ORIGINAL RESEARCH



# Effective Analysis of Antiviral Treatment in Patients with HBeAg-Seropositive Chronic Hepatitis B with ALT < 2 Upper Limits of Normal: A Multi-center Retrospective Cohort Study

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## ABSTRACT

*Introduction*: Although the indications for antiviral therapy for patients with chronic hepatitis B have been gradually expanded in different guidelines, antiviral treatment efficacy remains unclear among HBeAg-seropositive patients with alanine aminotransferase (ALT) < 2 upper limits of normal (ULN). This study aimed to evaluate the efficacy of antiviral therapy for these patients.

*Methods*: In total, 102 treatment-naive patients who were HBeAg seropositive with ALT < 2 ULN and had received nucleotide analogs were included, and their clinical data were retrospectively analyzed.

*Results*: After 96-week treatment, 84.3% (n = 86), 26.5% (n = 27) and 20.6% (n = 21) patients achieved virological response, HBeAg

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Department of Infectious Diseases, Shunde Hospital, Southern Medical University, Foshan, China seroclearance and HBeAg seroconversion, Logistic regression respectively. analysis revealed that baseline AST (odds ratio [OR] = 1.069. 95% confidence interval [CI] 1.014–1.127, p = 0.014), serum HBV DNA (OR = 0.540, 95% CI 0.309-0.946, p = 0.031)and quantitative HBsAg levels (OR = 0.147, 95%CI 0.036–0.597, p = 0.007) were independent factors for virological response. At baseline, HBsAg  $< 4.63 \log_{10}$  IU/ml was identified as a strong predictor for the 96-week virological response, with a concordance rate of 0.902. Moreover, the levels of liver stiffness values  $(8.30 \pm 3.86 \text{ vs.} 6.17 \pm 1.91, p < 0.001)$  at week 96 had significantly declined compared to baseline.

**Conclusion**: Nucleotide analog treatment effectively suppressed HBV DNA in patients with HBeAg-seropositive chronic hepatitis B with ALT <  $2 \times$  ULN and greatly improved liver fibrosis. The study also found that HBsAg < 4.63 log<sub>10</sub> IU/ml was a strong predictor of the virological response.

**Keywords:** Chronic hepatitis B; Antiviral therapy; Normal alanine aminotransferase; Virology response; Liver fibrosis

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#### **Key Summary Points**

#### Why carry out this study?

Antiviral treatment efficacy remains unclear among patients who are HBeAg-seropositive with ALT < 2 ULN.

This study analyzed the efficacy of antiviral therapy for these patients and found independent factors related to treatment.

#### What was learned from the study?

This article demonstrates that NA therapy is effective in suppressing HBV DNA and greatly improves liver fibrosis.

It also shows that ALT levels do not affect the effectiveness of antiviral treatment and HBsAg < 4.63 log10 IU/ml was a strong predictor of the virological response.

## INTRODUCTION

Hepatitis B virus (HBV) infection is a global public health concern. Approximately 2 billion people worldwide have been infected with HBV, of which 240 million are chronically infected [1]. According to the 2017 WHO report, 325 million people worldwide are living with hepatitis B or C [2]. HBV infection contributes to 880,000 deaths every year [3]. The majority of previous studies were concerned with antiviral therapies in patients with alanine aminotransferase (ALT) more than two times the upper limit of normal (ULN) and have shown good anti-viral efficacy [4, 5]. In these patients, the higher the levels of ALT are, the better effect of antiviral therapy. Specifically, the seroconversion rates of HBeAg are higher in patients with ALT > 5  $\times$  ULN than in patients with ALT  $\ge$  2 and  $< 5 \times$  ULN [6]. However, in patients with  $ALT < 2 \times ULN$ , the initiation of antiviral therapy relies heavily on confirmation of

moderate to severe necrotizing inflammation or fibrosis by using liver biopsy or FibroScan. Nevertheless, the invasiveness and expense of liver biopsy limit its clinical application. Similarly, non-invasive FibroScan often lacks accuracy, and this test is unavailable in some hospitals. There are also studies suggesting slow disease progression in patients with ALT < 2  $\times$ ULN [7]. As a result, the number of patients with  $ALT < 2 \times ULN$  who need treatment is underestimated. Notably, studies have shown that patients with persistent normal levels of ALT may progress to cirrhosis and hepatocellular carcinoma (HCC), while effective treatment can reduce the incidence of disease progression [8].

Recent studies have shown that antiviral therapy may benefit patients with ALT  $< 2 \times$ ULN; patients can achieve virological response and improvement in liver fibrosis [9-11]. However, the criteria for antiviral therapy for patients with  $ALT < 2 \times ULN$  are inconsistent among different guidelines, including the Asian Pacific Association for the Study of the Liver (APASL), European Association for the Study of the Liver (EASL) and American Association for the Study of Liver Diseases (AASLD) [12]. When and whether indications of treatment for HBeAg-seropositive chronic hepatitis B (CHB) should be expanded remain controversial. Research has so far proven insufficient data to answer the question. This study aimed to retrospectively analyze the effects of antiviral therapy in real-world patients with HBV infection and persistent ALT  $< 2 \times$  ULN.

## **METHODS**

### **Patient Population**

Patients with chronic HBV infection attending hospital outpatient clinics (general specialists or liver specialists) without distinction were included from Nanfang Hospital of Southern Medical University in Guangzhou, China, and Shunde Hospital of Southern Medical University in Foshan, China. Retrospective data were collected from December 2008 to February 2022. The study was approved by the Institutional Ethics Committee of Nanfang Hospital (study identifier: NFEC-2020-290) and Shunde Hospital (study identifier: 20211129), and the study protocol was performed in accordance with the Helsinki Declaration of 1964 and its later amendments. Details from all patients' medical records were published anonymously.

# Inclusion Criteria and Exclusion Criteria and Study Design

The inclusion criteria were as follows: (1) patients were HBsAg positive for > 6 months, HBeAg seropositive at the screening period and HBV DNA  $\geq$  2000 IU/ml; (2) within 6 months prior to treatment baseline, the levels of ALT were  $< 2 \times$  ULN with an interval of > 14 days: (3) patients were treated with entecavir (ETV), tenofovir disoproxil (TDF) or tenofovir alafenamide (TAF) monotherapy; (4) baseline data within 3 months prior to treatment were available. The exclusion criteria were as follows: (1) previously treated with nucleoside or nucleotide analog (NA) therapy or interferon therapy; (2) pregnancy; (3) ultrasound or radiological examination revealing suspicious nodules or AFP > 50 ng/ml; (4) clinical symptoms of decompensated liver disease before treatment; (5) patients co-infected with hepatitis A virus (HAV), hepatitis C virus (HCV), hepatitis E virus (HEV) or human immunodeficiency virus (HIV) and patients with autoimmune diseases (including autoimmune liver disease). The specific data collection procedures were as follows: baseline. 12 weeks. 24 weeks. 36 weeks. 48 weeks, 60 weeks, 72 weeks, 84 weeks and 96 weeks. All planned visits have a  $\pm$  7-day visit window. The virological response (VR) was defined as the HBV DNA level below the detection limit (20 IU/ml). The HBeAg seroclearance was defined as a HBeAg level below the detection limit with or without HBeAg seroconversion (1 S/CO). The HBeAg seroconversion was defined as loss of HBeAg and appearance of HBeAb.

## **Biochemical Tests**

Clinical and laboratory data were gathered from outpatient medical records. Data were collected using the following biochemical tests and guidelines: (1) serum HBV DNA (Roche COBAS TaqMan version 2.0—detection limit 20 IU/ml) [13], with the lower limit of detection defined as 20 IU/ml; (2) HBV markers: HBeAg was quantified by enzyme immunoassay (Alisei Quality System; RADIM, Rome, Italy), with the lower limit of detection being 1 S/CO. HBsAg quantification was assessed using Elecsys HBsAg II (Roche Diagnostics, Germany), and the lower limit of detection was 0.05 IU/ml.

## Liver Stiffness Measurement

Liver stiffness measurement (LSM) was performed using the FibroScan system (Echosens, Paris, France) as a non-invasive method to estimate the severity of liver fibrosis. The range of results is expressed between 2.5 and 75 kPa. According to the LSM, FO–F4 were defined as: < 7.3 kPa for no/mild fibrosis (F0/F1),  $\geq$  7.3 and < 9.7 kPa for moderate fibrosis (F2),  $\geq$  9.7 and < 12.4 kPa for severe fibrosis (F3) and  $\geq$  12.4 kPa for cirrhosis (F4) [14].

## **Statistical Analysis**

Quantitative variables were expressed as mean  $\pm$  standard deviation (SD), and continuous variables were analyzed using the Student ttest. The VR time was calculated from the start of NA treatment to the first date when HBV DNA was not detected. Logistic regression analysis was used to explore the influencing factors of VR and HBeAg seroclearance. Odds ratio (OR) and 95% confidence intervals (95% CI) were calculated to assess relative risk and confidence intervals. p < 0.05 was considered significant. **Receiver-operating** statistically characteristic (ROC) curves were created to assess the diagnostic power of various serum biomarkers. Moreover, the above statistical

analyses were performed in strict accordance with the statistical analysis methods using GraphPad Prism (version 8.0, GraphPad software) and the Statistical Package for Social Sciences (SPSS) (version 25.0).

## RESULTS

### **Baseline Characteristics of Patients**

Of the initial 268 patients who were HBeAgseropositive who met the inclusion criteria, 166 patients were excluded after screening for previous received therapy (n = 37), decompensated liver cirrhosis (n = 19), hepatocellular carcinoma (HCC) (n = 28), pregnancy (n = 26), coinfected with HAV, HCV, HEV, HIV and autoimmune diseases (n = 18) as well as incomplete data (n = 38). The flowchart is shown in Fig. 1. Finally, 102 patients who were HBeAg seropositive and had completed the 96-week treatment were included for analysis in this study.

The baseline characteristics of the study population are summarized in Table 1. Of all patients, 62.7% (n = 64) were men, and the age ranged from 18 to 62 years. There were 40, 49 and 13 patients using ETV, TDF and TAF, respectively. The mean baseline levels of ALT, HBV DNA and HBsAg were  $52.69 \pm 24.91$  U/l,  $6.53 \pm 1.72 \log_{10}$  IU/ml and  $3.67 \pm 0.87 \log_{10}$  IU/ml, respectively. At baseline, 51.94% (n = 40), 18.2% (n = 14), 18.2% (n = 14) and 11.7% (n = 9) patients were in stage FO/F1, F2, F3 and F4 of liver stiffness assessment, respectively.

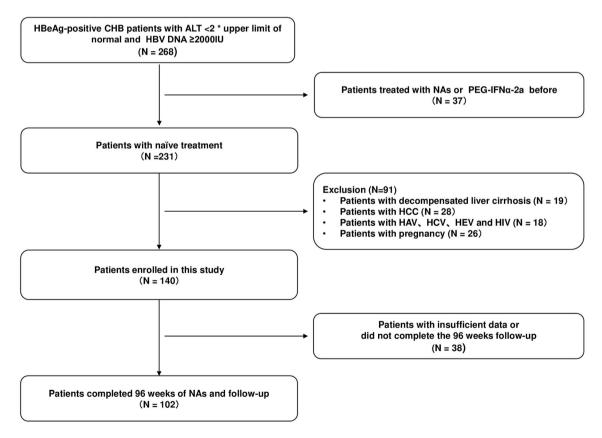


Fig. 1 Flow chart of patient selection. *CHB* chronic hepatitis B, *ALT* alanine aminotransferase, *HBeAg* hepatitis B e antigen, *HCC* hepatocellular carcinoma, *HAV* hepatitis A virus, *HCV* hepatitis C virus

Table 1 Clinical characteristics of patients in this study

Characteristics	Total
Age (years)	35.28 ± 9.73
Male, <i>n</i> (%)	64 (62.7%)
ETV/TDF/TAF, n	40/49/13
ALT (U/l)	$52.69 \pm 24.91$
AST (U/l)	$43.97 \pm 23.86$
ALB (g/l)	$44.67 \pm 5.20$
TBIL (µmol/l)	$12.49 \pm 5.67$
DBIL (µmol/l)	$4.34 \pm 1.90$
HBV DNA $(\log_{10} IU/$	$6.53 \pm 1.72$
ml)	
qHBsAg (log <sub>10</sub> IU/ml)	$3.67 \pm 0.87$
HBeAg (COI)	$737.51 \pm 637.49$
Fibroscan (kPa)	$8.30 \pm 3.86$
F1/F2/F3/F4	51.95%/18.18%/18.18%/ 11.69%

Continuous variable are expressed as the mean  $\pm$  SD *ETV* entecavir, *TDF* tenofovir disoproxil fumarate, *TAF* tenofovir alafenamide, *ALT* alanine aminotransferase, *AST* aspartate aminotransferase, *ALB* albumin, *TBIL* total bilirubin, *DBIL* direct bilirubin, *HBsAg* hepatitis B surface antige, *HBeAg* hepatitis B envelope antigen

## Clinical Outcomes of Patients During NA Treatment

After 96-week treatment, 86 patients (84.3%) achieved virological response, 27 (26.5%) gained serum HBeAg seroclearance, and 21 (20.6%) achieved HBeAg seroconversion, respectively. No patient showed HBsAg loss. Furthermore, there was no significant difference between patients with normal or mildly elevated ALT during the treatment (normal ALT < 40U/l; 40 U/l ≤ mildly elevated ALT < 80 U/l, Fig. 2a–c).

# Dynamic Changes of Viral Markers During NA Treatment

The serum markers of patients during treatment are presented in Table S1. The levels of ALT 96 weeks: (baseline vs. 48 weeks VS.  $52.69 \pm 24.91$ vs.  $26.81 \pm 10.76$ vs  $21.19 \pm 10.12$  U/l, p < 0.001), HBV DNA (baseline vs. 48 weeks vs. 96 weeks:  $6.53 \pm 1.72$  vs.  $1.79 \pm 1.89$  vs.  $1.27 \pm 1.11$  log<sub>10</sub> IU/ml, p < 0.001) and HBsAg (baseline vs. 48 weeks vs. 96 weeks:  $3.67 \pm 0.87$  vs.  $3.39 \pm 0.81$  vs.  $3.26 \pm 0.97 \log_{10} \text{IU/ml}, p < 0.001)$  decreased significantly at week 48 and 96 compared to baseline, respectively. The level of HBV DNA decline in normal or mildly elevated ALT groups shows no difference (Figure S1). Likewise, FibroScan showed significant improvement in liver stiffness values at 48 weeks

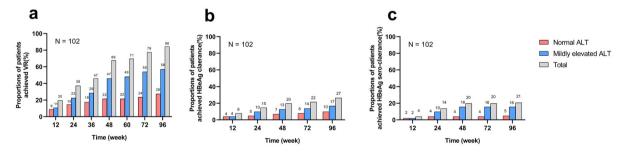


Fig. 2 Proportion of patients who were HBeAg-seropositive with virological response (HBV DNA < 20 IU/ml), HBeAg seroclearance and HBeAg seroconversion by study visit at each time point

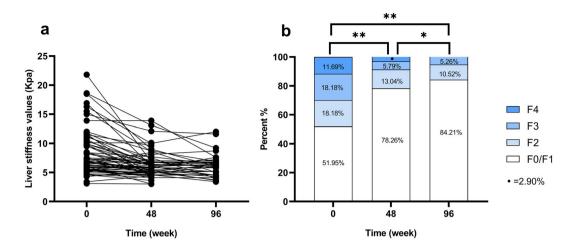


Fig. 3 Paired comparison of changes in liver stiffness value of patients at 48 and 96 weeks (a). Proportion of liver stiffness measurements at baseline, 48 and 96 weeks (b) (\*p < 0.05; \*\*p < 0.01)

(6.33  $\pm$  2.16 kPa) and 96 weeks (6.17  $\pm$  1.91 kPa), respectively. Among 68 patients with pair values of liver stiffness assessment before and after treatment, the liver stiffness values decreased significantly after 48 weeks of treatment in patients with VR (Fig. 3a, p < 0.001). Meanwhile, 15 of 20 patients with liver stiffness values in stage F3 or F4 had improved to stage F0 to F2 (Fig. 3b).

## Analysis of Factors Associated with Virological Response and HBeAg Seroclearance

As shown in Table 2, univariate analysis indicated that baseline AST levels (OR 1.072, 95% CI 1.019–1.127, p = 0.007), HBV DNA levels (OR 0.536, 95% CI 0.337–0.852, p = 0.008) and HBsAg levels (OR 0.070, 95% CI 0.016-0.299, p < 0.001) were closely associated with VR, whereas other clinical variables, including age, treatment strategy and liver stiffness value, did not show significant difference. Subsequent multivariate analysis showed that baseline AST levels (OR 1.069, 95%) CI 1.014–1.127, *p* = 0.014), HBV DNA (OR 0.540, 95% CI 0.309-0.946, p = 0.031) and HBsAg (OR 0.147, 95% CI 0.036–0.597, p = 0.007) were significantly independently correlated with VR. However, no baseline factor was found to be significantly associated with 96-week HBeAg seroclearace (Table S2).

# Analysis of the Predictors of Virological Response

Baseline levels of AST, HBV DNA and HBsAg for predicting VR were assessed by receiver-operating characteristic (ROC) analysis. As illustrated in Fig. 4a-c, the area under the ROC curve (AUROC) of AST, HBV DNA and HBsAg for predicting 96-week VR was 0.774 (95% CI 0.651-0.897), 0.755 (95% CI 0.618-0.894) and 0.902 (95% CI 0.788-1.00), respectively (all p < 0.05). Obviously, HBsAg was the strongest predictor for VR. Using maximized Youden's index, an optimal cut-off value of  $4.63 \log_{10} IU/$ ml was defined for HBsAg to predict VR, with the sensitivity, specificity, positive predictive value (PPV) and negative predictive value (NPV) of 96.5%, 81.3%, 96.5% and 81.3%, respectively (Table S3). Furthermore, the optimal cut-off values of AST and HBV DNA were 32 U/l and 7.92 log<sub>10</sub> IU/ml, respectively.

By using the cut-off values as mentioned, the VR rates were significantly higher in patients with AST  $\geq$  32 U/l (95.0% vs. 69.0%, *p* = 0.01), HBV DNA < 7.92 log<sub>10</sub> IU/ml (93.3% vs. 59.3%, *p* < 0.001) and HBsAg < 4.63 log<sub>10</sub> IU/ml (97.3% vs. 18.6%, *p* < 0.001) compared to those with AST < 32 U/l, HBV DNA  $\geq$  7.92 log<sub>10</sub> IU/

Variable	Univariate analysis		Multivariate analysis	
	OR (95% CI)	p value	OR (95% CI)	p value
Age (years)	1.055 (0.992–1.123)	0.088		
Male vs. female	1.867 (0.637-5.473)	0.255		
Treatment strategy				
ETV	Reference			
TDF/TAF	0.259 (0.070-0.956)	0.043		
ALT (U/l)	1.021 (0.997–1.021)	0.091		
AST (U/l)	1.072 (1.019–1.127)	0.007	1.069 (1.014–1.127)	0.014
HBV DNA (log <sub>10</sub> IU/ml)	0.536 (0.337–0.852)	0.008	0.540 (0.309-0.946)	0.031
qHBsAg (log <sub>10</sub> IU/ml)	0.070 (0.016-0.299)	0.000	0.147 (0.036-0.597	0.007
ALB (g/l)	1.033 (0.898-1.188)	0.648		
AFP (ng/ml)	1.194 (0.857–1.664)	0.294		

Table 2 Logistic proportion hazard model for virological response in HBeAg-positive CHB patients

ETV entecavir, TDF tenofovir disoproxil fumarate, TAF tenofovir alafenamide, ALT alanine aminotransferase, AST aspartate aminotransferase, HBsAg hepatitis B surface antigen, ALB albumin, AFP alpha-fetoprotein

0.137

1.211 (0.941-1.558)

ml and HBsAg  $\geq 4.63 \log_{10} IU/ml$ , respectively (Fig. 4d–f).

## DISCUSSION

Fibroscan (kPa)

This is a real-world study on patients with HBeAg-seropositive CHB with ALT < 2 ULN. This article demonstrates that NA therapy is effective in suppressing HBV DNA and greatly improves liver fibrosis. It also shows that ALT levels do not affect the effectiveness of antiviral treatment.

In clinical practice, VR is a very important indicator for evaluating efficacy. This study showed a VR rate of 84.3% after 96 weeks of treatment, which was similar to patients with HBeAg-seropositive CHB with  $ALT > 2 \times ULN$ , approximately 67-89% [15-17]. The results showed that NA treatment could effectively suppress HBV viral replication in patients with CHB despite ALT levels  $< 2 \times$  ULN. Importantly, the existence of serum HBV DNA levels is a strong predictor of risk for HCC [18, 19], and

the decline of DNA levels could reduce the incidence of HCC risk [20]. It can be shown that decreasing viral loads can benefit patients by reducing the occurrence of adverse events.

On the other hand, this study also identified baseline HBsAg levels rather than ALT levels as a strong predictor of VR at 96 weeks through ROCs, which are significant for guiding clinical treatment. Similar arguments have been made in previous articles [21, 22], which may correlate with decreased HBsAg levels with decreased levels of cccDNA [22] (covalently closed cyclic DNA, the nuclear form of hepatitis B virus). In our study, the VR rates of the two groups with 4.63  $\log_{10}$  IU/ml as the boundary are significantly different (P < 0.05). Therefore, for patients who are HBeAg seropositive, treatment with HBsAg  $< 4.63 \log 10$  IU/ml is worthwhile, regardless of the ALT level.

It is well known that the immune tolerance (IT) phase is characterized by high HBV DNA levels and low DNA levels. Therefore, effective treatment may break immune tolerance to some extent [23]. Previous major guidelines used ALT

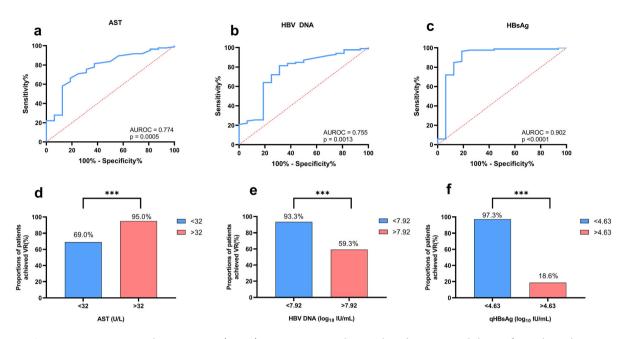


Fig. 4 Receiver-operating characteristic (ROC) curves to evaluate the diagnostic ability of virological response  $(^{***}p < 0.001)$ 

and HBV DNA to define the IT phase, but the standards were ambiguous. This study provides a more accurate cut-off value for defining the IT phase. Moreover, this may be a major innovation of this study.

In previous studies, patients who were HBeAg seropositive achieved a 67% VR rate and a 21% HBeAg seroconversion rate after 1 year of treatment [24], and 23% of the patients had HBeAg seroconversion after 5 years of treatment [25]. Although people, races and regions differ, our study has similar outcomes: 26.5% (n = 27) patients achieved HBeAg seroclearance and 20.6% (n = 21) patients HBeAg seroconversion. Patients with early seroconversion have a lower incidence of adverse clinical outcomes (cirrhosis, HCC or liver-related death) [7, 26, 27]. Therefore, it may be necessary for patients who are HBeAg seropositive with low ALT levels to take antiviral treatment.

We acknowledge that ALT is associated with host innate immunity, and elevated ALT may elicit potent host immunity and shows enhanced therapeutic efficacy [28, 29]. However, in this study, the normal and mildly elevated ALT groups achieved similar therapeutic outcomes. The results were not statistically different, so patients with normal or mildly elevated ALT were recommended to take antiviral therapy. Besides, our research also shows that after 96 weeks of treatment, patients obtained histological improvement, which was statistically significant.

The reason for not recommending treatment in previous studies is that VR rate was not ideal before 48 weeks because of the short follow-up time and insufficient data [4, 30, 31]. The results of our study were similar; however, good therapeutic results can be achieved after 96 weeks. Another reason is that ALT levels have previously been used as a surrogate marker to assess hepatocellular injury. Recent studies have shown that patients with normal and mildly elevated ALT have varying degrees of inflammation or fibrosis in the liver [32, 33]. Furthermore, a South Korean study with a median follow-up of 6.3 years shows that untreated patients with normal ALT (females, < 19 IU/ml; males, < 30 IU/ml) were at higher risk of clinical outcomes compared to treated patients with  $ALT \ge 80 \text{ IU/ml}$ . They were all patients with HBeAg-seropositive CHB with high HBV DNA levels ( $\geq 20,000 \text{ IU/ml}$ ) [34]. Therefore, it is recommended that patients with HBeAgseropositive CHB with  $ALT < 2 \times ULN$  be treated with antiviral therapy to suppress viral replication, reduce liver inflammation and ultimately slow down the progression of the disease.

This study had several limitations. First, the follow-up period was not long enough, only 96 weeks, and the long-term clinical outcomes of the patients (such as cirrhosis and HCC) could not be observed. However, this study used VR and HBeAg seroclearance rates as indicators of disease progression. Second, such patients are less likely to have received antiviral therapy in the past because of the current guidelines. Therefore, the data volume in this retrospective study is not immense. Finally, considering the risk and cost of repeated liver biopsy, our research used a non-invasive liver stiffness scan and not liver biopsy for the assessment of liver fibrosis in patients.

## CONCLUSIONS

NA treatment was not only effective for HBV DNA suppression in patients with HbeAgseropositive CHB with ALT  $< 2 \times$  ULN but also significantly improved liver fibrosis. Meanwhile, there was no significant difference in the VR rate, HBeAg seroclearance and seroconversion between the normal ALT group and mildly elevated ALT group. This article provides realworld clinical data for the current China Guidelines and evidence for expanded treatment indications.

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*Authorship.* All named authors meet the International Committee of Medical Journal Editors (ICMJE) criteria for authorship for this article, had full access to the final version of the manuscript and agreed to its submission.

*Author Contributions.* Meixin Hu, Guichan Liao, and Jie Peng were involved in the study design. Meixin Hu and Sufang Wei collected the data. Meixin Hu, Guichan Liao, Muye Xia and Hongjie Chen analyzed and interpreted the data. Meixin Hu, Zhe Qian and Guichan Liao wrote the manuscript.

**Disclosures.** All named authors confirm that they have no financial and personal relationships with other persons or organizations that could improperly influence this research.

*Compliance with Ethics Guidelines.* The study was approved by the Institutional Ethics Committee of Nanfang Hospital (study identifier: NFEC-2020-290) and Shunde Hospital (study identifier: 20211129), and the study protocol was performed in accordance with the Helsinki Declaration of 1964 and its later amendments. All patients have details from their medical records published anonymously.

**Data Availability.** All data and analysis results are included in this article. The datasets used and/or analyzed during the current study are available from the corresponding author on reasonable request.

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