

ORIGINAL RESEARCH

Low FT3: a possible marker of frailty in the elderly

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¹Department of Systems Medicine, University of Rome "Tor Vergata", Rome, Italy; ²Department of Orthopaedics and Traumatology, University of Rome "Tor Vergata", Rome, Italy **Introduction:** Frailty is associated with a functional decline of multiple physiological systems, of which they may be a cause or consequence. The objective of the study was to evaluate the prevalence of thyroid hormone modifications in elderly frail subjects and its relationship with frailty.

Study population and methods: An observational study was carried out at the University Hospital "Tor Vergata" in Rome among ambulatory and hospitalized patients. The study population consisted of 112 elderly subjects: 62 were hospitalized following hip fracture and 50 control subjects were outpatients. Participating patients received a multidimensional geriatric evaluation. The Survey of Health, Ageing and Retirement in Europe Frailty Instrument (SHARE-FI) was used to assess the degree of frailty. Thyroid stimulating hormone (TSH), free triiodothyronine (FT3), and free thyroxine (FT4) were measured to evaluate thyroid status.

Results: FT3, but not FT4, was significantly correlated with Frailty score, both in patients with hip fracture and in patients from the control group. In the entire study population, FT3 under normal limits is effective in discriminating frail/prefrail subjects from nonfrail subjects.

Discussion: The reduction in serum concentrations of FT3 is a clear manifestation of stress associated with fractures. Numerous preexisting factors, such as the fracture patients' nutritional status, sarcopenia, disability and comorbidities, which characterize the condition of frailty and influence its pathogenesis, are strongly correlated with FT3 values, suggesting the existence of latent nonthyroidal illness syndrome (NTIS).

Conclusion: We conclude that measuring FT3 can be a useful laboratory parameter in clinical assessment, which can play an important role in identifying vulnerable elderly subjects and in quantifying the condition of frailty.

Keywords: FT3, frailty, NTIS, hip fracture, aging

Introduction

Most industrialized countries are experiencing progressive aging of their populations.¹ In Italy, the percentage of people older than 65 years is currently equal to 21.7% and is projected to rise further, exceeding 33% by 2050.² Aging is associated with a progressive increase in the prevalence of frailty.³

Frailty is a condition characterized by reduced resistance to stressful events, stemming from a functional decline of multiple physiological systems. This results in an alteration of the homeostatic mechanisms of the body and helps to increase the risk of adverse events, such as hospitalization, institutionalization and death.³

To date, there is no universally accepted definition of frailty. Several models have been proposed to identify and measure it,^{4,5} including some based on clinical criteria⁶ as proposed by Fried et al³ and others based on laboratory tests.^{7,8}

The progressive decline in the function of various organs and systems in the elderly contributes to a reduction in the homeostatic capacity of the body, leading to frailty. We argue that changes in the endocrine system can play a decisive role in this process.

Correspondence: Aldo Bertoli Department of Systems Medicine, University of Rome "Tor Vergata", Via Montpellier 81, Rome 00133, Italy Tel +39 06 2090 3618 Fax +39 06 2090 4955 Email aldo.bertoli@uniroma2.it Physiologically, the endocrine system intervenes in regulating energy metabolism and stress response. In the elderly, the decline in endocrine function is particularly evident in the presence of stressful events. Endocrine changes that occur with age involve all the glands and, although the relationship between frailty, declining age-related concentrations of androgens and growth hormone (GH) on the one hand and adrenal function on the other is well known, the role of thyroid hormones is still under debate.

Thyroid abnormalities have been suggested as constituting a possible predisposing factor of frailty in elderly patients with chronic kidney disease (CKD) by Abdel-Rahman et al.¹¹ However, in an exhaustive review, Morley et al.¹² conclude that there is little evidence that thyroid hormone plays a role in the pathogenesis of frailty. Recently, Fernández-Garrido et al.¹³ assessed the clinical features of prefrail older individuals and emerging biomarkers and argued that "the identification of biomarkers associated with prefrailty state would be very helpful".

With advancing age, we observe a progressive reduction in serum triiodothyronine (T3) concentrations, while the levels of thyroxine (T4) and thyroid stimulating hormone (TSH) do not change significantly. These values are suggestive of nonthyroidal illness syndrome (NTIS). This article evaluates the prevalence of NTIS and describes the relationship between NTIS and frailty.

Study population and methods

The data presented in this article are derived from an observational study conducted at the Polyclinic Tor Vergata in Rome; the study objective was to evaluate the main indicators of frailty and their relationship with changes in the endocrine system in patients aged 65 years or above, with or without low-energy fractures.

The study population included 112 elderly subjects referred to the Polyclinic Tor Vergata. Of these, 62 were enrolled among the patients hospitalized in the Orthopedic Department after a hip fracture and 50 control subjects were enrolled among outpatients evaluated at the Department of Medicine (Clinical Program on Atherosclerosis). All investigations were carried out according to the Declaration of Helsinki, as modified in 2000, including obtaining written informed consent from all participants, and the study protocol was approved by the ethical committee of the Polyclinic Tor Vergata.

Inclusion criteria comprised an age of ≥65 years in both groups and current hip fracture in hospitalized patients. Exclusion criteria included the presence of a malignancy or a history of previous cancer.

Each patient's sex and age were recorded, anthropometric parameters were measured (weight and height), and the body mass index (BMI) was calculated. The medical history of each patient was recorded, including drugs taken chronically. All study participants underwent physical examination and blood sampling for laboratory assays (blood count, creatinine, glucose, albumin, serum cortisol, TSH, free T3 [FT3], free T4 [FT4], high-sensitivity C-reactive protein [hs-CRP], interleukin-6 [IL-6] and tumor necrosis factor- α [TNF- α]). The blood count and glucose concentration were assessed by routine laboratory tests (Sysmex XE 2100; Dasit), while creatinine and albumin were measured by homogeneous-phase chemiluminescent enzyme immunoassay (Dimension VISTA 1500; Siemens). TSH (reference values: 0.35–4.5 µIU/mL), FT3 (reference values: 2.3-4.2 pg/mL), FT4 (reference values: 0.8-1.75 ng/dL) and plasma cortisol were measured by chemiluminescence assay (ADVIA Centaur XP; Siemens); hs-CRP was measured using nephelometric method (Dimension VISTA 1500). The levels of IL-6 and TNF-α were determined with enzyme immunoassay (DRG Diagnostics).

Participating patients received a multidimensional geriatric evaluation comprising the following scales: Activities of Daily Living (ADL)¹⁴ and Instrumental Activities of Daily Living (IADL); 15 Mini–Mental State Examination (MMSE); 16 Geriatric Depression Scale (GDS);¹⁷ and Mini Nutritional Assessment (MNA).18 Comorbidities were assessed using the Cumulative Illness Rating Scale for Geriatrics (CIRS-G), from which we obtained the CIRS severity (CIRS-S), CIRS comorbidity index (CIRS-CI),19 and Charlson comorbidity index (CCI).²⁰ In patients able to collaborate, muscle strength was measured through handgrip dynamometry, and the degree of frailty was subsequently calculated using the Survey of Health, Ageing and Retirement in Europe Frailty Instrument (SHARE-FI).⁶ Patients enrolled in the study who were able to be moved without difficulty underwent dual-energy X-ray absorptiometry (DEXA) for the evaluation of lumbar and femoral bone mineral density (BMD), lumbar and femoral T-Score and Z-Score as well as the body composition.

Statistical analysis

The Kolmogorov–Smirnov test was used to assess normal distribution variables. Student's *t*-test and analysis of variance (ANOVA) were used to compare continuous variables. Pearson's linear regression was used to correlate continuous variables. Nonparametric correlations were evaluated using Spearman's rank correlation, as indicated. One-way ANOVA and simple linear correlation were used to assess the relationship between continuous variables.

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Logistic regression was used to assess the relative influence of independent variables on FT3 and the Frailty score.

Analysis of covariance (ANCOVA) was used to assess the weight of the fracture on the relationship between FT3 and the Frailty score. An analysis of the receiver operating characteristic (ROC) curve and the area under the curve (AUC) was undertaken to assess the accuracy of FT3 in the distinction between frail and nonfrail subjects. All data are presented as mean \pm standard deviation (SD). Values of P < 0.05 were considered significant. Statistical analysis was performed using Stat View 5 (SAS Institute, Cary, NC, USA). Graphs were designed using GraphPad Prism 5 (GraphPad Software Inc, San Diego, CA, USA).

Results

The study population consisted of 112 patients, of both sexes, aged between 65 and 98 years, whose anthropometric characteristics and features related to body composition are reported in Table 1. The data relating to comorbidity, frailty and multidimensional assessment are reported in Table 1.

Compared to subjects in the control group, patients with fractures had significantly lower average values of hemoglobin and albumin, as well as significantly greater average values of white blood cells (WBCs) and inflammatory markers (Table 2).

Patients with fractures had mean values of FT3 significantly lower than those observed in patients in the control group. The two groups did not differ in terms of mean values of TSH and FT4 (Table 2).

About 42.3% of the study participants had low levels of FT3: the prevalence of this condition was higher in the group with fractures compared with the control subjects (40.2% vs 2.1%, P<0.001).

Unlike FT4, FT3 was correlated with nutritional status (MNA), the degree of disability (ADL and IADL), comorbidities (CIRS-G) and muscle strength (handgrip), as shown in Table 3.

A linear regression analysis (Figure 1A and B) showed the absence of a statistically significant association between Frailty score and FT4, as well as the presence of an inverse, statistically significant relationship between Frailty score and FT3 (r=-0.436; P<0.001). In Figure 1B, it is evident how the two groups, namely, fractured and control, are clearly distinct from each other. An ANCOVA showed that FT3 values, despite being strongly dependent on the fracture, remained closely associated with the degree of frailty of the subject (Figure 1C).

In the entire study population, FT3 was significantly lower in frail subjects compared to prefrail and not frail subjects (Figure 2) (ANOVA F=6.64; P<0.005).

Table I Characteristics of the study population

| Parameters | Total population (n=112) | Patients admitted for fracture (n=62) | Outpatients (n=50) | <i>P</i> -value |
|---------------------------|-----------------------------|---------------------------------------|-----------------------|-----------------|
| Age, years | 79.1±7.0 | 79.9±7.7 | 78.1±6.0 | 0.1792 |
| Body weight, kg | 68.68±14.29 | 66.04±12.57 | 71.58±15.58 | 0.0486 |
| Height, m | 1.62±0.09 | 1.61±0.08 | 1.63±0.09 | 0.1526 |
| BMI, kg/m ² | 26.12±5.06 | 25.55±4.88 | 26.75±5.23 | 0.2324 |
| Handgrip, kg | 20.39±8.48 | 16.80±7.74 | 23.98±7.69 | < 0.0001 |
| Fat mass, % | 33.20±8.05 | 34.60±8.36 | 32.53±7.90 | 0.3245 |
| Fat mass, kg | 22.94±8.63 | 21.87±8.15 | 23.45±8.89 | 0.4830 |
| Lean body mass, kg | 43.60±9.34 | 39.71±6.66 | 45.46±9.92 | 0.0164 |
| Bone mineral content, kg | 1.96±0.58 | 1.69±0.47 | 2.08±0.59 | 0.0072 |
| T-score for neck of femur | -1.90±1.16 | -2.54±0.97 | -1.57±1.12 | 0.0005 |
| CIRS-G | 8.36±4.18 | 8.01±4.32 | 8.79±3.99 | 0.3371 |
| CIRS-CI | 3.47±1.80 | 3.20±1.79 | 3.81±1.78 | 0.0539 |
| CIRS-S | 1.64±0.32 | 1.62±0.33 | 1.68±0.31 | 0.3304 |
| CCI | 2.86±1.77 | 2.80±1.80 | 2.94±1.76 | 0.6289 |
| Frailty score | 2.01±1.84 | 2.62±1.95 | 1.30±1.43 | 0.0002 |
| MMSE | 25.57±4.00 | 24.44±4.61 | 26.61±3.01 | 0.0061 |
| ADL | 5.30±1.30 | 4.83±1.64 | 5.82±0.39 | 0.0012 |
| IADL | 5.92±2.43 | 5.02±2.71 | 6.90±1.61 | 0.0009 |
| MNA | 23.94±4.22 | 22.74±4.74 | 25.34±3.02 | 0.0018 |
| GDS | 9.44±8.35 | 11.19±9.52 | 7.47±6.33 | 0.0759 |

Note: Values are presented as mean \pm standard deviation.

Abbreviations: BMI, body mass index; CIRS-G, cumulative illness rating scale for geriatrics; CIRS-CI, cumulative illness rating scale for geriatrics – comorbidity index; CIRS-S, cumulative illness rating scale for geriatrics – severity; CCI, Charlson comorbidity index; MMSE, Mini–Mental State Examination; ADL, activities of daily living; IADL, instrumental activities of daily living; MNA, Mini Nutritional Assessment; GDS, geriatric depression scale.

Table 2 Laboratory parameters

| Parameters | Total population (n=112) | Patients admitted for fracture (n=62) | Outpatients (n=50) | P-value |
|-------------------|--------------------------|---------------------------------------|--------------------|----------|
| | | | | |
| WBCs, 1000/μL | 8.09±2.92 | 9.37±3.08 | 6.52±1.68 | < 0.0001 |
| Glycemia, mg/dL | 120.20±40.73 | 124.39±43.52 | 114.98±36.73 | 0.2300 |
| Creatinine, mg/dL | 1.05±0.62 | 1.12±0.76 | 0.97±0.36 | 0.2038 |
| Albumin, g/dL | 3.35±0.79 | 2.72±0.37 | 4.12±0.35 | < 0.0001 |
| Cortisol, µg/dL | 17.73±6.83 | 20.26±7.29 | 14.59±4.62 | < 0.0001 |
| hs-CRP, mg/dL | 5.92±6.64 | 10.4±5.93 | 0.45±0.64 | < 0.0001 |
| IL-6, pg/mL | 64.94±74.70 | 109.89±76.73 | 13.73±17.91 | < 0.0001 |
| TNF-α, pg/mL | 11.17±9.38 | 13.99±11.33 | 7.69±4.22 | 0.0008 |
| TSH, μIU/mL | 2.05±2.04 | 2.09±1.64 | 2.01±2.47 | 0.8476 |
| FT3, pg/mL | 2.54±0.71 | 2.02±0.47 | 3.15±0.38 | < 0.0001 |
| FT4, ng/dL | 1.24±0.20 | 1.23±0.21 | 1.25±0.21 | 0.5682 |

Note: Values are presented as mean \pm standard deviation.

Abbreviations: WBC, white blood cell; hs-CRP, high-sensitivity C-reactive protein; IL, interleukin; TNF, tumor necrosis factor; TSH, thyroid stimulating hormone; FT3, free triiodothyronine; FT4, free thyroxine.

In a logistic regression model in which frailty was considered the dependent variable, the best predictor of the hormonal parameters of frailty was represented by FT3 (χ^2 =4.358; P<0.05).

Analysis of the ROC curve (Figure 3) showed that, in our study population, FT3 is an accurate parameter for identifying vulnerable subjects (AUC =0.8520; P<0.001). Taking FT3 values less than 2.3 pg/mL as cutoff, FT3 is able to distinguish *frail* subjects from the *not frail* ones, with a sensitivity of 73.91% (95% confidence interval [CI]: 51.60%–89.77%) and a specificity equal to 74.19% (95% CI: 55.39%–88.14%).

Discussion

Patients with hip fractures had a significant reduction in serum concentrations of FT3, but not of FT4. These changes are suggestive of the presence of NTIS. It is well known that NTIS is a clinical condition characterized by changes in the serum concentrations of thyroid hormones not caused by intrinsic abnormalities of thyroid function.²¹ Often, markedly

Table 3 FT3 and FT4 correlations

| Scales | FT3 | | FT4 | |
|---------------|--------|---------|--------|---------|
| | r | P-value | r | P-value |
| MNA# | 0.401 | 0.0001 | 0.029 | 0.7849 |
| ADL* | 0.339 | 0.0012 | 0.202 | 0.0579 |
| IADL* | 0.344 | 0.0009 | 0.193 | 0.0697 |
| CIRS-G* | -0.210 | 0.0412 | 0.017 | 0.8672 |
| Handgrip, kg# | 0.354 | 0.0013 | -0.002 | 0.9985 |

Notes: *Pearson's linear correlation. *Spearman's rank correlation.

Abbreviations: FT3, free triiodothyronine; FT4, free thyroxine; MNA, Mini Nutritional Assessment; ADL, activities of daily living; IADL, instrumental activities of daily living; CIRS-G, cumulative illness rating scale for geriatrics.

reduced FT3 is observed in elderly subjects suffering from acute diseases or undergoing surgery. However, a slight reduction of FT3 values can also be found in apparently healthy elderly subjects.^{22,23}

The prevalence of NTIS is high in the elderly, reaching 31.9% in elderly patients hospitalized for acute illness.^{24,25} The main alteration found in NTIS is the reduction of serum concentrations of either T3 or FT3,²⁶ generally in association with normal or reduced concentrations of T4 or FT4, normal levels of TSH and increased levels of reverse T3 (rT3).^{26–28} From a pathogenic point of view, both the reduction of FT3 concentrations as well as the increase of rT3 concentrations appear to be the consequence of a reduction in the activity of type I deiodinase, an enzyme that physiologically promotes the conversion of T4 into T3 and the catabolism of rT3 into T2.^{21,23}

In the presence of acute or chronic diseases, as well as after surgery, an inflammatory process is activated, characterized by an increase in plasma concentrations of cytokines, such as IL-1, IL-6 and TNF-α, apart from cortisol.²⁹ Both the excess cortisol and cytokines appear to play an essential role in the pathogenesis of NTIS. As reported in numerous studies in humans, high levels of glucocorticoids suppress the pituitary response to thyrotropin releasing hormone (TRH), resulting in a reduction in serum concentrations of TSH and causing a modest decline in the levels of thyroid hormones.³⁰ Meanwhile, IL-6 appears to have a direct inhibitory role on the activity of type I deiodinase,³¹⁻³³ preventing the conversion of T4 into T3 and the catabolism of rT3.

In our study, the reduction in serum concentrations of FT3 is a clear manifestation of stress associated with a "fracture" event. The effect of a fracture on FT3 concentrations,

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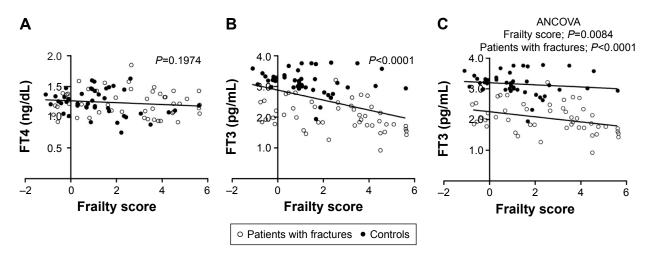


Figure 1 Linear correlation between FT4 and Frailty score (A); linear correlation between FT3 and Frailty score (B); effect of fracture on linear correlation between FT4 and Frailty score (C).

Abbreviations: ANCOVA, analysis of covariance; FT3, free triiodothyronine; FT4, free thyroxine.

however, does not appear to be related only to the action of IL-6, other proinflammatory cytokines and hormones responding to stress, such as cortisol, although their concentrations significantly increase in fracture patients compared with the levels in control subjects. Numerous preexisting factors, such as the fracture patient's nutritional status, sarcopenia, disability and comorbidities, which characterize the condition of frailty and influence its pathogenesis, are strongly correlated with the values of FT3.

Several studies have shown the existence of a significant relationship between frailty, disability and comorbidities³ and have established that, although frailty is a condition distinct from comorbidity and disability, it often overlaps with the latter two: when associated together, frailty and comorbidities can predict disability, disability can induce frailty and worsen comorbidity, and comorbidity may contribute to the

development of frailty.³⁴ These relationships, along with the direct inverse association between frailty and nutritional status, are well documented in several articles^{35–37} and were confirmed in our study (data not shown).

It is known that sarcopenia, defined as a syndrome characterized by a progressive loss of muscle mass and muscle strength, ³⁸ contributes to the development of frailty. ³⁹ A bone–muscle cross talk is involved in maintaining bone and muscle integrity. In sarcopenic patients with hip fragility fractures, reduced expression of bone morphogenetic proteins (BMP2-4) has been demonstrated. ⁴⁰

Our study also found that sarcopenia is inversely correlated with the Frailty score (data not shown). Similarly to the results obtained for the variable "frailty" regardless of the event of fracture, in our study population, we observed lower values of FT3 in patients with a greater number and

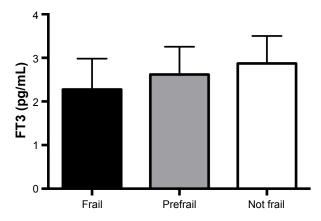


Figure 2 FT3 concentration (mean \pm SD) in frail, prefrail and not frail subjects. Note: ANOVA F=6.64; P<0.005.

Abbreviations: ANOVA, analysis of variance; FT3, free triiodothyronine; SD, standard deviation.

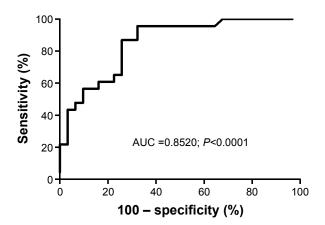


Figure 3 ROC curve for low FT3 concentration in the process of discriminating frail/prefrail subjects from not frail subjects.

Abbreviations: AUC, area under the curve; FT3, free triiodothyronine; ROC, receiver operating characteristic.

greater severity of comorbidity, as well as in those with a worse nutritional status and with greater disability. Therefore, on the one hand, a reduction in FT3 depends on the same factors that contribute to frailty, which, in turn, may be worsened by low values of FT3 or may simply coexist with them; on the other hand, low values of FT3 can contribute to the development of frailty.

The hypothesis of a link between thyroid hormone abnormalities and frailty, which was recently suggested by Abdel-Rahman et al¹¹ in patients with chronic kidney disease, is consistent with our data.

Conclusion

A reduction in FT3 may be considered to be the consequence of multiple events, such as malnutrition as well as acute and chronic diseases. The latter also contribute to determining frailty and are independently present before the event of a fracture, which, in turn, is a further important cause of a decline in FT3. Furthermore, as mortality is higher in frail subjects than in more robust subjects,^{3,41} several studies have shown that lower FT3 values are predictive of mortality.⁴²

The diagnosis of NTIS is not univocal: as the dosage of rT3 is not available in clinical practice, it is generally linked to overcoming the threshold corresponding to the lower limit of normal FT3 levels. ⁴³ Both the increase in rT3 and a decline in FT3 are proportional to the severity of the syndrome and thus to the severity of the clinical condition. A decline in T3 is a progressive and continuous phenomenon that follows the increase in rT3, and it is therefore likely that the initial or milder forms of NTIS are not diagnosed solely on the basis of FT3 values.

We conclude that measuring FT3 can be a useful laboratory parameter in clinical assessment, which can play an important role in identifying vulnerable elderly subjects and in quantifying the condition of frailty. Furthermore, it deserves to be considered as an additional risk factor for mortality in elderly patients hospitalized for hip fracture.

Study limitations

There are two main limitations to the study: 1) the small sample of participants; 2) a possible selection bias in that many patients with fractures were cognitively compromised and thus not in a position to give informed consent for their participation in the study. Nevertheless, this limitation should have had such an effect as to reduce the differences observed.

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Author contributions

AB and AV participated in study concept and design, acquisition of subjects and data, analysis and interpretation of data, and manuscript preparation. MAC participated in acquisition of subjects and data. EG participated in acquisition of subjects and clinical management of patients with fractures. MF participated in study concept and design, acquisition of subjects, and data analysis. UT participated in study concept and design, as well as acquisition of subjects. All authors contributed toward data analysis, drafting and critically revising the paper and agree to be accountable for all aspects of the work.

Disclosure

The authors report no conflicts of interest in this work.

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