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Evidences of inner Se ordering in topological insulator PbBi₂Te₄-PbBi₂Se₄-PbSb₂Se₄ solid solutions

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In topological insulators (TIs), carriers originating from non-stoichiometric defects hamper bulk insulation. In (Bi,Sb)₂(Te,Se)₃ TIs (BSTS TIs), however, Se atoms strongly prefer specific atomic sites in the crystal structure (Se ordering), and this ordering structure suppresses the formation of point defects and contributes to bulk insulation. It has accelerated the understanding of TIs' surface electron properties and device application. In this study, we select Pb(Bi,Sb)₂(Te,Se)₄ (Pb-BSTS) TIs, which are reported to have larger bandgap compared to counterpart compound BSTS TIs. The Se ordering geometry was investigated by combining state-of-the-art scanning transmission electron microscopy and powder X-ray diffractometry. We demonstrated the existence of inner Se ordering in PbBi₂(Te,Se)₄ and also in Pb-BSTS TIs. Quantitative analysis of Se ordering and a qualitative view of atomic nonstoichiometry such as point defects are also presented. Pb-BSTS TIs' Se ordering structure and their large gap nature has the great potential to achieve more bulk insulation than conventional BSTS TIs.

In 1980, the Quantum Hall Effect (QHE) was first discovered by Klitzing *et al.*¹. In the QHE system, the peculiar topology of band structure yields boundary states called skipping edge states in which backscattering is completely prohibited². Since then, the importance of the band structure topology has come to be recognized^{3,4}. The drawback of the QHE system is that strong magnetic field is required to generate such special states, which hampers its device application. However in 2005, the existence of such boundary states, even in zero magnetic field, was theoretically predicted⁵. This was confirmed experimentally by transport analyses and angle-resolved photoemission spectroscopy (ARPES) studies soon after its prediction^{6–8}. This first developed material group possessing such boundary states is called topological insulators (TIs)^{9,10}. The TIs' surface states are an extension of 1D edge skipping electron in QHE², and the surface states have exotic properties such as suppression of backs-cattering^{11,12} and spin polarized nature¹³. By using the spin polarized current¹⁴, spintronics devices with considerably lower power consumption can be obtained¹⁵. Intensive efforts for application have been made both from theoretically¹⁶ and experimentally¹⁵. In particular, Mellnik *et al.*¹⁵ succeeded in exerting a strong spin-transfer torque from the TI layer to an adjacent ferromagnetic layer, and changing the direction of magnetization in the ferromagnetic layer. This mechanism can be utilized in ultralow power logic technologies¹⁷ in next generation.

In the TIs field, tetradymite-type $Bi_2(Te,Se)_3$ (BTS TIs) and $(Bi,Sb)_2(Te,Se)_3$ TI (BSTS TIs) have often been used for detecting and utilizing specific surface states¹⁸⁻²¹. In these materials, Se atoms predominantly occupy the center site of a quintuple(5)-layer structure (Se ordering)²², and are believed to suppress the formation of antisite defects²¹. The mechanism is as follows²¹: (i) the concentration of Se vacancy is reduced because the Se trapped between two Bi atoms is less exposed to evaporation due to stronger chemical bonding with Bi; (ii) antisite defects between Te and Bi is expected to be suppressed because of preferable bonding between Se-Bi compared to Se-Te bonding; (iii)ordered nature minimizes the additional disorder that could be caused by Se/Te randomness. In addition to Se ordering, chemical potential tuning by changing Bi/Sb ratio makes it possible to enhance the BSTSs' bulk insulation to more than 1000 times higher than the non-doped sample^{20,21}. These efforts allow one to verify the 2D nature of surface states, and to detect its π Berry phase²³ and other important properties such as mobility by transport measurements^{18,20,21,24}. However, even in such ideal samples, the utilization and detection of surface states remain a bit difficult for now. Therefore, many research groups search for new materials that have ideal properties, and as such Pb-based TIs (Pb-TIs) were rediscovered in the context of solid-state physics.

 $Pb(Bi,Sb)_2Te_4$ is one of the Pb-TIs. It was theoretically predicted as TI in 2011^{25,26}, and experimentally verified in March²⁷ and May²⁸ of 2012 by two different groups, which demonstrates the intense competition in this field.

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Figure 1. Possible Se geometry in $PbBi_2(Te,Se)_4$: (a) disordered Se occupancy; (b) outer Se ordering; and (c) inner Se ordering. Se atoms are emphasized as a green sphere. Se atoms occupy the outer Te/Se(1) sites or inner Te/Se(2) ones.

Kuroda *et al.*²⁸ showed that this material has more than twice larger density of surface electron than BSTS TIs when compared at the same Fermi energy from the Dirac point. This property makes Pb-TIs suitable candidates for spintronics research and application. Also, it is reported that this material belongs to a peculiar topological class $(v_0; v_1v_2v_3) = (1; 111)^{25,28}$, while most of the other TIs like BSTS have $(1;000)^{29}$. These nonzero indices originate from band inversion at the Z point; TIs with such indices are predicted to possibly have gapless dislocation states^{30,31}. In the study by Souma *et al.*²⁷, it was shown that the chemical potential of Pb(Bi,Sb)₂Te₄ can be tuned between the bulk band gap, and that it is always a strong TI. In response to these studies, the authors synthesized various Sb-concentration samples with fine Sb tuning, and succeeded in enhancing the bulk insulation approximately 400 times higher than non-doped one^{32,33}. Its remaining bulk conduction was considered to come from impurity bands, and the magnetoresistance was quite well consistent with 3D-weak antilocalization scheme. Therefore, there should be random potential possibly coming from point defects and also local band-bending should occur. In such circumstances, an effective band gap E_{eff} which is the activation energy in transport measurements, will become smaller^{34,35}. The theoretical study has revealed E_{eff} will become $E_{eff} = 0.15\Delta E_g$ in ordinal case³⁴, which is consistent with activated energy in transport measurements^{20,21,32}. To suppress the formation of such point defects and local band-bending, we began to focus on the Se ordering structure.

The substitution effect of Te by Se atoms in PbBi₂Te₄ was studied by some groups³⁶⁻³⁸. This material was first investigated in the 1970s as a natural mineral "poubaite"³⁶, and it was found that its crystal structure is the same as that of PbBi₂Te₄³⁶. However, detailed crystal structure, such as site occupancy and the Se ordering structure, was not determined due to tiny crystal size (approximately 30 μ m). After theoretical prediction as TI, the nanosheet of PbBi₂Se₄ was synthesized by Chatterjee *et al.*³⁷ although the bulk sample of PbBi₂Se₄ with the crystal structure of *R*3 is unstable³⁹. The observed band gap is 600 meV, which is considerably higher than that of counterpart compound Bi₂Se₃ (300 meV). As for theoretical research, the effect of the Se distribution geometry in PbBi₂(Te,Se)₄ was studied in 2017³⁸. It was reported that PbBi₂(Te,Se)₄ (Pb-BTS) can take 3 different Se geometry structures (Fig. 1a–c): (a) disordered; (b) outer Se ordering; and (c) inner Se ordering. They showed that the electronic structure strongly depends on Se atom geometry, and that the maximum band gap can be obtained in geometry (c). Considering the large bandgap^{37,38} and the defect suppressing nature of Se ordering²¹, it is expected that significantly more bulk insulation samples can be obtained if the inner Se ordering geometry really occurs in Pb-TIs. The bulk insulation than BSTS TIs.

In this study, by combining state-of-the-art scanning transmission electron microscopy (STEM) and powder X-ray diffractometry (pXRD), we studied the Se distribution geometry in PbBi₂(Te,Se)₄. In the STEM study, we directly observed the inner Se ordering in PbBi₂(Te,Se)₄ (Pb-BTS) TI. The pXRD study also revealed that the strong tendency of Se atoms to occupy the inner site, and 90–100% of Se atoms are considered to occupy inner Te/Se(2) site. Also, we discovered that this ordering also occurs in Pb(Bi,Sb)₂(Te,Se)₄ (Pb-BSTS), which enables the bulk insulation by Fermi level tuning. A qualitative view of atomic non-stoichiometry such as point defects



Figure 2. EPMA Pb mapping of (**a**) PbBi₂(Te_{1-y}Se_y)₄ alloy system (y = 0.5); and (**b**) Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄ (x = 0.8, y = 0.5) system. The colormap is normalized for each picture. The length of black bar in all pictures is 200 μ m. The region of the target compound Pb(Bi,Sb)₂(Te,Se)₄ is indicated by blue arrows.

are also presented. These findings pave the pathway to develop a new TI material group possessing more bulk insulation than that of the conventional BSTS TIs.

Results and Discussions

Phase constitution of PbBi₂(Te_{1-y}Se_y)₄ and Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄. The phase constitution and composition of the grown crystals were investigated by an electron probe microanalyzer (EPMA). In Fig. 2a, EPMA mapping of Pb-BTS (y = 0.5) is presented. Detailed information of characteristic X-ray is presented in the Supplementary Information. Phase PbBi₂(Te_{1-y}Se_y)₄ (phase A) is indicated by blue arrows, and a detailed composition is listed in the Supplementary Information (S1). Phase A is always with other impurity phases Pb₅Bi₆(Te,Se)₁₄ and PbTe. That is possibly due to complex phase diagram in PbSe-Bi₂Se₃ series compound, which includes several peritectic reactions³⁹. In our synthesized rod, Pb₅Bi₆(Te,Se)₁₄ seems to be originating from a peritectic reaction of PbTe + melt \rightarrow Pb₅Bi₆(Te,Se)₁₄. Contrastingly, Fig. 2b shows the EPMA-Pb mapping of Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄ sample (x = 0.8, y = 0.5). The phase distribution completely changes compared to Pb-BTS, and its microstructure is similar to that observed in Pb(Bi_{1-x}Sb_x)₂Te₄ (x = 0.6-0.9) Bridgman rod³². Single phase of Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄ (phase A') can be easily picked out, which is good condition for the transport study both for bulk samples and nano exfoliated samples.

STEM observations. A cross-sectional high-angle annular dark field (HAADF) - STEM image of $PbBi_2(Te_{1-y}Se_y)_4$ (y = 0.5) along [100] direction together with intensity profile is presented in Fig. 3a. This image is a drift corrected image using in-house software⁴⁰. The unique septuple (7) layer structures are clearly observed and the thickness of one unit of a septuple layer is estimated to be 1.38 nm, which is in quite good agreement with the previous XRD crystal structure analyses results³⁸. The intensity of the HAADF image is assumed to be proportional to Z^2 in an ordinal condition^{41,42}. From the intensity profile below, we can clearly see the inner Te/Se sites (Te/Se(2)) have weaker intensity than the outer Te/Se(1) sites. As the atomic number of Se (Z = 34) is less than Te (Z = 52), it is safe to assume that Se atoms mainly occupy the inner Te/Se(2) sites.

In Fig. 3b, the annular bright-field (ABF)-STEM image of the same sample is presented. This image is processed by Richardson-Lucy software⁴³ for resolution enhancement and noise reduction. The validity of denoising process is examined in the Supplementary Information, in which we compare deconvoluted image with raw image (S2). Briefly, ABF and HAADF-STEM images are produced by the convolution of scattering function of atomic column and the probe intensity profile of STEM system under the incoherent imaging approximation⁴¹. Therefore, to enhance the resolution of STEM image, the algorithm using deconvolution process, such as Richardson-Lucy (RL) deconvolution, is a powerful tool. In fact, Ishizuka *et al.*⁴⁴ utilized these algorithms to enhance the spatial resolution in HAADF and energy resolution in EELS. We also tried the ABF-STEM image simulation (Fig. 3c) with a somewhat extreme condition: Te/Se(1): 100%Te, Te/Se(2): 100% Se. The simulated image is quite similar to the experimental one; thus the occupancy of the inner Te/Se(2) sites might be near 100% Se. We also admit that it is somewhat risky to determine site occupancy only from this image because of the background noise coming from the dechanneling effect; therefore, quantitative analysis on site occupancy was conducted by pXRD analyses and is discussed later.

Other than HAADF and ABF-STEM, recent breakthrough of STEM-EELS has led to finding ideal experimental conditions to suppress delocalization of probe electrons^{45,46}, and atomic-resolution chemical mapping and analyses of atoms' electron state have become possible⁴⁵. Figure 3d–f were taken in the same area simultaneously, and they are HAADF-image (Fig. 3d), EELS chemical mapping of Te $M_{4,5}$ edge (572 eV, Fig. 3e), and Se L_2 , L_3 edges (1436 eV, Fig. 3f). The black arrows in Fig. 3d are single septuple layer. The Te intensity between septuple layer, that is Te/Se(1) sites, is high (Fig. 3e). Correspondingly, the Se intensity in the septuple layer (Te/Se(2)) is high (Fig. 3f). These facts also strongly suggest the inner Se ordering. Therefore, from all of the experimental





results of HAADF, ABF-STEM, and STEM-EELS, we can conclude that the inner Se ordering actually occurs among three possible Se geometries³⁸.

In addition to Se ordering, some information about local non-stoichiometry can be deduced from the STEM observation. In the previous paragraph, we showed that inner Se ordering occurs and Se occupancy in the Se layer might be nearly 100%, but this is an average structure. When we pay attention to the local structure, this outlook should be slightly altered. Figure 3g is taken in the same TEM sample of 3a. This image is also processed by RL software (for the validity, see the Supplementary Information S2). Although the intensity of Te, Bi, and Pb sites hardly changes in the image (see the equivalent peak intensity on the green line of Fig. 3h), there is an intensity fluctuation in the Se(2) layer (Fig. 3h). In some points, high intensity compatible to the outer Te layer is observed (row 4, 5 red). Also, they appear to form pairs with low intensity columns (row 4,5 blue) across the middle Pb sites (green arrow). Such intensity fluctuation might come from atomic level local non-stoichiometry. Such local non-stoichiometry cannot be measured by conventional XRD analyses, which yield the average structure. STM measurements also give information only about outermost layer of exfoliation layer. However, recent STEM technique allows one to obtain the information of atomic level local non-stoichiometry deep under topmost cleavage plane. For example, in the compound BAs, STEM study revealed that As_B and B_{As} form an antisite pair locally⁴⁷ and proposed as the reason of low thermal Conductivity of this material. As for the local non-stoichiometry in Fig. 3g, the Te_{se} & Se_{Te} antisite pair⁴⁸ and the V_{Se} & Bi_{se} antisite⁴⁹ pair might be the main candidates. In BSTS TIs, the Te_{Se} and Se_{Te} antisites defects are shown to be quite stable under almost all growth conditions⁴⁸. The $V_{Se} \& Bi_{Se}$ antisite pair is actually observed in Bi₂Se₃ by STEM observation⁴⁹. In any case, such local non-stoichiometry is considered to cause local band-bending^{34,35} and charge puddles are generated^{35,50}. More study is needed to elucidate such point defects nature, which is the key factor to enhance bulk insulation in TIs and understand its nature.

Quantitative analyses of Se occupancy by pXRD. The quantitative study of Se occupancy of Te/Se(1) and Te/Se(2) sites by pXRD is presented in this section. In pXRD measurements, a small DS slit angle was used to accurately evaluate the pXRD intensity in the lower 2 θ angle. The pXRD simulations were conducted using RIETAN-FP⁵¹. In Fig. 4a, the simulated pXRD data of three possible Se geometry: (1) disordered Se; (2) outer Se ordering; and (3) inner Se ordering are presented with experimental data. It should be noted here that we have



Figure 4. (a) The simulated pXRD patterns of 3 different Se geometries and the experimental pXRD data of $PbBi_2(Te_{1,y}Se_y)_4$ (y = 0.5); (b) pXRD simulation patterns with different Se ordering degree are presented with experimental data of $PbBi_2(Te_{1,y}Se_y)_4$ (y = 0.5); (c) pXRD simulation patterns of different Se ordering in $Pb(Bi_{1,y}Se_y)_4$ (x = 0.8, y = 0.5) are presented with experimental data.

a strong preferred orientation (PO) in our powder sample due to van der Waals cleavage nature of $PbBi_2(Te,Se)_4$ in parallel with (00h) plane. This inhibits a simple comparison of the experimental data with the simulated ones. Nevertheless, we should be allowed to make such comparison if we focus on a series of 00h peaks, because they should hold correct intensity ratios even if the PO effect is strong. In the simulated data, we can see that the intensity ratio of 003 and 006 strongly depends on the Se geometries. This originates from layer stacking order in the [001] direction and can be used for the geometry determination. Other factors that can affect the intensity ratio of 00h reflections are investigated in the Supplementary Information S3, and they are shown to give much less contribution than Se geometry. In the experimental data, we notice the trace of an impurity phase, which is identified as phase $Pb_5Bi_6(Te,Se)_{14}$. However, if we compare 00h reflections of PbBi₂(Te,Se)₄, then the experimental data most fit the inner Se ordering simulation, which is consistent with earlier STEM results. In order to investigate Se occupancy quantitatively, we then set the occupancy of the Te/Se(2) sites as (i) Se 100%; (ii) Se 90% Te10%; and (iii) Se 80% Te 20%. Correspondingly, the occupancy of Te/Se(1) sites is (i) Te100%; (ii) Te90% Se10%; and (iii) Te80% Se20%, so that atomic ratio of Te and Se is 1:1 in total. The calculated patterns are presented in Fig. 4b. In the 80% ordering pattern, the intensities of 003 and 006 are almost same, but the experimental data are not. The value of I_{006}/I_{003} is used for determining the Se occupancy accurately and the Te/Se(2) sites are considered to be approximately 95% occupied by Se atoms (inset in Fig. 4b). This value is in agreement with our ABF-STEM image simulation result. Also, the degree of Se ordering is in the same range of that reported in BSTS system⁵². As the Fermi level of PbBi₂(Te,Se)₄ is reported to cross the bulk conduction band³⁸ and above the bandgap, lowering the Fermi level by Sb doping is needed to achieve bulk insulation. In the last section, Se ordering in Pb(Bi,Sb)₂(Te,Se)₄, which has a large bulk bandgap and high potential for true bulk insulation, is investigated from the pXRD analysis.

In Fig. 4c, the pXRD data of Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄ (x = 0.8, y = 0.5) and the simulated pXRD data are presented. In the case of Pb-BSTS alloy, there is single phase region of target compound in Bridgman batch, so it is possible to single out only Pb-BSTS phase. Among the three possible Se geometries, the experimental data most fit the inner Se ordering and are completely distinct from others. Lastly, we would like to discuss the physical origin of the inner Se ordering. In general, atoms with high electronegativity (EN) tend to make chemical bonds with atoms with low EN, because it is energetically favorable⁵³. In PbBi₂(Te,Se)₄, Se has the highest EN (=2.4). The EN of Te (2.1) is lower than that of Se, and those of Pb (1.8) and Bi (1.9) are even lower. In the layer structure shown in Fig. 1, the site of Te/Se (2) has three bonds with Pb and three bonds with Bi while the site of Te/Se (1) has three bonds with Bi and three bonds with Te/Se. Then, when Se and Te separately occupy the sites (2) and (1), respectively, i.e., in the inner Se ordering, the total energy should be the lowest. As the EN of Sb (1.8) is almost the same as that of Bi, Sb doping should not break the inner Se ordering. This material has the largest bandgap in the inner Se geometry³⁸, and the Se ordering structure is considered to suppress the formation of antisite defects²¹. Therefore, if we can finely tune the chemical potential, truly bulk insulating samples, which is ideal for surface states utilization for spintronics should be obtained.

Conclusion

In this study, by combining state-of-the-art Scanning Transmission Electron Microscopy (STEM) and powder-XRD (pXRD) analysis, we studied the Se atoms distribution in Pb(Bi,Sb)₂(Te,Se)₄ TIs. In the STEM study, we directly observed the inner Se ordering of PbBi₂(Te,Se)₄ TI. The pXRD study revealed the strong tendency of Se atoms to occupy the inner site, and that quantitatively nearly 95% of Se atoms occupy the inner Te/Se(2) site. A qualitative view of local non-stoichiometry such as point defects is also presented from STEM observations. Additionally, we found that this ordering also occurs in Pb(Bi,Sb)₂(Te,Se)₄, which enables the bulk insulation by Fermi level tuning changing Bi/Sb ratio. These findings will pave the pathway to realize more bulk insulation in Pb-BSTS TIs than BSTS TIs.

Method

Synthesis. As described in earlier report³², PbBi₂(Te_{1-y}Se_y)₄ and Pb(Bi_{1-x}Sb_x)₂(Te_{1-y}Se_y)₄ crystals were grown using the Bridgman method. First, a mixture of high- purity (6N) Pb, Bi, Sb, Te and Se elements, in the stoichiometric molar ratio, was sealed in an evacuated quartz ampoule. Then, it was melted and homogenized at 800 °C, followed by water-quenching. Subsequently, the ingots were subjected to the Bridgman method for crystal growth. The ampoule translation speed was set at 2–2.5 mm/h. The temperature gradient in the furnace was approximately 25 °C/cm around the position of the liquidus temperature.

Specimen preparation and STEM observation. To investigate the Se distribution, TEM samples of the PbBi₂(Te_{1-y}Se_y)₄ (y = 0.5) for [100] cross-sectional observation were prepared using conventional methods including mechanical polishing, dimple grinding and Ar ion polishing. STEM observation was performed using a 300-kV aberration-corrected electron microscope (Thermo Fisher Scientific, Titan³).

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Author contributions

Y.H. designed the study and conducted all the experiments, including sample preparation, X-ray and STEM measurements. Y.T. assisted in designing the experiments. K.K. assisted with the STEM measurements. K.E. supervised the research and contributed in the interpretation of the data. Y.H. wrote the initial manuscript. All the authors discussed the results and reviewed the manuscript.

Competing interests

The authors declare no competing interests.

Additional information

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