

Abstract

The amygdala is a cluster of subcortical nuclei that receives diverse sensory inputs and projects to the cortex, midbrain and other subcortical structures. Numerous accounts of amygdalar contributions to social and emotional behavior have been offered, yet an overarching description of amygdala function remains elusive. Here we adopt a computationally explicit framework that aims to develop a model of amygdala function based on the types of sensory inputs it receives, rather than individual constructs such as threat, arousal, or valence. Characterizing human fMRI signal acquired as participants viewed a full-length film, we developed encoding models that predict both patterns of amygdala activity and self-reported valence evoked by naturalistic images. We use deep image synthesis to generate artificial stimuli that distinctly engage encoding models of amygdala subregions that systematically differ from one another in terms of their low-level visual properties. These findings characterize how the amygdala compresses high-dimensional sensory inputs into low-dimensional representations relevant for behavior.

Main

Introduction

naturalistic images from two affective image databases (Bradley and Lang, 2007; Kurdi et al., 2 2017). Second, we use deep image synthesis (Nguyen et al., 2016; Bashivan et al., 2019) to generate visual stimuli that maximally engage amygdala subregions and subsequently identify which visual properties make them distinct. Collectively, these tests establish a framework for understanding amygdala function by characterizing how it transforms visual inputs into low-dimensional representations that can be used to guide behavior.

Methods

Development of Amygdala Encoding Models

We fit encoding models (Naselaris et al., 2011) to develop image computable models that take images presented to participants as inputs and predict amygdala responses (Figure 1). Based on anatomical and functional connectivity (Amaral and Price, 1984; Kravitz et al., 2013), we used a deep convolutional neural network that approximates the primate ventral visual stream (Kar et al., 2019) as it extracts highly processed visual features that are fed forward into lateral amygdala. We fit models using brain responses to naturalistic audiovisual stimuli with rich socioemotional content known to engage the amygdala.

Neuroimaging Experiment

Functional magnetic resonance imaging (fMRI) data for this study were sampled from the Naturalistic Neuroimaging Database (NNDb) (Aliko et al., 2020). Detailed descriptions of the participants, the paradigm used for data acquisition, and the preprocessing of the fMRI data have been described elsewhere (Aliko et al., 2020; Soderberg et al., 2023). Briefly, blood oxygen level 21 dependent (BOLD) data from 20 subjects viewing a full-length motion picture film 500 Days of

- 1 Summer was previously collected in a 1.5 T Siemens MAGNETOM Avanto with a 32 channel
- 2 head coil (Siemens Healthcare, Erlangen, Germany) and consequently used for this study.

Figure 1. Schematic of encoding model workflow. A full-length movie was shown to participants concurrent with fMRI and was input to a deep convolutional neural network to extract features from frames of the video stimulus. Partial least squares regression identified a mapping between visual features and amygdala response patterns for each subject $(N = 20)$. V1-V4: visual areas 1-4; IT: inferotemporal cortex; conv: convolutional layer; fc: fully connected layer; PLS: partial least squares.

3 Feature Extraction

We used a deep convolutional neural network, EmoNet (Kragel et al., 2019), as a feature extractor for encoding models. This model was finetuned from AlexNet (Krizhevsky et al., 2012) to classify emotional scenes and consists of five convolutional layers and three fully connected layers. We passed every fifth frame of the movie shown to participants during scanning as inputs to EmoNet and extracted features from the penultimate layer fc7 because this layer best approximates later stages of processing in the ventral visual pathway (Horikawa and Kamitani, 2017; Kragel et al., 2019).

11 Regions of Interest

patterns using partial least squares regression. Regression models were regularized by retaining

20 components. We calculated the correlation between the predicted and observed activations for

each voxel and normalized the coefficients using Fisher's Z transformation for inference.

Statistical Inference

To assess whether performance was above chance levels, we conducted one-sample t-tests on voxel-wise and region-average data. Voxel-wise inference was performed using false 6 discovery rate correction with a threshold of $q < .05$. To test for differences in predictive performance across amygdala subregions, we performed a one-way repeated measures ANOVA. We specified planned contrasts that compared the performance of amygdala encoding models in the LB subregion with other amygdala subregions (CM, SF, AStr), the performance of the CM subregion to the SF and AStr subregions, and the performance in the SF subregion to the AStr subregion.

Evaluating Encoding Model Responses to Affective Images

We validated encoding models using naturalistic images from standardized affective image databases (i.e., the International Affective Picture System (Bradley and Lang, 2007) and the Open Affective Standardized Image Set (Kurdi et al., 2017)). The goal of this experiment was to determine whether the predicted activations from our encoding models would behave similarly to human brains—exhibiting increased engagement along the dimensions of valence or arousal (Lindquist et al., 2016). Because it is well-established that differences in low-level visual properties are associated with alterations in valence and arousal in these databases (Anders et al., 2008; Styliadis et al., 2014; Bonnet et al., 2015; Hartling et al., 2021), we also accounted for variation with low-level visual features, namely color (red, green, blue) and spatial power (high and low spatial frequencies).

We used the naturalistic images as inputs to encoding models and tested for associations with normative valence and arousal ratings, and their interactions. We performed this analysis on both the IAPS and OASIS datasets. For each region, the responses to every image for each of the 20 encoding models (one per subject) were obtained by multiplying the activation produced in layer fc7 of EmoNet with the regression coefficients of that subject's encoding model. We obtained the normative valence and arousal ratings for each of the naturalistic images. We then extracted the low-level visual features of color intensity (red, blue, and green) and spectral power (high and low frequencies). We produced color histograms for each IAPS and OASIS image and calculated the median value for each color. We calculated the power spectral density of each image using Fast Fourier Transform and then defined low frequencies as those with a radius < 30 pixels in Fourier space and high frequency as those with a radius > 50 pixels. We conducted linear regression models with either the amygdala or visual cortex as the outcome variable using standardized predictor variables of valence ratings, arousal ratings, the

interaction between valence and arousal (coded such that more positive and arousing images would produce the strongest response in an encoding model) and controlling for the low-level visual features of the median intensity of red, green, and blue, and the power in high and low spatial frequency bands. We used the fitlme function in MATLAB to build the models for each subject and performed group t-tests on the betas, treating subject as a random variable.

Controlling Amygdala Encoding Model Responses using Deep Image Synthesis

Figure 2. Artificial image synthesis procedure. A deep generator network (DGN; blue arrow) initialized with a random code produces an artificial stimulus (yellow) that is fed as input into the encoding model (red). Beta estimates specifying the relationship between unit activity in the deep convolutional network and BOLD response patterns serve as the target for activation maximization. Forward and back propagation update the code to modify and generate an artificial stimulus that maximizes activation patterns in the target region. up: upconvolutional layer; conv: convolutional layer; fc: fully connected layer.

Artificial stimuli were generated with a random starting seed for each image. The optimization algorithm did not converge for some seeds (producing an identical image); these images were excluded from subsequent analyses. As a result, 4-5 different artificial stimuli were generated for each region of interest for each subject, resulting in 80 artificial stimuli synthesized per region of interest. An exception to this was the artificial stimuli generated for the inferotemporal cortex; because it was used as a control region, 9 artificial stimuli were generated for each subject resulting in a total of 160 artificial stimuli.

To assess the selectivity of encoding models, we assessed whether they responded differentially to generated stimuli optimized for different regions of interest. Following the same 10 procedures used evaluate the naturalistic stimuli, we fed the artificial stimuli ($n = 686$) into all encoding models and obtained a predicted activation for each of the artificial stimuli. We also characterized low-level visual features such as color (red, blue, and green) and spectral power (high and low frequencies) found in the synthesized artificial stimuli as predictors in our models. We performed linear regressions on standardized variables to confirm that the synthesized images activated their intended targets. We fit mixed-effects models for each subject with target region for image synthesis (on vs off target), the subject used for image synthesis, and the low-level visual features described above as predictors for within subject fixed effects. Separate models were run to predict the activation of the amygdala, each of its subregions (LB, CM, SF and AStr), and visual cortex. We used the fitlme function in MATLAB to build each model and 20 compared the betas of the models using t -tests.

To evaluate the discriminability of artificial stimuli, we performed a supervised classification and examined confusions between the predicted and actual region targeted for optimization. Multi-way classification models were estimated using partial least squares

discriminant analyses (7 components). Generalization performance was estimated using 5-fold cross validation. Confusions between different image classes were assessing using a hierarchical approach in a 7-way classification, with the number of clusters set to be the maximum number of clusters in which all pairs of clusters are statistically discriminable from one another. To visualize the results of this analysis, we generated a t-SNE plot (Maaten and Hinton, 2008) based on the model predictions for each of the artificial stimuli.

7 Results

8 We found that visual features captured by deep convolutional neural networks are 9 encoded in amygdala responses to naturalistic, dynamic videos. Voxel-wise tests showed that the 10 mean performance of encoding models was well above chance (Figure 3). A mixed effects model 11 revealed that predictions of the average amygdala response were also above chance ($\hat{\beta} = .049$, SE $12 = 0.0053$, $t(53) = 9.27$, $p < 0.001$), and that there were marked differences in performance across 13 amygdala subregions (∆BIC = 23.5, Likelihood Ratio = 36.5, p < .001). The first contrast 14 comparing LB to the other three subregions did not result in statistical significance ($\hat{\beta} = -0.0012$, 15 $SE = .0012$, $t(53) = -1.04$, $p = .304$). The other two contrasts indicated differences between the 16 performances of CM and the average of SF and AStr $(\hat{\beta} = .0036, SE = .0015, t(53) = 2.39, p =$ 17 .020), and between the SF and AStr $(\hat{\beta} = .017, SE = .0026, t(53) = 6.47, p < .001)$. Post-hoc tests 18 indicated that there were differences between CM and AStr ($\hat{\beta} = .027$, $SE = .0050$, $z = 5.45$, $p <$ 19 .001), SF and AStr ($\hat{\beta} = .033$, $SE = .0050$, $z = 6.64$, $p < .001$), SF and LB ($\hat{\beta} = .018$, $SE = .0054$, 20 $z = 3.33$, $p = .005$), LB and AStr ($\hat{\beta} = .015$, $SE = .0054$, $z = 2.84$, $p = .023$), but not between CM 21 and LB or between SF and CM. Thus, the sets of voxels for SF and CM exhibited the highest 22 performance, followed by voxels for LB, and then the voxels for AStr.

Figure 3. ANN-based encoding models predict human amygdala responses to naturalistic videos. a) Amygdala activation is predicted by encoding models fit on naturalistic videos (group t-statistic computed on the cross-validated correlation between predicted and observed BOLD responses). Maps are displayed with a threshold of q_{FDR} < .05. b) Rendering of amygdala parcellation (Julich-Brain Cytoarchitectonic Atlas). Blue, LB: laterobasal; yellow, SF: superficial; Orange, CM: centromedial; green, AStr: amygdalostriatal. (c) Violin plots of average predictive performance of encoding models in each subregion. Each point corresponds to a single subject $(N = 20)$. Error bars reflect standard error of the mean. $*_{p}$ < .05, $*_{p}$ < .01, $*_{p}$ $*_{q}$ $_{FDR}$ < .05

1 Predicting the response of amygdala-based models along dimensions of valence and arousal

2 We validated our encoding models on images from the IAPS and OASIS datasets that

- 3 have been shown to produce increases in amygdala activity (Britton et al., 2006; Haj-Ali et al.,
- 4 2020; Hartling et al., 2021) along the dimensions of valence (Garavan et al., 2001; Anders et al.,
- 5 2004, 2008; Mather et al., 2004; Aldhafeeri et al., 2012; Styliadis et al., 2014) and arousal in
- 6 humans (Canli et al., 2000; Kensinger and Schacter, 2006). Consistent with previous fMRI
- 7 studies that show increased amygdala responses to positively valent stimuli, we found that the
- 8 amygdala encoding model captured linear increases in valence ($\hat{\beta} = .0095$, $t(19) = 3.13$, $p = .006$,
- $d = 0.70$; Figure 4). Encoding model responses did not track arousal ($\hat{\beta} = .0006$, $t(19) = 0.18$, $p =$

1. $.861, d = 0.04$ or the interaction between valence and arousal ($\hat{\beta} = -.0034, t(19) = -1.48, p =$ 2 .155, $d = -0.33$). Moreover, we found that the amount of red color ($\hat{\beta} = .0073$, $t(19) = 2.24$, $p =$ 3 .037, $d = 0.50$ and high frequency spatial power ($\hat{\beta} = .0211$, $t(19) = 2.96$, $p = .008$, $d = 0.66$) 4 within images also predicted activations in amygdala models. 5 Given recent findings from multivariate decoding studies demonstrating that the 6 amygdala encodes valence along a single dimension that ranges from unpleasantness to 7 pleasantness (Jin et al., 2015; Tiedemann et al., 2020), we performed a series of regressions 8 examining associations with valence separately for negative $(z < 0)$, neutral (absolute value of z 9 \leq 1), and positive (z > 1) images. If the amygdala encoding model predicts valence across the 10 full valence spectrum using a single continuous representation, then we would expect all three

Figure 4. Amygdala encoding model responses to images from the standardized affective images. The predicted response to images from the International Affective Picture System (IAPS) and the Open Affective Standardized Image Set (OASIS). Predictions were generated from regression models predicting encoding model responses based on valence, arousal, the interaction between valence and arousal. Surface plots show responses averaged across the entire amygdala, visual cortex, and within amygdala subregions.

regressions to exhibit a positive relationship. Alternatively, the amygdala may encode coarse-grained differences in valence extremes using a discontinuous function, consistent with bivalent models of affect (Bradburn, 1969; Watson and Tellegen, 1985; Cacioppo et al., 2012; Mattek et al., 2017).

Consistent with the latter hypothesis, we found amygdala encoding models respond to valence in a piecewise, discontinuous manner. Increasingly negative images produced greater 7 activations in the encoding model ($\hat{\beta} = -.0140$, $t(19) = -2.59$, $p = .018$, $d = -0.58$). Valence coding 8 shifted within the neutral range, as more positive images produced greater activations ($\hat{\beta} = .0187$, $t(19) = 4.37, p < .001, d = 0.98$. This coding continued for more extreme positive images, as 10 they produced greater activations in the encoding model ($\hat{\beta} = .0126$, $t(19) = 2.46$, $p = .024$, $d =$ 0.55). These results suggest that the encoding model captures coarse-grained differences between valence extremes and also a more fine-grained, nonlinear representation of valence.

As our overarching hypothesis is that the amygdala functions to select among many possible behaviorally relevant sensory features, we next examined whether affective variables encoded in the activity of the visual cortex differed from those of amygdala responses. Examining relationships between visual cortex encoding model predictions and normative 17 affective variables, we found a positive association with valence ($\hat{\beta} = .0188$, $t(19) = 5.06$, $p <$ 18 .001, $d = 1.13$) and arousal ($\hat{\beta} = .0104$, $t(19) = 2.74$, $p = .013$, $d = 0.61$), and a significant interaction ($\hat{\beta} = -.025$, $t(19) = -8.05$, $p < .001$, $d = -1.80$), such that the encoding model responded more with increasing arousal for negative compared to positive stimuli. These results are broadly consistent with data showing that amygdala feedback modulates early visual responses (Liu et al., 2022) and that visual cortex encodes representations of multiple affective variables (Miskovic and Anderson, 2018; Kragel et al., 2019; Li et al., 2019; Bo et al., 2021).

Table 1. Effects of valence and arousal on amygdala subregions. LB: laterobasal; SF: superficial; CM:

18 centromedial; AStr: amygdalostriatal.

1 Controlling encoding models of distinct

2 amygdala subregions

23 from one another in a 6-way classification with

Visual Cortex

sub₁₈

sub13

sub4

LB

sub² SF

sub²

CM

sub1

AStr

Amygdala

sub18

sub₁₄

 $sub8$

sub₁₈

sub⁻

sub₁₄

Figure 5. Representative artificial stimuli for each target region. LB: laterobasal; SF: superficial; CM: centromedial; AStr: amygdalostriatal; sub: subject.

16

1 71.7 \pm 1.7% (SE) accuracy (chance accuracy = 21.96 \pm 16.4%), demonstrating a high degree of functional specialization (Figure 6).

Discussion

We found that amygdala processing can be characterized using a systems identification framework. Encoding models using features from deep convolutional neural predicted BOLD activity within multiple amygdala nuclei during free viewing of a cinematic film. In independent validation tests, the amygdala encoding model consistently responded to differences in valence and its interaction with arousal, the amount of red color, and high spatial frequency power of affective images, consistent with prior work investigating amygdala responses to these stimuli (Garavan et al., 2001; Anders et al., 2004, 2008; Styliadis et al., 2014). Furthermore, stimuli synthesized to engage amygdala subregions were visually distinct, alluding to differences in the specialization of amygdala subregions. We take these findings to show that one function of the amygdala is to transform sensory inputs from the ventral visual stream to produce representations related to valence.

Our findings demonstrate how encoding models can be used to characterize the interface between sensory pathways and downstream regions involved in cognition and emotion. A large body of work has used hand-engineered (Jones and Palmer, 1987; Lee, 1996; Dumoulin and Wandell, 2008) and data-driven (Fukushima, 1988; Riesenhuber and Poggio, 1999) features to characterize the primate visual system. Deep convolutional neural networks have been developed as models of the ventral visual stream—providing a better match to the complexity of biological systems underlying perception (Yamins and DiCarlo, 2016; Kar et al., 2019). The existing literature work has generally focused on identifying the best one-to-one mappings between specific features and the responses of distinct visual areas to carefully controlled stimuli, with the

Figure 6. ANN-generated stimuli selectively engage encoding models of different regions of interest. a) t -SNE plot, b) optimal clustering solution, and c) normalized confusion matrix of predicted activations of stimuli in encoding models color-coded by region of interest. Confusion matrix shows above chance performance. amy: whole amygdala; IT: inferotemporal cortex; VC: visual cortex; AStr: amygdalostriatal transition zone; CM: centromedial amygdala; LB: laterobasal amygdala; SF: superficial amygdala.

1 goal of identifying a fully mappable model of the visual system (Yamins and DiCarlo, 2016)

- 2 ranging from the retina to the anterior temporal lobe. Here we explored mappings that diverge
- 3 from ventral stream involvement in visual recognition to characterize a system central to
- 4 emotional behavior, the amygdaloid complex (O'Neill et al., 2018).

5 Characterizing amygdala function using an encoding model framework is a departure

- 6 from common methods that involve measuring amygdala responses to one or a few variables at a
- 7 time (Garavan et al., 2001; Anderson et al., 2003; Anders et al., 2004, 2008; Kensinger and

Schacter, 2006; Styliadis et al., 2014; Jin et al., 2015; Haj-Ali et al., 2020; Tiedemann et al., 2 2020). Whereas conventional studies are built upon well-founded assumptions that the amygdala is involved in processing specific variables such as threat, reward, pleasure, and intensity, among others, we relaxed these constraints and predicted that amygdala responses can be approximated as an image-computable function of signals present in the sensory array. Thus, although we did not assume any specific variable was encoded in amygdala activity, we found that amygdala encoding models were sensitive to variation in the normative valence and arousal evoked by images.

In line with our observation that the average response of the amygdala encoding model increased from negative to positive extremes of the valence continuum, recent multivariate decoding studies have shown that the amygdala unidimensionally represents the valence of odors (Jin et al., 2015) and images of food (Tiedemann et al., 2020). Together, these findings are broadly consistent with studies reporting the amygdala is involved in reward learning and evaluating social images (Baxter and Murray, 2002; Adolphs and Spezio, 2006). They are also congruent with work in nonhuman primates showing that both pleasant and unpleasant stimuli engage distributed neural populations in the amygdala (Paton et al., 2006; Belova et al., 2008), and with fMRI evidence showing that the amygdala participates in a distributed network of brain regions sensitive to fluctuations in hedonic valence (Kragel et al., 2023).

In addition to variation related to valence extremes, we observed nonlinearities in encoding model responses to affective images, such that responses were greater for highly valent compared to neutral stimuli. This pattern of results has been observed in response to olfactory (Winston et al., 2005) and auditory (Fecteau et al., 2007) stimulation. Whereas unidimensional coding of valence was widespread throughout the amygdala, we found this interactive effect

the relative simplicity of the encoding model used. As we developed encoding models using

amygdala activity. This is perhaps unsurprising, given the complexity of the movie stimulus and

static visual features useful for classifying emotional scenes, amygdala responses to emotional stimuli from other sensory modalities (e.g., auditory and linguistic signals), those that habituated over time, or were dependent on learning taking place over the course of the movie stimulus could not be predicted using our approach. We anticipate that amygdala responses influenced by these factors can be characterized using similar approaches, given connections between the amygdala and brain regions involved in reinforcement learning, audition, and language (Price, 2003; Koelsch et al., 2013; Abivardi and Bach, 2017), and the success of computational models in characterizing the function of these systems (Yamins and DiCarlo, 2016; Cross et al., 2021). Amygdala encoding models were trained on the visual input of one full-length motion 10 picture film, 500 Days of Summer, and on the corresponding brain data of 20 subjects viewing this movie. This full-length movie is sufficiently complex with both positive and negative valence scenes, faces, and other visual content, although it may have been limited in its ability to evoke robust and varied emotional experiences, including acute fear (Hudson et al., 2020). Future studies using different movies, videos, or other dynamic visual stimuli to train encoding models are needed to identify the set of variables encoded by the amygdala, and to assess the extent to which they are context dependent or generalize across stimulus types (Čeko et al., 2022) and situations (Kragel et al., 2023).

In conclusion, our study shows that the amygdala encodes multiple features of visual stimuli, ranging from low-level features such as color and spectral power to more complex features along the dimension of valence, with marked differences between the features that individual amygdala subregions represent. Thus, perhaps what is driving the amygdala can be thought of as something beyond a single dimension or a handful of constructs, but rather a large

- 1 array of features yet to be identified and objectively examined to understand how the amygdala
- 2 coordinates emotional behavior.

References

Author Contributions

- Conceptualization, methodology, formal analysis, writing, validation, and visualization, PAK;
- conceptualization, formal analysis, writing, and visualization, GJ.

Data Availability

- The fMRI data used to fit encoding models is available at
- https://openneuro.org/datasets/ds002837/versions/2.0.0. Data used for fine-tuning EmoNet are
- 8 available upon request from https://goo.gl/forms/XErJw9sBeyuOyp5Q2. Other data relevant to
- this project is available at https://osf.io/r48gc/.

Code Availability

- Code for all analyses will be made available upon publication at GitHub at
- https://github.com/ecco-laboratory/AMOD. The code used for implementing EmoNet in Python
- is available at https://github.com/ecco-laboratory/emonet-pytorch.