

- 1 Title page
- 2 Title: Low risk of SARS-CoV-2 transmission via fomite, even in cold-chain
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15 Summary

16 Background: Countries continue to debate the need for decontamination of cold-chain food packaging to  
17 reduce possible SARS-CoV-2 fomite transmission among workers. While laboratory-based studies  
18 demonstrate persistence of SARS-CoV-2 on surfaces, the likelihood of fomite-mediated transmission under  
19 real-life conditions is uncertain.

20 Methods: Using a quantitative risk assessment model, we simulated in a frozen food packaging facility 1)  
21 SARS-CoV-2 fomite-mediated infection risks following worker exposure to contaminated plastic  
22 packaging; and 2) reductions in these risks attributed to masking, handwashing, and vaccination.

23 Findings: In a representative facility with no specific interventions, SARS-CoV-2 infection risk to a  
24 susceptible worker from contact with contaminated packaging was  $2.8 \times 10^{-3}$  per 1h-period (95%CI:  $6.9 \times$   
25  $10^{-6}$ ,  $2.4 \times 10^{-2}$ ). Implementation of standard infection control measures, handwashing and masks ( $9.4 \times 10^{-6}$   
26 risk per 1h-period, 95%CI:  $2.3 \times 10^{-8}$ ,  $8.1 \times 10^{-5}$ ), substantially reduced risk (99.7%). Vaccination of the  
27 susceptible worker (two doses Pfizer/Moderna, vaccine effectiveness: 86-99%) combined with  
28 handwashing and masking reduced risk to less than  $1.0 \times 10^{-6}$ . Simulating increased  
29 infectiousness/transmissibility of new variants (2-, 10-fold viral shedding) among a fully vaccinated  
30 workforce, handwashing and masks continued to mitigate risk ( $2.0 \times 10^{-6}$ - $1.1 \times 10^{-5}$  risk per 1h-period).  
31 Decontamination of packaging in addition to these interventions reduced infection risks to below the  $1.0 \times$   
32  $10^{-6}$  risk threshold.

33 Interpretation: Fomite-mediated SARS-CoV-2 infection risks were very low under cold-chain conditions.  
34 Handwashing and masking provide significant protection to workers, especially when paired with  
35 vaccination.

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38 Key words: COVID-19, quantitative microbial risk assessment, cold-chain fomite-mediated transmission,  
39 plastic packaging.

## 40 1. Introduction

41  
42 According to the WHO<sup>1</sup> and U.S. Centers for Disease Control and Prevention,<sup>2</sup> fomite-mediated  
43 transmission of SARS CoV-2 is rare.<sup>3-7</sup> This is especially in the context of overall SARS-CoV-2  
44 transmission and relative to the predominant aerosol and droplet transmission modes.<sup>8-10</sup> Fomite-mediated  
45 transmission can occur when an uninfected individual transfers infectious particles from a contaminated  
46 surface to their facial mucosa, typically via their hands.<sup>11</sup> These surfaces can become contaminated from  
47 an infected individual by: 1) shedding onto hands which then touch a surface; or 2) expelled respiratory  
48 aerosols and droplets (coughs, speaks, sneezes etc.,)<sup>12,13</sup> which then fall to a surface.<sup>14</sup> However, definitive  
49 epidemiological evidence of fomite transmission is lacking. Only a few case reports implicate fomites as a  
50 possible SAR-CoV-2 source<sup>15-17</sup> of which, asymptomatic aerosol transmission could not be eliminated as  
51 an alternative transmission mode (aerosol, droplet, fomite-mediated).

52  
53 Despite these sparse epidemiological data, a recent report of the isolation of infectious SARS-CoV-2 from  
54 a single environmental sample of imported frozen cod packaging in Qingdao, China<sup>18</sup> has raised alarm for  
55 fomites as viral vectors for SARS-CoV-2 transmission, particularly in the context of seeding SARS-CoV-  
56 2 into areas that have controlled transmission.<sup>19</sup> Exacerbating this concern, laboratory studies suggest  
57 prolonged infectivity (days to perhaps weeks) of SARS-CoV-2<sup>20</sup> on a variety of surfaces<sup>11,21-23</sup> and low  
58 temperatures and humidity (common in cold-chain conditions) are associated with enhanced virus stability  
59 (months or longer).<sup>24</sup> In addition, SARS-CoV-2 viral RNA has been detected on surfaces in playgrounds  
60 and water fountains,<sup>25</sup> high-touch community fomites,<sup>26</sup> on surfaces in households with asymptomatic  
61 patients,<sup>27</sup> and on surfaces in close proximity to infected individuals in healthcare settings.<sup>28-36</sup> However,  
62 the relationship between detectable viral RNA and infectious virus is tenuous.<sup>37</sup> Of an identified 63  
63 published studies testing for SARS-CoV-2 RNA from environmental surface samples, only 13 attempted  
64 to isolate infectious virus. Of these, viable SARS-CoV-2 virus was identified in only four instances: frozen  
65 cod packaging,<sup>18</sup> a nightstand within a household of a COVID-19 confirmed case,<sup>38</sup> an isolation room of  
66 patients undergoing mechanical ventilation,<sup>39</sup> and on a windowsill of a patient's quarantine unit.<sup>40</sup> In the  
67 context of a cold-chain occupational setting, evidence is lacking on the frequency of SARS-CoV-2  
68 contamination on food packaging and the association between its detection by RT-qPCR and infectious  
69 virus.

70  
71 The relative importance of fomite-mediated transmission as investigated by modeling studies is also  
72 inconclusive, especially across diverse settings. Using a quantitative microbial risk assessment (QMRA)  
73 framework, several studies involving contact with community-based fomites estimated SARS-CoV-2  
74 infection risks to be low (on the order of 1 in 10,000).<sup>26,41,42</sup> In occupational settings, relative risks associated  
75 with fomite transmission of SARS-CoV-2 were higher (range:  $2 \times 10^{-2}$  –  $3.2 \times 10^{-1}$  infection risks) in child  
76 daycare centers,<sup>43</sup> hospitals,<sup>44,45</sup> and a food manufacturing facility.<sup>46</sup> Higher still was the mathematical  
77 modeling of the Diamond Princess cruise ship outbreak<sup>47</sup> which suggests a 30% attribution of COVID-19  
78 cases to fomites.

79  
80 In an effort to prevent and control potential SARS-CoV-2 outbreaks associated with imported food  
81 products, China has implemented procedures to test all imported cold-chain (temperature-controlled  
82 transport and storage) products and their packaging, including disinfection and wet wiping<sup>48</sup> of plastic  
83 packaging.<sup>19</sup> However, there is no definitive evidence of SARS-CoV-2 fomite transmission from contact  
84 with contaminated food or food packaging,<sup>5</sup> suggesting that these decontamination measures may be  
85 extreme.<sup>4-7,49</sup> Given this, there is a need to inform the frozen and cold-chain food industries on the possible  
86 risk of SARS-CoV-2 contamination of, and transmission from, food packaging, under cold-chain  
87 conditions, and the impact of infection control measures. In this study, a stochastic QMRA model is used  
88 to quantify the risks and relative risk reductions attributed to fomite-mediated SARS-CoV-2 transmission  
89 and infection control measures among workers in a representative frozen food packaging facility under  
90 cold-chain conditions. We also investigate the need for additional plastic packaging decontamination.

91

## 92 2. Materials and Methods

93

### 94 2.1 Model overview

95 Quantitative microbial risk assessment (QMRA) is a mathematical modeling framework used to evaluate  
96 health risks associated with direct and indirect transmission pathways and the efficacy of infection control  
97 strategies. For this work, we applied the validated QMRA model of Sobolik, *et al.*,<sup>46</sup> to simulate  
98 contamination of plastic packaging (individual cartons and plastic-wrapped palletized cartons) with  
99 respiratory particles via the coughing of two infected workers. SARS-CoV-2 exposure doses and infection  
100 risks resulting exclusively from fomite transmission were simulated for a susceptible worker in a receiving  
101 warehouse.

102

### 103 2.2 Model structure

104 The overall model structure initiated with two infected workers in a representative frozen food  
105 manufacturing facility (Figure 1). The first worker was located within close proximity ( $\leq 3$  feet) of a  
106 conveyor belt which transported between 144 and 216 individual plastic cartons (dimensions: [0.38m x  
107 0.28m x 0.15m] or [0.38m x 0.30m x 0.23m]) per hour. The second infected worker transferred these  
108 individual plastic cartons onto a wooden pallet (36-54 cartons/pallet), either manually or by automation,  
109 and then wrapped the pallet in plastic wrap (four pallets processed/hour). Contamination events occurred  
110 through expelled aerosol ( $< 50 \mu\text{m}$ ) and droplet (50-750  $\mu\text{m}$ ) respiratory particles generated from cough  
111 events by the two infected workers. Plastic wrapped, palletized cartons were then transported under cold-  
112 chain storage to a receiving warehouse where a single susceptible worker was exposed to the virus  
113 exclusively by direct contact with contaminated plastic wrap and/or surface-contaminated individual plastic  
114 cartons during manual unpacking of the pallets.

115

116 The two model outcomes included: 1) SARS-CoV-2 infection risks from fomite-mediated exposures to  
117 plastic packaging (individual plastic cartons and plastic wrapped pallets) following a 1-hour period; and 2)  
118 relative reduction in SARS-CoV-2 infection risk attributed to infection control interventions (masking,  
119 handwashing, vaccination, and package surface decontamination). The model was developed in R (version  
120 4.0.3; R Development Core Team; Vienna, Austria) using the mc2d package for Monte Carlo  
121 simulations.<sup>50,51</sup> For each simulation, 10,000 iterations were run. Please refer to *SI Appendix* for additional  
122 details on model assumptions, vetting, and sensitivity analyses.

123

### 124 2.3 Data sources

125 Model parameters were derived from the peer-reviewed literature (see *SI Appendix*, Table S1) and included:  
126 (i) viral shedding through cough events; (ii) fomite-mediated transmission parameters; (iii) dose-response  
127 parameters for SARS-CoV-2 infection risk; and (iv) risk mitigation interventions.

128

### 129 2.4 Fomite-mediated transmission modeling

130 SARS-CoV-2 contamination of the individual plastic cartons was calculated using the combined aerosol  
131 and droplet particle fallout,  $Fall_{t,a}$  (infectious virus) and  $Fall_t$  (infectious virus/ $\text{m}^3$ ), expelled from coughs  
132 by the first infected worker as described in Sobolik *et al.*<sup>46</sup> Contamination of the palletized cartons and the  
133 plastic wrapped-pallets was calculated using the combined aerosol and droplet particle spray,  $C_{t,aerosol}$   
134 (PFU/ $\text{m}^3$ ) and  $C_{t,droplet}$  (PFU/ $\text{m}^3$ ), expelled from coughs by the second infected worker as previously  
135 described<sup>46</sup> with the resulting viral contamination on the fomite surfaces,  $Fomite_{cartons}$  and  $Fomite_{plasticwrap}$   
136 (PFU/ $\text{m}^2$ ):

137

138 Viral concentration on individual cartons (PFU/ $\text{m}^2$ ):

$$139 \quad Fomite_{cartons} = \frac{Fall_{t,a} \cdot H_{sa}}{SA_{contamcompcart} \cdot SA_{compcart}} + \frac{Fall_t \cdot f_v \cdot H_{sa}}{SA_{contamcompcart} \cdot SA_{compcart}}$$

140

141 Viral concentration on plastic wrap pallets (PFU/m<sup>2</sup>):

$$142 \quad Fomite_{plasticwrap} = \frac{C_{t,aerosol} \cdot f_v \cdot H_{sa}}{SA_{contamcompw} \cdot SA_{compw}} + \frac{C_{t,droplet} \cdot f_v \cdot H_{sa}}{SA_{contamcompw} \cdot SA_{compw}}$$

143

144 where  $f_v$  was the air volume of the facility (m<sup>3</sup>),  $H_{sa}$  was the surface area of the susceptible worker's hand  
 145 that touched the fomite surface (m<sup>2</sup>),  $SA_{contamcompw}$  was the cross-sectional area of the composite  
 146 contaminated individual cartons (m<sup>2</sup>),  $SA_{compw}$  was the cross-sectional area of the composite individual  
 147 cartons (m<sup>2</sup>),  $SA_{contamcompw}$  was the cross-sectional area of the contaminated plastic wrap (m<sup>2</sup>), and  $SA_{compw}$   
 148 was the cross-sectional area of the composite total plastic wrap (m<sup>2</sup>). Homogenous mixing of aerosol  
 149 particles was assumed when calculating the aerosol contamination of the individual cartons and plastic-  
 150 wrapped pallets. The ability of droplets (50-750 μm) to contaminate fomites as fallout or spray was  
 151 determined by their size, particle transport properties (i.e. ballistic gravitational trajectories), and distance  
 152 traveled. The proportion of droplets that reached the plastic cartons (droplet fallout) or plastic wrap (droplet  
 153 spray) within 0 to 3 feet distancing was derived from previous modeling work.<sup>52</sup>

154 To calculate the concentration of SARS-CoV-2 transferred to a hand,  $C_{hand, carton}$  (PFU/h), following contact  
 155 with the individual cartons,  $Fomite_{cartons}$  (PFU/ m<sup>2</sup>), we applied an approach previously use for influenza A  
 156 virus exposure.<sup>53</sup>

$$157 \quad C_{hand, carton}(t) = \frac{H_{surface, carton} \cdot Fomite_{cartons} \cdot TE_{fh}}{\lambda_{v, hand}} \cdot [1 - \exp(-\lambda_{v, hand} \cdot t)]$$

158 Where  $H_{surface, carton}$  was the frequency of contacts between the hand and the cartons per minute  
 159 (contacts/min),  $Fomite_{cartons}$  was the viral concentration on the cartons (PFU/m<sup>2</sup>), at time  $t$ ,  $TE_{fh}$  was the  
 160 proportion of virus transferred from fomite to hand, and  $\lambda_{v, hand}$  was the viral decay of SARS-CoV-2 on the  
 161 hand. Similarly, we calculated the concentration of SARS-CoV-2 transferred to a hand,  $C_{hand, pw}$  (PFU/h),  
 162 following contact with the plastic wrap:

163

$$164 \quad C_{hand, pw}(t) = \frac{H_{surface, pw} \cdot Fomite_{plasticwrap} \cdot TE_{fh}}{\lambda_{v, hand}} \cdot [1 - \exp(-\lambda_{v, hand} \cdot t)]$$

165

166 Where  $H_{surface, pw}$  was the frequency of contacts between the hand and plastic wrap per minute (contacts/min),  
 167  $Fomite_{plasticwrap}$  was the viral concentration on the plastic wrap fomite (PFU/m<sup>2</sup>), at time  $t$ ,  $TE_{fh}$  was the  
 168 proportion of virus transferred from fomite to hand, and  $\lambda_{v, hand}$  was the viral decay of SARS-CoV-2 on the  
 169 hand.

170

## 171 2.5 Risk assessment

172 The fomite-mediated dose to the susceptible worker following contact while unloading the palletized  
 173 cartons was calculated from the viral contamination on the hand ( $C_{hand, carton}$ ,  $C_{hand, pw}$ ) at time  $t$ , the frequency  
 174 of hand-to-face contacts ( $H_{face}$ ), the surface area of the hands ( $H_{sa}$ ), the surface area ratio of fingers ( $F_{sa}$ ) to  
 175 face ( $Face_{sa}$ ), the fraction of pathogens transferred from hand-to-face ( $TE_{hm}$ ), and the exposure duration ( $t$ ):

176

$$177 \quad D_{fomite, carton}(t) = \frac{H_{face} \cdot H_{sa} \cdot F_{sa} \cdot C_{hand, carton}(t) \cdot TE_{hm} \cdot t}{Face_{sa}}$$

178

$$179 \quad D_{fomite, plasticwrap}(t) = \frac{H_{face} \cdot H_{sa} \cdot F_{sa} \cdot C_{hand, pw}(t) \cdot TE_{hm} \cdot t}{Face_{sa}}$$

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181 The total viral dose,  $D_{fomite, total}$ , (PFU) at time  $t$ :

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$$D_{fomite,total} = D_{fomite,cardon} + D_{fomite,plasticwrap}$$

In the absence of a SARS-CoV-2 dose-response model, the probability of SARS-CoV-2 infection to the susceptible worker was calculated by applying an exponential dose-response model ( $k_{risk}$ ) (PFU<sup>-1</sup>) based on pooled data from intranasal administration of SARS-CoV and murine hepatitis virus on infection in mice,<sup>54,55</sup> and consistent with other SARS-CoV-2 QMRA models.<sup>26,41,42,44</sup>

$$R(t) = 1 - \exp[-k_{risk} \cdot D_{fomite,total}(t)]$$

## 2.6 Evaluation of risk mitigation interventions

Standard infection control measures were selected based on current OSHA, CDC, and FDA-recommended guidance and industry practices for worker safety<sup>56</sup> and COVID-19 prevention.<sup>57</sup> These interventions included concordant masking (surgical) and hourly handwashing (2 log<sub>10</sub> virus removal).<sup>58</sup> Vaccination (two doses of Pfizer/Moderna) was implemented with and without the standard infection control measures to reduce 1) susceptibility to infection of the worker in the receiving warehouse (susceptible worker vaccinated only); and 2) overall transmissibility when all workers are vaccinated (rare breakthrough infection among vaccinated workers). Please refer to *SI Appendix* for additional details on the vaccination scenarios. Further, we simulated the added effect of surface decontamination (3 log<sub>10</sub> virus removal)<sup>48,59</sup> applied directly to plastic packaging (individual cartons, plastic wrap) as described elsewhere,<sup>19,48</sup> combined with the standard infection control measures (handwashing, masking). While there are no reference risk thresholds for respiratory pathogens, all fomite-mediated risks were compared to the reference ranges of 1.0 x 10<sup>-4</sup> and 1.0 x 10<sup>-6</sup> risks, which are WHO and U.S. EPA thresholds for water quality for representative infectious diseases.<sup>60,61</sup>

## 3. Results

### 3.1 Fomite-mediated SARS-CoV-2 infection risks associated with exposures to individual plastic cartons and plastic wrap during the unpacking of products under cold-chain conditions, in a receiving warehouse

In the scenario of no vaccination/prior infection, the risk of fomite-mediated transmission without standard infection control measures was 2.8 x 10<sup>-3</sup> per 1h-period (95%CI: 6.9 x 10<sup>-6</sup>, 2.4 x 10<sup>-2</sup>) (Figure 2A). Implementing standard infection control measures substantially reduced risk by 66.7% for masking (9.4 x 10<sup>-4</sup> risk per 1h-period, 95%CI: 2.3 x 10<sup>-6</sup>, 8.1 x 10<sup>-3</sup>), by 99.0% for handwashing (2.8 x 10<sup>-5</sup> risk per 1h-period, 95%CI: 6.9 x 10<sup>-8</sup>, 2.4 x 10<sup>-4</sup>), or by 99.7% for handwashing and masks (9.4 x 10<sup>-6</sup> risk per 1h-period, 95%CI: 2.3 x 10<sup>-8</sup>, 8.1 x 10<sup>-5</sup>), relative to no infection control measures. The addition of plastic surface decontamination to these infection control measures reduced infection risks by 100% to below the 1 x 10<sup>-6</sup> risk threshold (9.39 x 10<sup>-9</sup> risk per 1h-period, 95%CI: 2.3 x 10<sup>-11</sup>, 8.1 x 10<sup>-8</sup>), relative to no infection control measures.

### 3.2 Impact of vaccination of the susceptible worker and infection control measures on fomite-mediated SARS-CoV-2 infection risks associated with plastic packaging under cold-chain conditions

Vaccination of the susceptible worker with two doses of mRNA vaccine, without additional infection control measures, reduced infection risk by 72.4% (reduced VE 64-80%: 7.8 x 10<sup>-4</sup> risk per 1h-period, 95%CI: 1.9 x 10<sup>-6</sup>, 6.7 x 10<sup>-3</sup>), or by 93.6% (optimal VE 86-99%: 1.8 x 10<sup>-4</sup> risk per 1h-period, 95%CI: 4.5 x 10<sup>-7</sup>, 1.8 x 10<sup>-3</sup>), relative to no vaccination (Figure 2A). Infection control measures in addition to vaccination of the susceptible worker (reduced VE 64-80%) further reduced risk by 90.7% (masking: 6.5 x 10<sup>-4</sup> risk per 1h-period, 95%CI: 6.4 x 10<sup>-7</sup>, 2.3 x 10<sup>-3</sup>), 99.7% (hourly handwashing: 7.8 x 10<sup>-6</sup> risk per 1h-

232 period, 95%CI:  $1.91 \times 10^{-8}$ ,  $6.8 \times 10^{-5}$ ), and 99.9% (hourly handwashing and masking:  $2.6 \times 10^{-6}$  risk per  
233 1h-period, 95%CI:  $6.4 \times 10^{-9}$ ,  $2.3 \times 10^{-5}$ ), relative to no vaccination. Optimal VE (86-99%) combined with  
234 infection control measures further enhanced the risk reduction by 93.6% (masking:  $6.0 \times 10^{-5}$  risk per 1h-  
235 period, 95%CI:  $1.5 \times 10^{-7}$ ,  $6.2 \times 10^{-4}$ ), 97.9% (hourly handwashing:  $1.8 \times 10^{-6}$  risk per 1h-period, 95%CI:  
236  $4.5 \times 10^{-9}$ ,  $1.9 \times 10^{-5}$ ), and 99.9% (hourly handwashing and masking:  $6.0 \times 10^{-7}$  risk per 1h-period, 95%CI:  
237  $1.5 \times 10^{-9}$ ,  $6.2 \times 10^{-6}$ ), relative to no vaccination (Figure 2A). Across all vaccination states of the susceptible  
238 worker (no vaccination/no partial immunity, reduced VE 64-80%, and optimal VE 86-99%), combined  
239 infection control measures of handwashing and masks ensured SARS-CoV-2 fomite-mediated infection  
240 risks were below  $10^{-4}$ . Notably, vaccination of the susceptible worker (VE 86-99%) combined with all  
241 standard infection control measures (handwashing, masking) resulted in risk estimates well below one in a  
242 million ( $10^{-6}$ ).

### 243 244 **3.3 Impact of infection control measures on fomite-mediated SARS-CoV-2 infection risks with** 245 **variants of concern from breakthrough cases**

246  
247 To account for variations in the infectiousness or transmissibility of new variants of concern (VOC), we  
248 simulated the impact of increased viral shedding concentrations resulting from rare breakthrough infections  
249 on SARS-CoV-2 fomite-mediated infection risk among a fully vaccinated workforce (two doses of  
250 Pfizer/Moderna; VET 88.5% [95% CI: 82.3%, 94.8%])<sup>62</sup>. Increased viral shedding ( $7.1$ - $8.4 \log_{10}$  infectious  
251 virus [10-fold increase relative to baseline shedding level]) resulted in an infection risk of  $3.1 \times 10^{-3}$  per 1h-  
252 period (95%CI:  $7.8 \times 10^{-6}$ ,  $2.5 \times 10^{-2}$ ) (Figure 2B). Implementing standard infection control measures  
253 substantially reduced risk by 99.0% for handwashing ( $3.2 \times 10^{-5}$  risk per 1h-period, 95%CI:  $7.8 \times 10^{-8}$ ,  $2.7$   
254  $\times 10^{-4}$ ) and by 99.7% for handwashing and masks ( $1.1 \times 10^{-5}$  risk per 1h-period, 95%CI:  $2.6 \times 10^{-8}$ ,  $9.4 \times$   
255  $10^{-5}$ ), relative to no infection control measures. As expected, similar trends were observed when using a 2-  
256 fold increase in infectious virus shedding, with all infection risks falling below the  $10^{-4}$  risk threshold when  
257 implementing standard infection control measures (handwashing or handwashing and masking). In the rare  
258 event of breakthrough infections of vaccinated workers with a VOC leading to 100-fold increase in viral  
259 shedding, fomite-mediated risks remain small when continuing to use standard control measures  
260 (handwashing and masking) (*SI Appendix*).

261  
262 Additional results on the estimated SARS-CoV-2 concentration on combined plastic packaging under cold-  
263 chain conditions are located in *SI Appendix*, Figure S1.

## 264 265 **4. Discussion**

266  
267 In this study, a stochastic QMRA model was used to quantify the relative risks of SARS-CoV-2 infection  
268 resulting from exposure to plastic fomites under cold-chain conditions in a representative frozen food  
269 packaging facility. Collectively, our modeling results indicate that risks associated with fomite-mediated  
270 transmission from plastic packaging under cold-chain conditions are extremely low, below a SARS-CoV-  
271 2 infection risk threshold of  $10^{-4}$ .<sup>60</sup> Across all vaccination states of the susceptible worker (no vaccination/no  
272 prior immunity, reduced VE, optimal VE), handwashing alone or with masking resulted in risk estimates  
273 below the  $10^{-4}$  infection risk threshold.<sup>60</sup> In the case of rare breakthrough infections among fully vaccinated  
274 workers with new, potentially more infectious/transmissible variants (2- to 10-fold increased viral  
275 shedding), application of handwashing or handwashing and mask use, maintained infection risks below the  
276  $10^{-4}$  risk threshold. In response to recent concerns of heightened SARS-CoV-2 transmission risk resulting  
277 from exposures to contaminated plastic packaging associated with cold-chain food products,<sup>18,19</sup> we found  
278 that decontaminating plastic packaging reduced risk by 3  $\log_{10}$  ( $9.4 \times 10^{-6}$  vs  $9.4 \times 10^{-9}$ ). Given that the risk  
279 of fomite-mediated SARS-CoV-2 infection using standard infection control measures is so low, the benefit  
280 of decontaminating plastic packaging seems nominal and might be considered excessively conservative.

281

282 Exposure to plastic packaging under cold-chain conditions, even in the absence of interventions, resulted  
283 in very low fomite-mediated infection risks (under  $3.0 \times 10^{-3}$ ). This is consistent with Wilson *et al.*, who  
284 found an infection risk associated with fomite contacts of approximately  $1.0 \times 10^{-3}$  for a single hand-to-  
285 fomite scenario with high SARS-CoV-2 bioburden and no surface disinfection.<sup>42</sup> Comparable fomite-  
286 mediated risks were reported by Pitol *et al.*,<sup>41</sup> and Harvey *et al.*,<sup>26</sup> associated with direct tactile events in  
287 community spaces (bus stations, gas stations, playgrounds) and on high touch non-porous surfaces  
288 (crosswalk buttons, trash can handles, door handles). When implementing standard infection control  
289 measures (handwashing, mask use) and no vaccination, risk estimates ranged from  $2.8 \times 10^{-5}$  to  $9.4 \times 10^{-6}$ .  
290 Aligned with our study, Pitol *et al.*, also demonstrated that hand hygiene could substantially reduce the risk  
291 of SARS-CoV-2 transmission from contaminated surfaces.<sup>41</sup> Our findings indicate that even for a variant  
292 for which the virus is 2- to 10-times more transmissible, fully vaccinated workers (two dose mRNA vaccine)  
293 and standard infection control measures (handwashing and masking) reduce infection risks to below the  $10^{-4}$   
294 risk threshold. The B.1.617.2 (Delta) SARS-CoV-2 variant should be represented within this range as  
295 recent evidence suggest it is 40-60% more transmissible than Alpha.<sup>63,64</sup> This analysis demonstrates that  
296 standard infection control measures and vaccination should effectively mitigate fomite-mediated infection  
297 risks under cold-chain conditions even with highly transmissible circulating SARS-CoV-2 strains. Further,  
298 these control measures mitigate the likelihood of SARS-CoV-2 being seeded into areas in which the virus  
299 is currently not circulating.<sup>18,19</sup>

300  
301 An important contribution of our work is quantitatively demonstrating that vaccination (susceptible worker  
302 only or full workforce), when combined with other interventions, reduced all infection risks associated with  
303 potentially contaminated frozen food packaging to below  $10^{-4}$ . Given the strong protective effect of  
304 immunity from vaccination or prior exposure, involving both reduced viral load<sup>65</sup> and transmissibility,<sup>66,67</sup>  
305 as more workers become vaccinated, we anticipate fomite risks will continue to decrease in occupational  
306 and community-settings alike. This holds true even when considering fomite-mediated transmission  
307 dynamics with potentially more infectious/transmissible variants, such as Delta, for which viral shedding  
308 is largely unaffected by vaccination status.<sup>68</sup> Similarly, our results demonstrate that in the rare event of  
309 breakthrough infections among a fully vaccinated workforce (VET 88.5%), vaccination paired with  
310 standard infection control measures (handwashing, masking) effectively reduced risk (below  $1 \times 10^{-4}$ ).  
311 Moreover, as these analyses were conducted with a 1:100 infectious to non-infectious particle ratio, fomite-  
312 mediated transmission will be even less likely with ratios of 1:1,000–1:1,000,000, as recent studies  
313 suggest.<sup>69-71</sup> Regarding reduced vaccine effectiveness (64-80%), we found fomite-mediated infection risks  
314 were reduced by 72.4% (no interventions) to 99.9% (handwashing, masking) when only the susceptible  
315 worker was vaccinated. These results are particularly promising as they encompass uncertainties in vaccine  
316 effectiveness with waning immunity<sup>72</sup> and emerging SARS-CoV-2 variants,<sup>73,74</sup> heterogeneity in vaccine  
317 effectiveness and coverage across vaccine types, and variable vaccine protection among higher-risk  
318 populations (elderly, immunocompromised).<sup>75</sup> It is important to note that while the global vaccine rollout  
319 continues, maintaining high compliance with mask use and handwashing will be critical given their  
320 relatively low cost, high-impact risk mitigation potential, and ease of scaling across diverse food  
321 manufacturing settings. In short, vaccination combined with masking and hand hygiene effectively  
322 minimize SARS CoV-2 transmission risk to less than  $10^{-4}$ , irrespective of additional controls and within the  
323 confines of what is currently known about variants and vaccine effectiveness.

324  
325 Indeed, our study found minimal added benefit in risk reduction attributed to decontamination of plastic  
326 packaging of frozen foods. Fomite-mediated risks were found to already fall below  $10^{-4}$  under standard  
327 infection control procedures (hourly handwashing, surgical mask use) and below  $10^{-6}$  by adding vaccination  
328 of the susceptible worker (VE 86-99%). Risks lower than  $10^{-4}$  already fall below U.S. EPA and WHO risk  
329 guidelines, including thresholds for drinking water (*Cryptosporidium* [ $9.5 \times 10^{-4}$ ], *Campylobacter* [ $7.3 \times$   
330  $10^{-4}$ ], and rotavirus [ $2.4 \times 10^{-3}$ ])<sup>60</sup> and recreational water quality ( $3.2 \times 10^{-2}$ ).<sup>61</sup> Furthermore, surface  
331 decontamination of products meant for human consumption is not without its own risks to workers and  
332 consumers. For example, consistent occupational exposure to disinfectants is associated with adverse



333 respiratory health outcomes, including worsening asthma control<sup>76</sup> and increased risk of chronic obstructive  
334 pulmonary disease.<sup>77</sup> Also, risks to consumers of ingested disinfectants, which could enter products through  
335 damaged packaging, range in severity from irritation (nose, sinuses, skin, eyes), dizziness, and nausea to  
336 skeletal toxicity and liver damage, depending on the disinfectant type and quantity.<sup>78,79</sup> Increases in the use  
337 of disinfectants since the start of the COVID-19 pandemic has resulted in a 16.4% increase in exposure  
338 calls as reported by the U.S National Poison Data System (NPDS), CDC (January–March 2019).<sup>80</sup> As China  
339 is disinfecting the packaging of imported cold-chain products,<sup>19</sup> future studies are warranted to investigate  
340 the adverse health effects of increased chemical exposures among workers and consumers. Furthermore,  
341 the process of testing imported frozen foods for SARS-CoV-2 and disinfecting packaging<sup>18</sup> potentially  
342 introduces delays in product distribution, which could jeopardize the integrity of the products, contributing  
343 to food spoilage and waste, and could ultimately lead to shortages and instability in the global food supply  
344 chain.<sup>81,82</sup> A final consideration is that increased use of disinfection products are costly, with global sales  
345 of surface disinfectant in 2020 increasing by more than 30% relative to the prior year (totaling US\$4.5  
346 billion).<sup>7</sup> Notably this increased expense does not include labor costs associated with disinfecting products.  
347 In sum, additional surface decontamination of food packaging in the cold-chain could be viewed as  
348 excessive and is more likely to increase chemical risks to workers, food hazard and quality risks to  
349 consumers, and unnecessary added costs to governments and the global food industry.

350  
351 Strengths of our model include a detailed exposure assessment design of frozen food packaging facilities  
352 vetted by industry and academic partners; leveraging a validated SARS-CoV-2 modeling framework;<sup>46</sup> and  
353 incorporating new data as model parameters on viral persistence, fomite-to-hand transfer efficiencies, and  
354 respiratory particle definitions (aerosols and droplets). A limitation of this work is that our analysis focused  
355 on a one-hour exposure duration of the susceptible worker to contaminated plastic packaging. This duration  
356 was selected as the timing and number of products arriving in a receiving warehouse beyond one hour was  
357 unpredictable. However, when extending this to an 8-hour shift, cumulative fomite risks remained very low  
358 (handwashing and mask use:  $7.5 \times 10^{-5}$  [95% CI:  $1.8 \times 10^{-7}$ – $1.7 \times 10^{-4}$ ]). A second limitation is that there are  
359 limited data on the concentration of the Delta variant shed by infected individuals, beyond the Ct value that  
360 estimates approximately  $6 \times 10^5$  copies/mL in oropharyngeal swabs.<sup>83</sup> To simulate increased  
361 infectiousness/transmissibility of Delta or other VOC, we increased infectious viral shedding among the  
362 infected workers (2-, 10-, and 100-fold), which equates to  $2.4 \times 10^6$  to  $2.4 \times 10^9$  infectious virus/mL of  
363 saliva. While the Delta variant should clearly fall within or below this range of increased viral shedding,  
364 this analysis can be refined as more data become available. This would also serve to reduce the uncertainty  
365 in this and other parameters which result in a dynamic range in risk estimates and confidence intervals.

366 Using QMRA modeling, we find that susceptible workers (unvaccinated, no precautions) in frozen food  
367 packaging facilities are at low risk of SARS-CoV-2 fomite-mediated transmission (exposure to large  
368 droplets and small aerosol particles on plastic packaging) under cold-chain conditions. Strategies  
369 demonstrated to further reduce fomite-mediated infection risk to workers include hourly handwashing and  
370 universal mask use. Vaccination of workers should be prioritized as an effective intervention when  
371 combined with these industry standard infection control measures.<sup>64</sup> When assessing the relative fomite  
372 infection risk, our work suggests that extra decontamination procedures on food packaging of cold-chain  
373 products make only negligible contributions beyond the standard infection control risk reductions. Hence,  
374 they are difficult to justify based on risk reduction. Continued use of global<sup>84,85</sup> and U.S. federal<sup>56,57</sup> SARS  
375 CoV-2 interventions (handwashing, mask use) and equitable distribution of vaccines will support the health  
376 and safety of food workers and maintain global food supply chains and consumer food security.<sup>82</sup>

### 377 378 **Contributors**

379 All authors contributed to the study conceptualization and methods. JSS conducted the analysis and wrote  
380 and prepared the manuscript. All authors reviewed and revised the manuscript. JSS and DKC verified the  
381 data. All authors read and approved the final version of the manuscript. All authors had full access to study  
382 data and had final responsibility for the decision to submit for publication.

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## Data sharing

The code developed and used for this study will be made available through GitHub, with the DOI available upon publication.

## Declaration of interests

We declare no competing interests.

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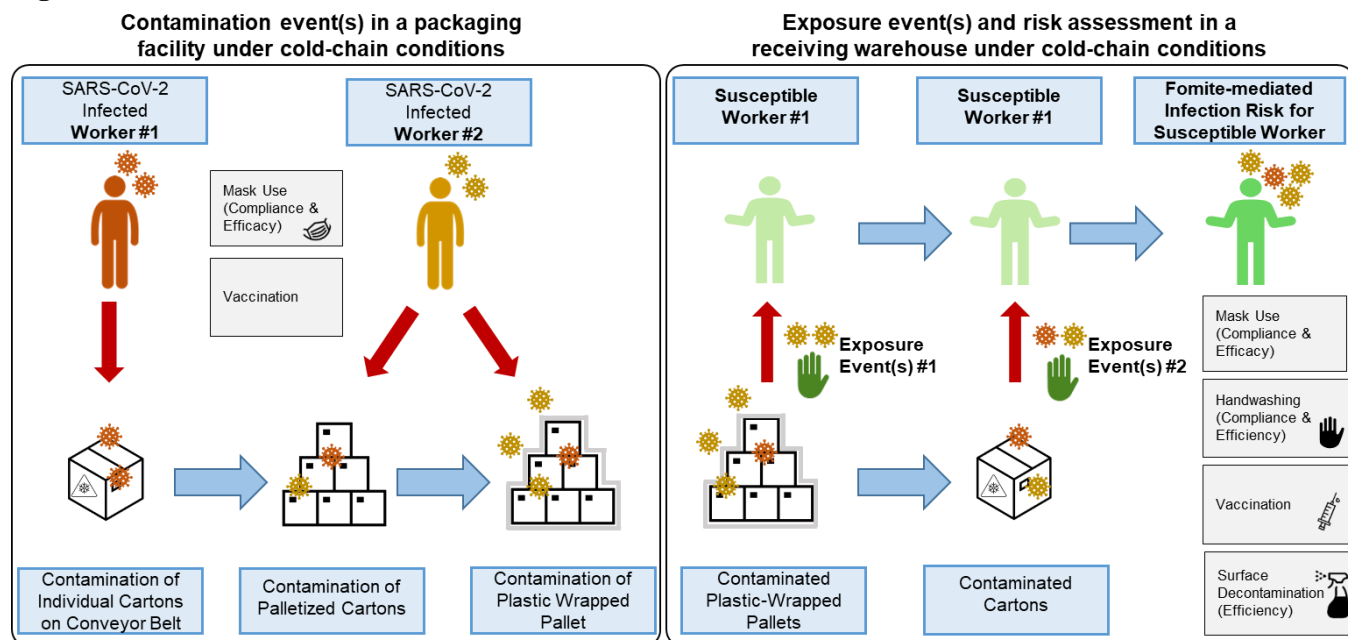
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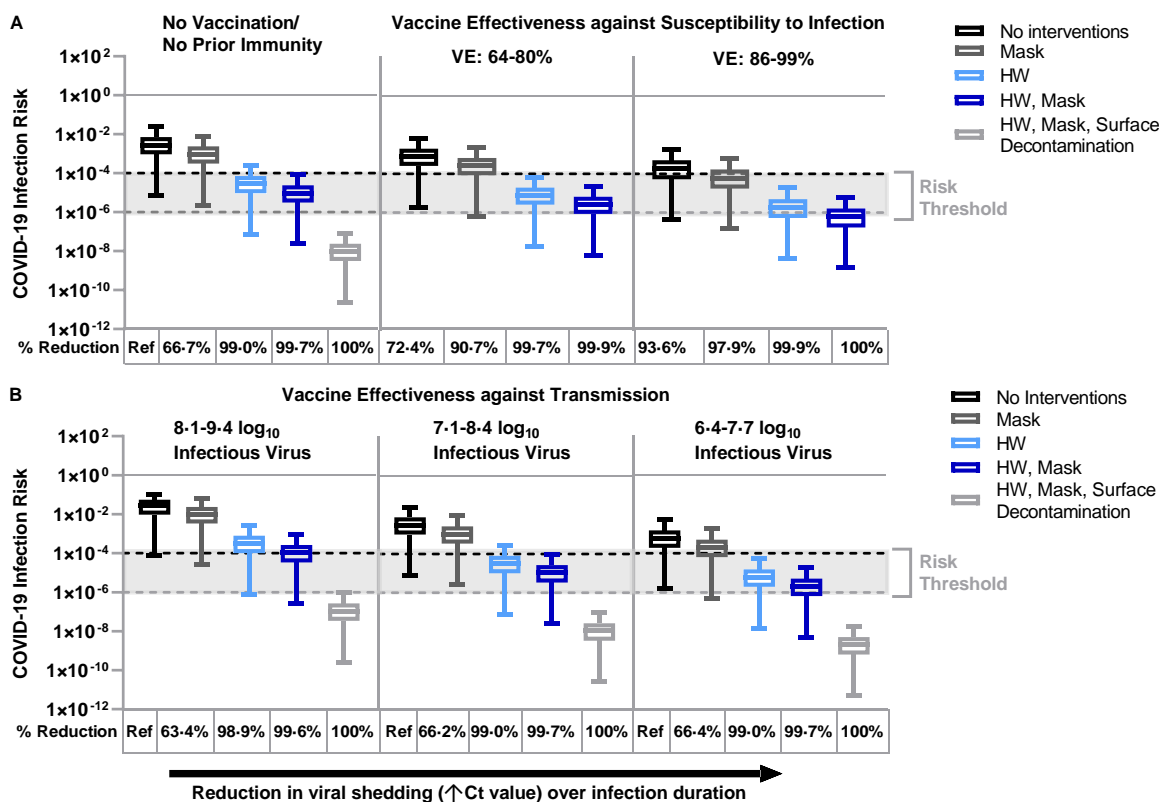
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## Figures and Tables



**Figure 1.** Conceptual framework for fomite-mediated SARS-CoV-2 transmission involving exposure of a susceptible worker to individual plastic cartons, palletized cartons, and plastic wrap in a receiving warehouse under cold-chain conditions. This schematic depicts a representative frozen food packaging facility, initiating with two infected workers (left panel). Up to 10 contamination events per infected worker (0 to 10 coughs) can occur at three stages in the packaging pipeline: 1) contamination of the top-face of individual plastic cartons (144-216 individual cartons processed per hour) via respiratory droplet and aerosol fallout from the first infected worker while cartons are transported along a conveyor belt (orange in schematic); 2) contamination of cartons via respiratory particle spray (droplets and aerosols) as cartons are placed (manually or via automation) on a pallet by the second infected worker (yellow in schematic); and 3) contamination of the plastic-wrapped palletized cartons by respiratory particle spray (droplet and aerosol) from the second infected worker (yellow in schematic). Four pallets, each containing approximately 36-54 individual plastic cartons, are processed per hour. Because of current Good Manufacturing Practices (cGMP), the model did not account for indirect transfer of virus from the infected workers' hands to the plastic fomites along the packaging pipeline. Under cold-chain conditions assuming no viral decay, plastic wrapped pallets were transported to a receiving warehouse for unloading by a susceptible worker. Infection risks resulting exclusively from fomite transmission were simulated as contacts between the susceptible worker's fingers and palms (of both hands) and the fomite surface (accounting for the surface area of the hand relative to the fomite surface); virus transfer from fomite to hands; and virus transfer from fingertips to facial mucous membranes (accounting for the surface area of the fingers relative to the combined surface area of the eyes, nose, and mouth). Grey boxes indicate infection control measures implemented for the infected (mask use, vaccination) and susceptible (handwashing, mask use, vaccination) workers. In the

scenarios with additional plastic surface decontamination, this was simulated prior to the susceptible worker contacting the fomites.



**Figure 2.** Fomite-mediated SARS-CoV-2 infection risks associated with individual and combined standard infection control measures (hourly handwashing [2 log<sub>10</sub> virus removal efficiency],<sup>58</sup> surgical mask use). Vaccination was incorporated into the model representing two doses of mRNA vaccine (Moderna/Pfizer) and was applied with and without the standard infection control measures. Additional decontamination of plastic packaging [3 log<sub>10</sub> virus removal efficiency]<sup>59</sup> was applied in combination with the standard infection control measures. Ventilation (two air changes per hour [ACH]) was applied to all simulations. An infectious to non-infectious particle ratio of 1:100<sup>41</sup> was applied to all viral shedding concentrations. Reductions in SARS-CoV-2 infection risk (%) to the susceptible worker relative to no interventions are reported below each panel. Panel A represents the impact of standard infection control measures with and without vaccination on fomite-mediated SARS-CoV-2 risk. For the first vaccination scenario, we assumed only the susceptible worker was vaccinated with two doses of mRNA vaccine (Moderna/Pfizer) and vaccine effectiveness (VE) against susceptibility to infection was simulated across three vaccination states. These included: 1) no vaccination/no prior immunity; 2) lower VE ranging from 64<sup>86</sup>-80%<sup>87</sup> representative of reduced protection (variants of concern, waning immunity, immunocompromised and elderly or at-risk populations); and 3) optimal VE ranging from 86%<sup>88,89</sup>-99<sup>90</sup>% among healthy adults 14 days or more after second mRNA dose. Panel B: the second vaccine scenario represented vaccine effectiveness against transmission, where all workers are assumed to be vaccinated with two doses of mRNA vaccines and hence the model simulated rare breakthrough infections. Vaccine effectiveness against transmission (VET) was modeled by applying the combined effect of the reduction in risk of infection to the susceptible worker and the risk of transmissibility given a rare breakthrough infection among the vaccinated workers. We used the VET estimate (88.5% [95% CI: 82.3%, 94.8%]) derived from Prunas *et al.*,<sup>62</sup> VET was modeled across a



range of three peak infectious viral shedding concentrations representative of possible increased transmissibility and/or infectiousness of variants of concern: 1)  $8 \cdot 1 \cdot 9 \cdot 4 \log_{10}$  viral particles; 2)  $7 \cdot 1 \cdot 8 \cdot 4 \log_{10}$  viral particles; and 3)  $6 \cdot 4 \cdot 7 \cdot 7 \log_{10}$  viral particles. These viral shedding levels represent 100-, 10-, and 2-times, respectively, the increased viral shedding concentration simulated in the base model analysis. Dashed lines represent 1:10,000 (black) and 1:1,000,000 (grey) infection risk thresholds, derived from WHO and U.S. EPA guidelines for drinking water quality.<sup>60,61</sup>