# **BMJ Open** Effect of surgical treatment for anorectal melanoma: a propensity score-matched analysis of the Surveillance, Epidemiology, and End Results programme data

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## ABSTRACT

**Objective** Anorectal melanoma (AM) is a rare but aggressive tumour with limited information in the existing literature. This study aimed to assess the effect of surgical treatment for AM and predict the prognosis of affected patients.

Design A retrospective cohort study.

**Setting** Data of patients diagnosed with AM between 1975 and 2016 in the USA were collected from the Surveillance, Epidemiology, and End Results (SEER) database.

**Participants** This study enrolled a total of 795 patients with AM from the SEER database and the validation cohort comprised 40 patients with AM enrolled from Chinese institutes.

**Primary and secondary outcome measures** Overall survival (OS) and AM-specific survival (AM-SS).

Results A total of 795 patients with AM diagnosed between 1975 and 2016 were enrolled in this study. Data over the past four decades showed a trend of increase in incidence rate. A nomogram based on a multivariate Cox regression model was generated to predict AM-SS. The Cindex of the nomogram was 0.74 (95% CI 0.71 to 0.77) on internal verification. In the validation cohort, the C-index of the nomogram was 0.72 (95% CI 0.68 to 0.76). The results of propensity score matching (PSM) analysis showed that patients who underwent surgical treatment achieved significant survival (OS: log-rank=17.41, p<0.001; AM-SS: log-rank=14.55. p<0.001). Patients who underwent surgery were stratified into local and extended surgery subgroups. AM-SS and OS were also compared after PSM, but the results were not significantly different between the two surgery subgroups (all p>0.05).

**Conclusions** The nomogram based on the analysis of SEER data showed good performance in predicting OS and AM-SS. Patients with AM can benefit from surgery; however, extensive surgery and appendectomy may not improve AM-SS or OS.

## BACKGROUND

Anorectal melanoma (AM) is a subtype of mucosal melanoma that originates from the sinonasal, anorectal and genitourinary

## Strengths and limitations of this study

- The study explored the effect of prognostic factors on overall survival and developed a nomogram to predict the 5-year dynamic death rate of patients with anorectal melanoma.
- The study compared the overall survival between patients who did and did not undergo surgery and used propensity score matching analysis to eliminate bias, and confirmed that extensive surgery and lymphadenectomy did not improve survival in patients with primary anorectal melanoma.
- Study limitations included the rarity of the disease which resulted in enrolment of an insufficient number of patients and potential misclassification of histological data from the Surveillance, Epidemiology, and End Results (SEER) database.
- The change in the incidence of anorectal melanoma may be an artefact caused by recording or coverage of the SEER database, which may make the conclusion less credible.

mucosa and has a dismal prognosis.<sup>1–3</sup> It accounts for about 1.5% of all melanoma cases and has an incidence of about 2.7 patients per 10 million population per year in the USA.<sup>4.5</sup> However, due to its low incidence and the lack of clinical information, a standardised treatment for AM is lacking.<sup>6</sup> AM is likely to remain unnoticed and diagnosed at an advanced stage due to its non-specific symptoms. Therefore, AM has become an aggressive subtype of melanoma, with a 5-year overall survival (OS) rate of 14%–20%.<sup>7</sup>

The survival rate of some patients with AM has recently increased due to the development of targeted therapies and immunotherapy.<sup>289</sup> Nevertheless, surgical resection remains the most effective therapy for patients with AM. However, patients with AM with distant metastases may not gain significant survival benefits

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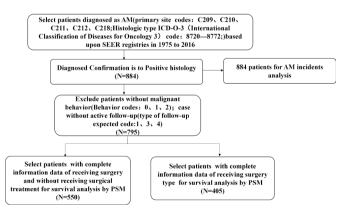
Professor Chen Zihua; Zihuachenxy@126.com from surgery, and the standard operative area for resection and lymph node (LN) dissection is controversial.<sup>10 11</sup> Surgical treatments generally include limited resection (LR) and extended resection (ER). ER refers to tumour resection and LN removal, while LR refers to tumour resection without LN dissection. Compared with LR, ER may control the lymphatic spread of melanoma and result in lower local relapse rates, but can also result in prolonged hospital stays, injury and a low quality of life.

The search for more effective prognostic models for AM is limited due to the rarity of the disease.<sup>12</sup> Most recent evidence of AM relies only on small case series from single institutions.<sup>13–15</sup> Therefore, we investigated the effect of surgery on patients with AM from the Surveillance, Epidemiology, and End Results (SEER) database, which includes information from a large population.

## MATERIALS AND METHODS Data source

This retrospective review analysed all patients with AM enrolled in the SEER database who were diagnosed between 1975 and 2016. The SEER programme includes a large public cancer database of patients from the USA and is updated annually.<sup>16</sup> A total of 795 patients diagnosed with AM were selected for OS and AM-specific survival (AM-SS) analyses based on the following criteria: cases with primary site codes (rectum or anus) of C209, C210, C211, C212 and C218; and ICD-O-3 (International Classification of Diseases for Oncology 3) histological type code for melanoma of 8720-8772. Patients without a positive histological confirmation of AM were excluded. Patients without malignant tumour behaviour (behaviour codes: 0, 1 and 2) or without active follow-up (type of follow-up expected codes: 1, 3 and 4) were also excluded. The results of the selection process are shown in figure 1.

Patients were stratified by surgery type into LR and ER group. Due to the longitudinal study duration, different encoding methods found in the SEER database were followed. The codes for the two groups are presented in online supplemental table 1. In addition, the SEER



**Figure 1** Flow chart of inclusion and exclusion criteria of patients from the SEER database. AM, anorectal melanoma; PSM, propensity score matching; SEER, Surveillance, Epidemiology, and End Results.

historical classification of stage of disease was used because it did not change over time and allowed a much greater number of patients to be enlisted. The stage of AM included localised, regional and distant. A tumour limited to the mucosa or submucosa (superficial invasion) was classified as localised. The spread of AM was classified as regional if the tumour spread to the ischiorectal fat or tissue, perianal skin, perineum, rectal mucosa or submucosa, skeletal muscles (external anal sphincter, levator ani), subcutaneous perianal tissue, and vulva. A distant disease is considered when AM spread beyond the abovementioned limits.

## Validation cohort

Due to the rarity of this malignant disease, the validation cohort was selected from two Chinese institutes— Xiangya Hospital of Central South University and Hunan Provincial People's Hospital. A total of 40 patients diagnosed between 2014 and 2020 who met the abovementioned criteria and the standards of the hospital's ethics committee were approved for enrolment in this retrospective study.

### Nomogram and validation

The nomogram includes all significant prognostic factors in the Cox regression model based on the SEER database by using the rms package in R V.2.1.1. According to the different classifications of prognostic factors. According to the different classification of each feature, project up to the small scale (points) to get the score of each item. The higher the score, the worse the survival prognosis; the total score is obtained by adding the scores. The total points can be projected downwards to obtain the patient's survival rate. The nomogram was internally validated in the SEER cohort and externally validated in the validation cohort. The C-index was used to evaluate the discriminative ability of the nomogram, which showed a relatively good discriminative ability between 0.71 and 0.90. The calibration plot was also used to evaluate the performance of the nomogram. In a perfectly calibrated model, the predictions should fall at a diagonal 45° line in the calibration plot.

## **Propensity score matching**

Propensity score matching (PSM) is an accurate way to avoid bias when comparing the outcomes of two groups. This study aimed to provide evidence that can assist with clinical decision-making. Herein, we analysed patients' prognosis after different surgical treatments using PSM.

## **Statistical analysis**

Patients' clinical characteristics were summarised with descriptive statistics using SPSS V.24.0. The incidence of AM was adjusted to the 2000 US standard population (19 age groups: Census P25-1130). Univariate and multivariate models were generated to identify the factors that correlated with AM-SS. AM-SS was defined as patients' survival time between initial diagnosis and AM-specific

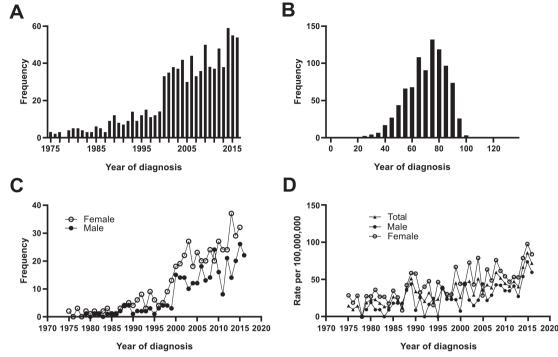


Figure 2 Incidence of anorectal melanoma (AM): (A) number of new patients diagnosed with AM between 1975 and 2016; (B) distribution of age at AM diagnosis; (C) growth trend of AM between male and female patients; (D) age-adjusted incidence rate increasing over time.

death. The survival curves for OS and AM-SS were plotted using Kaplan-Meier analysis by log-rank test.<sup>17</sup>

A nomogram was established based on the results of the univariate Cox proportional hazards model from the SEER cohort, combining all independent prognostic factors to predict 1-year, 3-year and 5-year AM-SS using the rms package in R V.2.1.1 software (http:// www.r-project.org/). PSM was used to match patients with similar baseline variables.<sup>18</sup> The propensity score was based on the logistic regression model.<sup>19</sup> Matching was performed using a 1:1 matching protocol without replacement, and standardised differences were controlled to less than 10%. The OS and AM-SS were analysed after PSM.

## Patient and public involvement

Neither patients nor the public were involved in the design, conduct, reporting or dissemination plans of this research.

## RESULTS

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#### Incidence

A total of 795 patients diagnosed between 1975 and 2016 were selected from the SEER incidence database. The number of patients diagnosed with AM increased annually in the SEER database (figure 2A). Age at diagnosis ranged from 59 to 80 years and the median age at diagnosis was 71 years (figure 2B). Within this trend, the number of new female patients diagnosed with AM increased compared with male patients, according to the SEER database (figure 2C). The age-adjusted

incidence of this disease significantly increased over time, and the incidence of AM has exceeded 0.5 per million in recent decades (figure 2D). This may indicate a trend of increasing incidence over the past few decades.

## **Clinicopathological characteristics and survival**

A total of 795 patients with AM were selected from the SEER cohort according to our exclusion criteria. The median age of this cohort was 71 years, with 221 patients (28%) older than 78 years. This cut-off age was obtained using the X-tile software as patients over 78 years of age had poor OS and AM-SS (online supplemental figure 1A,B). Among the SEER cohort, the majority (83%) were white patients, and almost 44.2% (n=630) of the patients died and about 41.8% (n=426) of cases died of this malignant tumour (table 1). Similarly, 41 patients who underwent surgery at our institutes were enrolled in the validation cohort.

## Associations between clinicopathological parameters and disease-specific survival

We considered the factors associated with OS and AM-SS for analysis. A log-rank test analysis of the SEER cohort showed that patients with LN positivity (online supplemental figure 1C,D) and distant SEER stage at presentation (online supplemental 1E,F) had poor OS and AM-SS. We also performed univariate and multivariate analyses to identify the clinical prognostic factors for AM. The univariate Cox regression analysis among the SEER cohort indicated that age at diagnosis, location,

Parameter	SEER cohort		Validation cohort		
	n	%	n	%	P value
Age, years					
<78	574	72	34	85	0.076
≥78	221	28	6	15	
Sex					
Male	314	39	14	35	0.570
Female	481	61	26	65	
Race					
White American	663	83	-	-	-
Black American	47	6	-	-	
Asian American	85	11	-	-	
Marital status					
Married	438	55	29	72	<0.001
Never married	85	11	2	5	
Previously married	241	30	3	8	
Unknown	31	4	6	15	
Tumour location					
Rectum	312	39	11	27	0.329
Anorectal junction	187	24	11	27	
Anus	296	37	18	46	
Stage					
Localised	302	38	21	53	0.312
Regional	204	26	11	27	
Distant	217	27	8	20	
Unknown	72	9			
Positive lymph nodes, num	ber				
0	90	11	12	30	-
≥1	147	19	11	27	
No examination	513	65	17	43	
Unknown	45	6			
Outcome					
Dead	630	79	32	80	0.909
Alive	165	21	8	20	
Cause of death					
Anorectal melanoma	426	54	29	73	0.340
Alive or other	227	28	9	23	
Unknown	142	18	2	5	
Chemotherapy					
Yes	151	19	23	58	<0.001
No or unknown	644	81	17	42	

SEER, Surveillance, Epidemiology, and End Results.

stage, LN positivity and chemotherapy were significantly associated with AM-SS (p<0.05; table 2).

In the multivariable Cox regression model, patients over 78 years of age had a 1.41-fold increase in the odds of AM-specific mortality (HR, 1.41; 95% CI 1.12 to 1.78; p=0.004). Compared with patients with AM whose primary tumour site

was rectal, those with anal melanoma had better prognosis (HR, 0.81; 95% CI 0.65 to 1.01; p<0.05). In addition, patients in the SEER cohort with distant stage (HR, 3.37; 95% CI 2.56 to 3.42; p=0.000) and one or more positive LN (HR, 1.71; 95% CI 1.14 to 2.57; p=0.017) may be associated with increased AM-specific mortality (table 2).

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	Univari	ate analysis	Multivariable analysis	
Clinical parameters	HR (95% CI)	P value	HR (95% CI)	P value
Age at diagnosis				
<78	Reference		1	
≥78	1.25 (1.01 to 1.55)	0.039	1.41 (1.12 to 1.78)	0.004
Sex				
Male	Reference		1	
Female	1.07 (0.88 to 1.30)	0.487	0.97 (0.80 to 1.19)	0.799
Race				
White American	Reference		-	
Black American	1.18 (0.82 to 1.71)	0.374	-	-
Asian American	0.98 (0.73 to 1.33)	0.918	-	-
Marital status				
Married	Reference		-	
Never married	1.05 (0.76 to 1.44)	0.782	-	-
Previously married	1.16 (0.93 to 1.43)	0.181	-	-
Unknown	1.10 (0.65 to 1.86)	0.714	-	-
_ocation				
Rectal	Reference		Reference	
Anorectal junction	0.83 (0.65 to 1.06)	0.138	0.92 (0.71 to 1.89)	0.506
Anal	0.73 (0.58 to 0.90)	0.004	0.81 (0.65 to 1.01)	0.049
Stage				
Localised	Reference		Reference	
Regional	1.43 (1.12 to 1.86)	0.006	1.43 (1.07 to 1.91)	0.017
Distant	3.57 (2.80 to 4.45)	<0.001	3.37 (2.56 to 4.42)	<0.001
Unknown	1.60 (1.11 to 2.29)	0.011	1.49 (1.03 to 2.17)	0.036
Positive lymph nodes, numbe	r			
0	Reference		Reference	
≥1	2.58 (1.75 to 3.79)	<0.001	1.71 (1.14 to 2.57)	0.010
No examination	2.13 (1.50 to 3.01)	<0.001	1.57 (1.09 to 2.56)	0.015
Unknown	1.69 (1.01 to 2.83)	0.045	1.17 (0.69 to 1.99)	0.557
Chemotherapy				
Yes	Reference		Reference	
No or unknown	0.64 (0.52 to 0.81)	<0.001	1.00 (0.78 to 1.29)	0.994

## Nomogram for AM-SS of the SEER and validation cohorts

We built a nomogram based on the multivariable analysis to identify potential predictors of AM-SS using R Bioconductor (figure 3). Age at diagnosis, location, stage and LN positivity were included in the nomogram. The nomogram includes the risk factors for predicting the 1-year, 3-year and 5-year AM-SS of patients with AM. We also conducted a validation study using the SEER cohort for internal verification. The C-index of the nomogram on internal verification was 0.74 (95% CI 0.71 to 0.77) (figure 4A,B). The C-index was 0.72 (95% CI 0.68 to 0.76) when we applied the nomogram to predict AM-SS in the validation cohort. It is well known that a C-index that exceeds 0.7 means that the established nomogram is reliable<sup>20</sup> (figure 4C).

#### Surgical treatment and type of AM after PSM

To evaluate the prognostic value of surgery in patients with AM, we identified 550 patients for whom complete surgery code data were available. Before PSM, we found differences between the surgery and non-surgery groups. PSM was used to eliminate intergroup bias. We set the calliper width to 0.02 after 1:1 matching. A total of 204 patients were registered in this study (online supplemental table 2). We compared the AM-SS and OS using Kaplan-Meier analysis and found that patients who

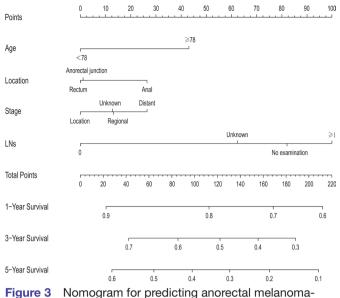
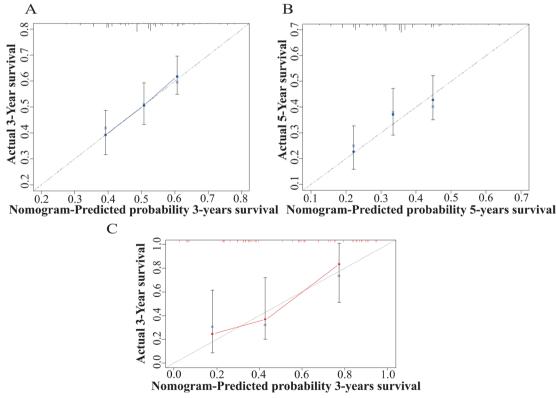


Figure 3 Nomogram for predicting anorectal melanomaspecific survival among patients from the SEER cohort. LNs, lymph nodes; SEER, Surveillance, Epidemiology, and End Results.

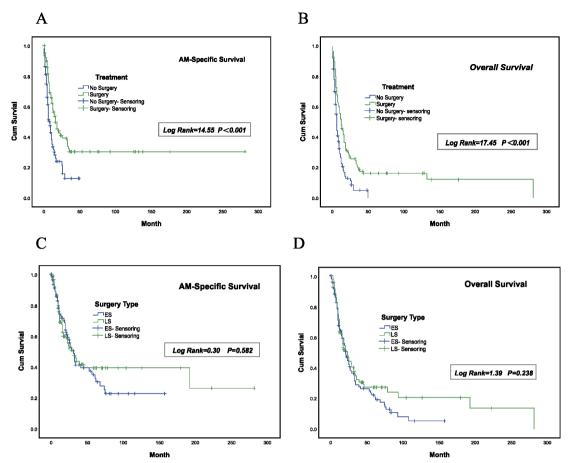
underwent surgical treatment achieved significantly better survival than those who did not undergo surgery (OS: log-rank=17.41, p<0.001; AM-SS: log-rank=14.55, p<0.001; figure 5A,B). In addition, because surgical type also affects prognosis, we divided the cohort of patients who underwent surgery into LR and ER subgroups according to surgery type. We set the calliper width to 0.005 after 1:1 matching. Only small intergroup differences were observed (online supplemental table 3). We also compared AM-SS and OS using Kaplan-Meier analysis and found no significant intergroup differences, indicating that extensive surgery and lymphadenectomy did not improve survival in patients with AM (p>0.05; figure 5C,D).

## DISCUSSION

Primary AM is the third most common site for primary mucosal melanoma after the head and neck and vulvovaginal regions.<sup>21 22</sup> Distant metastasis in the early stage makes the treatment and diagnosis of primary AM ineffective.<sup>23</sup> Radical surgery seems to be the best treatment for patients with AM, while optimal surgical strategies are also vital to improving the OS of patients with AM.<sup>24 25</sup> However, there is a long-standing debate regarding the scope of surgery in patients with AM with distant metastasis.<sup>26 27</sup> A few retrospective studies recently reported that patients with AM failed to achieve survival benefits from extensive surgery.<sup>28–30</sup> Moreover, due to the rarity of AM, its prognostic classification has remained challenging for many years.<sup>31</sup> This study aimed to investigate



**Figure 4** Calibration curve of the nomogram for patients in the SEER cohort and validation cohort. (A and B) Bootstrap validation of the prognostic nomogram at 3-year and 5-year survival in the SEER cohort. (C) Bootstrap validation of the prognostic nomogram at 3-year survival in the validation cohort using 40 patients. The predicted probability of the nomogram for overall survival is on the x-axis, while the actual overall survival is on the y-axis. SEER, Surveillance, Epidemiology, and End Results.



**Figure 5** Overall survival and AM-specific survival stratified by Kaplan-Meier analysis and log-rank test according to (A and B) patients with or without undergoing surgery and (C and D) surgery type. AM, anorectal melanoma; ES, extended surgery; LS, limited surgery.

the prognostic trends of AM and build more accurate prognosis prediction models. We evaluated the incidence of AM between 1975 and 2016 in the SEER database. A trend of increasing incidence of AM was observed according to the SEER database. The estimated annual incidence of AM reported previously was 0.3–0.4 per 1 million,<sup>32</sup> although the SEER database may not accurately reflect the true incidence of AM because SEER data also have limitations such as bias in registration data and incomplete information. However, this increase in AM according to SEER data may warrant increased clinical attention.

According to the SEER data analysed in this report, the median age at diagnosis was 71 years and women were more predisposed to AM than men. These findings indicate that it is important to take more effective measures to detect and manage this cancer among female patients. In addition, higher age at diagnosis, more advanced stage and LN positivity were strongly associated with worse survival rates.<sup>33 34</sup> A total of 795 patients with AM were analysed and age at diagnosis, location, stage, LN positivity and chemotherapy were associated with AM-SS. The American Joint Committee on Cancer classified AM as a local disease with regional nodal involvement and distant metastasis.<sup>35</sup> According to our study, patients with distant metastasis had poorer AM-SS and OS than those with

local or regional stage distribution. These results support the use of the SEER stage system adopted in the present study. However, this system seems less accurate because other high-risk factors can also contribute to the prognosis of AM. We established a prognostic nomogram for predicting AM-SS using independent prognostic factors on multivariate analysis. The validation cohort, comprising 40 patients with AM enrolled from the eastern part of the country, also showed good agreement with our developed nomogram. The result indicates that the developed nomogram could provide good prognostic function.

Many recent studies have demonstrated that patients with AM show good prognosis with surgery and the results of the present study are consistent with some of these previous studies.<sup>36 37</sup> Specially, we conducted PSM analysis to eliminate bias; in other words, our study results may be more accurate than those reported previously. A total of 550 patients for whom complete surgical data were available were enrolled in this study. After the PSM analysis of patients who did or did not undergo surgical treatment, we found that those who underwent surgical treatment achieved significantly better survival benefits than those who did not undergo surgery (OS: log-rank=17.41, p<0.01; AM-SS: log-rank=14.55, p<0.01). Early studies are more likely to recommend aggressive surgery to achieve

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local oncological radicality. However, recent studies suggest no significant differences between local wide excision and abdominoperineal resection/anterior resection despite the latter significantly reducing local recurrence than the former.<sup>38</sup> Another 2010 study of 145 patients with AM concluded that surgery type did not affect the OS or AM-SS of patients enrolled from the SEER database.<sup>39</sup> However, the authors of that study did not exclude confounding variables that may have contributed to incorrect prediction of AM prognosis. In this study, we controlled for similar baseline variables using PSM analysis and found no significant difference between LR and ER in terms of OS and AM-SS. This finding indicates that extensive surgery and lymphadenectomy did not improve survival in patients with primary AM.

Our study possesses both benefits and limitations. Although we performed a partial analysis of the incidence of AM in this study, the results were only analysed from a single database and the credibility of data on AM incidence may be reduced due to the long span of the study. In addition, due to the limited information registered for patients with AM in the SEER database and the recording or coverage of the SEER database, we have not found more factors when analysing prognosis-related risk factors. However, it also gives us enlightenment that we need to register more new potentially meaningful risk factors when establishing AM patient information. Finally, due to the rare cases of patients with AM, we actually included all the 40 patients that could be tracked at our institute. Therefore, the C-index was not good enough when we performed external verification of the nomogram.

Herein, we found that the incidence of AM has shown a trend of increasing incidence over the past few decades. The nomogram we developed based on analysis of the SEER database showed good predictive value. Patients with AM could benefit from surgery in terms of better AM-SS and OS; however, extensive surgery and lymphadenectomy may not improve both OS and AM-SS.

**Contributors** CZ is responsible for the overall content as the guarantor.XL and LQ conceived the study and wrote the manuscript. YW and YK collected and analysed the data. CH and CZ reviewed the data. PL conceived and revised the manuscript. All authors approved the final draft of the manuscript.

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#### Competing interests None declared.

Patient consent for publication Not required.

Ethics statement This study involves human participants and was approved by Xiangya Hospital of Central South University Ethics Committee (no: 202110188).

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**Data availability statement** Public data of this study are available from the SEER database (https://seer.cancer.gov). The validation data are from our hospital and available from the corresponding author upon reasonable request.

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