# The incidence and outcome of major complication following conventional TAE/TACE for hepatocellular carcinoma

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## Abstract

To investigate the incidence and outcome of major complication following conventional transarterial embolization/chemoembolization (TAE/TACE) therapy for hepatocellular carcinoma (HCC).

From May 2010 to May 2016, all patients with major complication following conventional TAE/TACE for HCC were included. Major complication was defined as admission to a hospital for therapy, an unplanned increase in the level of care, prolonged hospitalization, permanent adverse sequelae, or death after conventional TAE/TACE therapy by Society of Interventional Radiology.

During the study period, a total of 2863 TAE/TACE procedures were performed among 1120 patients, and a total of 24 patients (21 male and 3 female) developed major complication with the incidence of 2.1% (24/1120) per patient and 0.84% (24/2863) per TAE/TACE procedure. The major complications were liver rupture (n=6), liver abscess (n=5), femoral artery pseudoaneurysm (n=3), cholecystitis (n=2), biloma (n=2), pulmonary embolism (n=2), and 1 each of the following: cerebral lipiodol embolism, tumor lysis syndrome, partial intestinal obstruction, gallbladder perforation. The mean interval from last TAE/TACE procedure to the diagnosis of major complication was  $11.1 \pm 7.7$  days. The treatments of the complications were conservative treatment (n=12), conservative treatment plus percutaneous drainage (n=3), ultrasound-guided thrombin injection (n=3), conservative treatment plus TAE (n=2), and conservative treatment plus surgery (n=2). Of the 24 patients, 20 patients were recovered, and remaining 4 patients were died of major complications; therefore, the mortality rate of major complication was 16.7% (4/24).

Major complication following conventional TAE/TACE therapy is uncommon; the outcomes are benign of most major complications, but some are mortality.

**Abbreviations:** CT = computed tomography, GSP = gelatin sponge particles, HCC = hepatocellular carcinoma, MRI = magnetic resonance imaging, PVA = polyvinyl alcohol, TAE/TACE = transarterial embolization/chemoembolization.

Keywords: complication, hepatocellular carcinoma, transarterial chemoembolization

# 1. Introduction

Conventional transcatheter arterial embolization/chemoembolization (TAE/TACE) has been widely accepted as a choice of

Editor: Simona Gurzu.

JT and ZJ have contributed equally to the article.

Funding/support: This study was supported by Program for Science and Technology Department of Zhejiang Province (2010C33113), and High-Level Medical Talents Training Project of Changzhou (2016CZBJ009). The funders had no role in study design, data collection and analysis, decision to publish, or preparation of the manuscript.

The authors have no conflicts of interest to disclose.

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Medicine (2016) 95:49(e5606)

Received: 31 August 2016 / Received in final form: 15 November 2016 / Accepted: 16 November 2016

http://dx.doi.org/10.1097/MD.000000000005606

treatment for unresectable hepatocellular carcinoma (HCC) and hepatic metastases and has been considered as an effective treatment modality.<sup>[1–3]</sup> However, major complications can occur, which include but not limit to hepatic failure, liver abscess, liver ruptrue, biliary tract injury, renal failure, necrotizing pancreatitis, cerebral lipiodol embolism, and hepatic encephalopathy.<sup>[4–9]</sup>

The major complications of conventional TAE/TACE were defined as admission to a hospital for therapy, an unplanned increase in the level of care, prolonged hospitalization, permanent adverse sequelae, or death after the procedures by Society of Interventional Radiology,<sup>[10]</sup> which can cause a significantly morbidity.<sup>[7,9,11]</sup> However, the incidence and outcome are largely unknown.<sup>[9]</sup> The aim of this study was to investigate the incidence and outcome of major complication following conventional TAE/TACE in patients with HCC.

# 2. Materials and methods

### 2.1. Study design

This study was approved by both institutional review boards. From May 2010 to May 2016, retrospective reviewing of major complications following conventional TAE/TACE therapy for patients with HCC was conducted in 2 different medical centers. Patients with hepatic metastases were excluded from this study. Cases were identified through the departmental procedural logs. Patient demographics, clinical information, procedural data, and management of major complications following TAE/TACE therapy were gathered from patients' medical records. The imaging data were gathered from Picture Archiving and Communications System of our institutions.

### 2.2. TAE/TACE procedure

All conventional TAE/TACE procedures were performed according to the current practice guidelines.<sup>[12]</sup> TAE/TACE was performed using a 2.7-Fr micro-catheter (Progreat; Terumo, Tokyo, Japan). Lipiodol (Lu Yin Pharmaceutial Co. Ltd., Yantai, Shandong province, China), gelatin sponge particles (GSP), and polyvinyl alcohol (PVA) were used as embolic agents. All patients were admitted after the TAE/TACE procedures for post procedure supportive treatment and observing potential complications. Routine managements include hydration, antiemetic, pain control, and monitoring liver function changes.

According to the follow-up protocol established at 2 different hospitals, routine survey of procedure-related complications was carried out on postdischarged from day 5 to 9 with telephone contact, and additional telephone calls or clinic visits as needed. Immediate clinical follow-up was required if there was suspicious for any major complications of TAE/TACE therapy.

## 2.3. Diagnosis of major complication

The diagnosis of major complication was based on patients symptoms, signs, laboratory testing, imaging evaluation, or interventions. The imaging evaluation include contrast enhanced computed tomography (CT) scan, magnetic resonance imaging (MRI), or ultrasound examines; the interventions include percutaneous drainage, ultrasound guided thrombin injection, TAE, or surgery.

#### 2.4. Treatment of major complication

Once the diagnosis of major complication was established, conservative therapy was routinely initiated in patients as need, and further treatment intervention was based on the major complication, patient's condition.

#### 2.5. Clinical follow-up

Clinical follow-up was scheduled on the first, second, and third months after discharge, and every 3 months thereafter. During follow-up, contrast-enhanced CT or MRI and routine laboratory work up were obtained, including complete blood count, liver enzymes and bilirubin, and serum alpha-fetoprote.

## 3. Results

#### 3.1. Patients

From May 2010 to May 2016, a total of 1341 patients with HCC underwent conventional TAE/TACE procedures among 2 participant hospitals. Of the 1341 patients, 221 (221/1341, 16.5%) patients were excluded due to 1) major complication evaluation not available (n=154), 2) combination of TAE/TACE and radiofrequency ablation (n=43), and 3) combination of TAE/TACE and percutaneous ethanol injection (n=24), and the remaining 1120 patients with clinical follow-up were reviewed. Of the 1120 patients, a total of 2863 TAE/TACE procedures were performed, and a total of 24 patients were diagnosed of major complication following conventional TAE/TACE therapy and

included in this study (Fig. 1). Therefore, the incidences of major complication were 2.1% (24/1120) per patient and 0.84% (24/2863) per TAE/TACE procedure.

Of the 24 patients, there were 21 male and 3 female, with mean age of  $56.4\pm8.2$  years (range, 32-72 years). The mean tumor diameter was  $8.4\pm3.2$  cm (range, 3-16 cm), and lipiodol as an embolic agent was used in all patients (mean  $14.2\pm7.1$  mL, range, 6-30 mL), GSP plus PVA were used in 1 patient, and GSP or PVA was used in 7 and 3 patients, respectively. A total of 48 TAE/TACE procedures were performed among the 24 patients, with mean of  $2.0\pm1.1$  (range, 1-5) procedures for each patient. Table 1 summarizes the demographic information, baseline characteristics, treatments, and outcomes of the 24 patients.

#### 3.2. Major complications

The major complications were liver rupture (n=6), liver abscess (n=5, Fig. 2), femoral artery pseudoaneurysm (n=3), cholecystitis (n=2), biloma (n=2, Fig. 3A), pulmonary embolism (PE, n=2), and 1 each of the following: cerebral lipiodol embolism (Fig. 3B), tumor lysis syndrome, partial intestinal obstruction (Fig. 3C and D), gallbladder perforation (Table 1). The mean interval between TAE/TACE procedure and diagnosis of major complications was  $11.1 \pm 7.7$  days (range, 0–67 days). The most frequently reported symptom was pain (70.8%, 17/24), followed by fever (50%, 12/24).

### 3.3. Treatments and outcomes

Of the 24 patients, 22 patients were received treatment for major complications, and remaining 2 patients were died suddenly. Among the 22 patients, the treatments were conservative treatment (n=12), conservative treatment plus percutaneous drainage (n=3), ultrasound guided thrombin injection (n=3), conservative treatment plus TAE (n=2), conservative treatment plus surgery (n=2) (Table 1).

Of the 24 patients, 20 (83.3%, 20/24) patients were recovered after treatments, and remaining 4 (16.7%, 4/24) patients were died. Of the 4 patients who died of major complications, 2 were died of liver decompensation on 28 and 47 days after liver rupture, and 2 were died suddenly due to PE on the next morning (Table 1).





Figure 2. (A and B) A 61-year-old man presented with sudden abdominal pain 6 days after transarterial embolization (TACE) treatment. Computed tomography (CT) scan showed liver rupture following TACE. Hematoma in the liver (arrow) and subcapsular hematoma (arrow head); (C and D) a 37-year-old man presented with fever, chills, and abdominal pain 11 days after TACE treatment for approximately 8 days. CT scan showed large gas (arrow) contained cavity within the liver, near the residual lipiodol area; the liver abscess was identified by percutaneous drainage, and "air-fluid levels" can be seen (black arrow).

### 4. Discussion

This study demonstrated the incidences of major complication were 2.1% per patient and 0.84% per TAE/TACE procedure; the most frequently reported major complication was liver rupture; conservative treatment can be applied successfully in about half patients, and other interventions were needed in about half patients; the mortality rate of major complication following TAE/TACE was 16.7%.

TAE/TACE is a widely accepted treatment option for unresctable HCC.<sup>[13]</sup> With the advancement of TAE/TACE technologies, therapeutic effects have improved, whereas complications have decreased.<sup>[14,15]</sup> However, TAE/TACE therapy may still cause several major complications.<sup>[9,16,17]</sup> It was reported the incidence of major complication was 2.7% per TAE/TACE procedure.<sup>[18]</sup> However, the incidence of major complication was 0.84% per TAE/TACE procedure of this study, which was significantly lower. The low incidence of major complication was due to hepatic artery spasm/occlusion was excluded from this study.

There are many risk factors of major complications following TAE/TACE therapy. The risk factors of liver rupture are giant tumor (exceed 10 cm in diameter)<sup>[19]</sup>, tumor located superficially on the surface of the liver, initial and complete embolization of

the feeding artery, especially to the patients who additionally used GSP and/or PVA.<sup>[9,18]</sup> The risk factors of liver abscess are bacterial infection superimposed upon liver embolization and necrosis; infection during the TACE procedure, the immunosuppressant effect of chemotherapeutic agents leading to decreased immunity, patients who have diabetes mellitus, and history of bilioenteric anastomosis.<sup>[11]</sup> The risk factors of femoral artery pseudoaneurysm are atherosclerosis and hypertension. The risk factors of pulmonary oil or thrombus embolism are arteriovenous shunt, long time of lying in bed following TAE/TACE, and compression of the femoral vein.<sup>[20]</sup> The risk factor of bile duct injuries is the ischemic injuries of the peribiliary plexus due to repeated TAE/TACE treatment.<sup>[21–23]</sup> The risk factor of cerebral lipiodol embolism is the existence of hepatic arterio-pulmonary vein shunt. The risk factors of tumor lysis syndrome are large tumor size, rapid tumor growth, chemo-sensitive tumors, large area of tumor necrosis, and pretreatment renal dysfunction.<sup>[24]</sup> This study showed all 6 patients who developed liver rupture at their initial TACE procedure with giant tumor, and 2 patients who developed biloma after 4.5 TACE procedures. Although 24 patients were included in this study, statistical analyses of risk factors were not possible because of the small sample and various major complications.



Figure 3. (A) Computed tomography (CT) scan showed a hypodense area (white arrow). Biloma was identified by percutaneous drainage (black arrow). Lipiodol accumulated in the tumor (black arrow head); (B) noncontrast-enhanced CT scan showed multiple disseminated hyperdense lesions in the brain, consistent with deposition of lipiodol; (C and D) the superior mesenteric angiography showed tumor was fed by middle and right colic arteries (white arrow), and transarterial embolization (TACE) was performed via those arteries; partial intestinal obstruction was occurred 2 days post-TACE, and dilatation of the small bowel can be seen in the abdominal X-ray.

The prevention of major complication following TAE/TACE therapy is critical. To prevent the major complications, following points should be paid attention: the interventional radiologists should keep in mind the risk factors mentioned above; avoid nontarget embolization; lipiodol along other than emulsion of lipiodol and chemotherapeutic agents (GSP/PVA) should be used if super-selective embolization cannot be achieved; also, adjunctive maneuvers should be performed to protect extrahepatic parenchy; the dose of lipiodol should not exceed 30 mL for the patients with giant lesions; postprocedure supportive treatments, including hydration, antiemetic, and pain control, are important to reduce the incidence of major complications.

Delayed recognition of major complications and subsequent ones can cause significant morbidity and mortality.<sup>[11]</sup> The present study suggested that the most frequently reported symptom was pain, followed by fever, however, which were also frequently encountered in patients without major complications. Contrast-enhanced CT, MRI, and abdominal ultrasonography are useful to differentiate major complications formation from tumor necrosis syndrome. Also, the present study suggested that most major complications occurred within 2 weeks of TAE/TACE therapy. When major complications following TAE/TACE therapy are suspected, prompt treatment is important as major complication is uniformly fatal.<sup>[11]</sup> The management strategies for major complications following TAE/TACE therapy are various and dependent on major complication itself and patient's condition. Although initial conservative treatment should be carried out immediately for most patients after the occurrences of major complications; some major complications need treatment interventions, like TAE in the treatment of liver rupture, surgery in the treatment of gallbladder perforation, and percutaneous drainage in the treatment of liver abscess or biloma. The present study proved that conservative treatment can be applied successfully in about half the patients, and treatment interventions, including TAE, percutaneous drainage, and surgery, were needed in about half patients.

The mortality of major complications following TAE/TACE therapy is unreported. The early diagnosis and therapeutic strategies for major complications following TAE/TACE therapy have been reported differently due to individual patients' critical pathophysiological status, such as advanced tumors, hepatic dysfunction, ascites, and dyscrasia.<sup>[11]</sup> The present study suggested that the mortality rate of major complication following TAE/TACE was 16.7%.

# Table 1

The demographic information, baseline characteristics, treatments, and outcomes of the 24 patients.

No.	Age/sex	HBV	Cirrhosis	TAE/TACE procedures	Tumor diameter, cm	Lipiodol, mL	GSP/ PVA	Major complications	Symptoms	Interval, d	Treatments	Outcomes
1	45/M	+	+	1	9	20	GSP	Liver rupture	Pain, fever	10	Conservative	Died
2	61/M	_	_	1	13	18	GSP+PVA	Liver rupture	Pain	6	Conservative	Recovered
3	53/M	+	+	1	11	20	PVA	Liver rupture	Pain, fever	7	Conservative	Died
4	57/M	+	_	1	14	25	PVA	Liver rupture	Pain, fever	9	Conservative treatment + TAF	Recovered
5	64/M	_	_	1	16	27	PVA	Liver rupture	Pain, fever	17	Conservative	Recovered
6	67/F	-	_	1	16	30	GSP	Liver rupture	Pain, fever	13	Conservative treatment	Recovered
7	37/M	+	_	3	6	8	_	Liver abscess	Fever, chills, pain	11	Conservative treatment + PD	Recovered
8	43/M	+	_	4	4	6	_	Liver abscess	Fever, chills	14	Conservative treatment + sur- aerv	Recovered
9	32M	-	+	2	7	12	GSP	Liver abscess	Fever, chills, pain	7	Conservative treatment	Recovered
10	48/M	_	_	1	4	6	_	Liver abscess	Fever	10	Conservative treatment	Recovered
11	54/M	+	-	1	3	6	-	Liver abscess	Fever, chills	2	Conservative treatment	Recovered
12	70/M	+	_	1	4	6	_	FAP	Pain	4	UGTI	Recovered
13	68/M	_	_	2	6	8	_	FAP	Pain	6	UGTI	Recovered
14	72/F	+	_	2	8	15	GSP	FAP	Pain	12	UGTI	Recovered
15	64/M	+	_	3	9	15	_	Cholecystitis	Fever, pain	10	Conservative treatment	Recovered
16	58/M	_	_	4	8	10	_	Cholecystitis	Pain	8	Conservative treatment	Recovered
17	57/M	_		4	7	9	_	Biloma	Pain	67	Conservative treatment + PD	Recovered
18	53/M	_		5	5	7	GSP	Biloma	Pain	34	Conservative treatment + PD	Recovered
19	66/M	_	_	1	8	8	_	PE	Sudden death	1	_	Died
20	47/M	+	_	1	7	8	GSP	PE	Sudden death	1	_	Died
21	54/M	+	+	1	13	30	_	CLE	Conscious disturbance	0	Conservative treatment	Recovered
22	58/M	-	_	1	13	27	GSP	TLS	Decreased urine output	3	Conservative treatment	Recovered
23	59/M	-	_	3	5	10	_	PIO	Pain	2	Conservative treatment	Recovered
24	67/F	_	_	3	5	10	_	GP	Pain, fever	13	Conservative treatment + surgery	Recovered

HBV = hepatitis B virus, GSP = gelatin sponge particles, PVA = polyvinyl alcohol, FAP = femoral artery pseudoaneurysm, Interval = interval between TAE/TACE procedure and diagnosis of major complication, TAE/TACE = transarterial embolization/chemoembolization, PD = percutaneous drainage, UGTI = ultrasound guided thrombin injection, PE = pulmonary embolism, CLE = cerebral lipiodol embolism, TLS = tumor lysis syndrome, PIO = partial intestinal obstruction, GP = gallbladder perforation.

The major limitation of this study is that its retrospective nature, and the true incidence of major complication, is likely to be even higher due to under reporting in the patients; all of which may affect the results.

In conclusion, major complication following conventional TAE/TACE therapy is uncommon; the outcomes are benign of most major complications, but some were mortality.

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