupt ongoing transmission^{3–7,9–15}. Geographically targeted interventions have shown promise as an effective and cost-efficient strategy for reducing TB incidence in high-burden, low-resource settings 16–19. However, an important limitation to many studies employing this strategy is geospatial analysis based solely on residential location, which excludes locations in the community where transmission may occur^{12,20–22}. An alternative approach is to analyze "activity space," which includes the places one routinely occupies during day to day life^{23–25}. For example, this may

include residential as well as community sites such as workplaces, markets, places of worship, or

76

77

78

79

80

81

82

83

84

85

86

87

88

89

90

91

92

93

94

95

96

97

other social gathering places^{23,24}. This approach has the potential to lead to more accurate detection of high-risk areas compared to analysis of residential locations alone^{24,26}. We previously conducted a descriptive study of geospatial residential data and WGS data from a population-based study of TB transmission in Botswana found evidence that TB outbreaks displayed distinct geographic characteristics²⁷. The objectives of the current analysis were to use spatial statistical modeling to 1) identify geographic characteristics of the collective activity space (residential as well as social gathering locations) of each outbreak group, and 2) identify potential 'hotspot' areas of activity space associated with each outbreak, which may represent areas of increased risk for transmission. Methods Study design and setting We analyzed data collected during 2012–2016 for the Kopanyo Study, a population-based study of TB transmission in Botswana, a country in southern Africa with a high burden of TB and TB/HIV co-infection^{1,5,28}. Participants were recruited at multiple local health clinics in two districts: Gaborone, the urban center and capital city, and Ghanzi, a rural district several hundred kilometers away^{5,28}. During the five years before the study, TB incidence was 440–470 cases/100,000 persons in Gaborone, which had a total population of 354,380, and 722 cases/100,000 persons in Ghanzi, which had a population of 44,100 (12,179 in Ghanzi town)^{5,28}. Study participants included men and women of all ages with TB disease who were sequentially enrolled by date of diagnosis^{5,28}. Those who had already received TB treatment for >14 days,

99

100

101

102

103

104

105

106

107

108

109

110

111

112

113

114

115

116

117

118

119

120

prisoners, and patients who declined to participate were excluded^{5,28}. At least 1 sputum sample was collected from each participant for bacterial culture^{5,28}. Clinical and demographic data were collected through in-person interviews and medical record review^{5,28}. Data gathered during participant intake interviews included high resolution geospatial data for activity space, which included home residence and social gathering places^{5,28}. Participants were asked about residential location as well as social gathering places (e.g. workplaces, schools, markets, places of worship, alcohol venues etc.) frequented during their potential infectious period (up to 12 months prior to treatment initiation)^{5,28}. Geographic coordinates (latitude and longitude recorded using the WGS 84 projection system with 1.1-m precision) for locations were obtained using global positioning system (GPS) devices during site visits, or by geocoding addresses using a reference layer created by manually relocating addresses in satellite imagery using Google Maps, OpenStreetMap, and ArcGIS^{5,28}. WGS Whole genome sequencing was conducted on DNA samples archived from the original study with sufficient quantities of DNA (>0.05 ng/µL) for analysis. Closely related M. tuberculosis isolates were identified bioinformatically using a single linkage clustering algorithm. We considered clusters of isolates with ≤5 single-nucleotide polymorphisms (SNPs) to indicate recent transmission and clusters of ≥10 persons to be outbreaks. Further details of this procedure are outlined in a separate analysis²⁷. Spatial modeling of activity space

122

123

124

125

126

127

128

129

130

131

132

133

134

135

136

137

138

139

140

141

142

143

Participants eligible for the current analysis included those with WGS data, GPS coordinates, and sociodemographic data for age, sex, income, and HIV status available. We focused our current analysis on outbreak groups that had at least 10 activity space locations (collectively among all their participants) within greater Gaborone, an area of approximately 27 km x 24 km that includes the capital city and its surrounding suburbs. We also included genotypically ungrouped participants as a comparison group. For model fitting purposes, a very small jitter was introduced to location coordinates to avoid duplicate points (roughly on the scale of different areas of the same building, ranging from approximately <1 to 10 meters). We conducted a preliminary analysis to compare the geographic distribution of participants with WGS data available to the total study population from the Kopanyo Study to rule out geographic sampling bias. We estimated the geographic median center (a centralized point that minimizes the distance to all other points), and directional distribution (which calculates the standard deviation of points along both the X and Y axes) for both groups of participants and found nearly identical results, indicating that participants with WGS data were geographically representative of the larger study population. This analysis was performed using ArcGIS²⁹. Model description We used a spatial log-Gaussian Cox process (LGCP) to model the spatial intensity (average number of points per unit area) of activity spaces of participants belonging to each outbreak group ('cases') and of genotypically ungrouped participants (those not in an identified genotypic group of any size, 'controls'). LGCPs are a flexible class of models for spatial point processes where spatial intensity may vary across the study region^{30,31}. A spatial random effect can be

145

146

147

148

149

150

151

152

153

154

155

156

157

158

159

160

161

162

163

164

165

166

incorporated to account for spatial correlation in the data and identify spatial patterns not explained by other variables^{33,34,37,40}. This technique offers a model-based approach for estimating utilization distributions, which are probability density functions that can be mapped to highlight areas with increased geographic concentrations of points (e.g. activity space locations) to help characterize use of space^{26,32}. To adapt the modeling framework to an activity space context where each individual may be associated with multiple point locations, observations can be treated as cumulative 'encounters' over specified time periods³². We considered each point to represent an 'encounter' in space corresponding to a potential TB exposure, and estimated intensity surfaces for cumulative exposures over the entire study period. LGCPs fit well in a Bayesian hierarchical modeling framework, and various tools can be used for this approach^{33–35}. We used integrated nested Laplace approximation (INLA), a flexible and computationally efficient method for approximate Bayesian inference for latent Gaussian models, which include LGCPs^{33,34,36–38}. We implemented this using the R-INLA package³⁹. We modeled the spatial random effect as a Gaussian random field (GRF) with Matérn covariance^{37,38,40}. We used the stochastic partial differential equation (SPDE) approach in R-INLA to approximate the GRF^{37,38,40}. We specified the SPDE model using penalized complexity priors that were vaguely informative about the underlying spatial process (prior probability of 0.05 that the ranges of the fields were less than 0.5 km and prior probability of 0.05 that the standard deviation was greater than 10). Under the LGCP framework, we used a joint modeling approach to incorporate a shared spatial term (obtained by jointly estimating the intensity of both cases and controls), as well as a unique

spatial term estimated for cases in each group^{38,41}. Using this approach, posterior mean estimates of the spatial random effect for cases represent variation in intensity not accounted for by the spatial distribution of controls⁴¹. We did this to help identify areas with relatively high concentrations of activity spaces associated with individual outbreak groups, while attempting to account for baseline use of space (as some locations tend to be frequented by people more often in general). Areas with a high density of activity spaces frequented by people belonging to the same outbreak group could potentially represent areas associated with an increased risk of recent transmission. We fit a version of the model that included just the shared spatial term (model 0), and a version of the model that included the shared spatial term as well as unique spatial terms estimated for each outbreak group individually (model 1). We also conducted a sensitivity analysis using subsets of the data with 70 and 140 randomly selected ungrouped participants as controls to examine whether spatial patterns were sensitive to size of the control group. We projected posterior mean estimates of the spatial effect (i.e. the effect of spatial location on the intensity of activity spaces, represented by the spatial random field) for each outbreak group onto maps of the study area in order to visualize how it varied across the region, and to identify areas of increased or decreased (different than zero) values not explained by the spatial distribution of controls⁴¹. Estimated values (displayed on the internal linear predictor scale) represent the contribution of the spatial random effect to the response (spatial intensity) after accounting for other fixed and random effects in the model. In addition, we reported posterior mean estimates for the range (distance at which spatial correlation falls close to zero) and variance of the spatial effect for each outbreak group³⁶.

167

168

169

170

171

172

173

174

175

176

177

178

179

180

181

182

183

184

185

186

187

191

192

193

194

195

196

197

198

199

200

201

202

203

204

205

206

207

208

209

210

211

We also projected posterior mean estimates for predicted spatial intensity values (fitted values of the response at prediction locations, obtained by exponentiating the linear predictor), in order to visualize patterns of spatial intensity of activity spaces for each outbreak group^{36,38}. In addition, we calculated exceedance probabilities and projected these onto maps of the study area to identify areas where estimated spatial effect for each outbreak group had a high probability (0.95) of being greater than zero, representing high-confidence areas where the spatial effect for cases was above the baseline that could be accounted for by the spatial distribution of controls⁴¹. We also calculated exceedance probabilities to identify highconfidence areas where the estimated spatial intensity was in the top ten percent of estimated mean values for each group, representing 'core areas' or 'hotspots' of that group's collective activity space³². We also generated exceedance probability maps based only on location of participant residence (using the same threshold values as the full analysis) to compare high-risk areas identified using activity space analysis vs. home location alone. We projected these exceedance probabilities onto interactive maps of the study area for each outbreak group. Map visualization was performed using the R packages raster, terra, sf, ggplot2, ggspatial, and leaflet. **Results**

213

214

215

216

217

218

219

220

221

222

223

224

225

226

227

228

229

230

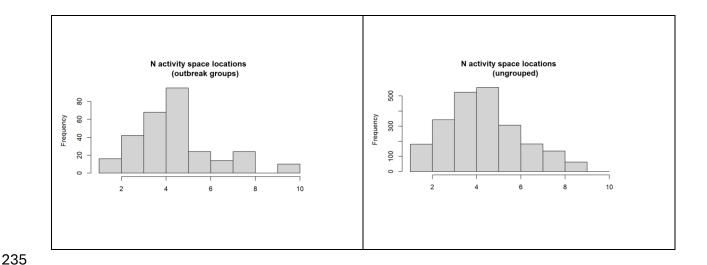
231

232

233

234

Participants A total of 1426 participants had WGS data available, of which 1425 had GPS coordinates available for at least one activity space location (home or social gathering place). Participants with and without WGS data had similar sociodemographic characteristics in terms of age, sex, HIV status, and income. Eight genotypic groups had 10 participants or more and were considered outbreaks. Six out of eight outbreaks (genotypic groups with 10 participants or more) had at least 10 activity spaces (collectively among all their participants) in greater Gaborone. A total of 636 participants with activity spaces in greater Gaborone met criteria for the current analysis, including 70 participants belonging to six outbreak groups with a combined total of 293 locations, and 566 ungrouped participants with a combined total of 2289 locations. Each participant had between one and 10 activity space locations, and the median number of locations (n=4) was the same for both grouped and ungrouped participants (Figure 1). Median number of activity space locations was the same (n=4) by gender and HIV status, though was slightly lower for participants with no income (n=3) than participants with any income (n=4), which could reflect an increased number of activity spaces among participants who were employed. Among participants with more than one location, the maximum distance between any two of their activity spaces ranged from <0.5 km to 21.2 km (median 6.2 km) for ungrouped participants and <0.5 km to 21.7 km (median 4.3 km) for participants in outbreak groups (supplementary figure 1). Figure 1. Histograms for distribution of number of activity space locations per participants for outbreak and genotypically ungrouped participants.



Among genotypically ungrouped participants, the median age was 35 years (IQR: 28–42), just over half were male, about one quarter reported no income, and nearly 65% were diagnosed with TB-HIV coinfection (Table 1). Among participants in the six genotypic groups, median age ranged from 30 years (Group A) to 39 years (Group G) (Table 1). Participants in Group G were exclusively male, while Group C alone was majority female (75%). Group D had the highest proportion of participants diagnosed with TB-HIV coinfection (9 of 11; 91%). The percentage of participants reporting no income ranged from 18% in Group D to 58% in Groups C and E (Table 1).

Table 1. Characteristics of study participants (N = 636) by outbreak group (genotypic group \leq 5 SNP), Gaborone, Botswana, 2012-2016

	A (N=22)	C (N=12)	D (N=11)	E (N=12)	G (N=9)	H (N=4)	Ungrouped (N=566)
Total locations	81	45	53	54	44	16	2289
Gender							

	A (N=22)	C (N=12)	D (N=11)	E (N=12)	G (N=9)	H (N=4)	Ungrouped (N=566)
Female	11 (50.0%)	9 (75.0%)	5 (45.5%)	3 (25.0%)	0 (0%)	2 (50.0%)	264 (46.6%)
Male	11	3	6	9	9	2	302
	(50.0%)	(25.0%)	(54.5%)	(75.0%)	(100%)	(50.0%)	(53.4%)
Age							
Median [Q1,Q3] HIV Status	29 [24, 37]	31 [29, 36]	33 [31, 42]	35 [29, 40]	39 [35, 42]	24 [20, 38]	35 [28, 42]
Neg	10	5	1	6	4	3	203
	(45.5%)	(41.7%)	(9.1%)	(50.0%)	(44.4%)	(75.0%)	(35.9%)
Pos	12	7	10	6	5	1	363
	(54.5%)	(58.3%)	(90.9%)	(50.0%)	(55.6%)	(25.0%)	(64.1%)
Income							
Any	16	5	9	5	7	2	417
	(72.7%)	(41.7%)	(81.8%)	(41.7%)	(77.8%)	(50.0%)	(73.7%)
None	6	7	2	7	2	2	149
	(27.3%)	(58.3%)	(18.2%)	(58.3%)	(22.2%)	(50.0%)	(26.3%)

Estimated spatial effects

Model 1 (shared and group-specific spatial terms) had a lower DIC (-12956.58) than model 0 (shared spatial terms only, DIC -12853.84), supporting the presence of spatial variation among genotypic groups not accounted for by the spatial distribution of activity spaces of controls⁴¹.

In general, posterior estimates for the range of the spatial effects suggested small to medium scale spatial correlation (Table 2; Figure 2). The range was smallest for groups A and H, indicating that spatial correlation among points died off at relatively short distances. Both the range and variance were largest for group C, indicating the spatial effect spanned a greater

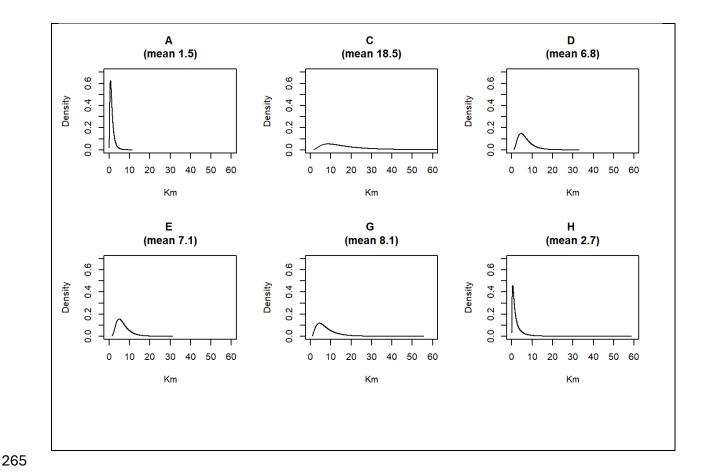
distance but also displayed 'peaks'. This could be due to the presence of two distinct areas of increased intensity located relatively far from one another.

Table 2. Posterior mean estimates of the range and variance of the spatial effect for each outbreak group (A-H), Gaborone, Botswana, 2012-2016.

	A	С	D	Е	G	Н	
Range	1.5	18.5	6.8	7.1	8.1	2.7	
(mean)							
Variance	0.1	2.0	0.6	1.4	1.8	0.5	
(mean)							

Figure 2. Posterior mean estimates and marginal distributions of the range and variance of the estimated spatial effect for each outbreak group, Gaborone, Botswana, 2012-2016

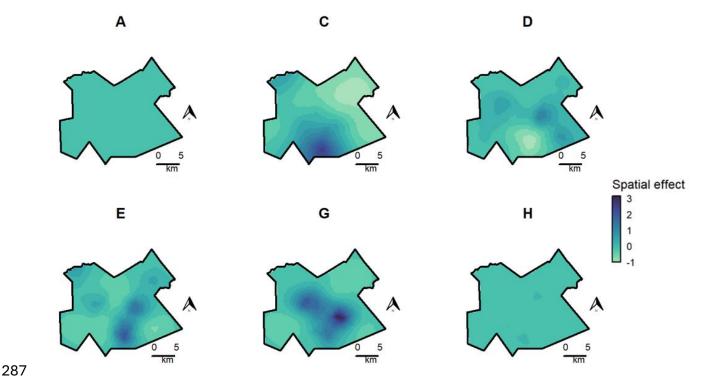
Posterior mean and marginal distribution for range for spatial effect (outbreak groups A – H)



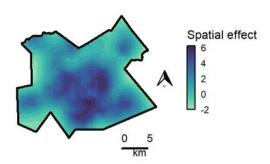
Maps of posterior mean estimates of spatial effects displayed different spatial patterns for each outbreak group (Figure 3). Estimated spatial effects for group A and group H showed several relatively small and dispersed areas of increased values compared to controls. Group C had a notable area of increased spatial effect in the central southern part of the study area. Group D and group G had two to three main areas of increased values that followed a broad east-west spread, while for group E areas of increased estimates had a general north-south configuration.

Results of the sensitivity analysis using subsets of 70 and 140 randomly selected controls found very similar results in terms of the spatial patterns and magnitude of estimated spatial effect by group (Supplementary Figure 2 and Supplementary Figure 4).

Figure 3. Posterior mean estimates of spatial random effect for each outbreak group (A-H) and controls (ungrouped participants), Gaborone, Botswana, 2012-2016. Values are shown on the internal linear predictor scale and represent the contribution of the spatial random effect on the response, after accounting for other fixed and random effects in the model. Departures from baseline (above or below zero) for outbreak groups measure group-specific spatial patterns that are not accounted for by the spatial distribution of activity spaces of controls. Darker colors correspond to increased spatial effect estimates. Values are displayed on the same color scale for all outbreak groups, though on a separate color scale for controls due to difference in sample size.



Ungrouped



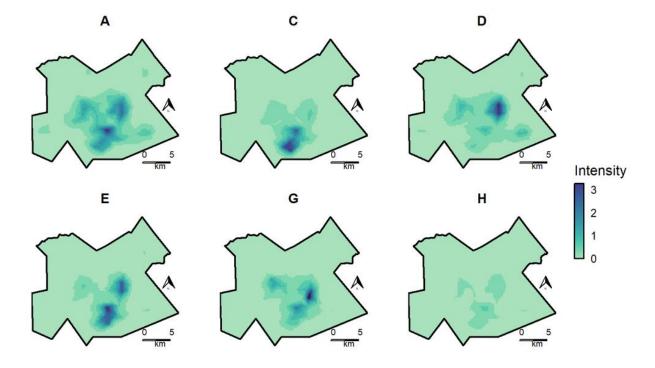
Predicted spatial intensity

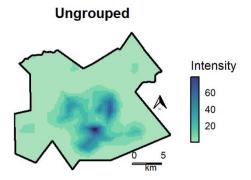
Maps of predicted mean spatial intensity displayed unique spatial patterns for each outbreak group (Figure 4).

For group A, areas of increased spatial intensity of activity spaces followed an overall similar pattern as seen for controls, with areas of highest intensity toward the center of the study area. Group C had a distinct area of high intensity in the central southern part of the study area. Group D had a notable area of increased intensity in the central east part of the map. Areas of highest intensity for group E were in the central and south east, and for group G in the central east. The areas of highest intensity for group H were located toward the center of the study area and also resembled the overall spatial pattern seen for controls, though predicted values were relatively small compared to the other groups and not easily visible when mapped on the same color scale.

Results of the sensitivity analysis using subsets of 70 and 140 randomly selected controls found very similar results for predicted spatial intensity by outbreak group (Supplementary Figure 3 and Supplementary Figure 5).

Figure 4. Predicted mean spatial intensity of activity spaces for participants in each outbreak group (A-H) and controls (ungrouped participants), Gaborone, Botswana, 2012-2016. Values are displayed on the response scale (obtained by exponentiating the linear predictor) and represent predicted numbers of activity spaces per unit area (approximately 0.25 x 0.25 km). Areas of increased intensity correspond to higher geographic concentration of activity spaces for participants in each group. Intensity values are displayed on the same color scale for all outbreak groups for ease of visual comparison, though on a separate color scale for controls due to difference in sample size.





Exceedance maps

Exceedance maps for estimated spatial effects showed areas where the posterior mean had a high probability (0.95) of being above 0 (greater than baseline) (Figure 5). Areas of significantly increased spatial effect estimates based on full activity space analysis were detected for groups C, D, E, and G. Groups A and H did not have areas meeting the specified threshold, which may be due to a spatial distribution of activity spaces that resembles that of the control group.

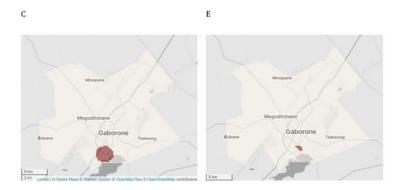
Areas of significantly increased spatial effect estimates based on residential location alone were detected for groups Cand E, though not for groups A, D, G, or H. For group E, exceedance areas based on activity space were geographically broader than those based solely on residential location. For group C, exceedance areas were similar in both analyses.

Figure 5: Exceedance maps for spatial effect greater than 0 (departure above baseline) with high probability (0.95) – full activity space and residential locations only, Gaborone, Botswana, 2012-2016.

5.1 Full activity space



5.2 Residential only



Exceedance maps for predicted spatial intensity values showed distinct areas of high spatial intensity ('core areas') for each outbreak group, corresponding to areas where posterior mean intensity values had a high probability (0.95) of being in the upper ten percent of estimates for that group (Figure 6).

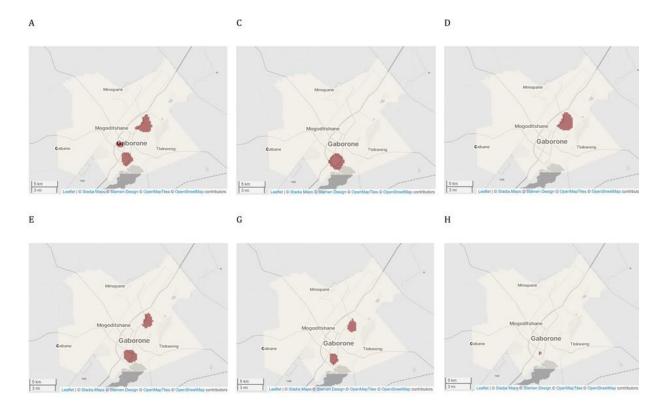
6.1 Full activity space

In general, core areas based on residential location alone were geographically restricted compared to core areas based on full activity space analysis. For all groups core areas based on full activity space analysis were larger than those based on residential locations alone. For groups A, D, G, and H, core areas based on activity space also involved additional geographic focal areas-

Figure 6. Exceedance maps for predicted spatial intensity to display 'core areas' by outbreak group based on full activity space and residential locations only, Gaborone, Botswana, 2012-2016

A C D Monopore Monopore Description Gabore Description Gabore Description Gabore Description Monopore Tables May C States Descript Covertise Test Cove

6.2 Residential only



Discussion

In our analysis, we detected geographically distinct patterns of activity space associated with different TB outbreak groups. Core areas ('hotspots') of highest spatial concentration of activity spaces for each group were located in different areas, with some being more geographically widespread and others more compact. For outbreak groups C, D, E, and G, we detected areas where the spatial concentration of activity spaces of grouped participants was significantly higher than the baseline spatial distribution of activity spaces of ungrouped controls (increased spatial effect). This could suggest that distinct areas of localized transmission play an important

358

359

360

361

362

363

364

365

366

367

368

369

370

371

372

373

374

375

376

377

378

379

role in these outbreaks. The spatial distribution of activity spaces for groups A (the largest outbreak group) and H (the smallest) resembled the overall spatial distribution of activity spaces belonging to the control group. The differences in spatial characteristics among the groups could potentially correspond to the timing of how long a genotype of TB has been circulating in the community. It could also represent transmission among socially or geographically distinct contact networks. Activity space hotspots could represent potential high-priority areas for spatially targeted interventions such as active case finding for TB and other infectious diseases. This may be particularly useful for outbreaks involving localized transmission. Exceedance maps displaying core areas of spatial intensity and areas of increased spatial effects such as those shown above could potentially be a useful tool for public health planning. We displayed static snapshots from interactive maps at a relatively low spatial resolution to protect privacy, though in practice such maps could be used to examine potential hotspots at different spatial scales. We also found differences between exceedance areas detected using full activity space analysis compared to residential location alone. Areas of core spatial intensity and significant spatial effects based on activity space were generally larger, and sometimes included additional geographic focal points, suggesting a notable portion of activity spaces may be located in areas outside participants' home neighborhoods. A possible exception is group C, which had similar exceedance areas in both analyses, suggesting activity spaces for these participants may generally be located in closer proximity to place of residence. Relatively high unemployment in group C and fewer work locations may have contributed to this observation. Our results show

381

382

383

384

385

386

387

388

389

390

391

392

393

394

395

396

397

398

399

400

401

that analyses based on residential location alone may not fully represent the spatial characterization of hotspots. Spatial analysis for infectious disease transmission involves an inherent assumption that the locations analyzed are important with regard to transmission. Activity space analysis incorporates important locations in the community where TB transmission may occur, and may reduce exposure misclassification and improve the geographic characterization of transmission chains⁴². This has implications for planning and evaluating targeted interventions⁴³. For example, a recent TB modeling study found limited effectiveness of spatially targeted screening based on proximity to household locations of people with incident TB in Peru⁴⁴. However, hotspots of TB incidence based on household locations do not necessarily correspond with hotspots of transmission^{43,44}. Activity space analysis may help address issues such as this in spatial analysis for TB transmission. Activity space analysis has an established history of use in fields such as social geography and urban planning^{45–47}. It fits naturally into the spatial epidemiology framework, which emphasizes place and location-based health exposures^{23,48}. This approach acknowledges space as a social determinant of health and helps incorporate the influence of social and physical environments on health outcomes^{23,49}. However, there are relatively few examples of spatial analysis of activity space in the TB literature. An early example that helped lay the foundation for activity space analysis in TB research was a study to detect TB hotspots in Japan⁵⁰. The study incorporated spatial and genomic data, though at a relatively low resolution (spatial data were aggregated at

403

404

405

406

407

408

409

410

411

412

413

414

415

416

417

418

419

420

421

422

423

the census tract level and genotype clustering identified using IS6110-based restriction fragment length polymorphism (IS6110-RFLP) analysis)⁵⁰. Our results are in line with studies of TB in the US²⁵ and South Africa²¹ that both noted differences between 'high-risk' areas identified with density maps of activity spaces compared to residential locations alone. These studies highlighted the potential importance of activity space analysis, though neither study included genomic data. Our results are also in line with a recent study in Peru that combined WGS and spatial data to identify differences in activity spaces of genotypically related and unrelated cases and non-TB controls²⁶. Notably, this study highlighted the potential to draw on methodology used in spatial ecology, such as using UDs to model activity space, both at the individual and group level²⁶. The approach taken in this study was to focus mainly on quantifying size (geographic area) and amount of overlap among participants' UDs, rather than detecting specific high-risk areas in the community. Our study expanded on these methods by using a spatial point process model, which allowed us to incorporate measures of uncertainty and detect potential hotspots by identifying high-confidence areas of highest spatial intensity. A limitation of our study is that incorporating activity space may have resulted in including locations that are not relevant to transmission. Another limitation is that we did not include specific measures of temporality, which is also an important element of transmission dynamics.

Another limitation of this study is that we did not examine potential contributing risk factors driving the observed spatial patterns. Spatial variation is often a proxy for the influence of unmeasured variables that may include sociodemographic, social, structural, or environmental factors impacting risk^{36,51}. The spatial LGCP modeling approach can incorporate spatiallyreferenced covariates⁵¹ (such as population-level sociodemographic characteristics or environmental variables); however these data were not available for our analysis. We focused primarily on identifying geographic areas of increased risk, which could potentially be targeted for outreach such as active case finding. However, further analysis could incorporate additional data or modeling techniques to assess potential risk factors. Another limitation of this study is an unknown number of missing cases, activity space locations, and WGS data that could potentially alter geographic characterization of genotypic groups. Although the original study had relatively high enrollment (4,331/5,515 persons diagnosed during the study period), not every person with TB was included, such as those diagnosed but not enrolled and cases that were not detected. In addition, the use of location data obtained through patient interviews is subject to recall bias and underreporting⁵². Other methods of obtaining location data, such as prospective GPS tracking, have been suggested as potential alternatives²⁶. However, locations visited during the infectious period prior to diagnosis and study enrollment were of primary interest in this context⁵³. Further, a study comparing locations reported by participants and locations captured via GPS loggers found that for three quarters of respondents, over 70% of self-reported locations matched with the GPS data⁵⁴.

Conclusion

424

425

426

427

428

429

430

431

432

433

434

435

436

437

438

439

440

441

442

443

444

445

Integrated geospatial and genomic analysis of activity space may help identify potential high-risk locations of sustained transmission in the community. Activity space analysis may improve the geographic characterization of transmission 'hotspots' compared to analysis of residential location alone. This could help with planning and mobilizing interventions to interrupt ongoing transmission, and could provide a valuable tool for public health officials working to eliminate TB among marginalized communities^{7,10}.

- 454 References
- 455 1. World Health Organization. Global Tuberculosis Report 2023. World Health Organization;
- 456 2023. Accessed March 3, 2024. https://www.who.int/publications-detail-redirect/9789240083851
- 2. Vesga JF, Hallett TB, Reid MJA, et al. Assessing tuberculosis control priorities in high-burden
- 458 settings: a modelling approach. Lancet Glob Health. 2019;7(5):e585-e595. doi:10.1016/S2214-
- 459 109X(19)30037-3
- 3. Auld SC, Shah NS, Cohen T, Martinson NA, Gandhi NR. Where is tuberculosis transmission
- happening? Insights from the literature, new tools to study transmission and implications for the
- elimination of tuberculosis. Respirol Carlton Vic. Published online June 5, 2018.
- 463 doi:10.1111/resp.13333
- 464 4. Shaweno D, Trauer JM, Doan TN, Denholm JT, McBryde ES. Geospatial clustering and
- 465 modelling provide policy guidance to distribute funding for active TB case finding in Ethiopia.
- 466 Epidemics. 2021;36:100470. doi:10.1016/j.epidem.2021.100470
- 5. Zetola NM, Moonan PK, Click E, et al. Population-based geospatial and molecular
- 468 epidemiologic study of tuberculosis transmission dynamics, Botswana, 2012–2016. Emerg Infect
- 469 Dis. 2021;27(3):835-844. doi:10.3201/eid2703.203840
- 470 6. Guthrie JL, Gardy JL. A brief primer on genomic epidemiology: lessons learned from
- 471 Mycobacterium tuberculosis. Ann N Y Acad Sci. 2017;1388(1):59-77.
- 472 doi:https://doi.org/10.1111/nyas.13273
- 473 7. Gardy JL, Loman NJ. Towards a genomics-informed, real-time, global pathogen surveillance
- 474 system. Nat Rev Genet. 2018;19(1):9-20. doi:10.1038/nrg.2017.88

- 8. Moonan PK, Ghosh S, Oeltmann JE, Kammerer JS, Cowan LS, Navin TR. Using Genotyping
- and Geospatial Scanning to Estimate Recent Mycobacterium tuberculosis Transmission, United
- 477 States. Emerg Infect Dis. 2012;18(3):458-465. doi:10.3201/eid1803.111107
- 9. Shaweno D, Karmakar M, Alene KA, et al. Methods used in the spatial analysis of
- tuberculosis epidemiology: a systematic review. BMC Med. 2018;16(1):193.
- 480 doi:10.1186/s12916-018-1178-4
- 481 10. Inzaule SC, Tessema SK, Kebede Y, Ogwell Ouma AE, Nkengasong JN. Genomic-informed
- pathogen surveillance in Africa: opportunities and challenges. Lancet Infect Dis.
- 483 2021;21(9):e281-e289. doi:10.1016/S1473-3099(20)30939-7
- 484 11. Smith JP, Oeltmann JE, Hill AN, et al. Characterizing tuberculosis transmission dynamics in
- 485 high-burden urban and rural settings. Sci Rep. 2022;12(1):6780. doi:10.1038/s41598-022-10488-
- 486 2
- 487 12. Ribeiro FKC, Pan W, Bertolde A, et al. Genotypic and Spatial Analysis of Mycobacterium
- 488 tuberculosis Transmission in a High-Incidence Urban Setting. Clin Infect Dis Off Publ Infect Dis
- 489 Soc Am. 2015;61(5):758-766. doi:10.1093/cid/civ365
- 490 13. Zelner JL, Murray MB, Becerra MC, et al. Identifying Hotspots of Multidrug-Resistant
- 491 Tuberculosis Transmission Using Spatial and Molecular Genetic Data. J Infect Dis.
- 492 2016;213(2):287-294. doi:10.1093/infdis/jiv387
- 493 14. Li M, Lu L, Jiang Q, et al. Genotypic and spatial analysis of transmission dynamics of
- 494 tuberculosis in Shanghai, China: a 10-year prospective population-based surveillance study.
- 495 Lancet Reg Health West Pac. 2023;38:100833. doi:10.1016/j.lanwpc.2023.100833

- 496 15. Moonan PK, Oppong J, Sahbazian B, et al. What Is the Outcome of Targeted Tuberculosis
- 497 Screening Based on Universal Genotyping and Location? Am J Respir Crit Care Med.
- 498 2006;174(5):599-604. doi:10.1164/rccm.200512-1977OC
- 499 16. Shaweno D, Trauer JM, Doan TN, Denholm JT, McBryde ES. (Pre)Geospatial clustering and
- modelling provide policy guidance to distribute funding for active TB case finding in Ethiopia.
- 501 Epidemics. Published online May 19, 2021:100470. doi:10.1016/j.epidem.2021.100470
- 502 17. Dowdy DW, Golub JE, Chaisson RE, Saraceni V. Heterogeneity in tuberculosis transmission
- and the role of geographic hotspots in propagating epidemics. Proc Natl Acad Sci U S A.
- 504 2012;109(24):9557-9562. doi:10.1073/pnas.1203517109
- 505 18. Shrestha S, Reja M, Gomes I, et al. Quantifying geographic heterogeneity in TB incidence
- and the potential impact of geographically targeted interventions in south and north city
- 507 corporations of Dhaka, Bangladesh: a model-based study. Epidemiol Infect. Published online
- 508 April 19, 2021:1-27. doi:10.1017/S0950268821000832
- 509 19. Reid MJA, Arinamin pathy N, Bloom A, et al. Building a tuberculosis-free world: The Lancet
- 510 Commission on tuberculosis. The Lancet. 2019;393(10178):1331-1384. doi:10.1016/S0140-
- 511 6736(19)30024-8
- 512 20. Nelson KN, Shah NS, Mathema B, et al. Spatial Patterns of Extensively Drug-Resistant
- Tuberculosis Transmission in KwaZulu-Natal, South Africa. J Infect Dis. 2018;218(12):1964-
- 514 1973. doi:10.1093/infdis/jiy394
- 515 21. Peterson ML, Gandhi NR, Clennon J, et al. Extensively drug-resistant tuberculosis hotspots
- and sociodemographic associations in Durban, South Africa. Int J Tuberc Lung Dis Off J Int
- 517 Union Tuberc Lung Dis. 2019;23(6):720-727. doi:10.5588/ijtld.18.0575

- 518 22. Yang C, Lu L, Warren JL, et al. Internal migration and transmission dynamics of tuberculosis
- 519 in Shanghai, China: an epidemiological, spatial, genomic analysis. Lancet Infect Dis.
- 520 2018;18(7):788-795. doi:10.1016/S1473-3099(18)30218-4
- 521 23. Kestens Y, Wasfi R, Naud A, Chaix B. "Contextualizing Context": Reconciling
- 522 Environmental Exposures, Social Networks, and Location Preferences in Health Research. Curr
- 523 Environ Health Rep. 2017;4(1):51-60. doi:10.1007/s40572-017-0121-8
- 524 24. Matthews SA, Yang TC. Spatial Polygamy and Contextual Exposures (SPACEs): Promoting
- Activity Space Approaches in Research on Place and Health. Am Behav Sci. 2013;57(8):1057-
- 526 1081. doi:10.1177/0002764213487345
- 527 25. Worrell MC, Kramer M, Yamin A, Ray SM, Goswami ND. Use of Activity Space in a
- 528 Tuberculosis Outbreak: Bringing Homeless Persons Into Spatial Analyses. Open Forum Infect
- 529 Dis. 2017;4(1):ofw280. doi:10.1093/ofid/ofw280
- 530 26. Bui DP, Chandran SS, Oren E, et al. Community transmission of multidrug-resistant
- tuberculosis is associated with activity space overlap in Lima, Peru. BMC Infect Dis.
- 532 2021;21(1):275. doi:10.1186/s12879-021-05953-8
- 533 27. Baker CR, Barilar I, de Araujo LS, et al. Use of High-Resolution Geospatial and Genomic
- Data to Characterize Recent Tuberculosis Transmission, Botswana. Emerg Infect Dis.
- 535 2023;29(5):977-987. doi:10.3201/eid2905.220796
- 536 28. Zetola NM, Modongo C, Moonan PK, et al. Protocol for a population-based molecular
- epidemiology study of tuberculosis transmission in a high HIV-burden setting: the Botswana
- 538 Kopanyo study. BMJ Open. 2016;6(5). doi:10.1136/bmjopen-2015-010046

- 539 29. ESRI. ArcGIS Desktop. Published online 2019.
- 30. Diggle PJ. Statistical Analysis of Spatial and Spatio-Temporal Point Patterns. 0 ed. Chapman
- and Hall/CRC; 2013. doi:10.1201/b15326
- 31. Banerjee S, Carlin BP, Gelfand AE. Hierarchical Modeling and Analysis for Spatial Data.
- 543 CRC Press; 2014.
- 32. Watson J, Joy R, Tollit D, Thornton SJ, Auger-Méthé M. Estimating animal utilization
- distributions from multiple data types: A joint spatiotemporal point process framework. Ann
- 546 Appl Stat. 2021;15(4). doi:10.1214/21-AOAS1472
- 33. Simpson D, Illian JB, Lindgren F, Sørbye SH, Rue H. Going off grid: computationally
- efficient inference for log-Gaussian Cox processes. Published online March 2016.
- 549 doi:10.1093/biomet/asv064
- 34. Lindgren F, Rue H. Bayesian Spatial Modelling with R-INLA. J Stat Softw. 2015;63(19):1-
- 551 25.
- 35. Illian JB, Sørbye SH, Rue H. A toolbox for fitting complex spatial point process models
- using integrated nested Laplace approximation (INLA). Ann Appl Stat. 2012;6(4):1499-1530.
- 554 doi:10.1214/11-AOAS530
- 36. Moraga P. Spatial Statistics for Data Science: Theory and Practice with R. Chapman &
- Hall/CRC Data Science Series; 2023. Accessed November 5, 2023.
- 557 https://www.paulamoraga.com/book-spatial/index.html

- 558 37. Krainski, Gómez-Rubio, Bakka, et al. Advanced Spatial Modeling with Stochastic Partial
- 559 Differential Equations Using R and INLA.; 2019. Accessed November 5, 2023.
- 560 https://becarioprecario.bitbucket.io/spde-gitbook/index.html
- 38. Gómez-Rubio V. Bayesian Inference with INLA.; 2021. Accessed November 7, 2023.
- 562 http://becarioprecario.bitbucket.io/inla-gitbook/index.html
- 39. Rue H, Martino S, Chopin N. Approximate Bayesian inference for latent Gaussian models by
- using integrated nested Laplace approximations. J R Stat Soc Ser B Stat Methodol.
- 565 2009;71(2):319-392. doi:10.1111/j.1467-9868.2008.00700.x
- 40. Bachl FE, Lindgren F, Borchers DL, Illian JB. inlabru: an R package for Bayesian spatial
- modelling from ecological survey data. Methods Ecol Evol. 2019;10(6):760-766.
- 568 doi:10.1111/2041-210X.13168
- 569 41. Palmí-Perales F, Gómez-Rubio V, López-Abente G, Ramis R, Sanz-Anguela JM, Fernández-
- 570 Navarro P. Approximate Bayesian inference for multivariate point pattern analysis in disease
- 571 mapping. Biom J. 2021;63(3):632-649. doi:10.1002/bimj.201900396
- 572 42. Keshavjee S, Dowdy D, Swaminathan S. Stopping the body count: a comprehensive
- approach to move towards zero tuberculosis deaths. The Lancet. 2015;386(10010):e46-e47.
- 574 doi:10.1016/S0140-6736(15)00320-7
- 575 43. Huang CC, Trevisi L, Becerra MC, et al. Spatial scale of tuberculosis transmission in Lima,
- 576 Peru. Proc Natl Acad Sci U S A. 2022;119(45):e2207022119. doi:10.1073/pnas.2207022119

- 577 44. Havumaki J, Warren JL, Zelner J, et al. Spatially-targeted tuberculosis screening has limited
- impact beyond household contact tracing in Lima, Peru: A model-based analysis. PLOS ONE.
- 579 2023;18(10):e0293519. doi:10.1371/journal.pone.0293519
- 580 45. Browning CR, Soller B. Moving Beyond Neighborhood: Activity Spaces and Ecological
- Networks As Contexts for Youth Development. Cityscape Wash DC. 2014;16(1):165-196.
- 582 46. Horton FE, Reynolds DR. Effects of Urban Spatial Structure on Individual Behavior. Econ
- 583 Geogr. 1971;47(1):36. doi:10.2307/143224
- 584 47. Xi W, Calder CA, Browning CR. Beyond Activity Space: Detecting Communities in
- 585 Ecological Networks. Ann Am Assoc Geogr. 2020;110(6):1787-1806.
- 586 doi:10.1080/24694452.2020.1715779
- 587 48. Elliott P, Wartenberg D. Spatial Epidemiology: Current Approaches and Future Challenges.
- 588 Environ Health Perspect. 2004;112(9):998-1006. doi:10.1289/ehp.6735
- 589 49. Ortblad KF, Salomon JA, Bärnighausen T, Atun R. Stopping tuberculosis: a biosocial model
- 590 for sustainable development. Lancet Lond Engl. 2015;386(10010):2354-2362.
- 591 doi:10.1016/S0140-6736(15)00324-4
- 592 50. Izumi K, Ohkado A, Uchimura K, et al. Detection of Tuberculosis Infection Hotspots Using
- 593 Activity Spaces Based Spatial Approach in an Urban Tokyo, from 2003 to 2011. PLoS ONE.
- 594 2015;10(9). doi:10.1371/journal.pone.0138831
- 51. Diggle PJ, Moraga P, Rowlingson B, Taylor BM. Spatial and Spatio-Temporal Log-Gaussian
- 596 Cox Processes: Extending the Geostatistical Paradigm. Stat Sci. 2013;28(4). doi:10.1214/13-
- 597 STS441

598 52. Surie D, Fane O, Finlay A, et al. Molecular, Spatial, and Field Epidemiology Suggesting TB 599 Transmission in Community, Not Hospital, Gaborone, Botswana. Emerg Infect Dis. 600 2017;23(3):487-490. doi:10.3201/eid2303.161183 601 53. Bui DP, Oren E, Roe DJ, et al. A Case-Control Study to Identify Community Venues 602 Associated with Genetically-clustered, Multidrug-resistant Tuberculosis Disease in Lima, Peru. 603 Clin Infect Dis. 2019;68(9):1547-1555. doi:10.1093/cid/ciy746 604 54. Kestens Y, Thierry B, Shareck M, Steinmetz-Wood M, Chaix B. Integrating activity spaces in 605 health research: Comparing the VERITAS activity space questionnaire with 7-day GPS tracking 606 and prompted recall. Spat Spatio-Temporal Epidemiol. 2018;25:1-9.

607

608

doi:10.1016/j.sste.2017.12.003