

### Diagnostic Accuracy of Tissue Doppler Index E/è for Evaluating Left Ventricular Filling Pressure and Diastolic Dysfunction/Heart Failure With Preserved Ejection Fraction: A Systematic Review and Meta-Analysis

Oleg F. Sharifov, MD, PhD; Chun G. Schiros, PhD; Inmaculada Aban, PhD; Thomas S. Denney, Jr, PhD; Himanshu Gupta, MD, FACC

**Background**—Tissue Doppler index E/è is used clinically and in multidisciplinary research for estimation of left ventricular filling pressure (LVFP) and diastolic dysfunction (DD)/heart failure with preserved ejection fraction (HFpEF). Its diagnostic accuracy is not well studied.

*Methods and Results*—From the PubMed, Scopus, Embase, and Cochrane databases, we identified 24 studies reporting E/è and invasive LVFP in preserved EF ( $\geq$ 50%). In random-effects models, E/è had poor to mediocre linear correlation with LVFP. Summary sensitivity and specificity (with 95% CIs) for the American Society of Echocardiography–recommended E/è cutoffs (lateral, mean, and septal, respectively) to identify elevated LVFP was estimated by using hierarchical summary receiver operating characteristic analysis. Summary sensitivity was 30% (9–48%), 37% (13–61%), and 24% (6–46%), and summary specificity was 92% (82–100%), 91% (80–99%), and 98% (92–100%). Positive likelihood ratio (LR+) was <5 for lateral and mean E/è. LR+ was slightly >10 for septal E/è obtained from 4 studies (cumulative sample size <220). For excluding elevated LVFP, summary sensitivity for E/è (lateral, mean, and septal, respectively) was 64% (38–86%), 36% (3–74%), and 50% (14–81%), while summary specificity was 73% (54–89%), 83% (49–100%), and 89% (66–100%). Because of data set limitations, meaningful inference for identifying HFpEF by using E/è could not be drawn. With the use of quality assessment tool for diagnostic accuracy studies (Quality Assessment of Diagnostic Accuracy Studies questionnaire), we found substantial risks of bias and/or applicability.

*Conclusions*—There is insufficient evidence to support that E/è can reliably estimate LVFP in preserved EF. The diagnostic accuracy of E/è to identify/exclude elevated LVFP and DD/HFpEF is limited and requires further validation in a well-designed prospective clinical trial. (*J Am Heart Assoc.* 2016;5:e002530 doi: 10.1161/JAHA.115.002530)

Key Words: diagnostic accuracy • diastolic dysfunction • E/è • heart failure with preserved ejection fraction • left ventricular filling pressure • tissue Doppler imaging

**D** iastolic dysfunction (DD) is an important cause of heart failure (HF) with preserved ejection fraction (pEF) and a major public health issue.<sup>1–3</sup> Epidemiological studies indicate that varying severities of DD are present in the community.<sup>1</sup>

From the Departments of Medicine (O.F.S., C.G.S., H.G.) and Biostatistcs (I.A.), University of Alabama at Birmingham, AL; Department of Electrical and Computer Engineering, Auburn University, Auburn, AL (T.S.D.); VA Medical Center, Birmingham, AL (H.G.).

Accompanying Appendices S1 through S8 are available at http://jaha.aha-journals.org/content/5/1/e002530/suppl/DC1

Correspondence to: Himanshu Gupta, MD, FACC, Medicine and Radiology, University of Alabama at Birmingham, BDB-101, 1808 7th Avenue South, Birmingham, AL 35294-0012. E-mail: hgupta@uab.edu

Received August 7, 2015; accepted November 18, 2015.

© 2016 The Authors. Published on behalf of the American Heart Association, Inc., by Wiley Blackwell. This is an open access article under the terms of the Creative Commons Attribution-NonCommercial License, which permits use, distribution and reproduction in any medium, provided the original work is properly cited and is not used for commercial purposes. DD is predictive of developing overt HF and all-cause mortality.  $^{1,3} \ensuremath{\mathsf{}}$ 

Left ventricular (LV) end-diastolic pressure (LVEDP) or pulmonary capillary wedge pressure (PCWP) is frequently used to assess LV diastolic function. Echocardiography is the mainstay for the noninvasive evaluation and quantitation of diastolic function.4,5 Myocardial stiffness and relaxation abnormalities in DD result in elevated LVFP that is indirectly evaluated with echocardiography.<sup>4,5</sup> Early mitral annular velocity (è) obtained with the use of tissue Doppler imaging provides assessment of LV myocardial relaxation; è <8 to 10 cm/s (based on the location lateral or septal annulus or mean of lateral and septal) is indicative of impaired myocardial relaxation.<sup>4,5</sup> In conjunction with mitral peak early filling velocity E, the ratio of E/è is used to estimate LVFP and its use is recommended by the American Society of Echocardiography (ASE) and European Society of Cardiology (ESC) for evaluating DD/HFpEF.<sup>4,5</sup> E/è<sub>lateral</sub> >12, E/è<sub>mean</sub> >13, or

 $\rm E/\dot{e}_{septal}$  >15 indicates elevated LVFP, whereas E/è <8 (any location) indicates normal LVFP.<sup>4</sup> When E/è falls into the intermediate zone (8< E/è <12–15), additional echocardiographic indices are used to estimate LVFP.<sup>4,5</sup>

E/è is extensively used both clinically and in multidisciplinary clinical research as a noninvasive surrogate for LVFP and diastolic function.<sup>6–8</sup> However, its diagnostic accuracy is not well studied. We, therefore, decided to evaluate the diagnostic accuracy of E/è in evaluating LVFP and DD/ HFpEF.

#### Methods

The systematic review was performed according to the Preferred Reporting Items for Systematic Reviews and Metaanalyses statement and the *Cochrane Handbook for Systematic Reviews of Diagnostic Test Accuracy.* The protocol for this review is described in Appendix S1.

#### **Data Sources and Searches**

Original clinical studies that evaluated LVFP and DD/HFpEF by using echocardiography and invasive techniques were screened from PubMed, Scopus, Embase, and Cochrane Library databases to February 2015 through the use of a number of search strategies with assistance from the librarian (Appendix S2).

#### **Study Selection**

We included studies that provided data on the diagnostic accuracy of pulsed-wave tissue Doppler imaging index E/è to estimate LVFP and to identify DD/HFpEF.

For primary analysis, the studies were included if the participants had pEF cohort defined as  $\geq$ 50% and corresponding echocardiographic E/è and invasive LVFP measurements at rest. The study had to evaluate the E/è–LVFP relationship and/or provide such data that a 2×2 table of true-positive, false-positive, false-negative, and true-negative values could be created for statistical analysis as described later. Table 1 describes the characteristics of the preserved LVEF patient cohort and data for primary analysis from the selected studies.

For secondary analysis, we also included supplemental studies that either used a lower LVEF threshold to identify preserved LV systolic function (ie, LVEF  $\geq$ 40% or 45%) or had no criteria for normal LVEF but the mean and SD for LVEF of the study satisfied the condition that mean minus 2 SDs  $\geq$ 40%. For a normal distribution, the latter condition assumes that  $\approx$ 98% of participants have LVEF  $\geq$ 40%. This allowed for the inclusion of all clinically relevant studies for secondary

analysis because LVEF between 40% and 50% is sometimes used to indicate pEF. Table 2 describes the characteristics of the patient cohort and data for secondary analysis from the selected studies.

We excluded studies where the cohort of pEF patients could include  $\geq 10\%$  of patients with moderate to severe valvular heart disease, hypertrophic or restrictive cardiomy-opathy, age <18 years, congenital heart disease, acute coronary syndromes, septic shock, cardiac transplant, atrial fibrillation, or <10 participants. We did not exclude studies that did not explicitly describe the abovementioned conditions in either inclusion/exclusion criteria or baseline patient characteristics. We excluded studies if study reference tests were based only on noninvasive criteria of DD/HFpEF.

#### **Quality Assessment and Data Extraction**

The selected studies were analyzed for the risk of bias and applicability concerns by consensus of 2 investigators (O.F.S. and H.G.). We used the Quality Assessment of Diagnostic Accuracy Studies questionnaire<sup>9</sup> optimized to our study questions (Appendix S3). Risk of bias was tested for 4 domains: patient selection, index test, reference test, and flow and timing. Applicability was tested for patient selection, index, and reference test domains (Table 3). The questionnaire was expanded to incorporate risks of differential verification on index test accuracy in clinical study (Appendix S3). Index tests were  $E/\dot{e}_{lateral}$ ,  $E/\dot{e}_{septal}$ , or  $E/\dot{e}_{mean}$ . Reference tests were invasive LVFP measurements of LVEDP, LV mean diastolic pressure (LVMDP), LV pre-A diastolic pressure (Pre-A), PCWP, or invasively proven DD/ HFpEF (Tables 1 and 2).

Numerical data were extracted by O.F.S. and confirmed by C.G.S. or H.G. A set was obtained of true-positive, falsepositive, false-negative, and true-negative (2×2) values for E/ è cutoffs to identify or exclude elevated LVFP or DD/HFpEF. In some studies (specified in Results), 2×2 values were manually extracted from the scatter plots (LVFP versus E/è). Linear regression coefficient for E/è–LVFP relationship was obtained if provided in the study text. In addition, data for LV relaxation time constant ( $\tau$ ) and LV stiffness parameters invasive reference standards were obtained if provided.

#### Data Synthesis and Analysis

#### E/è-LVFP linear regression

To summarize the relationship between  $E/\dot{e}_{lateral}$ ,  $E/\dot{e}_{septal}$ , or  $E/\dot{e}_{mean}$  and invasive LVFP (LVEDP, PCWP, LVMDP, or Pre-A, separately), we calculated the weighted summary linear regression coefficient (*r*) by using the continuous random-effects model.<sup>10</sup> We chose a random-effects model because

								2×2 to Predict	Patient	Comorbi	dities, %	
LVE %	г Ц		Age, y (mean±SD)	Indication for Catheterization	Echo and Catheterization Timing	Correlation to LVFP	2×2 to Predict LVFP	DD/HFpEF (Composite Reference Test) <sup>†</sup>	보	CAD	HTN	MQ
0	9	52		Clinically indicated	Simultaneous	L, S, M (LVMDP)	S (LVMDP, from graph)		1		1	
0	ς.	22	66±13	Clinically indicated	Sequential	L (PCWP)						
2	2	22	64±2	ICU or cath lab	Simultaneous	L, S, M (PCWP)	L, S (PCWP, from graph)					
0	-	6	57土13	ICU or CCU	Simultaneous		M (PCWP, from graph)				58	51
0	2	0	66±10	Chest pain/CAD	<b>ب</b> آرا	L (Pre-A)	L (Pre-A, from graph)		ນ	100	ى ك	
0	9	22	<b>6</b> ∓99	Clinically indicated	-3 h	L (LVEDP, PCWP)	L (PCWP, from graph)		I	28		
0	2	0		Coronary angiography	Simultaneous	L, S (LVEDP)	L (LVEDP, from graph)		Ι			
0	2			43 exercise dyspnea/12 chest pain	3 to 5 h	L (LVEDP)			78	0	62	6
0	5	0		ICU or cath lab	Simultaneous	M (PCWP)						
0	3	22		Dyspnea	Sequential	M (Pre-A)						
	с С	6	64±5	Clinically indicated	Simultaneous	s (LVMDP)	S (LVMDP, from graph)		23	27	51	
0	2	22	71±12	LV dysfunction	r ∑i		M (Pre-A, from text)		100 <sup>‡</sup>			
0	-	22	55±9	Coronary angiography	Sequential	M (Pre-A)				65	88	55
0	-	22	55±9	Coronary angiography	Sequential	M (LVEDP)	M (LVEDP, from graph)		I	65	88	43
0	3			21 exercise dyspnea/12 chest pain	Simultaneous	L (LVEDP)			64	0	61	6
0	3	0	67±9	Chronic NYHA II/III dyspnea	Simultaneous			L, S, M (LVEDP, from text)	67	0	70	27
0	-	<del>.</del>	73±7	Clinical research, HFpEF	Simultaneous	M (PCWP)	M (PCWP, from graph)		100	0	100	55
-	1	00	69±13	Coronary angiography	Sequential	L, S, M (Pre-A)				100	72	47

Table 1. Data for Primary Analysis $^{\star}$ 

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Table 1.

%	MQ		42				
bidities,	HTN		64				
t Comorl	CAD		100		0		1
Patien	ΞŦ	42		0		1	I
$2 \times 2$ to Predict	DD/HFpEF (Composite Reference Test) <sup>†</sup>						1
	2×2 to Predict LVFP		L, S, M (LVEDP, from text)	L (LVEDP, from graph)	M (Pre-A, from graph)		1
	Correlation to LVFP	L, S, M (PCWP)	L, S, M (LVEDP)	L, S, M (LVEDP, Pre-A)	L, S, M (LVEDP, Pre-A)	L (LVEDP)	s (PCWP)
	Echo and Catheterization Timing	Sequential	≤24 h	r Vi	Simultaneous	Next day	Not reported
	Indication for Catheterization	11 PAH/15 HF/10 healthy volunteers and atypical patients	Coronary angiography	Clinically indicated	Coronary angiography	Coronary angiography/mild valve disease	Clinically indicated
	Age, y (mean±SD)	56土17	62±10				65土18
	z	36	45	57	8	76	22
	LVEF, %	>50	>50	≥55	≥55	>50	>50
	Study (Reference)	Maeder et al (2011) <sup>42</sup>	Özer et al (2011) <sup>43</sup>	Previtali et al (2012) <sup>46</sup>	Manouras et al (2013) <sup>48</sup>	Hajahmadi Poorrafsanjani et al (2014) <sup>50</sup>	Tatsumi et al (2014) <sup>51</sup>

Empty cells are the result of no available data. 2×2 indicates set of true-positive, false-positive, false-negative, and true-negative values for recommended by American Society of Echocardiography E/è cutoffs; CAD, coronary artery disease; cath lab, catheterization laboratory; CCU, critical care unit; LD, diastolic dysfunction; DM, diabetes mellitus; HF, heart failure (clinical diagnosis); HTN, hypertension; ICU, intensive care unit; L, S, and M, lateral, septal, and mean E/è; LVEDP, left ventricular end-diastolic pressure; LVEF, left ventricular ejection fraction; LVFP, left ventricular filling pressure; LVMDP, left ventricular mean diastolic pressure; N, number of patients; NYHA, New York Heart Association; PAH, pulmonary arterial hypertension; PCWP, pulmonary capillary wedge pressure; pEF, preserved ejection fraction; Pre-A, left ventricular pre-A wave diastolic pressure.

\*For primary analysis, the studies were included if the participants had preserved LVEF cohort defined as  $\geq$ 50% and provided corresponding echocardiographic E/è and invasive LVFP measurements at rest. Further, data were available such

that a 2×2 table of true-positive, false-positive, false-negative, and true-negative values could be created for statistical analysis. \*Clinical DD/HFpEF was described in the study based on composite of clinical signs and symptoms of HF with invasive parameters of DD with preserved LVEF.

<sup>t</sup> Patient group included HF stages B, C, and D.

%	MQ				39			17	6	31	25			55	36	6
rbidities	HTN				100	75		58	62	46	63			88	58	61
nt Como	CAD				0	50	28	49	0	56	20			65	49	0
Patier	뽀	0			47	100		35	78							64
	Specific Reason for Excluding From Primary Analysis	E/A <1	LVEF not ≥50%, sinus >100 bpm	E/A >0.9	ASE guidelines cutoff data not available	LVEF not ≥50%	Repetitive analysis of same study	ASE guidelines cutoff data not available	ASE guidelines cutoff data not available	8< E/è <15	Assumption: LVEF >40%	ASE guidelines cutoff data not available	AUC ROC only	Repetitive analysis of another study <sup>22</sup>	LVEF not ≥50%	AUC ROC only
2×2 to Predict	DD/HFpEF (Composite Reference Test) <sup>†</sup>		I					S (DD/HF, limited data)	L (HF, limited data)							
	2×2 to Predict LVFP	L (PCWP, from graph)	L (PCWP, from graph)		L (HF, limited data)	M (LVEDP, from text)	L (LVEDP, from graph)			S (LVEDP, from graph)	L (LVEDP, from text/ graphs)	M (Pre-A, limited data)	M (LVEDP, limited data)	M (Pre-A, from graph)	L (LVEDP, from text)	
	Correlation to LVFP		L (PCWP)	L, S, M (LVEDP, Pre-A)		M (LVEDP, PCWP)				s (LVEDP)						
	Echo and Catheterization Timing	Simultaneous	Simultaneous	3±2.5 h	Not reported	≤4 h	l h	Not reported	3 to 5 h	Simultaneous	1±2.5 h	Sequential	Sequential	Sequential	Same morning	Simultaneous
	Indication for Catheterization	Clinically indicated	ICU or cath lab	Angina/positive exercise test	Clinically indicated	Congestive HF; NYHA 2.4±0.4	Clinically indicated	Coronary angiography	43 exercise dyspnea/12 chest pain	Clinically indicated	Coronary angiography	Dyspnea	Clinically indicated	Coronary angiography	Clinically indicated	
	Age, y (mean±SD)			60土10	76±8	<b>6</b> 8±10	66±9	59±9		59土10	65土10			55±9	64±12	
	z	26	49	85	38	28	65	126	55	55	176	32	20	122	59	33
	LVEF, %	>50	>45	≥55	>50	>45	>50	>50	>50	≥50	67±10	>50	61±5.6	>20	>45	>60
	Study (Reference)	Nagueh et al (1997) <sup>13</sup>	Nagueh et al (1998) <sup>14</sup>	Poemer et al (2003) <sup>17</sup>	Arques et al (2005) <sup>21</sup>	Bruch et al (2005) <sup>22</sup>	Hadano et al (2005) <sup>23</sup>	Weber et al (2006) <sup>25</sup>	Kasner et al (2007) <sup>26</sup>	Min et al $(2007)^{27}$	Poemer et al (2007) <sup>28</sup>	Dokanish et al (2008) <sup>30</sup>	Ng et al (2008) <sup>31</sup>	Dokanish et al (2010) <sup>34</sup>	Jaubert et al (2010) <sup>36</sup>	

Table 2. Data for Supplemental Analysis\*

Continued

%	DM		27	47	œ				42	42	24
bidities,	HTN		70	72	43				67	45	83
it Comoi	CAD		0	100	0				53	0	49
Patier	또		67		59	42					20
	Specific Reason for Excluding From Primary Analysis		Uncertainty with10% patients <sup>‡</sup>	ASE guidelines cutoff data not available	AUC ROC only	AUC ROC only	Assumption: LVEF >40%	ASE guidelines cutoff data not available	ASE guidelines cutoff data not available	LVEF not ≥50%	AUC ROC only
2×2 to Predict	DD/HFpEF (Composite Reference Test) <sup>†</sup>	L (HF, limited data)			L (HF, limited data)						S, M (HF, limited data)
	2×2 to Predict LVFP		L, S, M (LVEDP, from text)	L, S, M (Pre-A, limited data)		L, S, M (PCWP, limited data)		M (Pre-A, limited data)	L (LVEDP, from text)	L, M (LVEDP, Pre-A, from text)	
	Correlation to LVFP						L, S (LVEDP)			L, S, M (LVEDP, Pre-A)	
	Echo and Catheterization Timing		Simultaneous	Sequential	Simultaneous	Sequential	Same day	Sequential	Same morning	Simultaneous	Not reported
	Indication for Catheterization	21 exercise dyspnea/12 chest pain	Chronic NYHA II/ III dyspnea	Coronary angiography, HF survey	107 exercise dyspnea/73 chest pain	11 PAH/15 HF/ 10 healthy volunteers and atypical patients	Clinically indicated	Clinically indicated	Clinically indicated	Coronary angiography	Coronary angiography
	Age, y (mean±SD)		67±9	69±13	1	56土17	53土10		66±10	6年99	64±9
	z		30	376	180	36	29	376	36	65	359
	LVEF, %		>50	>50	>50	>50	62±7	>50	>20	40	>50
	Study (Reference)	Kasner et al (2010) <sup>37</sup>	Penicka et al (2010) <sup>38</sup>	Hsiao et al (2011) <sup>40</sup>	Kasner et al (2011) <sup>41</sup>	Maeder et al (2011) <sup>42</sup>	Yesildag et al (2011) <sup>44</sup>	Hsiao et al (2012) <sup>45</sup>	Arques, 2013 <sup>47</sup>	Manouras et al (2013) <sup>48</sup>	Weber et al (2013) <sup>49</sup>

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intensive care unit; L, S, and M, lateral, septal, and mean E/è; LVEDP, left ventricular end-diastolic pressure; LVEF, left ventricular ejection fraction; LVFP, left ventricular filling pressure; LVEDP, left ventricular mean diastolic pressure; N, number of patients, NYHA, New York Heart Association; PAH, pulmonary arterial hypertension; PCWP, pulmonary capillary wedge pressure; pEF, preserved ejection fraction; Pre-A, left ventricular pre-A wave diastolic pressure; ROC AUC, area cath lab, catheterization laboratory; CCU, critical care unit; DD, diastolic dysfunction; DM, diabetes mellitus; E/A, the ratio of the early (E) to late (A) ventricular filling velocities; HF, heart failure (clinical diagnosis); HTN, hypertension; ICU, Empty cells are the result of no available data. 2×2 indicates set of true-positive, false-positive, false-negative, and true-negative values for recommended by American Society of Echocardiography E/è cutoffs; CAD, coronary artery disease; under receiver operating characteristic curve.

For supplemental analysis, we included studies that either used a lower LVEF threshold to identify preserved LV systolic function (ie, LVEF  $\geq$ 40% or 45%) or had no criteria for normal LVEF but the mean and standard deviation for LVEF of the study satisfied the condition that mean minus 2 SDs  $\ge$ 40%. For a normal distribution, the latter condition assumes that about 98% of participants have LVEF  $\ge$ 40%. This allowed for inclusion of all clinically relevant studies for secondary analysis since LVEF between 40% to 50% is sometimes used to indicate preserved LVEF.

Clinical DD/HFPF was described in studies based on composite of clinical signs and symptoms of HF with invasive parameters of DD with preserved LVEF. Some of these studies also included BNP (brain natrivetic peptide) or NT-proBNP (N-terminal of the prohormone brain natriuretic peptide) biochemical levels in composite reference definition. No uniform definition was used for clinical diagnosis of DD/HFpEF across these studies.

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#### Table 3. Summary of QUADAS-2 Assessment of Selected Studies

	Risk of Bias				Applicability Conc	erns	
	Patient	Index	Reference	Flow and	Patient	Index	Reference
Study (Reference)	Selection	Test	Standard	Timing	Selection	Test	Standard
Nagueh et al (1997) <sup>13</sup>	Unclear	Low	Low	Low	Low	Low	Low
Nagueh et al (1998) <sup>14</sup>	Low	Low	Low	Low	High	Low	Low
Ommen et al (2000) <sup>15</sup>	Low	Low	Low	Low	Low	Low	Low
Gonzalez-Vilchez et al (2002) <sup>16</sup>	High	Low	Low	Low	High	Low	Low
Poerner et al (2003) <sup>17</sup>	Low	Low	Low	Low	Low	High	Low
Rivas-Gotz et al (2003) <sup>18</sup>	Low	Low	Low	Low	Unclear	Unclear	Low
Dokanish et al (2004) <sup>19</sup>	Unclear	Low	Low	Low	High	Unclear	Low
Mansencal et al (2004) <sup>20</sup>	Low	Low	Low	Low	High	Unclear	Unclear
Arques et al (2005) <sup>21</sup>	High	Low	High	High	High	Low	Low
Bruch et al (2005) <sup>22</sup>	Unclear	Unclear	Unclear	Low	High	Low	Low
Hadano et al (2005) <sup>23</sup>	Unclear	Unclear	Low	Low	Low	Unclear	Low
Kidawa et al (2005) <sup>24</sup>	Low	Low	Low	Low	Low	Unclear	Low
Weber et al (2006) <sup>25</sup>	Low	Low	Unclear	Unclear	High	Unclear	Low
Kasner et al (2007) <sup>26</sup>	High	Low	Low	Low	High	Low	Low
Min et al (2007) <sup>27</sup>	Low	Low	Low	Low	Low	Unclear	Low
Poerner et al (2007) <sup>28</sup>	Low	Low	Low	Low	Low	High	Low
Wang et al (2007) <sup>29</sup>	Unclear	Low	Low	Low	Unclear	Low	Low
Dokanish et al (2008) <sup>30</sup>	Low	Low	Low	Low	Unclear	Unclear	Low
Ng et al (2008) <sup>31</sup>	Unclear	Low	High	Low	Unclear	Low	Low
Rudko et al (2008) <sup>32</sup>	Low	Low	Low	Low	High	Unclear	Low
Dini et al (2010) <sup>33</sup>	Unclear	Low	Unclear	Low	Low	Unclear	Low
Dokanish et al (2010) <sup>34</sup>	Low	Low	Unclear	Low	Unclear	Unclear	Low
Dokanish et al (2010) <sup>35</sup>	Low	Low	Unclear	Low	Unclear	Unclear	Low
Jaubert et al (2010) <sup>36</sup>	Low	Low	Low	Low	Low	Low	Low
Kasner et al (2010) <sup>37</sup>	High	Low	Low	Low	High	Unclear	Low
Penicka et al (2010) <sup>38</sup>	High	Unclear	Unclear	Low	Unclear	Unclear	Low
Bhella et al (2011) <sup>39</sup>	High	Low	Low	Low	High	Unclear	Low
Hsiao et al (2011) <sup>40</sup>	Unclear	Low	Low	Low	High	Low	Low
Kasner et al (2011) <sup>41</sup>	Unclear	Low	Low	Low	Unclear	Low	Low
Maeder et al (2011) <sup>42</sup>	Low	Low	Low	Low	Low	Unclear	Low
Özer et al (2011) <sup>43</sup>	Low	Low	Low	Low	High	Low	Low
Yesildag et al (2011) <sup>44</sup>	Unclear	Low	Low	Low	Low	Unclear	Low
Hsiao et al (2012) <sup>45</sup>	Unclear	Low	Low	Low	Unclear	Low	Low
Previtali et al (2012) <sup>46</sup>	Unclear	Low	Low	Low	Low	Unclear	Low
Arques, 2013 <sup>47</sup>	Unclear	Low	Low	Low	Unclear	Unclear	Low
Manouras et al (2013) <sup>48</sup>	Low	Low	Low	Low	Unclear	Unclear	Low
Weber et al (2013) <sup>49</sup>	Low	Unclear	Unclear	Unclear	Unclear	Unclear	Low
Hajahmadi Poorrafsanjani et al (2014) <sup>50</sup>	Low	Low	Low	Low	Unclear	Unclear	Low
Tatsumi et al (2014) <sup>51</sup>	Unclear	Low	Low	Unclear	Unclear	Unclear	Low

QUADAS-2 questionnaire optimized to our study questions is presented below. QUADAS-2 indicates Quality Assessment of Diagnostic Accuracy Studies 2.

we anticipate variation in the effect size among our studies because of substantial heterogeneity in the design and patient population among the individual studies. The model can be presented as  $Y_i = \mu + \epsilon_i + \xi_i$ , where  $\epsilon_i \approx N(0, v_i^2)$  and  $\xi_i \approx N(0, \tau^2)$ .  $Y_i$  is effect size (linear regression coefficient),  $\mu$  is the mean of the underlying effect size distribution,  $v_i$  is the sampling variance for the effect size (within study variance), and  $\tau^2$  is the variance of overall effect size distribution (study-to-study variance). We used the DerSimonian-Laird method for calculating  $\tau^2$ . In some cases, when  $\tau^2=0$ , the summary effect size was estimated in a fixed-effect model by using the inverse-variance method. In the latter method, the summary effect Y is computed as a weighted sum of individual study effects, where the weights are proportional to the inverse of the within-study variance. Initially, only primary data (Table 1) were analyzed. Then, the secondary analysis was performed with and without supplemental data (Table 2) to evaluate the impact of heterogeneous study designs on the effect size. Possible confounding factors such as the timing of echocardiographic and invasive measurements (simultaneous versus not simultaneous) and the prevalence of specific patient population/disease were independently considered in subgroup analysis. Heterogeneity among the studies was estimated with use of the  $l^2$  statistic.

#### Diagnostic accuracy of ASE-recommended E/è cutoffs

For evaluation of diagnostic accuracy of E/è to identify either elevated or normal LVFP, we relied on the ASE-recommended E/è cutoff values.<sup>4</sup> Specifically, E/è<sub>lateral</sub> >12, E/è<sub>mean</sub> >13, or E/ $\dot{e}_{septal}$  >15 indicates elevated LVFP, whereas E/ $\dot{e}$  <8 (any location) indicates normal LVFP. We also used ASErecommended threshold values for quantifying elevated LVFP that are LVEDP >16 mm Hg and PCWP >12 mm Hg.<sup>4</sup> For LVMDP and Pre-A, values >12 and >15 mm Hg, respectively, were used because these values were used in individual studies. Sensitivity and specificity with 95% CI for individual studies were computed based on a diagnostic random-effects model.<sup>10</sup> To obtain summary points that take into account within-study variability and between-study variability (heterogeneity), we performed hierarchical summary receiver operating characteristic analysis by using the Rutter and Gatsonis model.<sup>11</sup> Estimation was carried out via a Bayesian approach, implemented via a Gibbs sampler. Summary point from the hierarchical summary receiver operating characteristic analysis was then used to calculate positive likelihood ratio (LR<sup>+</sup>). The summary sensitivity and specificity values were also used to calculate the relationship of positive predictive value (PPV) with prevalence for elevated or normal LVFP ranging from 5% to 95%, which was compiled and graphed by using MATLAB R2013b. Separate analyses were performed for E/èlateral, E/ èmean, and E/èseptal.

For the primary analysis, we pooled all invasive reference methods for evaluating LVFP (ie, LVEDP, PCWP, Pre-A, LVMDP) because the number of "primary data" studies (Table 1) was limited. This allowed a pragmatic statistical analysis with direct clinical applicability. Then, we performed the secondary hierarchical summary receiver operating characteristic analysis with and without supplemental data (Table 2) to assess diagnostic accuracy for LVEDP, PCWP, and Pre-A separately (if  $\geq$ 3 studies were available) and to

#### Diagnostic accuracy of optimal E/è cutoffs

Descriptive summary for optimal E/è cutoff values from the receiver operating characteristic (ROC; which are different across the studies) to identify elevated/normal LVFP is also provided as part of secondary analysis. In studies that did not provide the optimal cutoff, we created the ROC curve and identified the optimal cutoff as the point on the ROC curve closest to (0,1 on x-y coordinate).

assess the effects of the confounding factors mentioned

#### Statistical software

OpenMetaAnalyst software<sup>12</sup> for Windows (64-bit version; Microsoft) was used for statistical analysis including graphic presentations of forest plots of sensitivity and specificity and hierarchical summary ROC curves.

#### Results

above.

Summary of the study selection is presented in Figure 1. Amongst 21 013 unique citations, we reviewed full text of 314 studies; 275 studies (listed in Appendix S4) were excluded with reasons, and 39 studies<sup>13–51</sup> met our inclusion criteria. Data for the primary analysis were available in 24 studies (Table 1).

#### **Quality Assessment**

On Quality Assessment of Diagnostic Accuracy Studies analysis for assessment of bias, we found that patient selection domain had high or unclear risk in a substantial number of studies (50% among primary studies, 51% for all selected studies) (Table 3 and Figure 2). The major reasons for such risk were convenience sampling, lack of comprehensive exclusion/inclusion criteria, and/or recruiting patients with specific symptoms or disease. Similarly for evaluating applicability, patient selection domain had a high or unclear risk in a majority of studies (83% among primary studies, 82% for all selected studies). Important reasons for this were lack of patient characteristics (diagnosis, comorbidities), selection of inappropriate control groups, and high



**Figure 1.** Summary of the literature search. Primary analysis studies include data for patients with LVEF  $\geq$ 50%. Supplemental analysis studies include data either for patients with LVEF  $\geq$ 40% and/or with preselected echocardiographic indices (eg, the ratio of the early (E) to late (A) ventricular filling velocities (E/A) <0.9). DD/HFpEF represents invasively proven DD/HFpEF (i.e. clinical diagnosis of DD/HFpEF based on clinical sign and symptoms with evidence of elevated LVFP or impaired LV relaxation/chamber stiffness with or without additional biochemical markers and/or other ancillary tests). DD indicates diastolic dysfunction; HF, heart failure; LVEF, left ventricular ejection fraction; LVFP, left ventricular filling pressure; pEF, preserved ejection fraction.

(up to 100%) prevalence of certain disease phenotypes (eg, all coronary artery disease or HFpEF). For reference and index test, interobserver and intraobserver variabilities were not described in a substantial number of studies (67% among

primary studies, 51% for all selected studies). Overall, only 2 studies (one for primary analysis) had low risk in all aspects of Quality Assessment of Diagnostic Accuracy Studies questionnaire.



**Figure 2.** Summary of quality assessment analysis (Quality Assessment of Diagnostic Accuracy Studies [QUADAS 2]). A, QUADAS 2 bar charts for primary analysis studies (n=24). B, QUADAS 2 bar charts for all selected studies (n=39).

#### **Correlation Effect Size for Estimating LVFP**

#### Primary data analysis

Summary estimates of linear regression coefficient (*r*) with 95% CIs for E/è and LVFP for primary studies are shown in Table 4. All the summary point estimates are <0.5, revealing poor to mediocre correlation.

#### Secondary data analysis

In Table 4, we also present summary estimates of linear regression coefficients in studies where invasive and echocardiographic measurements were performed simultaneously. As apparent, in only a few studies were simultaneous measurements performed for each individual LVFP parameter. The results remain consistent with the primary analysis with no obvious trends. While in some studies the r estimates were somewhat higher, in others they were lower compared with the entire primary combined data set (Table 4). Increased r values were mainly observed in studies that measured PCWP. However, these data cannot be applied to the general patient cohort because 2 of the 3 studies were conducted in the intensive care unit setting.<sup>18,29</sup> These 2 studies did not provide detailed patient characteristics. The third study had an extremely small sample size.<sup>39</sup> On secondary analysis with an additional 6 studies from Table 2, the summary estimates remained similar (Appendix S5). Because of the limited number of studies that specified patient characteristics for the pEF cohort, no conclusive effect of prevalence of HFpEF, coronary artery disease, hypertension, and diabetes mellitus on r estimate was found (Appendix S5).

## Diagnostic Accuracy of E/è for Identification of Elevated LVFP

#### Primary data analysis

The summary data for the diagnostic accuracy of E/è to identify elevated LVFP demonstrated wide Cls for sensitivity and specificity (Figure 3A, 3D, and 3G). There was significant heterogeneity for E/è<sub>lateral</sub> specificity and E/è<sub>mean</sub> sensitivity measurements. The summary sensitivity and specificity for E/è<sub>lateral</sub> (n=6), E/è<sub>mean</sub> (n=6), and E/è<sub>septal</sub> (n=4) were 30% and 92%, 37% and 91%, and 24% and 98%, respectively (Figure 3B, 3E, and 3H). Only E/è<sub>septal</sub> had sufficiently high LR<sup>+</sup> (slightly above 10) in identifying elevated LVFP, whereas LR<sup>+</sup> for E/è<sub>lateral</sub> and E/è<sub>mean</sub> was low (3.8 and 4.1, respectively).

#### Secondary data analysis

Because of a limited number of studies and limited data on patient characteristics, we could not identify the reasons for

heterogeneity found for sensitivity, specificity, and LR<sup>+</sup> estimates in individual studies (Appendix S6). Among studies for E/ $\dot{e}_{septal}$  assessment, the highest individual LR<sup>+</sup> was in Ommen et al's (2000) study<sup>15</sup> (LR<sup>+</sup>=24), whereas LR<sup>+</sup> values from all other E/è<sub>septal</sub> studies were <8. For E/è<sub>septal</sub>, 3 of the 4 studies<sup>15,18,32</sup> performed simultaneous echocardiography and invasive measurements. Analysis of these 3 studies did not alter our results. For E/è<sub>lateral</sub>, only 2 of 6 studies, <sup>18,24</sup> and for E/èmean, only 1 of 6 studies<sup>19</sup> reported simultaneous measurements of echocardiographic and invasive parameters. Secondary analysis with additional studies (identified in the Table 2) did not alter summary sensitivity, specificity, or LR<sup>+</sup> (Appendix S6). Additional secondary subgroup analysis restricted to LVEDP, PCWP, or Pre-A reference tests individually did not alter the  $LR^+$  of E/è (Appendix S6). The optimal E/è cutoffs from ROC to identify elevated LVFP in individual studies (Appendix S7) demonstrated a wide range (E/èlateral 6.6-10, E/èmean 8-15, and E/èseptal 9-13).

## Diagnostic Accuracy of E/è for Identification of Normal LVFP

#### Primary data analysis

For the diagnostic accuracy of E/è to identify normal LVFP, we found significant heterogeneity in either the sensitivity or the specificity estimates among the studies for E/ $\dot{e}_{lateral}$  and E/ $\dot{e}_{mean}$  and not for E/ $\dot{e}_{septal}$  (Figure 4A, 4D, and 4G).The summary sensitivity and specificity for E/ $\dot{e}_{lateral}$  (n=5) were 64% and 73%, respectively; for E/ $\dot{e}_{mean}$  (n=4), they were 36% and 83%; and for E/ $\dot{e}_{septal}$  (n=3), they were 50% and 89%. The corresponding LR<sup>+</sup> was 2.4, 2.1, and 4.5, respectively (Figure 4B, 4E, and 4H).

#### Secondary data analysis

None of the secondary analyses improved the summary sensitivity and specificity (Appendix S8).

#### **Clinical Context of the Findings Described Earlier**

We evaluated the relationship of elevated LVFP prevalence (5–95%) and PPV of E/è (Figure 3C, 3F, and 3I). We find that for disease prevalence of  $\approx \leq 20\%$ , the PPV to identify elevated LVFP using E/è<sub>lateral</sub> and E/è<sub>mean</sub> was <50% indicating that false positives are greater than the true positives. For E/è<sub>septal</sub> this occurs for disease prevalence of 10% and below. Similarly we performed prevalence-PPV relationship analysis to identify normal LVFP (Figure 4C, 4F, and 4I). We find that for normal LVFP prevalence  $\leq$ 30%, the PPV to identify normal LVFP is <50% for E/è<sub>lateral</sub> and E/è<sub>mean</sub>. For E/è<sub>septal</sub>, PPV falls to <50% for normal LVFP prevalence  $\leq$ 20%.

E/è		LVFP, mm Hg			
Location	Measure	LVEDP	PCWP	Pre-A	LVMDP
All primary studies					
Lateral	r (95% Cl) Studies Heterogeneity Total patients	$\begin{array}{c} 0.44 & (0.30-0.57) \\ (N=8^{23,24,26,37,43,46,48,50}); \\ (1^2=61, \ \mathcal{P}=0.013) \\ (n=419) \end{array}$	$\begin{array}{l} 0.46 & (0.19-0.73) \\ (N=4^{16,18,23,42}); \\ (I^2=79, \ P=0.003 \\ (n=188) \end{array}$	$\begin{array}{l} 0.23 & (0.10-0.36) \\ (N=4^{20,40,46,48}); \\ (I^{2}=0, \ P=0.56) \\ (n=215) \end{array}$	0.4 (N=1 <sup>15</sup> ); N/A (n=64)
Septal	r (95% Cl) Studies Heterogeneity Total patients	$\begin{array}{l} 0.28 & (0.08 - 0.49) \\ (N = 4^{24, 43, 46, 48}), \\ (1^2 = 54, \ P = 0.09) \\ (n = 190) \end{array}$	0.48 (0.26-0.70) (N=3 <sup>18,42,51</sup> ) (/ <sup>2</sup> =42, P=0.18) (n=113)	$\begin{array}{c} 0.24 & (0.09-0.40) \\ (N=3^{40,46,48}); \\ (1^{2}=15, \ P=0.31) \\ (n=195) \end{array}$	0.47 (0.30–0.64) (N=2 <sup>15,32</sup> ); (/ <sup>2</sup> =0, P=1.00) (n=103)
Mean	r (95% Cl) Studies Heterogeneity Total patients	$\begin{array}{l} 0.38 & (0.11-0.65) \\ (N=4^{35,43,46,48}); \\ (1^{2}=81, \ P=0.001) \\ (n=262) \end{array}$	$\begin{array}{l} 0.49 & (0.25-0.73) \\ (N=4^{18,29,39,42}); \\ (1^{2}=51, \ P=0.10) \\ (n=122) \end{array}$	$\begin{array}{l} 0.31 & (0.07-0.55) \\ (N=5^{30,34,40,46,49}); \\ (1^{2}=82, \ P<0.001) \\ (n=349) \end{array}$	0.45 (N=1 <sup>15</sup> ); N/A (n=64)
Primary studies with s	imultaneous measurements of echocardiog	iraphic and invasive parameters			
Lateral	r (95% Cl) Studies Heterogeneity Total patients	$\begin{array}{c} 0.51 & (0.36-0.67) \\ (N=3^{24,26,48}); \\ (1^{2}=0, \ P=0.40) \\ (n=143) \end{array}$	0.7 (0.51–0.89) (N=1 <sup>18</sup> ); NVA (n=55)	0.4 (0.19–0.61) (N=1 <sup>48</sup> ); N/A (n=38)	0.4 (N=1 <sup>15</sup> ); N/A (n=64)
Septal	r (95% Cl) Studies Heterogeneity Total patients	0.18 (-0.08 to 0.43) (N=2 <sup>24,48</sup> ); (/ <sup>2</sup> =31, P=0.23) (n=88)	0.55 (0.32–0.78) (N=1 <sup>18</sup> ) N/A (n=55)	0.02 (-0.30 to 0.34) (N=1 <sup>48</sup> ); N/A (n=38)	0.47 (0.30–0.64) (N=2 <sup>15,32</sup> ); (/ <sup>2</sup> =0, P=1.00) (n=103)
Mean	r (95% Cl) Studies Heterogeneity Total patients	0.18 (-0.14 to 0.50) (N=1 <sup>48</sup> ); N/A (n=38)	0.6 (0.42–0.78) ( $N=3^{18,29,39}$ ); ( $I^{2}=0, P=0.91$ ) ( $n=86$ )	0.21 (-0.11 to 0.53) (N=1 <sup>48</sup> ); N/A (n=38)	0.45 (N=1 <sup>15</sup> ); N/A (n=64)
Heterogeneity among the si	tudies was estimated by using the $\prime$ $^2$ statistic, $_{\circ}$	and the corresponding <i>P</i> values are provided.	N indicates number of studies; n, to	otal patients N/A, not applicable.	

Table 4. Correlation (r) Between Invasive Measurements of LVFP and E/è



**Figure 3.** Diagnostic accuracy of E/è recommended by the American Society of Echocardiography (ASE) to identify elevated left ventricular filling pressure (LVFP). A through C, Analysis for E/è<sub>lateral</sub> (>12). A, Individual studies (reference number as listed in the main text is indicated in brackets) with corresponding LVFP measurements, sample size, elevated LVFP prevalence, diagnostic  $2 \times 2$  data (true positive [TP], false positive [FP], false negative [FN], true negative [TN]), and corresponding values of sensitivity (Sens.) and specificity (Spec.) with 95% CI are described. Summary heterogeneity is described by  $l^2$  statistic. B, The Rutter and Gatsonis<sup>11</sup> hierarchical summary receiver operating characteristic (HSROC) analysis for recommended E/è cutoff to identify elevated LVFP is depicted. Summary sensitivity, summary specificity with 95% CI, and corresponding positive likelihood ratio (LR+) are depicted. C, Positive predictive value (PPV)–prevalence relationship for E/è to identify elevated LVFP using summary sensitivity and specificity HSROC points. Prevalence of elevated LVFP corresponding to 50% PPV for E/è is highlighted. \*TP, FP, FN, TN values were extracted from the graphical data representation of LVFP vs E/è in study results; for such study, column presenting patient number (N) include 2 numbers: first number is actual counted patients in the plot, and second number is total patients in the study group. D through F, Analysis for E/è<sub>mean</sub> (>13). G through I, Analysis for E/è<sub>septal</sub> (>15). Other description is same as for A through C.

## Diagnostic Accuracy of E/è for Identification of DD/HFpEF

Only 1 study<sup>38</sup> provided data for creating  $2 \times 2$  tables for the ASE-recommended E/è cutoff values. Therefore, we provide descriptive summary (Table 5). All studies, except 1,<sup>49</sup> used case-control design. In Weber et al's (2013) study,<sup>49</sup> we find that the area under the ROC curve for the diagnostic accuracy of E/è was lower with mixed patient groups (definite HFpEF, possible HFpEF, and no HFpEF) compared with when patient group with possible HFpEF was removed from the analysis

(Table 5). Different clinical criteria were used across the studies for diagnosing HFpEF, which limits the use of these data for the purpose of identifying DD/HFpEF by using E/e.

# LV Relaxation Time Constant ( $\tau$ ) and LV Chamber Stiffness

Only 1 study<sup>26</sup> reported a relationship between E/ $\dot{e}_{lateral}$  and  $\tau$ . Low correlation of E/ $\dot{e}_{lateral}$  with  $\tau$  ( $r\pm$ SE 0.34 $\pm$ 0.13) was found.<sup>26</sup> Two studies<sup>26,37</sup> from the same group of



**Figure 4.** Diagnostic accuracy of E/è recommended by the American Society of Echocardiography to identify normal left ventricular filling pressure (LVFP). A through C, Analysis for E/è<sub>lateral</sub> (<8). A, Individual studies (reference number as listed in the main text is indicated in brackets) with corresponding LVFP measurements, sample size, normal LVFP prevalence, diagnostic 2×2 data, and corresponding values of sensitivity and specificity with 95% Cls are described. Summary heterogeneity is described by  $l^2$  statistic. B, Hierarchical summary receiver operating characteristic (HSROC) analysis for recommended E/è cutoff to identify normal LVFP is depicted. Summary sensitivity, summary specificity with 95% Cl, and corresponding positive likelihood ratio (LR+) are depicted. C, Positive predictive value (PPV)–prevalence relationship for E/è to identify normal LVFP using summary sensitivity and specificity HSROC points. Prevalence of normal LVFP corresponding to 50% PPV for E/è is highlighted. \*Same as in Figure 3. D through F, Analysis for E/è<sub>mean</sub> (<8). G through I, Analysis for E/è<sub>septal</sub> (<8). Other description is same as for A through C.

investigators assessed the relationship of E/ $\dot{e}_{lateral}$  with LV chamber stiffness. There was a moderate correlation of E/ $\dot{e}_{lateral}$  with LV stiffness parameters in both studies<sup>26,37</sup> (average  $r\pm$ SD 0.59 $\pm$ 0.08 for  $\beta$  [mL<sup>-1</sup>] and 0.54 $\pm$ 0.11 for *b* [mm Hg/mL]). These 2 studies had a high risk of bias and applicability for patient selection domain on the Quality Assessment of Diagnostic Accuracy Studies analysis (Table 3).

#### Discussion

To best of our knowledge, this is the first comprehensive meta-analysis of the diagnostic accuracy of tissue Doppler

echocardiographic index, E/è, to estimate LVFP in patient cohorts with pEF and for the identification of DD/HFpEF. We find that the evidence for the use of E/è for routine clinical practice in estimating LVFP in pEF is limited. Further, because of multiplicity of study designs, no conclusive inference can be drawn for the use of E/è in diagnosing DD/HFpEF in routine clinical applications.

Based on ASE and ESC guidelines,<sup>4,5</sup> E/è is an important noninvasive parameter with which to evaluate diastolic function. From a clinical perspective, this application of E/è can be grouped into 2 important concepts: (1) estimation of LVFP—this includes either semiquantitative estimate of LVFP (ie, normal versus elevated) and/or direct quantitative estimate of LVFP, and (2) clinical diagnosis of DD/HFpEF.

Comparison			HFpEF vs controls	Definite HFpEF vs controls (combined possible HFpEF and no HFpEF)	Definite HFpEF vs no HFpEF (possible HFpEF N=223, were not included in this analysis)		HFpEF vs controls	HFpEF vs controls		HFpEF vs controls	HFpEF vs controls
Sensitivity (95% CI)/Specificity (95% CI)			30 (14–53)/90 (54–99)				40 (21-62)/80 (46-95)	78 (53–90)/100 (71–100)		21 (9-44)/96 (55-100)	
E/è Cutoff			≥13*				~12*	÷		~ <b>15</b> *	
ROC AUC (95% CI)				0.62 (0.57–0.67)	0.8 (0.72–0.87)			0.92 (0.84–1.0)			0.75 (0.62–0.86)
Control Patients			NYHA functional class II/III dyspnea and LVEDP <16 mm Hg at baseline or after hemodynamic interventions (N=10)	Possible HFpEF: dyspnea on exertion not meeting criteria for definite HFpEF (N=223); no HFpEF: (dyspnea on exertion but LVEDP ≤12 mm Hg and NT-proBNP ≤120 pg/mL (N=65)	No HFpEF: see above (N=65)		NYHA functional class II/III dyspnea and LVEDP <16 mm Hg at baseline or after hemodynamic interventions (N=10)	Acute dyspnea the result of noncardiac cause, BNP <150 pg/ mL, not meeting Framingham criteria for CHF (no invasive confirmation performed) (N=20)		NYHA class II/III dyspnea and LVEDP <16 mm Hg at baseline or after hemodynamic interventions (N=10)	Dyspnea and LVEDP ≤16 mm Hg and NT-proBNP ≤125 pg/mL (N=29)
Reference Test to Define HFpEF/DD	ns (dyspnea)		NYHA functional class II/III dyspnea and LVEDP >16 mm Hg at baseline or after hemodynamic interventions (N=20)	Definite HFpEF: dyspnea on exertion and LVEDP >16 mm Hg and NT- proBNP >220 pg/mL (N=71)	Definite HFpEF: see above (N=71)		NYHA functional class II/III dyspnea and LVEDP >16 mm Hg at baseline or after hemodynamic interventions (N=20)	Clinical criteria for CHF and LVEDP ≥15 mm Hg (N=18)		NYHA class II/III dyspnea and LVEDP >16 mm Hg at baseline or after hemodynamic interventions (N=20)	Definite HFpEF: dyspnea and LVEDP >16 mm Hg and NT-proBNP >125 pg/mL (N=29)
Study	Patients with HF sympton	Mean E/è	Penicka et al (2010) <sup>38</sup> (N=30)	Weber et al (2013) <sup>49</sup> (N=359)	Weber et al (2013) <sup>49</sup> (N=136, of 359)	Lateral E/è	Penicka et al (2010) <sup>38</sup> (N=30)	Arques et al (2005) <sup>21</sup> (N=38)	Septal E/è	Penicka et al (2010) <sup>38</sup> (N=30)	Weber et al (2006) <sup>25</sup> (N=58, of 235)

Table 5. Identification of HFpEF/LVDD Based on E/è

Continued

DOI: 10.1161/JAHA.115.002530

Comparison	Definite HFpEF vs controls (combined possible HFpEF and no HFpEF)	Definite HFpEF vs no HFpEF (possible HFpEF N=223 were not included in this analysis)			HFpEF vs controls	HFpEF vs controls	HFpEF vs controls			Definite DD vs controls (possible DD N=109 (LVEDP >16 mm Hg or NT-proBNP >125 pg/mL) were not included)
Sensitivity (95% CI)/Specificity (95% CI)					83 (70–92)/92 (59–99)					73 (58–84)/73 (63–82)
E/è Cutoff					~8*					>11.2 <sup>+</sup>
ROC AUC (95% CI)	0.75 (0.70–0.79)	0.82 (0.75–0.88)			0.91 (-)	0.83 (–)	0.86 (-)			0.78 (0.69–0.87)
Control Patients	Possible HFpEF: dyspnea on exertion not meeting criteria for definite HFpEF (N=223): no HFpEF: dyspnea on exertion but LVEDP ≤12 mm Hg and NT-proBNP ≤120 pg/mL (N=65)	No HFpEF (N=65): see above			Chest discomfort, no HF symptoms, no significant heart disease (N=12)	Chest discomfort, no HF symptoms, no significant heart disease (N=12)	Chest discomfort, no HF symptoms, no significant heart disease (N=73)			LVEDP ≤16 mm Hg and NT-proBNP ≤125 pg/mL (N=82)
Reference Test to Define HFpEF/DD	Definite HFpEF: dyspnea on exertion, LVEDP >16 mm Hg, NT- proBNP >220 pg/mL (N=71)	Definite HFpEF (N=71): see above	symptoms patients		Dyspnea, exercise intolerance, $\tau$ >48 ms, and LVEDP $\geq$ 12 mm Hg, and/or $\beta$ >0.015 mL <sup>-1</sup> and/or $b$ >0.19 mm Hg/mL (N=43)	Dyspnea, exercise intolerance, and LVEDP $\ge$ 16 mm Hg $\tau >$ 48 ms and, and/or $\beta >$ 0.015 mL <sup>-1</sup> and/ or $b >$ 0.19 mm Hg/mL (N=21)	Dyspnea, exercise intolerance, and LVEDP $\geq$ 12 mm Hg or $\tau \geq$ 48 ms or dP/dt <sub>min</sub> $\geq$ -1100 mm Hg/s or PCWP >12 mm Hg or PCWP-atstress >20 mm Hg (N=107)	tients		Definite DD: LVEDP>16 mm Hg and NT-proBNP > 125 pg/mL (N=44)
Study	Weber et al (2013) <sup>49</sup> (N=359)	Weber et al (2013) <sup>49</sup> (N=136, of 359)	HFpEF patients vs no HF	Lateral E/è	Kasner et al (2007) <sup>26</sup> (N=55)	Kasner et al (2010) <sup>37</sup> (N=33)	Kasner et al (2011) <sup>41</sup> (N=180)	DD patients vs no DD pat	Septal E/è	Weber et al (2006) <sup>25</sup> (N=126, of 235)



**Figure 5.** Estimates for use of American Society of Echocardiography–recommended E/è<sub>septal</sub> cutoffs in patient group with varying prevalences of elevated left ventricular filling pressure (LVFP). A through C, Summary outline of application of E/è<sub>septal</sub> for evaluating elevated and normal LVFP in representative examples with disease prevalence of elevated LVFP set at 10%, 50%, and 90% for n=100. More than half of the patients are in the indeterminate zone regardless of the disease prevalence. In the low-prevalence (A, 10%) scenario, abnormal E/è is noted in a few patients and can be misleading in a substantial number of these patients. Normal E/è value is suggestive of normal LVFP in the majority of patients. For intermediate prevalence (B, 50%), abnormal E/è is suggestive of elevated LVFP, while normal E/è value is suggestive of normal LVFP in the majority of patients. In the high-prevalence (C, 90%) scenario, even more patients are found in the indeterminate zone. Abnormal E/è is suggestive of elevated LVFP in most instances. Normal E/è is misleading in most cases here and cannot be used to rule out elevated LVFP. D and E, Estimated 2×2 distributions of patients after application of E/è<sub>septal</sub> cutoffs with hierarchical summary receiver operating characteristic–calculated summary sensitivity and specificity for evaluating elevated (D) or normal (E) LVFP (Figures 3 and 4).

Extensive use of E/è as a noninvasive surrogate for LVFP and diastolic function has been observed in recent clinical research in cardiac and multidisciplinary fields.<sup>6-8,52-54</sup> The poor-to-mediocre correlation of E/è to LVFP indicates that E/è alone may not be reliable to estimate LVFP. Therefore, its use for directly estimating LVFP is not recommended until well validated in robust clinical studies.

For the semiquantitative estimate of LVFP, we find that (1) the summary specificity of the ASE-recommended E/è for identifying elevated LVFP is high but the summary sensitivity is very low. Except for E/è<sub>septal</sub>, the resulting LR<sup>+</sup> is also low. (2) For identifying normal LVFP, the LR<sup>+</sup> of E/è is low for all locations. To provide a practical framework for interpreting/use of these findings in the clinical practice, we describe 3 representative scenarios based on E/è<sub>septal</sub> that demonstrates the best LR<sup>+</sup> for identifying elevated LVFP. These scenarios are based on PPV–patient prevalence relationship obtained in our study results (Figures 3I and 4I).

#### Scenario 1: Low Prevalence of Elevated LVFP

Here, E/ $\dot{e}_{septal}$  <8 generally suggests normal LVFP, while E/ $\dot{e}$  >15 may be misleading (Figure 5A). However, the E/ $\dot{e}_{septal}$  <8 value is found only in a small subset with a large number of

patients in indeterminate zone. This is consistent with previous reported observations of E/è that has a broad range of values in healthy people with evidence of increasing E/è with age.<sup>55,56</sup> In our analyzed cohorts, the majority of whom underwent clinically recommended cardiac catheterization, only 36% to 64% with normal LVFP were noted to have E/è <8 (Figure 4). In the community setting, disease prevalence of confirmed HFpEF is low ( $\approx$ 1%) in adults >45 years old, whereas moderate to severe DD with pEF is estimated at <6%.<sup>1</sup> Therefore, routine use of estimating E/è to evaluate elevated LVFP or DD in ambulatory clinical situations requires further testing and validation.

#### Scenario 2: High Prevalence of Elevated LVFP

Here E/è<sub>septal</sub> >15 generally suggests elevated LVFP, while E/è<sub>septal</sub> <8 may be misleading (Figure 5C). Further, this abnormal E/è finding will be present only in a small subset of patients with elevated LVFP. Based on our analysis, we find that only 24% to 44% with elevated LVFP have increased E/è (Figure 3) and, importantly, 10% to 26% patients with elevated LVFP have normal E/è <8 (Figure 4). Similar observations have been made in the clinical trials of proven HFpEF where  $\approx$ 30% have no echocardiographic evidence of DD.<sup>57</sup>

## Scenario 3: Intermediate Prevalence of Elevated LVFP

Here E/ $\dot{e}_{septal}$  values >15 or <8 may correctly identify about one-third of total patients with either elevated or normal LVFP. More than half would remain in the indeterminate zone, and some would be falsely classified (Figure 5B).

#### Significance of Intermediate E/è Zone

According to ASE and ESC recommendations, additional echocardiographic indices should be used to estimate LVFP when E/è falls into intermediate zone (8< E/è <12-15).4,5 Thus, based on our analysis, more than half of the patients (eg, 50–75% for E/è<sub>septal</sub>; Figure 5) would require additional indices. ASE guidelines state that "an Ar–A duration  $\geq$ 30 ms, a change of E/A ratio with the Valsalva maneuver of  $\geq 0.5$ , IVRT/T<sub>E-è</sub> <2, PA systolic pressure  $\geq$ 35 mm (in the absence of pulmonary disease), and maximal LA volume  $\geq$ 34 mL/m<sup>2</sup> are all indicative of increased LV filling pressures".<sup>4</sup> In the current work, we did not analyze incremental power of other echocardiographic indices that might favor the diagnostic accuracy of E/è. Because of the complexity of the topic, such analysis would require separate studies. We should, however, point out that by using broad search terminology in our search terms, we found very few studies<sup>27,33-35,43</sup> that addressed this aspect in their studies. Most of them have similar study limitations as just given here (mostly with low patient cohort and heterogeneous design). Based on our study results that demonstrate the uncertainty of using the proposed E/è cutoffs, we suggest that the additional echocardiographic parameters described here (and probably others) should be evaluated across the range of E/è values and not restricted to the intermediate zone to define an optimal approach to evaluate LVFP and identify HFpEF in prospective studies. Recently, 1 such clinical trial has been proposed.58

#### Application of E/è in DD/HFpEF

Invasive characterization of DD relies on LV end-diastolic pressure–volume relationship. A single measure of LVFP (eg, LVEDP) does not comprehensively characterize the diastolic properties. LV chamber stiffness is an accepted invasive method to accurately characterize LV diastolic function. Therefore, one can suggest that for accurate diagnostics of DD by E/è, there should also be a relationship between E/è and LV chamber stiffness. Based on our results, the relationship of E/è to LV chamber stiffness is not well established. We found only 2 studies<sup>26,37</sup> that directly compared E/è with LV chamber stiffness. These studies have significant limitations for wider applicability. Therefore, more

clinical research is needed before E/è can be recommended as a surrogate for LV chamber stiffness.

The current consensus for the diagnosis of HFpEF relies on the clinical presentation and exclusion of other etiologies. In clinical practice, echocardiography is frequently used to evaluate HFpEF. There are only a small number of studies that have compared the diagnostic accuracy of E/è to evaluate clinical DD/HFpEF. These studies have significant methodological limitations such as lack of uniform reference definition and case-control design. On area under the ROC curve analysis, although the E/è values appear to be moderate to high in identifying DD/HFpEF, the clinical applicability is again limited. Based on our analysis, no uniform/summary cutoff E/è value can be recommended.

#### Limitations

We performed analysis based on the published data sets and did not have access to the primary data source. Some studies did not provide the quantitative data set required for our analysis, which, in some instances, was because of a lack of statistically meaningful relationships. This suggests that our results are affected to some extent by the publication bias. Formal assessment of publication bias was not performed as the number of studies is inadequate in individual groups. Despite our best efforts, in some studies we find that the data points extracted from the graphs did not match the original sample size (Figures 3 and 4). This discordance is of small magnitude and could be the result of an inaccurate number of plotted data points and/or superimposed data points. In many studies, the timing of echocardiographic and invasive measurements was not simultaneous. It has been shown that the LVFP can rapidly change based on underlying hemodynamic status.59 Because all measurements were performed at the rest, mostly on the same day if not simultaneously, the hemodynamic conditions are expected to be similar. Overall, we find that our study results remained similar regardless of the timing of invasive and echocardiographic measurements.

We grouped studies that were not identical in study design and methods as using strict criteria would have excluded a large number of studies from the analysis and therefore made any analysis pointless. For similar reasons, including a lack of comparable and comprehensive data sets, we were unable to perform statistically meaningful subgroup analysis to evaluate possible causes for heterogeneity in correlation coefficients, sensitivity, and specificity among the studies. We have provided all the raw data that we have extracted from the selected studies that could be used in future meta-analysis or in preparation of specific projects (eg, for assessment of a sample size).

### Conclusions

There is insufficient evidence to support that E/è can reliably estimate LVFP in patients with preserved LVEF. The diagnostic accuracy of E/è to predict LVFP and evaluate DD/HFpEF is limited. Its routine clinical use requires rigorous evaluation in well-designed prospective multicenter studies.

### Acknowledgments

We thank Lee A. Vucovich, MLS, MS, AHIP, Assistant Director for Reference Services, UAB Lister Hill Library, for her valuable assistance with search strategy.

### Sources of Funding

This work was supported by National Institutes of Health grant NHLBI R01-HL104018 (Dr Gupta). The funding organizations did not have any role in the design and conduct of the study; collection, management, analysis, and interpretation of the data; preparation, review, or approval of the manuscript; and decision to submit the manuscript for publication.

#### **Disclosures**

None.

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