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Author manuscript

*Nat Commun.* Author manuscript; available in PMC 2015 November 12.

Published in final edited form as:

*Nat Commun.* ; 6: 7108. doi:10.1038/ncomms8108.

## Structural analyses of the chromatin remodeling enzymes INO80-C and SWR-C

Shinya Watanabe<sup>1,\*</sup>, Dongyan Tan<sup>2,3,\*</sup>, Mahadevan Lakshminarasimhan<sup>4</sup>, Michael P. Washburn<sup>4</sup>, Eun-Jin Erica Hong<sup>2,#</sup>, Thomas Walz<sup>2,3</sup>, and Craig L. Peterson<sup>1,&</sup>

<sup>1</sup>Program in Molecular Medicine, University of Massachusetts Medical School 373 Plantation Street, Worcester, MA 01005

<sup>2</sup>Department of Cell Biology, Harvard Medical School 240 Longwood Avenue, Boston, MA 02115

<sup>3</sup>Howard Hughes Medical Institute, Harvard Medical School 240 Longwood Avenue, Boston, MA 02115

<sup>4</sup>Stowers Institute for Medical Research, 1000 E 50<sup>th</sup> Street, Kansas City, MO 64110

<sup>5</sup>Departments of Pathology & Laboratory Medicine, University of Kansas Medical Center, Kansas City, KS 66160

### Abstract

INO80-C and SWR-C are conserved members of a subfamily of ATP-dependent chromatin remodeling enzymes that function in transcription and genome-maintenance pathways. A crucial role for these enzymes is to control chromosomal distribution of the H2A.Z histone variant. Here we use electron microscopy (EM) and two-dimensional (2D) class averaging to demonstrate that these remodeling enzymes have similar overall architectures. Each enzyme is characterized by a dynamic ‘tail’ domain and a compact ‘head’ that contains Rvb1/Rvb2 subunits organized as hexameric rings. EM class averages and mass spectrometry support the existence of single heterohexameric rings in both SWR-C and INO80-C. EM studies define the position of the Arp8/Arp4/Act1 module within INO80-C, and we find that this module enhances nucleosome binding affinity but is largely dispensable for remodeling activities. In contrast, the Ies6/Arp5 module is essential for INO80-C remodeling, and furthermore this module controls conformational changes that may couple nucleosome binding to remodeling.

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&Corresponding author: craig.peterson@umassmed.edu.

#Present address: ImmunoGen, Inc., 830 Winter Street, Waltham, MA 02451

\*These authors contributed equally to this work

### Author Contributions

D.T. was responsible for EM analyses, with contributions by E.H., protein purifications and biochemical analyses were performed by S.W.; mass spectrometry was performed by M.L., and mass spectrometry analyses were performed by both M.L. and M.P.W.; T.W. and C.L.P. were responsible for project overview and data interpretation; all authors assisted in manuscript preparation.

### Competing Financial Interests

None.

## Introduction

ATP-dependent chromatin remodeling enzymes use the energy from ATP hydrolysis to disrupt histone-DNA interactions, catalyzing the “sliding” of histone octamers *in cis* along DNA, eviction of histone H2A/H2B dimers, or ejection of an entire histone octamer<sup>1</sup>. A subset of these enzymes can also remove one or both histone H2A/H2B dimers from a nucleosome and replace it with a different H2A/H2B dimer, a reaction termed dimer exchange. Chromatin remodeling enzymes were initially identified as transcriptional regulators, but it is now clear that these enzymes impact nearly all nuclear processes<sup>1</sup>. Each remodeling enzyme harbors a catalytic ATPase subunit that is related to the ancient SF2 superfamily of DNA-dependent ATPases, and biochemical studies have defined four families of enzymes – SWI/SNF, ISWI, Chd1/Mi-2, and INO80, named after their founding members.

Yeast INO80-C and SWR-C are two well-characterized members of the INO80 family of remodeling enzymes, and they are unique from other enzymes in that they catalyze the ATP-dependent exchange of histones from nucleosomal substrates<sup>2</sup>. Whereas SWR-C catalyzes the ATP-dependent eviction of nucleosomal H2A/H2B and replaces them with H2A.Z/H2B variant dimers<sup>3</sup>, INO80-C promotes the opposite dimer exchange reaction<sup>4</sup>. Consequently, cells that lack SWR-C have very low levels of the histone H2A.Z variant within chromatin<sup>3, 5, 6</sup>, whereas inactivation of INO80-C leads to an aberrant pattern of H2A.Z distribution<sup>4</sup>. In addition to its dimer exchange activity, INO80-C is also able to perform more typical remodeling reactions, such as mobilizing nucleosomes *in cis* and influencing nucleosome spacing<sup>7</sup>. In contrast, SWR-C is inactive in all other types of remodeling assays, and thus appears to be dedicated to H2A.Z deposition<sup>4</sup>.

Both INO80-C and SWR-C are large (>1 MDa), multi-subunit assemblies that harbor related ATPase subunits, Ino80 and Swr1, respectively. These enzymes also share four subunits – Rvb1, Rvb2, Arp4, and Act1 (actin). The Rvb1 and Rvb2 subunits are highly related AAA<sup>+</sup> ATPases that bind to the central ATPase domains of Ino80 and Swr1, whereas the common Arp4 and Act1 subunits interact with the conserved HSA domain, positioned N-terminal to the Swr1 or Ino80 ATPase domains<sup>8, 9, 10</sup>. INO80-C and SWR-C complexes also harbor 9–10 complex-specific subunits. For INO80-C, the Arp8 subunit forms a subunit module with the Arp4 and Act1 subunits (Arp8/Arp4/Act1), and previous studies have suggested that this module is key for remodeling activity and that it interacts with DNA and histones<sup>10, 11</sup>. Likewise, SWR-C contains a group of complex-specific subunits, including Yaf9 and Bdf1, which interact with Arp4 and Act1 at the N-terminal Swr1 HSA domain<sup>9</sup>. Subunits within this module are important for catalysis of H2A.Z deposition<sup>9</sup>. In addition, both INO80-C and SWR-C contain complex-specific modules that interact with Rvb1/Rvb2 within the ATPase domain – the Ies6/Arp5 subunit module within INO80-C appears to be essential for remodeling activities of INO80-C, and the Swc2/Swc3/Swc6/Arp6 module within SWR-C appears to govern the substrate specificity of the dimer exchange reaction<sup>9, 12</sup>. Thus, the ATPase subunits for both INO80-C and SWR-C function as catalytic scaffolds that assemble subunit modules that regulate remodeling activity.

Structural studies of chromatin remodeling enzymes have provided important insights into nucleosome recognition and remodeling mechanism. For instance, high-resolution crystal structures of the monomeric Chd1 and ISWI enzymes have led to models for how histone N-terminal domains and DNA might regulate their remodeling reactions<sup>13, 14, 15</sup>. EM maps of the related SWI/SNF and RSC remodeling enzymes have revealed that these ~1-MDa complexes interact with their nucleosomal substrates within either a shallow cleft on the enzyme surface or a large protein-ringed cavity<sup>16, 17, 18, 19</sup>. In the case of INO80 complexes, high-resolution structures are available for the actin-related proteins, Arp8 and Arp4<sup>20, 21, 22</sup>. Recently, two groups have presented EM reconstructions of the yeast INO80-C and SWR-C remodeling enzymes<sup>11, 23</sup>. Interestingly, despite the large degree of functional and structural homology between the two complexes, these two structural studies presented quite different architectures. In the case of INO80-C, the data suggested an elongated structure, punctuated by a dodecameric Rvb1/Rvb2 ring at one terminus and the Arp8/Arp4/Act1 module at the other. In contrast, the SWR-C structure indicates a highly compact structure, with a single heterohexameric Rvb1/Rvb2 ring.

Here we employ EM and 2D class averaging to identify and directly compare the structures of yeast INO80-C and SWR-C. We find that both enzymes are quite variable in structure, but show remarkably similar overall features. Both enzymes have a population of elongated molecules that is exemplified by a flexible 'tail' domain and a globular 'head'. Each of the head domains is composed of ring-like structures with six-fold symmetry, and mass spectrometry analyses of subunit stoichiometry indicate that each head is composed of a single heterohexameric Rvb1/Rvb2 ring. In addition to these elongated complexes, both INO80-C and SWR-C exhibit compact forms in which the tail domain appears to interact with the head. Analysis of INO80-C that lacks the Arp8/Arp4/Act1 module places these subunits within the tail domain. We find that this module is not essential for INO80-C ATPase or remodeling activities, but rather the Arp8/Arp4/Act1 module enhances nucleosome-binding affinity. In contrast, removal of the Ies6/Arp5 module inactivates all INO80-C activity and leads to an INO80-C that appears intact, but assumes a greater propensity to form the compact conformation. Likewise, removal of the related Swc2 module from SWR-C also appears to lead to a more compact state. Our results indicate that members of the INO80 family of chromatin remodeling enzymes are flexible assemblies capable of large-scale, regulated conformational changes that modulate nucleosome binding and enzymatic activity.

## Results

### EM of SWR-C and INO80-C reveal similar architectures

INO80-C and SWR-C were purified to near homogeneity by tandem affinity purification from Ino80-TAP and Swr1-TAP yeast strains, respectively. SDS-PAGE and mass spectrometry analyses confirmed the presence of the expected 15 INO80-C and 14 SWR-C subunits (Supplementary Figure 1 and Supplementary Tables 1 and 2; Ref. 24). Samples were mildly cross-linked in preparation for EM analysis by adding glutaraldehyde directly to the final column elution. EM imaging of negatively stained INO80-C and SWR-C revealed a large degree of structural heterogeneity for both enzymes (Fig. 1), with each enzyme

population containing extended and compact forms (see also Supplementary Figure 2). In contrast, parallel analysis of the yeast SWI/SNF complex yielded a more mono-disperse population that had features consistent with previous studies<sup>17</sup>. 2D class averages were calculated for both INO80-C and SWR-C, and the results indicated that the extended forms of both enzymes were composed of a long ‘tail’ domain, punctuated by a compact ‘head’ (Fig. 1 and Supplementary Figure 2). Furthermore, INO80-C contained an excess of extended forms (53% extended and 28% compact; the remaining particles could not be assigned), whereas SWR-C had a greater propensity to form a compact structure (22% extended and 54% compact) (Fig. 1 and Supplementary Figure 2). Notably, the tail domains for both enzymes appeared to be highly flexible, leading to a continuum of extended conformations. The head domain in both complexes has a diameter of about 13 nm, but the tail in the most extended INO80-C molecules appears to be somewhat longer, 23.4 nm, than in the most extended SWR-C molecules, 17.2 nm.

Several 2D class averages revealed that the head domains of both INO80-C and SWR-C contain a ring structure with six-fold symmetry, reminiscent of the structure of Rvb1/Rvb2 hexameric rings<sup>25</sup> (Fig. 2a, b). Side views of the INO80-C and SWR-C head domains suggest that there may be two stacks of ring-like structures, although this is less distinct for SWR-C (Fig. 2a, b).

To ensure that the different averages indeed represent different conformations of the complexes and not just different views, 3D reconstructions were calculated using 60°/0° image tilt pairs. The particles selected from the images of the untilted specimens were classified into 100 or 150 groups. As previously observed, many of the INO80-C class averages showed extended particles, whereas the SWR-C class averages were dominated by more compact structures (Supplementary Figures 3 and 4). Classes that produced similar averages were pooled (marked by asterisks in Supplementary Figures 3 and 4), and 3D reconstructions were calculated with the particle images from the tilted specimens (Supplementary Figure 5). Both maps reveal head and tail features, but with the tail in the INO80-C map adopting an extended conformation (Supplementary Figure 5a) and that in the SWR-C map adopting a more bent conformation (Supplementary Figure 5b). Despite their low resolutions (~30 Å), the 3D maps confirm that the tails can adopt different conformations.

### **INO80-C and SWR-C harbor single Rvb1/2 heterohexameric rings**

In order to obtain an accurate measurement of subunit stoichiometries within both INO80-C and SWR-C, three independent preparations of INO80-C and SWR-C were subjected to mass spectrometry analysis, and label-free spectral counting was used to provide a relative, quantitative measurement of subunit abundance (Supplementary Figure 1 and Supplementary Tables 1 and 2). Spectral counts were normalized to subunit length, and values for the Rvb1 and Rvb2 subunits were initially compared to either the Ino80 or Swr1 subunits since they are predicted to be present at one copy per complex (Fig. 2c, d; Ref. 17). Subsequently, two additional subunits from each complex were included in the analysis, as these subunits were consistently present at apparent equal stoichiometry with the ATPase subunit (Fig. 2c, d, see also Supplementary Tables 1 and 2). In the case of SWR-C, the data

are consistent with a single heterohexameric ring, as there was an average of 6.7 Rvb1/Rvb2 subunits per complex (Fig. 2d, Supplementary Table 2). For INO80-C, the ratios of Rvb1 and Rvb2 subunits were slightly higher than those observed for SWR-C, with an average of 9.3 Rvb1/Rvb2 subunits per INO80-C complex (Fig. 2c, Supplementary Table 1). These data are most consistent with both complexes containing a single heterohexameric ring, but the data suggest that INO80-C may contain additional copies of Rvb1/2 subunits.

### Structural and functional analysis of the Arp8 module

In order to define the location of subunit modules that interact with the N-terminal domains of the ATPase subunits, INO80-C was purified from an *arp8* strain. The resulting complex lacks the entire Arp8/Arp4/Act1 module that interacts with the Ino80 HSA domain (Fig. 3a). Samples were prepared for EM imaging, and 2D class averages demonstrated a loss of at least half of the tail domain, indicating that this module is located distal to the Rvb1/Rvb2 ring (Fig. 3b, Supplementary Figure 6), consistent with a recent study<sup>11</sup>.

Early studies of an INO80-C that lacked Arp8 suggested that this module is essential for both the ATPase and remodeling activities of INO80-C<sup>10</sup>. We re-evaluated the role of the Arp8/Arp4/Act1 module by performing detailed kinetic analyses of ATPase and remodeling activities. Analysis of the ATPase activity of wild-type INO80-C demonstrated that nucleosomes are a preferred substrate, as the  $K_m$  for nucleosomes was at least 10-fold lower than that for DNA (Fig. 3c, d, Table 1). The INO80-C<sup>arp8</sup> subcomplex exhibited a wild-type  $k_{cat}$  for ATP hydrolysis, but the  $K_m$  for nucleosomes increased ~10-fold, and the  $K_m$  for DNA increased ~3-fold (Fig. 3c, Table 1). Surprisingly, this reduced affinity for nucleosomes as assayed by ATPase activity was not apparent when nucleosome binding of the INO80-C<sup>arp8</sup> subcomplex was assayed by gel shift (Fig. 4d), suggesting that ATPase activity requires a distinct mode of nucleosome interaction.

Nucleosome remodeling activities for INO80-C and INO80-C<sup>arp8</sup> were monitored in three separate assays. First, the ATP-dependent sliding of an end-positioned mononucleosome to a more central position was assayed in a native gel assay (Fig. 4a). The INO80-C<sup>arp8</sup> subcomplex was partially active for nucleosome sliding, retaining ~13% of wild-type activity. We then tested remodeling of a nucleosomal array substrate using a restriction enzyme-coupled reaction in which a unique Sall cleavage site is located within the central nucleosome of an 11-mer array<sup>26</sup> (Fig. 4b). Compared to wild-type INO80-C, the INO80-C<sup>arp8</sup> subcomplex showed ~50% activity at 5 nM array concentration and ~30% activity at higher array concentrations (Fig. 4b). Finally, the role of the Arp8/Arp4/Act1 module in histone H2A/H2B dimer exchange was monitored using a mononucleosome-based assay<sup>4</sup> (Fig. 4c). In this assay, INO80-C catalyzes the replacement of nucleosomal H2A.Z/H2B dimers with H2A/H2B dimers. Interestingly, INO80-C<sup>arp8</sup> retained high levels of exchange activity (Fig. 4c). Similar results for all assays were obtained for two independent enzyme preparations. Thus, the Arp8/Arp4/Act1 module contributes to remodeling activities, most likely by enhancing nucleosome-binding affinity.

## The Ies6/Arp5 module is essential for INO80-C activities

To identify the location and function of subunit modules that are associated with the ATPase domain, INO80-C was purified from an *ies6* strain, and SWR-C was purified from an *swc2* strain. The resulting INO80-C<sup>ies6</sup> subcomplex lacked both Ies6 and Arp5 (Fig. 3a), and the SWR-C<sup>swc2</sup> subcomplex was depleted for Swc2, Swc3, Swc6, and Arp6<sup>24</sup>. EM imaging of these subcomplexes failed to reveal a loss of protein density, likely due to the small size of these modules and their predicted location directly adjacent to the Rvb1/Rvb2 head domain (Fig. 5a and Supplementary Figures 7 and 8). Interestingly, loss of either the Ies6 or Swc2 module decreased the proportion of extended complexes and led to a higher percentage of compact forms for both INO80-C and SWR-C (Fig. 5a).

The Ies6/Arp5 and Swc2/Swc3/Swc6/Arp6 modules both contribute to remodeling activity. As shown previously, a SWR-C complex that lacks the Swc2 module has altered substrate specificity for ATPase activity, and this subcomplex catalyzes H2A.Z eviction rather than H2A.Z deposition<sup>24</sup>. In contrast, removal of the Ies6/Arp5 module crippled many of the activities of INO80-C (Fig 5b,c and Fig. 6). The INO80-C<sup>ies6</sup> had a low level of ATPase activity in the absence of nucleic acid, and only minor stimulation of this activity by either DNA or nucleosomes was apparent over a wide range of substrate concentrations (Fig. 5b, c). Gel-shift analyses indicated that the INO80-C<sup>ies6</sup> subcomplex had only a small defect in binding to nucleosomes (Fig. 6a), consistent with a defect in  $k_{cat}$  rather than  $K_m$  (Table 1). Furthermore, the INO80-C<sup>ies6</sup> subcomplex was inactive in mononucleosome sliding, nucleosomal array remodeling, and dimer exchange assays (Fig. 6b, c). These data suggest that the Ies6/Arp5 module is essential for the activity of INO80-C.

## INO80-C and SWR-C lack helicase activity

Biochemical studies have shown that Rvb1 and Rvb2 have the potential to form single or double hexameric rings, and a double hexameric ring of Rvb1/Rvb2 has helicase activity *in vitro*<sup>25</sup>. An early study reported that INO80-C also has helicase activity<sup>27</sup>, and these authors proposed that this activity might be due to the Rvb1/Rvb2 subunits. A subsequent analysis showed that the ATPase activity of Rvb1 is not required for INO80-C remodeling activity *in vitro*<sup>12</sup>, indicating that this putative helicase activity was not key for chromatin remodeling. We attempted to address the role of the INO80-C and SWR-C subunit modules in the putative helicase activity of INO80-C. However, using the identical substrates that were previously described<sup>27</sup>, we were unable to detect helicase activity within three independent preparations of either INO80-C or SWR-C (Fig. 7). As a positive control, the human BLM helicase showed robust activity in these assays. We conclude that INO80-C and SWR-C, similar to all other remodeling enzymes, lack helicase activity.

## Discussion

Our studies have shown that the INO80-C and SWR-C remodeling enzymes share striking similarities in both their overall dimensions and subunit architecture. Both enzymes exist as a heterogeneous population of elongated and compact forms, and each contains a single ring of AAA<sup>+</sup> ATPase subunits as a dominant structural feature. Notably, the overall architectures of INO80-C and SWR-C, although similar to each other, are quite distinct from

that of the SWI/SNF family of enzymes, reinforcing the view that each family of chromatin remodeling enzymes harbors distinct structural features that may be key for their specific activities and/or regulation.

Two recent studies have also described structural features of the yeast INO80-C and SWR-C remodeling enzymes<sup>11, 23</sup>. EM analysis of SWR-C by the Leschziner group shows several similarities to our analysis<sup>22</sup>. They find that SWR-C is highly heterogeneous, and they circumvented this issue by employing a formaldehyde gradient method to fractionate SWR-C, and fractions that appeared more homogeneous by EM were selected for analysis. This method resulted in a population of SWR-C that was compact, and it appears to have eliminated the more heterogeneous population of extended molecules. Similar to our analysis, SWR-C was reported to contain a single heterohexameric Rvb1/Rvb2 ring.

Similar to our study, the Hopfner group reported that INO80-C exists as both elongated and compact (bent) forms, and the prominent “head” group is composed of the Rvb1 and Rvb2 subunits<sup>11</sup>. In addition, they find that the Arp8/Arp4/Act1 module is localized to the extended tail domain, and that the Ies6/Arp5 module maps to the base of the head domain, as predicted from previous biochemical studies<sup>10</sup>. Based primarily on the size of the head, and in contrast to our study, these authors proposed that the Rvb1/Rvb2 head group is composed of a double heterohexameric ring. The authors do note, however, that an Rvb1/Rvb2 dodecamer would have to be compacted or locked in a particular conformation in order to fit into the volume they assigned in their map to the INO80-C head. Interestingly, the side view of the Rvb1/Rvb2 head within our INO80-C average appears to show two stacks of symmetrical density (Fig. 2a). However, by mass spectrometry, we detect a stoichiometry for Rvb1/Rvb2 that, although higher than would be expected for a single ring, is much less than expected for a dodecamer. This may reflect additional molecules of Rvb1/Rvb2 that might interact with the heterohexameric ring. One possibility is that the second “ring” of density seen in the INO80-C average may not represent a second hexamer but may be due to the AAA<sup>+</sup> insertion domains that are contributed by each Rvb monomer. This notion is supported by EM images of the human RuvBL dodecamer that show three distinct layers of density, where the two heterohexameric rings flank a central region composed of the insertion domains<sup>28</sup>. Although the insertion domains of RuvBL and Rvb1/Rvb2 are similar in size, this tripartite view is not observed in our averages of INO80-C or SWR-C. Importantly, the presence of single Rvb1/Rvb2 rings within both INO80-C and SWR-C is most consistent with their similar biochemical activities, similar subunit structure, and the sequence relatedness of the Ino80 and Swr1 ATPase subunits that form binding platforms for the Rvb1/Rvb2 ring.

Early studies of an INO80-C complex that lacks the Arp8/Arp4/Act1 module indicated that Arp8 was essential for the ATPase and remodeling activities of INO80<sup>10</sup>. Consequently, a large number of genetic studies have employed *arp8* strains in order to dissect the role of INO80-C in gene transcription or genome stability pathways. However, our quantitative ATPase and remodeling assays have revealed that the absence of the Arp8/Arp4/Act1 module reduces, but does not eliminate, the ATPase and remodeling activities of INO80-C. We found that the Arp8/Arp4/Act1 module enhances the  $K_m$  of INO80-C for nucleosomes and DNA, but INO80-C that lacks the Arp8/Arp4/Act1 module exhibits 13–50% remodeling

activity in a variety of quantitative assays. Notably, a recent, independent study also confirms that the Arp8/Arp4/Act1 module is not essential for ATPase and remodeling activity<sup>11</sup>. Our biochemical data are also consistent with the differing genetic phenotypes of *ino80* and *arp8* mutants. For instance, whereas an *ino80* appears to be lethal in many strain backgrounds, an *arp8* is viable and has only a moderate growth defect. Likewise, in strain backgrounds in which an *ino80* is viable, an *arp8* assayed in parallel shows less severe growth sensitivity to a variety of genotoxic agents<sup>4, 29, 30</sup>. Indeed, Ino80 is recruited to a DNA double-strand break in the absence of Arp8, suggesting that Arp8 is also not required for recruitment to sites of DNA damage<sup>29</sup>. In contrast, an *ies6* mutant has phenotypes that appear to be identical to that of an *ino80* mutant, consistent with our finding that the Ies6/Arp5 module is essential for the ATPase and remodeling activities of INO80-C<sup>30</sup>.

The Ies6 and Swc2 subunits share homology with the metazoan YL1 protein that is a subunit of the mammalian Tip60/p400 histone acetyltransferase/chromatin remodeling enzyme and the SRCAP chromatin remodeling enzyme<sup>9</sup>. This homology is also shared by the mammalian homolog of Ies6 that is found within human INO80-C<sup>31</sup>. The Ies6 and Swc2 subunits have been reported to bind histones and DNA, with Swc2 showing a preference for binding H2A.Z compared to H2A<sup>32</sup>. Such binding specificity is consistent with our recent study showing that Swc2 prevents H2A.Z eviction following SWR-C mediated deposition<sup>24</sup>. We found here that Ies6 promotes both the DNA- and nucleosome-stimulated ATPase activity of INO80-C, and that this subunit is essential for chromatin-remodeling activities. Consistent with a previous study, our data also show that the Ies6 module plays only a minor role in the nucleosome-binding activity of INO80-C, as assayed by gel-shift assays<sup>11</sup>. In contrast, a recent study reported that human Ies6 appears to play a more dominant role in nucleosome recognition compared to the yeast homolog<sup>33</sup>, but like the yeast homolog, it is key for hINO80 remodeling activity. This differing role for yeast and human Ies6 may reflect the fact that the nonconserved, yeast Nhp10 subunit, dispensable for INO80-C remodeling activity *in vitro*, appears to dominate the nucleosome-binding activity of INO80-C assayed by gel shift<sup>10,11</sup>.

Our structural studies indicate that Ies6 influences large-scale conformational changes within INO80-C, preventing formation of an extended form. Loss of the related Swc2 subunit from SWR-C also appears to lead to an increased prevalence for compact forms, though the magnitude of the effect is less dramatic. Based on a recent model for nucleosome recognition by INO80-C<sup>11</sup>, these data suggest a model in which Ies6 and Swc2 help to maintain an active, extended form of the enzyme that is competent for functional interactions with a nucleosomal substrate (Fig. 8). In this model, the compact conformations that are observed in the absence of nucleosomes may represent an inactive conformation, and the YL1-related subunits, Ies6 and Swc2, function as a “hinge” that responds to nucleosome binding, catalyzing productive interactions with the catalytic ATPase domain. Such a conformational change, involving subunit modules that contain Actin-related proteins (Arps), is reminiscent of the coupling role proposed for the Arp7/Arp9 module of the yeast SWI/SNF and RSC remodeling enzymes.<sup>8</sup>



## Methods

### Protein Purifications

INO80-C and SWR-C were purified from yeast strains encoding TAP-tagged Ino80 and TAP-tagged Swr1 (Open Biosystems), respectively. Cultures were grown in YPD media, and harvested cells were lysed in buffer E (20 mM HEPES, pH 7.5, 350 mM NaCl, 10 % glycerol, 0.1 % Tween, and 1 mM DTT) and protease inhibitors by grinding in the presence of liquid nitrogen. Lysates were clarified at 40,000g at 4 °C for 1 hour. Cleared lysates were incubated with IgG-Sepharose (GE Healthcare) and eluted by TEV protease cleavage. The elutions were incubated with calmodulin affinity resin (Stratagene) in buffer E plus 2 mM CaCl<sub>2</sub> and eluted in buffer E plus 10 mM EGTA. Samples were dialyzed against buffer E. Subunit compositions were confirmed by SDS-PAGE and mass spectrometry.

Recombinant yeast and *Xenopus* histones were expressed in BL21 *Escherichia coli* cells<sup>35, 36, 37</sup>. Expressed histones were purified as inclusion bodies, solubilized in unfolding buffer (7 M guanidinium hydrochloride, 20 mM Tris-HCl, pH 7.5, 10 mM DTT), and dialyzed against urea dialysis buffer (7 M urea, 10 mM Tris-HCl, pH 8.0, 0.1 M NaCl, 1 mM EDTA, 0.2 mM PMSF, and 5 mM 2-mercaptoethanol). Samples were injected into tandemly connected Q Sepharose and SP Sepharose columns, and eluted from SP Sepharose by a linear salt gradient. Histone fractions were dialyzed against water plus 0.2 mM PMSF and 5 mM 2-mercaptoethanol, and lyophilized. The four histones were mixed in equimolar ratios in unfolding buffer, dialyzed against refolding buffer (2 M NaCl, 10 mM Tris-HCl, pH 7.5, 1 mM EDTA, and 5 mM 2-mercaptoethanol), and purified through a Superdex-200 column.

Nucleosomes were reconstituted by mixing octamers with DNA in 1:1 ratio in Hi buffer (2 M NaCl, 10 mM Tris-HCl, pH 7.5, 1 mM EDTA, 0.05 % NP40, and 5 mM 2-mercaptoethanol) and 0.5 mg/ml BSA, and dialyzing against a linear salt gradient buffer from Hi buffer to Lo buffer (50 mM NaCl, 10 mM Tris-HCl, pH 7.5, 1 mM EDTA, 0.05 % NP40, and 5 mM 2-mercaptoethanol) for 20 hours.

### ATPase assays

ATPase assays were performed as previously described<sup>38</sup>. Mononucleosomes were reconstituted by salt dialysis onto a 154 bp DNA fragment containing the 601 nucleosome-positioning sequence. DNA (154 bp 601 template) or mononucleosomes were incubated with remodeling enzymes in buffer A (10 mM Tris-HCl, pH 8.0, 70 mM NaCl, 5 mM MgCl<sub>2</sub>, 0.1 mg/ml BSA, and 1 mM DTT) at 30 °C. ATP-hydrolysis rates were determined over three linear time points.

### Nucleosome-remodeling assays

Nucleosome-sliding assays were performed as previously described<sup>39</sup>. Briefly, mononucleosomes were reconstituted onto a 245 bp <sup>32</sup>P-labeled DNA fragment containing the 601 nucleosome-positioning sequence at the fragment end. Mononucleosomes (1 nM) were incubated with remodeling enzymes and 2 mM ATP in buffer A for 15 min at 30 °C. The reactions were quenched with 5 % glycerol and 1 mg/ml salmon sperm DNA, incubated

for 5 min at 30 °C, and resolved on 5 % Native-PAGE in 0.5 X TBE. Sall restriction enzyme accessibility assays were performed as described<sup>26</sup>. Nucleosomal arrays were reconstituted by salt dialysis onto <sup>32</sup>P-labeled 208-11 templates. Nucleosomal arrays (5 nM or 50 nM) were incubated in buffer A with 5 nM remodeling enzymes, 20K units/ml Sall and 2 mM ATP at 30 °C. At each time point, the reactions were stopped by the addition of phenol. The extracted DNA was resolved on 1% agarose gels. Gels were dried and exposed to film. The % digested DNA was quantified by phosphorimager analysis.

### Gel-shift assays for nucleosome binding

<sup>32</sup>P-labelled mononucleosomes (154 bp DNA) were incubated with remodeling enzymes in buffer A for 15 min at room temperature. The reactions were resolved on 4 % Native-PAGE in 0.5 X TBE. Gels were dried and exposed to film.

### *In vitro* dimer-exchange assays

The dimer-exchange assay was performed as previously described<sup>4</sup>. H2A.Z-containing mononucleosomes (200 bp DNA, 100 nM) were incubated with remodeling enzymes, free FLAG-tagged H2A/H2B dimers (50 nM) and 2 mM ATP in buffer A at 30°C. The reactions were subjected to 5 % Native-PAGE in 0.5 X TBE. The gels were soaked in SDS buffer (25 mM Tris, pH 8.8, 192 mM glycine, 0.1 % SDS), and then transferred onto PVDF membranes for Western blotting. Western blotting was performed using a commercially available antibody raised against the FLAG epitope (Sigma, Cat#3165) or yeast histone H3 (AbCam, Cat# ab1791).

### Electron microscopy

All samples were mildly cross-linked for EM analysis. Complexes were cross-linked by adding a final concentration of 0.04% glutaraldehyde (Electron Microscopy Sciences) directly into the purified protein fraction immediately after the final column elution, followed by incubation on ice. After 10 min, Tris-HCl, pH 8.0, was added to a final concentration of 50 mM to stop the reaction. For negative staining, samples were adsorbed to glow-discharged carbon-coated copper grids and stained with 0.75% (w/v) uranyl formate solution as described<sup>40</sup>.

All images were collected with a Tecnai T12 electron microscope (FEI) operated at an acceleration voltage of 120 kV. For 2D analysis of INO80-C, SWR-C, and the INO80-C<sup>arp8</sup> subcomplex, images were recorded on imaging plates at a nominal magnification of 67,000× and a defocus of about – 1.5 μm using low-dose procedures. Imaging plates were read out with a scanner (DITABIS) using a step size of 15 μm, a gain setting of 20,000 and a laser power setting of 30%<sup>41</sup>. The images were binned over 2 × 2 pixels, yielding a pixel size of 4.5 Å at the specimen level. For 2D analysis of the INO80-C<sup>ies6</sup> and the SWR-C<sup>swc2</sup> subcomplexes, images were collected with a 4K × 4K charge-coupled device (CCD) camera (Gatan) at a nominal magnification of 42,000× (57,555× after calibration) and a defocus of about –1.5 μm using low-dose procedures. The pixel size at the specimen level was 2.61 Å.

For 3D reconstructions, 217 60°/0° image pairs for the INO80-C complex and 256 pairs for the SWR-C complex were collected on CCD camera at a nominal magnification of 30,000× (40,607× after calibration) and a defocus of about -1.5 μm using low-dose procedures.

### Image processing

For 2D analysis, interactive particle picking was performed using BOXER, the display program associated with the EMAN software package<sup>42</sup>. For INO80-C, 10,128 particles were selected, and 9,370 particles were selected for the INO80-C<sup>arp8</sup> subcomplex. For SWR-C, 20,412 particles were selected. For all three data sets, particles were windowed into 128 × 128-pixel images, which were normalized, rotationally and translationally aligned, and subjected to 10 cycles of multi-reference alignment using the SPIDER software package<sup>43</sup>. Each round of multi-reference alignment was followed by K-means classification specifying 100 output classes. The references used for the first multi-reference alignment were randomly chosen from the particle images. For the INO80-C<sup>ies6</sup> subcomplex, 10,202 particles were selected, and 10,482 particles were selected for the SWR-C<sup>swc2</sup> subcomplex, both from 4K × 4K CCD images. For these two data sets, particles were windowed into 240 × 240-pixel images. The particles were then subjected to the same alignment and classification procedure described above. In both cases, 100 output classes were specified.

To increase the definition of structural features of the head domain of INO80-C and SWR-C, classes showing averages with well-defined head domains were selected from each data set, and the particles belonging to these classes were combined, 5,254 for INO80-C and 5,621 for SWR-C, and subjected to further image analysis. The particle images were centered on their head domain, and then rotationally and translationally aligned as described above, using a circular mask to exclude from this analysis parts of the particles other than the head domain. Each round of multi-reference alignment was followed by K-means classification as described above, specifying 25 output classes for INO80-C and 20 classes for SWR-C. Some of the resulting class averages showed the Rvb1/Rvb2-ring in the top view with a clear six-fold symmetry and some showed the side view, revealing two stacked rings. Two class averages from each data set were selected for Fig. 2a, b.

To estimate the percentage of particles in different conformations in each data set, only particles belonging to classes with well-resolved features (Supplementary Figs. 2 to 5) were assigned to represent either 'extended' or 'compact' conformations. The percentages are given with respect to the total particle numbers, which include particles that could not unambiguously be assigned to one of the two conformations.

For 3D reconstruction, 9,384 particle pairs for INO80-C complex and 24,052 particle pairs for the SWR-C complex were interactively selected using WEB<sup>43</sup>. Particles were then windowed into 160 × 160-pixel images. 100 output classes were specified for INO80-C and 150 output classes for SWR-C. Eight out of the 100 classes from the INO80-C data set and 3 out of the 150 classes from the SWR-C data set looked similar, and particles in these classes were combined (796 particles for INO80-C and 250 particles for SWR-C) for 3D reconstruction of each complex. The 3D reconstructions were calculated with the particles selected from the images of the tilted specimen using the backprojection and angular refinement procedures in SPIDER. The resolution of the INO80-C density map was 33 Å

and that of the SWR-C density map was 36 Å according to the Fourier shell correlation (FSC) = 0.5 criterion<sup>44</sup>. The final maps shown were low-pass filtered to their respective resolutions.

### Mass spectrometry analyses

Three independent samples of INO80-C and SWR-C were purified from TAP-tagged strains as described above, but the final samples were treated with 0.1 U Benzonase (Sigma, Cat#E8263) at 37°C for 30 min followed by trichloroacetic acid (TCA, Sigma, Cat#T0699) precipitation and stored overnight at 4°C. The next day, TCA was removed by centrifugation and samples were washed twice with ice-cold acetone, air-dried and resuspended in 100 mM Tris-HCl, pH 8.5, 8 M urea. To reduce and alkylate sulfhydryl bonds, samples were incubated for 30 min first with 5 mM tris-(2-carboxyethyl)-phosphine hydrochloride (TCEP, Pierce, Cat#20490) and then with 10 mM chloroacetamide (CAM, Sigma, Cat#C0267) at room temperature in the dark. Protein samples were then digested using sequencing grade Endoproteinase Lys-C (Roche, Cat#11047817001) (0.5 µg) for 6 h at 37°C, diluted with 100 mM Tris, pH 8.5, to reduce the concentration of urea to 2 M, supplemented with 2 mM CaCl<sub>2</sub> and incubated overnight with sequencing grade Trypsin protease (Promega Cat#V5111) (0.5 µg) at 37°C. Reactions were quenched by adding formic acid (J.T.Baker, Cat#0129-01) to a final concentration of 5% (v/v). Samples were pressure-loaded on triple-phase 100 µm fused silica microcapillary columns and placed in-line with an LTQ Velos-Orbitrap Elite hybrid mass spectrometer equipped with a nano-LC (liquid chromatography) electrospray ionization source (Thermo Scientific) that was coupled with a quaternary Agilent 1260 infinity series HPLC. Multidimensional protein identification technology (MudPIT) analyses were performed using an 11-step chromatography (including a final wash step) run as described<sup>45</sup>. An Orbitrap-elite instrument at 60000 resolution was used to record full MS spectra on peptides over a range of 400–1600 m/z followed by collision-induced dissociation (CID) fragmentation on the 1<sup>st</sup> to 10<sup>th</sup> most intense ions (35% collision energy in the ion trap) that were selected from the full MS scan with Dynamic exclusion enabled for 90 sec<sup>46</sup>. The RAW files from the run were extracted into .ms2 file format using in-house developed software called RawDistiller<sup>47</sup>. SEQUEST algorithm [version 27<sup>48</sup>] was used to search and interpret the MS/MS spectra with a peptide mass tolerance of 10 ppm and ± 0.5 amu for fragment ions without imposing any enzyme specificity. During searching, static modification (+ 57.02146 Da for carboxamidomethylation of cysteine) and dynamic modification (+ 15.9949 Da for oxidized methionine) were employed. The database used for the search was from the National Center for Biotechnology Information (NCBI, release date 2011-04-26) and contained a total of 11,990 sequences, out of which 5,819 were nonredundant *Saccharomyces cerevisiae* protein sequences. The rest of the sequences comprised of 176 commonly found contaminants (IgGs, human keratin, proteolytic enzymes, etc.), shuffled sequences of *Saccharomyces cerevisiae* proteins and contaminants to estimate false discovery rates. Peptide/spectra matches and label-free quantification using the spectral counting method were performed as described<sup>49</sup>. All the RAW and .ms2 files have been uploaded in the MassIVE database and can be found using the following reference numbers: INO80-C submission, MSV000079018; Swr-C submission, MSV000079019. Files are password protected until publication (For reviewers: password: C\_peterson1).

## Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

## Acknowledgements

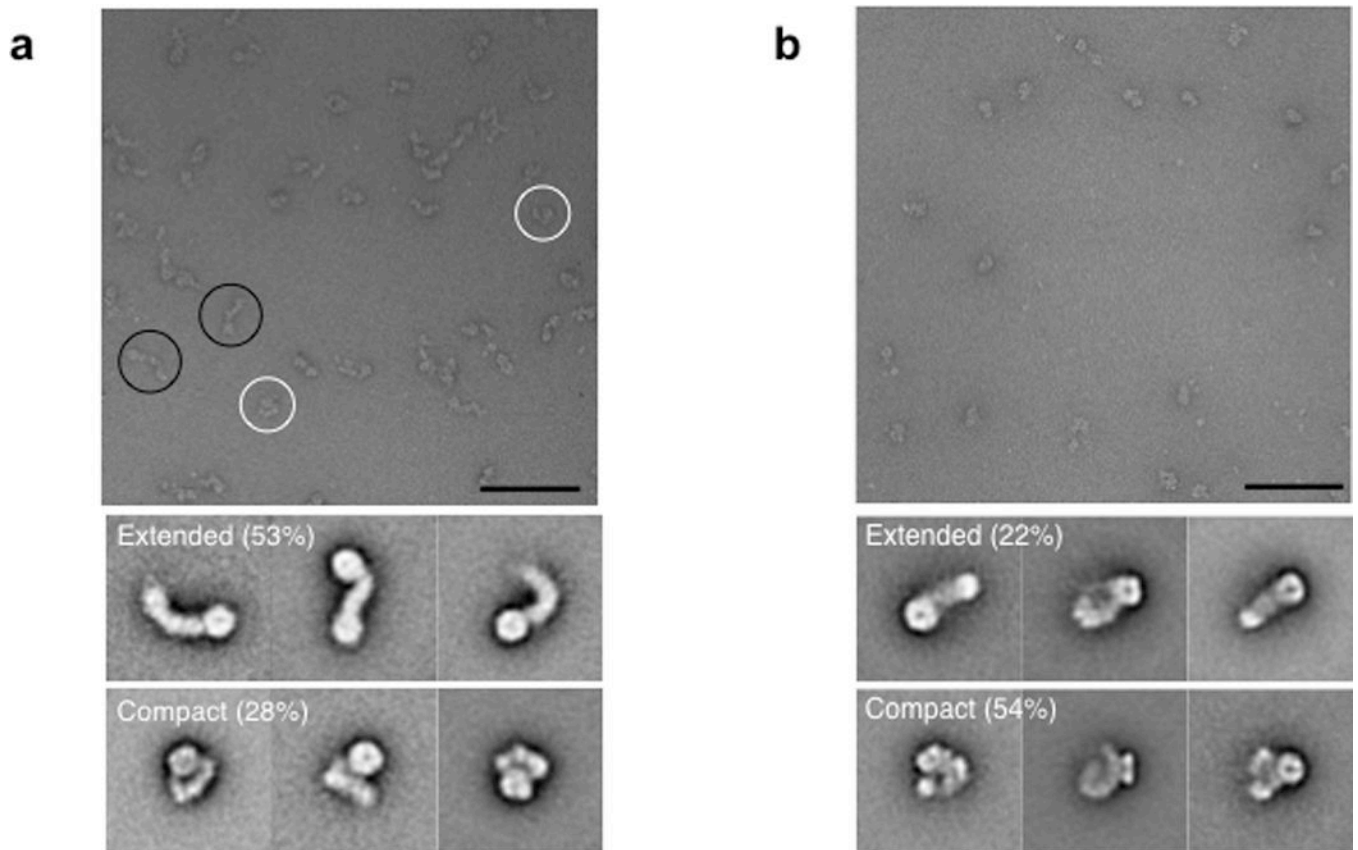
We thank members of the Peterson and Walz groups for helpful discussions. This work was supported by a grant from the NIH to C.L.P. (GM49650) and the Stowers Institute for Medical Research to M.L. and M.P.W.. T.W. is an investigator with the Howard Hughes Medical Institute.

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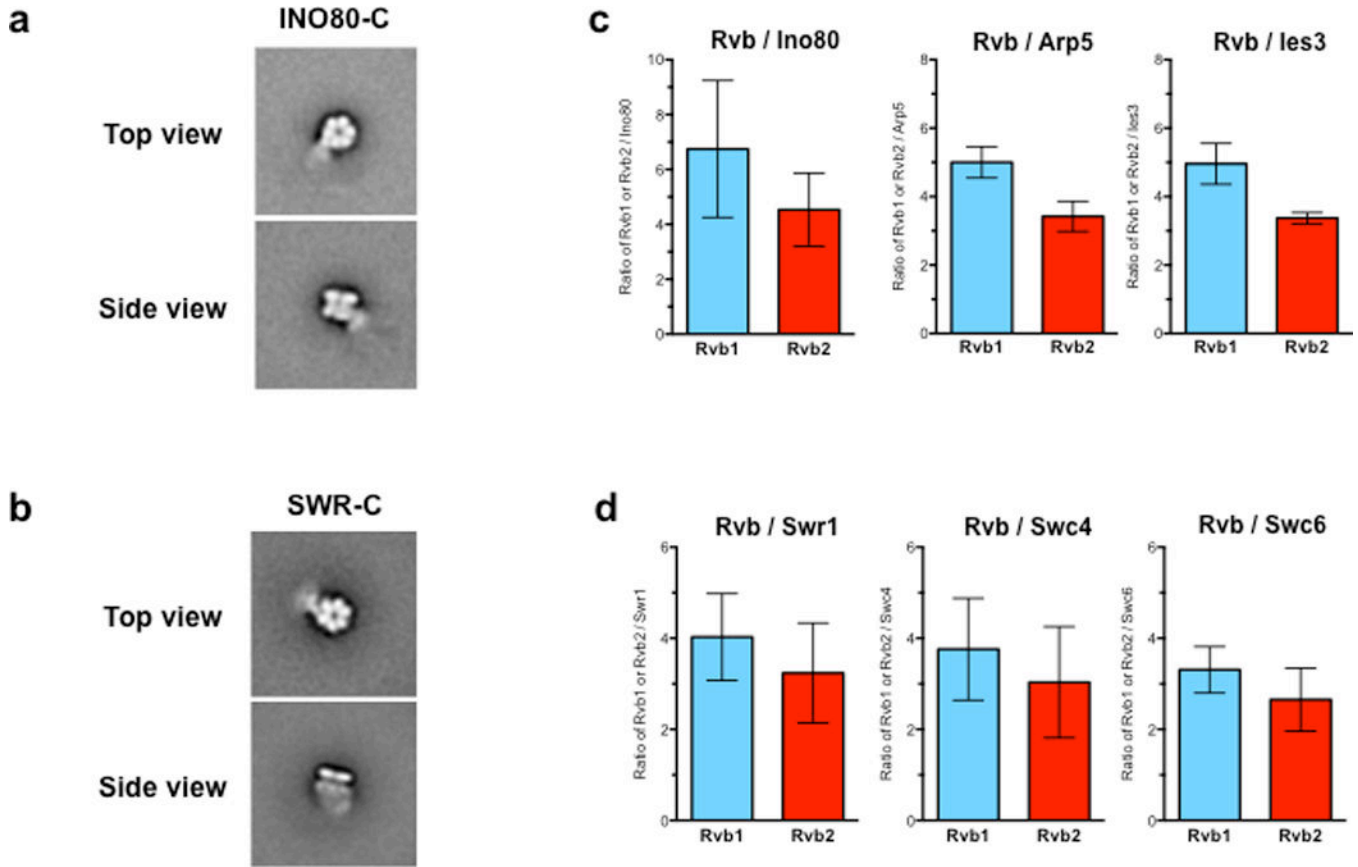
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**Figure 1. EM analysis of yeast INO80-C and SWR-C**

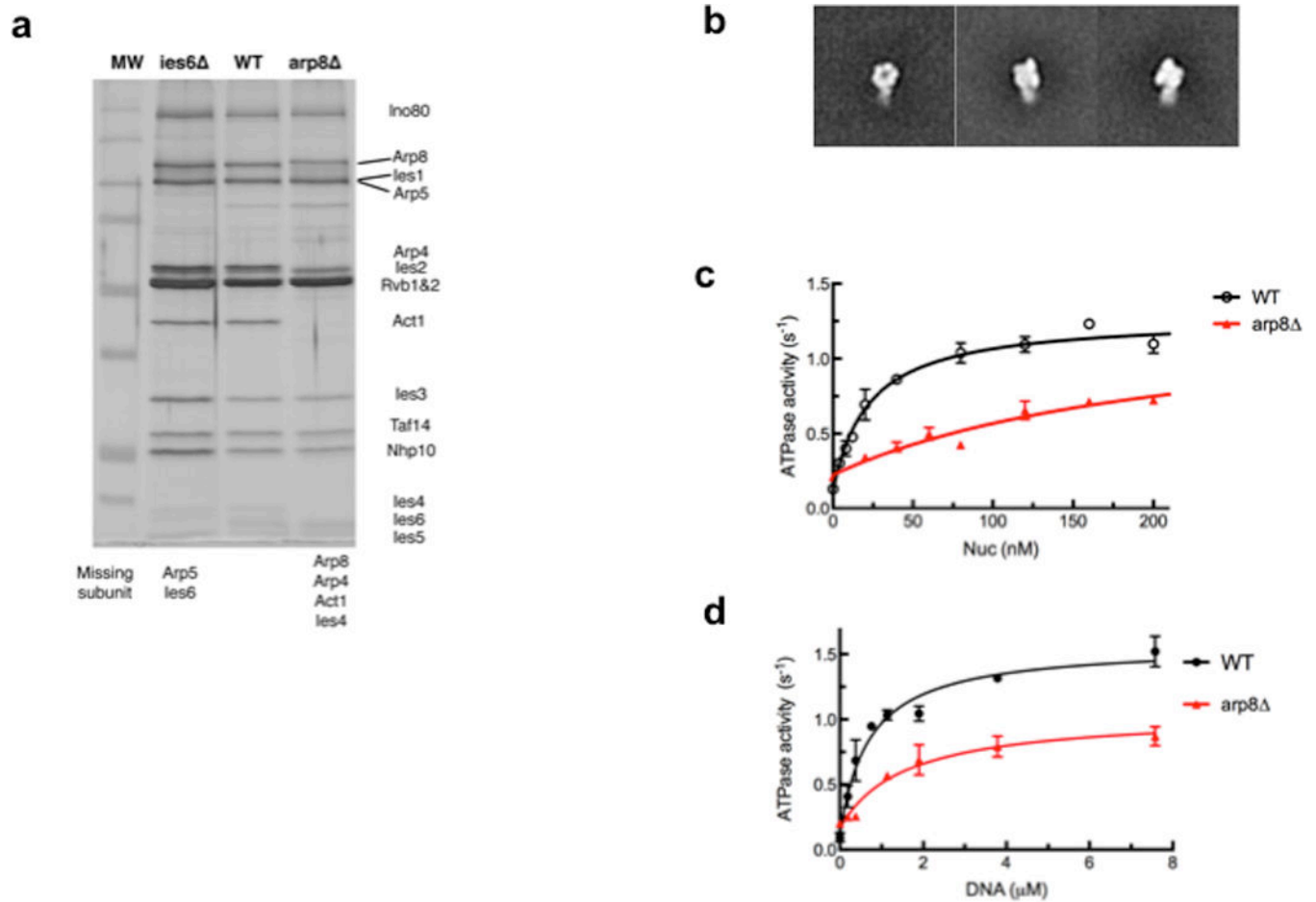
(a) Upper panel. EM image of negatively stained INO80-C, showing particles in extended (black circles) and compact (white circles) conformations. Scale bar is 100 nm. Lower panels. Selected class averages of INO80-C showing the complex in extended (upper panels) and compact conformations (lower panels). The numbers are percentages of each conformation in the entire population (10,128 particles) (see Supplementary Figure 2 for all averages). Side length of individual panels is 57.3 nm. (b) Upper panel. EM image of negatively stained SWR-C. Scale bar is 100 nm. Lower panels. Selected class averages of SWR-C showing the complex in extended (upper panels) and compact conformations (lower panels). The numbers are percentages of each conformation in the entire population (20,412 particles) (see Supplementary Fig. S2 for all averages). Side length of individual panels is 57.3 nm.



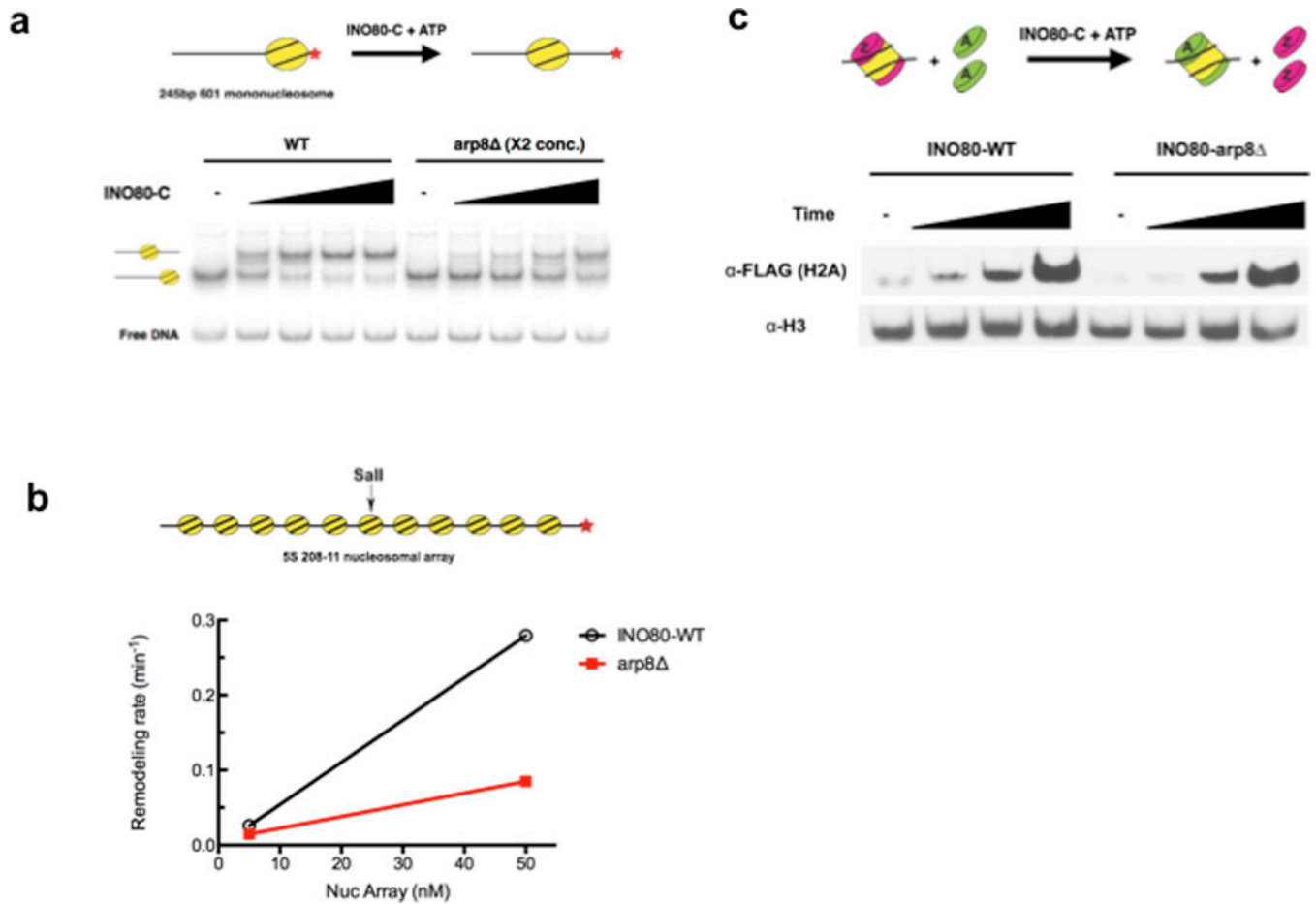


**Figure 2. INO80-C and SWR-C contain single hexameric rings of Rvb1/Rvb2**

(a) Selected class averages of the head domain of INO80-C. Top view (top panel) shows a hexameric ring structure of Rvb1/Rvb2 (no symmetry was applied). Side view (bottom panel) depicts two layers of density. (b) Selected class averages of the head domain of SWR-C. Top view (top panel) shows a hexameric ring structure of Rvb1/Rvb2 (no symmetry was applied). Side view (bottom panel) depicts two layers of density. Side length of individual panels in (a) and (b) is 57.3 nm. (c, d) Rvb1 and Rvb2 subunit stoichiometries determined from mass spectrometry analyses for INO80-C (c) and SWR-C (d). Each error bar represents the standard deviation from three independent preparations.

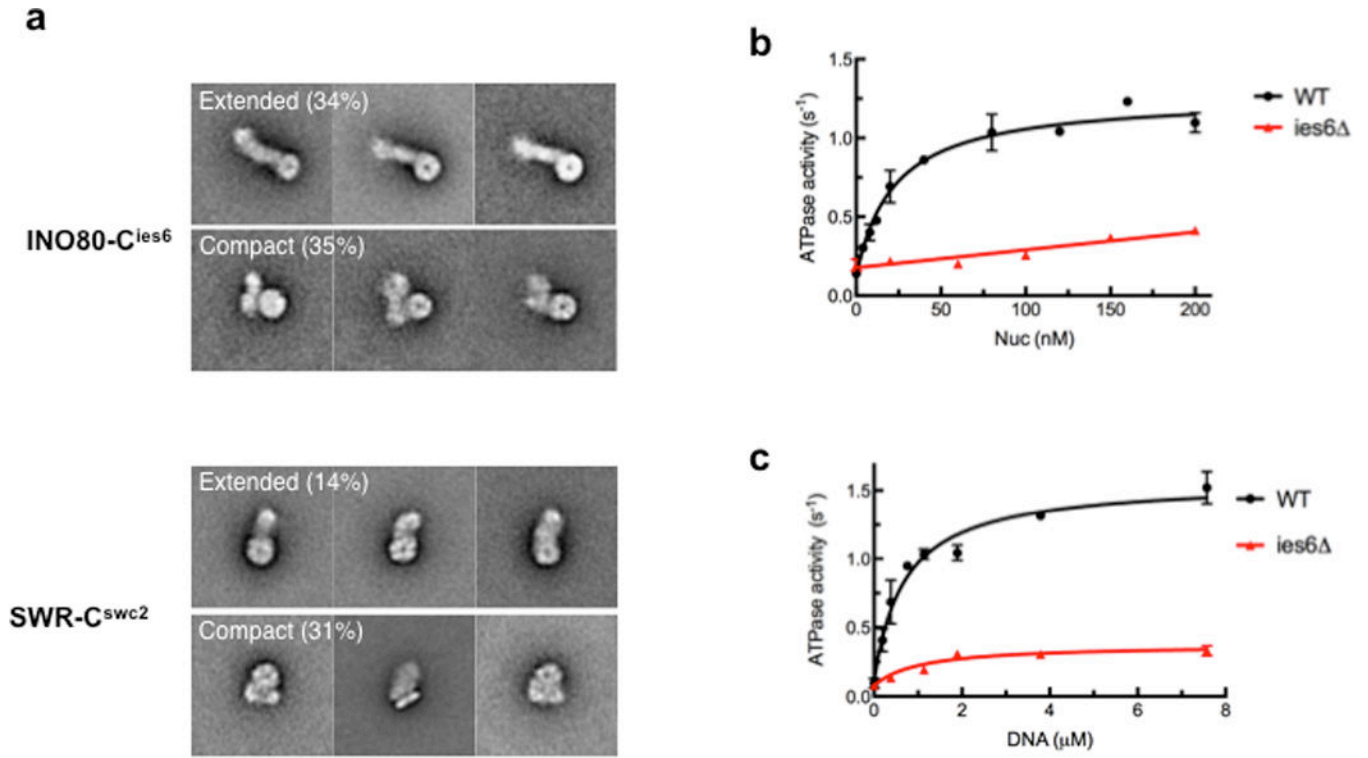


**Figure 3. Functional analysis of the INO80-C<sup>arp8</sup> subcomplex**  
**(a)** SDS-PAGE gel of INO80-C, INO80-C<sup>arp8</sup> and INO80-C<sup>ies6</sup> subcomplexes. **(b)** Selected class averages of negatively stained INO80-C<sup>arp8</sup> subcomplex. Top view (left panel) and side view (middle and right panels). Side length of individual panels is 57.3 nm. **(c)** Nucleosome-stimulated ATPase activity of the INO80-C<sup>arp8</sup> subcomplex. **(d)** DNA-stimulated ATPase activity of the INO80-C<sup>arp8</sup> subcomplex. Each error bar represents the standard deviation from three independent experiments.

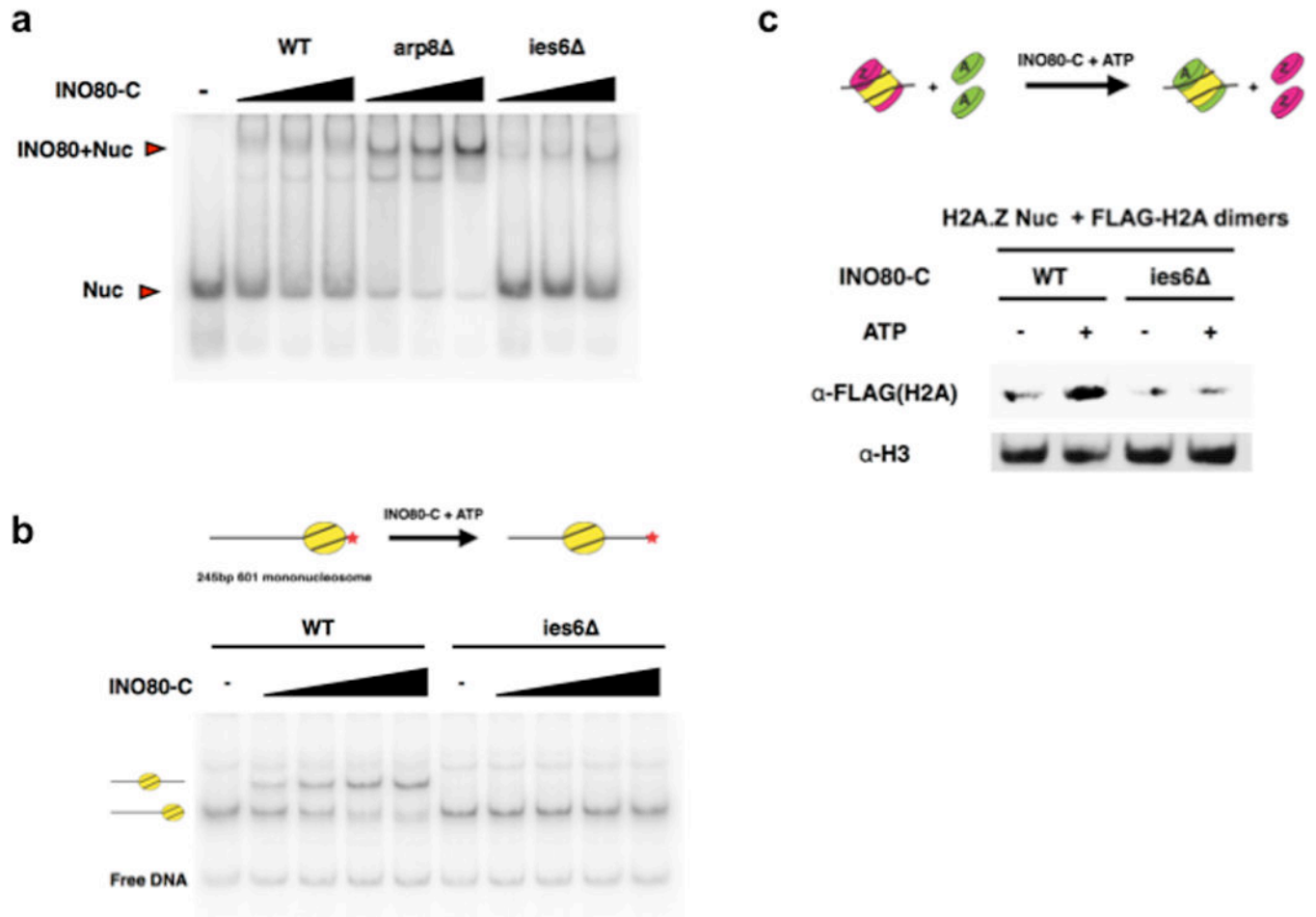


**Figure 4. The INO80-C<sup>arp8</sup> subcomplex is active for nucleosome remodeling**

(a) Mononucleosome-sliding activity of the INO80-C<sup>arp8</sup> subcomplex. End-positioned mononucleosomes were incubated with 2-fold serial dilutions of INO80-C or INO80-C<sup>arp8</sup> (2-fold higher concentration than WT), and reactions were analyzed by Native-PAGE. (b) Remodeling activity of the INO80-C<sup>arp8</sup> subcomplex on an 11-mer nucleosomal array substrate. Remodeling rates ( $\text{min}^{-1}$ ) are measured at 5 nM or 50 nM array concentration. (c) Dimer-exchange activity of the INO80-C<sup>arp8</sup> subcomplex. 5 nM INO80-C or INO80-C<sup>arp8</sup> were incubated with 100 nM H2A.Z mononucleosomes and 50 nM FLAG-tagged H2A/H2B dimers for 30 min, 60 min or 120 min in the presence of 2 mM ATP. Reactions were analyzed by Native-PAGE, and H2A incorporation was monitored by Western blot analysis.

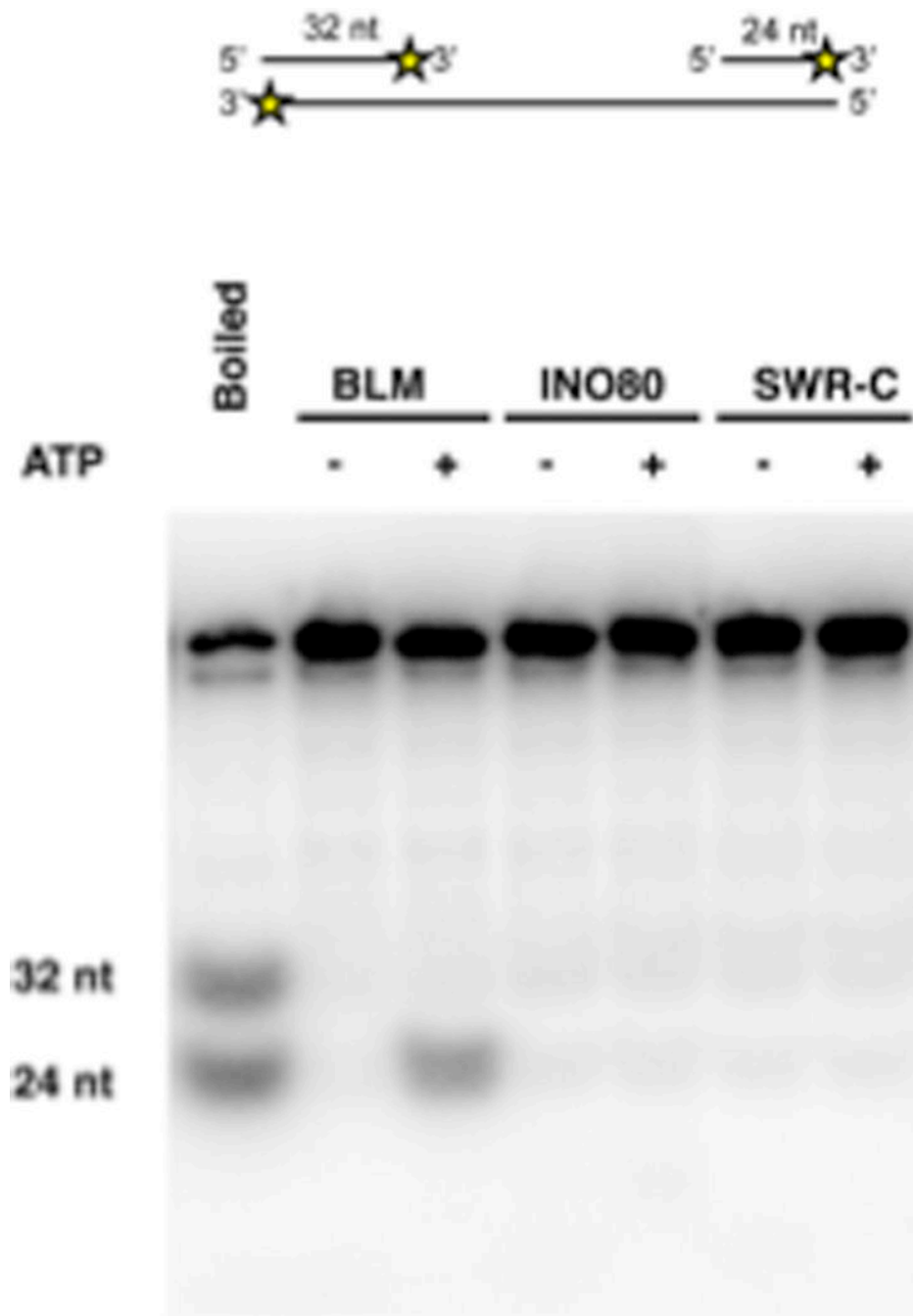


**Figure 5. Structural and functional analysis of INO80-C<sup>ies6</sup> and SWR-C<sup>swc2</sup> subcomplexes**  
**(a)** Selected class averages of negatively stained INO80-C<sup>ies6</sup> and SWR-C<sup>swc2</sup> subcomplexes showing extended (upper panels) and compact conformations (lower panels). The numbers are percentages of each conformation in the entire populations (10,202 particles for INO80-C<sup>ies6</sup>, 10,482 particles for SWR-C<sup>swc2</sup>) (see Supplementary Figures 7b and 8b for all averages). Side length of individual panels is 62.6 nm. **(b)** Nucleosome-stimulated ATPase activity of the INO80-C<sup>ies6</sup> subcomplex. **(c)** DNA-stimulated ATPase activity of the INO80-C<sup>ies6</sup> subcomplex. Each error bar represents the standard deviation from three independent experiments.



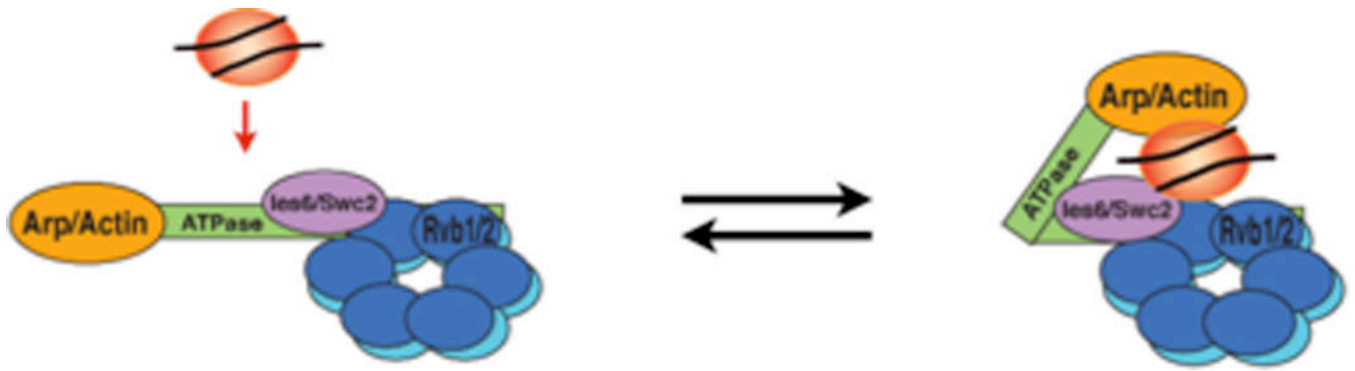
**Figure 6. The INO80-C<sup>ies6</sup> subcomplex is inactive in remodeling assays**

(a) Nucleosome-binding activity of INO80-C, and the INO80-C<sup>arp8</sup> and INO80-C<sup>ies6</sup> subcomplexes was analyzed by a gel-shift assay. 2 nM 154bp-monomucleosomes were incubated with each complex and subjected to 4% Native-PAGE. (b) Mononucleosome-sliding activity of the INO80-C<sup>arp8</sup> subcomplex. The assays were performed as described in Fig. 3e. (c) Dimer-exchange activity of the INO80-C<sup>ies6</sup> subcomplex. 5 nM INO80-C WT and INO80-C<sup>ies6</sup> were incubated with 100 nM H2A.Z containing mononucleosomes and 50 nM FLAG-tagged H2A/H2B dimers for 60 min in the presence or absence of 2 mM ATP.



**Figure 7. INO80-C and SWR-C lack helicase activity**

Upper panel shows a schematic diagram of substrates used for the DNA helicase assay. Radiolabeled 32-mer and 24-mer oligonucleotides were annealed to linear, single-stranded  $\Phi$ X174 DNA. The star denotes the location of the  $^{32}\text{P}$  label. Native-PAGE (lower panel) showed that both INO80-C and SWR-C were not able to displace any oligonucleotides, while human BLM helicase displaced only the 24-mer oligonucleotide showing a 3' to 5' helicase activity.



**Figure 8. Model depicting the proposed conformational change of INO80-C that is coupled to nucleosome binding**

The model proposes that the Ies6/Arp5 module helps to maintain an active, extended form that is competent for functional interactions with a nucleosome. This module is postulated to function as a “hinge” that responds to nucleosome binding, catalyzing productive interactions with the catalytic ATPase domain.

**Table 1**  
**Kinetic parameters for INO80-C, INO80-Carp8, and INO80-C<sup>ies6</sup> ATPase reactions**

All parameters were determined by nonlinear fitting to the Michaelis-Menten equation and represent means and standard deviations from three independent experiments.

| INO80                        | WT          | arp8        | ies6        | WT          | arp8        | ies6 |
|------------------------------|-------------|-------------|-------------|-------------|-------------|------|
| Substrate                    | DNA         | DNA         | DNA         | Nuc         | Nuc         | Nuc  |
| $K_m$ (nM)                   | 570 ± 220   | 1620 ± 120  | 1050 ± 520  | 22 ± 4      | 223 ± 155   | ND*  |
| $V_{max}$ (s <sup>-1</sup> ) | 1.57 ± 0.13 | 1.04 ± 0.09 | 0.38 ± 0.04 | 1.28 ± 0.06 | 1.33 ± 0.42 | ND*  |

\* Not determined