

# Mechanical Activation of Forbidden Photoreactivity in Oxa-di- $\pi$ -methane Rearrangement

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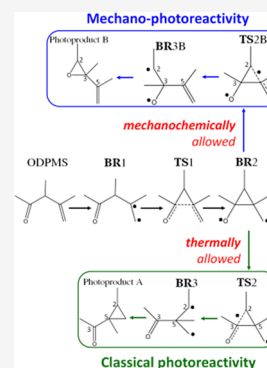
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**ABSTRACT:** In this work, we demonstrate that the forbidden oxirane-type photoproduct (the cyclopropyl ketone photoproduct is the allowed one) of the oxa-di- $\pi$ -methane photorearrangement can be obtained by mechanochemical control of the photoreactions. This control is achieved by the application of simple force pairs rationally chosen. By analyzing in detail the effect of the applied forces on this photoreaction, it comes to light that the mechanical action affects the diverse properties of the oxa-di- $\pi$ -methane rearrangement, modifying all the steps of the reaction: (i) the initial ground-state conformers' distribution becomes affected; (ii) the new conformational population makes the triplet excitation process to be changed, responding to the magnitude of the applied force; (iii) the stability of the different intermediates along the triplet pathway also becomes affected, changing the dynamical behavior of the system and the reaction kinetics; and (iv) the intersystem crossing also becomes strongly affected, making the forbidden oxirane-type photoproduct to decay more efficiently to the ground state. All these changes provide a complex scenario where a detailed study of the effect of applied forces is necessary in order to predict its overall effect on the photoreactivity.



## INTRODUCTION

The application of external forces, known as mechanochemistry, has been used in the last decade as an efficient tool to modify the reactivity and properties of given molecules.<sup>1–3</sup> Experimentally, the external force can be applied through force probes (such as alkyl chains or photoswitches),<sup>4,5</sup> sonication,<sup>6–8</sup> or atomic force microscopy.<sup>9,10</sup> Mechanochemistry has found diverse applications from force sensors to mechanobiology, chemical synthesis, or material science.<sup>11–15</sup> One of the advantages of mechanochemistry is that the strength of the applied force can be modulated, through chemical design or stretching force. The application of the external force has a direct influence on the potential energy surface (PES) as the mechanical work developed by the external force is added to the potential energy of the system. This fact could influence the stationary points (minima or TS) and/or relative stability of different configurations.

Mechanochemistry can be applied to both thermal and photochemical processes. The modulation of thermal processes by external forces has been widely studied, covering thermal stability, chemical kinetics, or reactivity (activation energies and reaction products).<sup>4,16–18</sup> Regarding photochemical processes, works aimed on the mechanochemical modulation of the photophysical properties (absorption or emission spectra),<sup>19–22</sup> quantum yield of the process,<sup>23,24</sup> or conical intersection topology<sup>25</sup> have been reported. Nevertheless, there is no reported evidence that photoproducts can be changed by mechanical means in any photochemical reaction.

Reviewing the previous works focusing on mechanochemistry (for both thermal and photochemical reactions), it can be

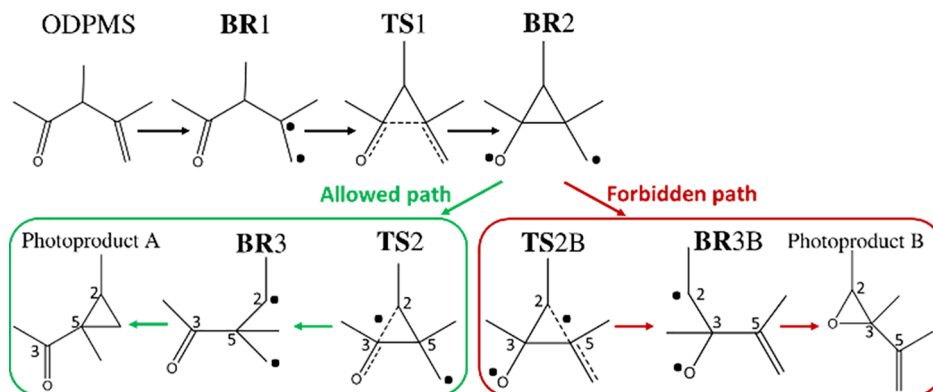
concluded that general trends of the effect on the mechanical force on the given system are difficult to obtain. In some cases, the reported works show unexpected results, revealing the complexity behind this field. For this reason, further mechanochemical studies focused on other processes and a deep analysis of the force effect are essential for the understanding of this field and the design of novel mechanochemical systems for applications. Motivated by this fact, we present a theoretical and computational investigation on the mechanochemical activation of a forbidden path along the well-known oxa-di- $\pi$ -methane (ODPM) photorearrangement,<sup>26–29</sup> where an ODPM structure (composed by two  $\pi$ -unsaturated C=C and C=O moieties connected by a  $sp^3$  methane-type carbon) gives rise to a specific rearrangement (see Scheme 1). Previously, studies on the mechanochemical modulation of product formation on thermally activated reactions have been reported. However, in this case, the studied process is a photochemical reaction activated after photon absorption. Although it would seem that the mechanochemical study of thermally or photochemically forbidden product activation requires similar methodologies and considerations, it is true only to a certain extent. Analyzing the mechanical effect on the photochemical photoproduct

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Scheme 1. Reaction Mechanism of Photosensitized ODPM Photorearrangement



activation demands challenging studies compared to the thermal processes as: (i) the effect of the applied force may be significantly different on the ground than on the excited states; (ii) the crossings between electronic states (e.g., conical intersections or avoided crossings) will be in general affected by mechanical forces provoking eventually a change in the photochemical pathways; and (iii) the usual short lifetime of excited-state species makes the effect of applied forces on these states more complex to predict as molecular dynamics is usually necessary to correctly describe this effect.

Coming back to the studied system, ODPM, its photorearrangement was first reported in 1966;<sup>30</sup> since then, it has been widely used for synthetic purposes, for natural products, or complex molecules.<sup>31–34</sup> The general mechanism of the ODPM photorearrangement consists of a first 1,2-acyl migration and a subsequent cyclization (Scheme 1), the formation of two photoproducts (photoproduct A and B in Scheme 1) being possible in principle. In practice, it has been experimentally found that the path leading to the cyclopropyl ketone (photoproduct A in Scheme 1) is allowed, whereas the one leading to the oxirane photoproduct (photoproduct B in Scheme 1) is forbidden.<sup>26</sup> For a better understanding of the overall mechanism in terms of the involved electronic states, it can be summarized on a first ground-state ( $S_0$ ) photosensitization, leading to the population of the first triplet state ( $T_1$ ). Once in  $T_1$ , the system evolves populating different biradical intermediates (see Scheme 1), hopping back to  $S_0$  by intersystem crossing and forming the final photoproducts.

It should be remarked that no previous mechanochemical studies of the activation of a forbidden photoproduct have been reported, implying the already mentioned challenging issues compared to thermal product activation. For this aim, we have selected, by chemical intuition, a force pair that should have the largest effect in favoring the oxirane instead of cyclopropyl ketone product. Once the applied force is selected, we analyze its effect on the PESs in terms of: (i) ground-state conformational equilibrium, (ii) the sensitized excitation to the triplet state; (iii) the evolution through different intermediates on  $T_1$ ; and (iv) the decay to  $S_0$ , leading to photoproduct formation. By analyzing these results, we show that the mechanochemical activation of the forbidden oxirane photoproduct is possible in ODPM. However, behind this general conclusion, remarkable findings on this mechanical control are hidden. For instance, the applied forces affect the kinetics on  $T_1$ , making the forbidden photoproduct preferred in thermodynamic control conditions. Additionally, the kinetic control becomes also strongly affected by the applied force, as

the spin–orbit coupling term strongly increases with the applied force in the case of the forbidden photoproduct, making this photoproduct feasible under mechanical control.

## METHODOLOGY

**Electronic Structure Methods.** Ground-state ( $S_0$ ) and first  $T_1$  stationary points of ODPM have been optimized using density functional theory (DFT) methodology. Specifically, we have chosen the B3LYP functional<sup>35,36</sup> and the 6-31G(d) basis set, validated by a benchmark with the available theoretical data (see the Supporting Information for details). Analytical energy gradients and Hessians were calculated at the same level of theory. Frequency calculations were performed to characterize minima and transition states. Single point energy calculations have been performed using the complete active space self-consistent field (CASSCF) theory for selected DFT-optimized geometries. In particular, an active space of eight electrons in six orbitals and the 6-31G(d) basis set have been used. These calculations were performed with the Gaussian09<sup>37</sup> suite of programs. Spin orbit coupling values have been computed at the DFT level of theory (using the same functional and basis set as that for the optimization) with ORCA 4.2.1.<sup>38,39</sup>

**Inclusion of External Forces to the System.** The external force type considered in this work consists of a force pair applied to two anchor points, as shown in Figure 2. These external forces have been explicitly included in the force field (therefore in the PESs) for exploring the PES and computing molecular dynamics when necessary. In the specific case of stationary points, the constrained geometries simulate external force approach has been used, which is equivalent in these cases to the explicit inclusion of the external forces in the force field (see the Supporting Information for further details).

**Triplet Energy Excitation Distribution.** Taking into account the ground-state equilibrium population of all the conformers, the triplet excitation distribution has been constructed as a convolution of the distribution of each conformer, weighted by its equilibrium population. This procedure has been followed for any considered external force (see the Supporting Information for further details).

**Dynamics.** The dynamic behavior of the strained system at different force strengths has been studied at the DFT level of theory (B3LYP/6-31G(d)) by performing constant energy (i.e., microcanonical ensemble) dynamics. All the trajectories ( $T_1$  state) have been computed by sampling ( $T = 300$  K) the initial state (i.e., ground-state minimum in the first set of dynamics and BR2 in the second one) (see the Supporting

Information for sampling details). These dynamics are performed numerically using our own code, based on gradient calculations performed by the Gaussian09 suite of programs and explicitly adding, when necessary, the external forces to the force field (see the Supporting Information for more details).

**Reaction Pathway Considering the External Force.** The determination of reaction paths for systems affected by external forces has been performed by adding the mechanical work developed by the applied forces to the potential energy. This work corresponds to the usual work path integral and corrects the normal PES by including the mechanical work term.

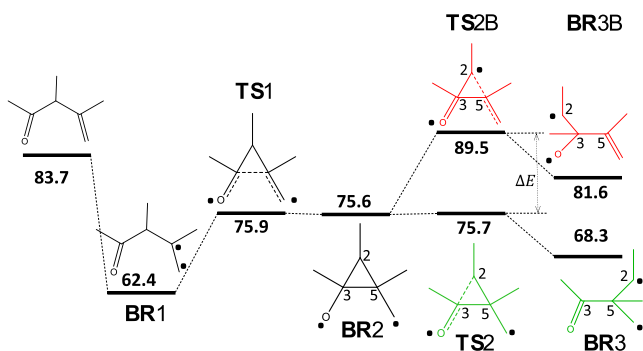
## RESULTS

The overall mechanism has been summarized in the Introduction section. In brief,  $T_1$  state is populated after photosensitization. In this triplet state, diverse biradical intermediates are generated until decay to  $S_0$  takes place, leading the photoproducts. The obtained results are presented in two main sections: (i) the detailed mechanism of the unstrained ODPM photorearrangement and (ii) analysis of the mechanical control of the forbidden photoproduct activation. In particular, the second section is in turn divided, considering the properties that the mechanical force can affect: (i) ground-state conformational equilibrium, (ii) triplet excitation energy, (iii) triplet excitation process and excitation migration, (iv)  $T_1$  reaction pathway, and (v) ground-state photoproduct formation.

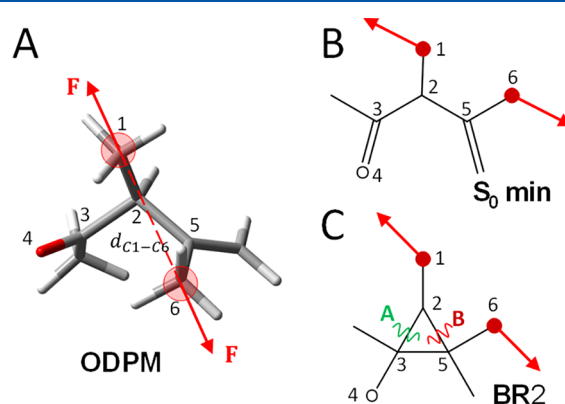
**Unstrained ODPM Photorearrangement.** By analyzing in detail the  $T_1$  path, we can conclude that two photoproducts would be feasible by considering the possible radical pairs formed (Scheme 1). Specifically, the C3–C5 bond breaking of BR2 may lead to the formation of two different biradicals: BR3 (formed through TS2) and BR3B (through TS2B). Analyzing the computed energies (at the DFT level), referenced to the  $S_0$  minimum, we can conclude that the formation of BR3 is kinetically favored as TS2B implies a ca. 14 kcal/mol higher energy barrier than TS2 (Figure 1). Moreover, the formation of this biradical is also thermodynamically driven as it is ca. 13

kcal/mol more stable than BR3B (Figure 1). This makes the formation of photoproduct B forbidden, and in fact, its formation through the ODPM photorearrangement mechanism has neither been reported nor experimentally detected.

**Mechanical Control of the Forbidden Photoproduct Activation.** Mechanochemical control of the photoreaction may be feasible if the applied external force has a relevant component along the reaction coordinate.<sup>24,25</sup> This situation makes the reaction to be mechanically affected and may alter the thermochemical parameters and kinetics of the process.<sup>16</sup> In the case that two reaction pathways are competing, the applied force should have a differential component along each pathway in order to control the relative efficiency of each pathway, that is, it has to affect each pathway in a significantly different extent by performing different works along each reaction coordinate. For ODPM photorearrangement, the two pathways bifurcate on BR2 species in  $T_1$ , being possible to access TS2 (allowed mechanism, green path in Scheme 1 and Figure 1) or TS2B (forbidden mechanism, red path in Scheme 1 and Figure 1). The main difference between both pathways is the specific C2–C3 or C2–C5 bond breaking to yield the formation of BR3 and BR3B, respectively (Figure 1 for numbering). According to this, applying an external force pair directly to C2 and C5 atoms may affect the relative energy of the involved species (transition states as well as biradical minima). In fact, the application of an extension force to the C2–C5 bond would stabilize the pathway where this bond is broken, the forbidden path. This stabilization is the result of the work developed by the applied force along the reaction path. Hence, by applying this type of external forces, it is expected to increase the feasibility of the forbidden pathway with respect to the allowed path through mechanochemistry. Experimentally, external forces are usually applied indirectly, for example, through molecular force probes.<sup>4,23,40</sup> In order to mimic this approach, we have introduced methyl substituents in positions 2 and 5, to make possible the application of the external force pair directly to these methyl groups (C1–C6 carbon atoms, see Figure 2), inducing a similar mechanical effect that could be achieved with molecular force probes or polymer mechanochemistry.



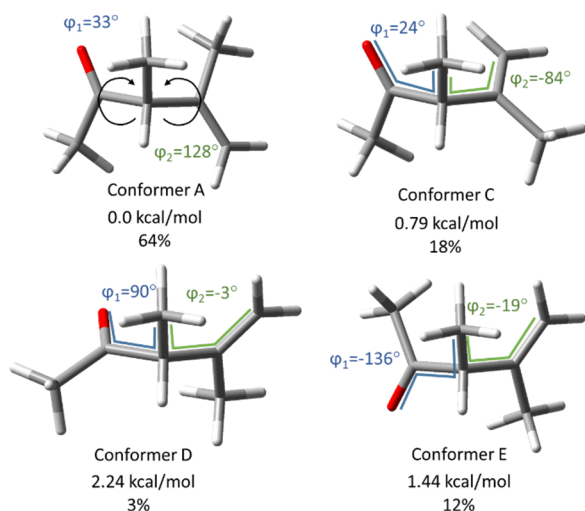
**Figure 1.** Reaction pathway in  $T_1$  (B3LYP/6-31G(d) energies in kcal/mol, referenced to the  $S_0$  minimum energy of the most stable conformer E. After triplet excitation, ODPM could evolve through different biradical species: BR1 and BR2. From BR2, the reaction pathway bifurcates, the formation of BR3 being possible through the transition-state TS2 and BR3B through TS2B. BR3 corresponds to the allowed photochemical pathway, while BR3B to the forbidden one. Atom numbering is depicted as well as the radical localization for each species by a dot point (located in the atoms having the largest spin density).



**Figure 2.** (A) ODPM starting structure showing numbering, and the applied force pair depicted as vectors and defined as the distance between atoms C1 and C6 ( $d_{C1-C6}$ ). (B) Schematic view of the  $S_0$  energy minimum structure. (C) BR2 structures indicating the applied force pair. The possible photoproducts are formed by breaking the bond A (photochemical allowed path) or B (photochemical forbidden path) from BR2 species. Red dots indicate the atoms where the force is applied.

In order to investigate the mechanical response, it is necessary to explicitly include the external forces in the force field and analyze their effect on the reaction mechanism. In the following, different aspects of this mechanophotoreaction, ODPM photorearrangement where a C1–C6 force pair is applied, are studied: i) the ground-state conformational equilibrium, ii) the triplet excitation energy, iii) triplet excitation process and excitation migration, iv) evolution on  $T_1$ , and v) photoproduct formation in  $S_0$ .

**Ground-State Conformational Equilibrium.** Due to the flexibility of the ODPM system, there are different conformations that can be populated in  $S_0$ . More specifically, a total of eight conformers (A, B, C, D, E, F, G, and H; see Figures 3 and S2) related by the value of the two main torsional angles (i.e.,  $\varphi_1$  and  $\varphi_2$ , as defined in Figure 3) can be populated.



**Figure 3.** Structure and relative energy (computed at the DFT level) of the four most populated conformers of ODPM (A, C, D, and E) in  $S_0$ . The equilibrium population (in percentage) of each conformer at 300 K in the absence of external forces is also indicated.

In the absence of external forces, conformers A, C, and E are the most abundant in thermal equilibrium conditions (see Figure 4D). Applying the already described external force pair (defined by the C1–C6 distance,  $d_{C1-C6}$  in Figure 2), the conformational equilibrium is modified (changing the population of each conformer) to different extent, depending on the force magnitude (see Figure 4). By analyzing the population of each conformer regarding the applied force magnitude, we can remark three findings. First, conformers A and C, which are the two most populated conformers for the unstrained system are not any more minima for external force magnitudes of ca. 0.7 and 1.3 nN, respectively (Figure 4D). This fact is the result of the progressive destabilization of a minimum on the PES as the force magnitude increases, making the curvature of the PES moving from positive along specific coordinates to negative. When the curvature becomes exactly zero, the minimum on the PES disappears (see Figure 4A–C). The second observation is of crucial importance, as for force magnitudes larger than 0.5 nN, conformer A is no longer the most populated one, but the E conformer is (Figure 4D). This information has to be considered to properly study the force effect on the mechanism. Finally, the third finding is that some conformers such as C and D also became relevant for higher

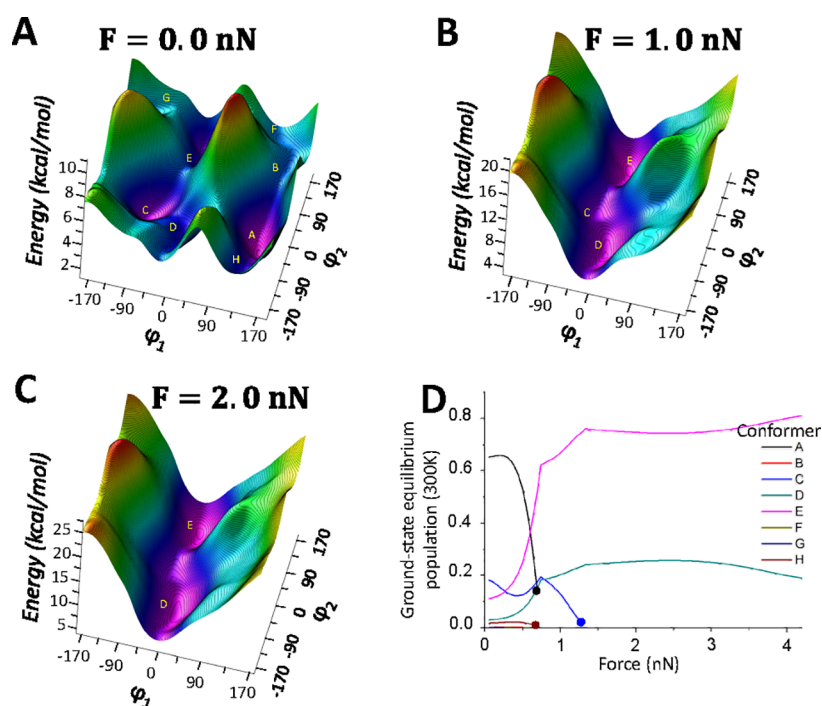
force magnitude ranges (see Figure 4B–D), being responsible for ca. 20% of the population at different force magnitudes.

**Triplet Excitation Energy.** The photochemical reaction is initiated by a photosensitizer, that is, the triplet energy is transferred from the photosensitizer to the reacting ODPM molecule. The triplet energy transfer takes place when there is a match between the transferred energy (from the photosensitizer) and the triplet energy of the accepting system (i.e.,  $S_0-T_1$  energy gap of the reacting ODPM system). Otherwise, the triplet energy transfer rate constant decays exponentially.<sup>41</sup> The observed ODPM triplet excitation energy is the collective contribution of the different conformers and their populations. The application of an external force may affect the observed triplet excitation energy because not only the triplet excitation energy of each conformer but also its population will be affected, as already shown. In this regard, we have studied the individual triplet excitation energies of each conformer at different applied force magnitudes. Summing up all the individual contributions and weighting them by its equilibrium populations, it is possible to predict the probability distribution of triplet excitation energy at any force magnitude (see the Supporting Information for details). This probability distribution provides the relative probability of triplet excitation as a function of the triplet energy of the donor analogously, as the absorption spectrum in an optical excitation provides the relative probability of excitation as a function of the energy photon (e.g., wavelength). In the absence of external forces, the most probable triplet energy is close to that of conformer A because of its high population (64%) (orange and black curves in Figure 5A). When applying C1–C6 forces, the shape of the probability distribution slightly changes, the triplet energy of maximum probability being shifted ca. 5 kcal/mol to higher values for 1 nN force (see Figure 5B). This energy shift should affect the rate constant of the photosensitization energy transfer process due to its exponential dependence with the  $S_0-T_1$  energy difference. In fact, applications of this type of external forces should require the use of higher triplet energy donors in order to maintain the optimal energy transfer rate.<sup>42,43</sup>

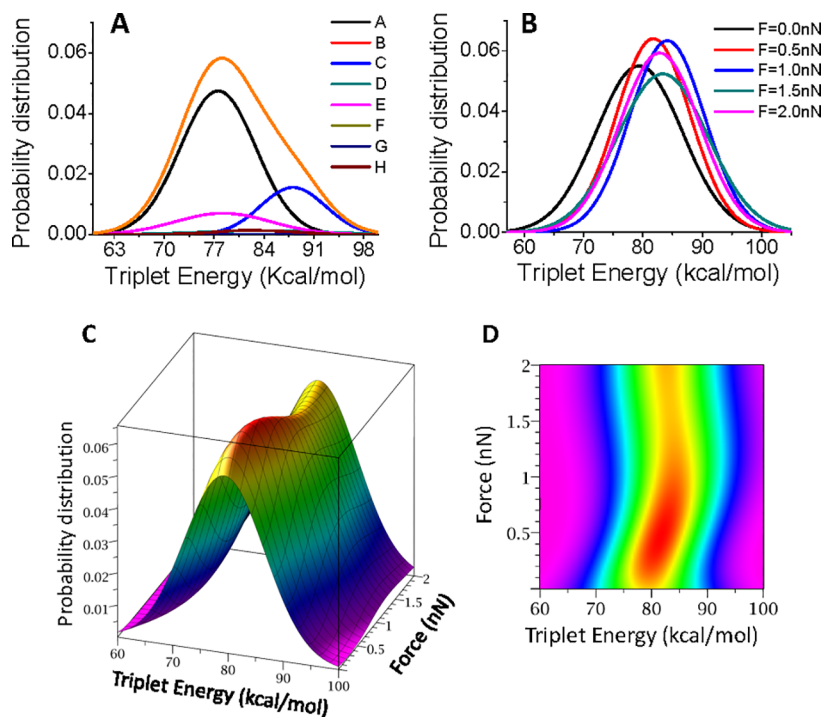
### Triplet Excitation Process and Excitation Migration.

As already mentioned, the ODPM photorearrangement is a photosensitized reaction. In particular, the available energy from the photosensitizer can, in principle, excite two different chromophores in the ODPM system, the C=O or C=C moiety. The photosensitizer triplet energy may determine which one is excited, as has been noted before.<sup>42</sup> The lowest triplet energy state ( $T_1$ ) corresponds to the C=C moiety, as can be inferred from the spin density analysis (see the Supporting Information for further details). This excitation has been assumed to be the one taking place in this kind of photorearrangements.<sup>26</sup> For the ODPM derivative studied in this work, both excitations (C=O or C=C moiety) will reach the same BR2 biradical.<sup>40</sup> Therefore, the system loses the memory of which chromophore accepts the triplet energy. Therefore, from the experimental point of view, it is not possible to infer from the photoproducts, which excitation is taking place. Nevertheless, in the case of multichromophore systems, not studied here, the triplet-excited moiety could play a relevant role as different triplet excitations would lead to different photoproducts.

In this regard, we have studied the influence of the external force on the triplet excitation process and the potential migration of the triplet energy among the two chromophores



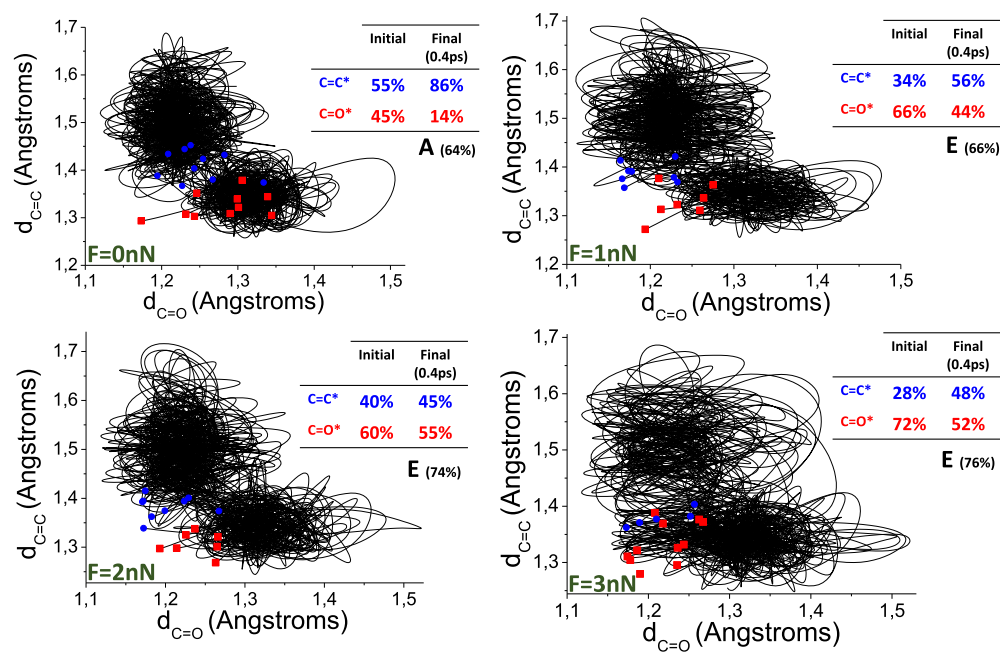
**Figure 4.** (A–C)  $S_0$  PESs as a function of  $\varphi_1$  and  $\varphi_2$  (in degrees) coordinates for different applied forces (0.0, 1.0, and 2.0 nN). Conformer minima are indicated by their corresponding labels. (D) Equilibrium population for the different conformers (A–H) at 300 K for the applied force magnitudes ranging from 0 to 4 nN). Dots indicate the forces at which the conformers A, C, and H disappear (i.e., no longer minima on the PES).



**Figure 5.** Probability distribution of the triplet excitation energy in the ODPM system. (A) Distribution probability for the unstrained system of each conformer weighted by its abundance at 300 K. The resulting sum is also plotted (orange curve). (B) Total (summation over all conformers at 300 K) triplet energy distribution at different forces (from 0 to 2 nN). (C) Continuous triplet energy probability distribution as a function of the force strength and (D) corresponding contour map (up view).

(i.e., C=C and C=O). To investigate the feasibility of energy migration, a series of molecular dynamic simulations have been performed. Starting from  $S_0$  Boltzmann distribution at 300 K, the system is excited to  $T_1$  and followed the evolution on this state for ca. 400 fs. This simulation time is enough to identify

the formation of BR1 but of course not enough to achieve further intermediates, as the formation of BR2 or BR3 is expected to take place in much larger timescales (energy barriers higher than 10 kcal/mol). Interestingly, the simulations show that, in the case of the unstrained system,



**Figure 6.** Evolution of simulated trajectories after vertical excitation to  $T_1$  as a function of C=C and C=O bond distances for different external forces ( $F = 0$  nN,  $F = 1$  nN,  $F = 2$  nN, and  $F = 3$  nN) corresponding to the most probable conformer (conformer A, for 0 nN, and E for other forces). Red (blue) dots indicate the vertical excitation structures for C=O (C=C) excitation (starting point of the trajectory). Trajectories reaching large C–O (or C–C) distances indicate the localization of the excitation in the C=O (or C=C) moiety. Initial and final (after 400 fs simulation on  $T_1$ ) excitation proportions are indicated in the inset table.

the excitation probability of C=C and C=O is almost equal, that is, the  $S_0$  configurational distribution makes the triplet excitation energies being close to equally probable (ca. 55% C=C excitation and 45% C=O excitation) because of the similar triplet excitation energies determined for the  $S_0$  sampling structures. This finding indicates that assuming the excitation of the C=C moiety could not be correct in all the cases. However, as the force pair is applied, the triplet excitation energy distribution is affected, the excitation of the C=O moiety becoming more probable (ca. 70% for 3 nN force) (see Figure 6).

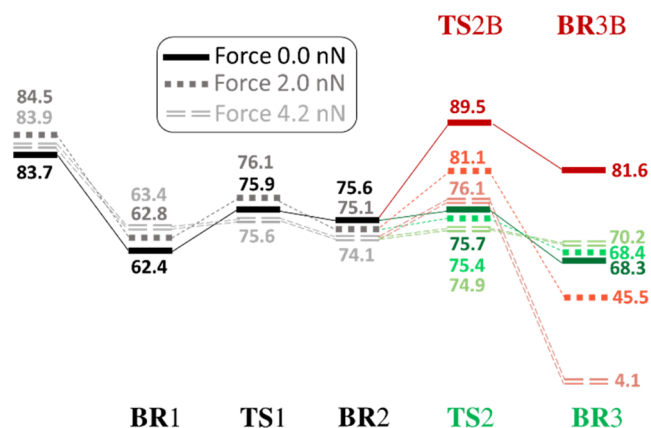
Additionally, in order to check if the intramolecular triplet energy transfer among C=C and C=O moieties in BR1 could be feasible, as has been proposed elsewhere,<sup>42</sup> we studied the potential excitation energy migrations. In this regard, we qualitatively analyzed the excitation energy transfer among C=C and C=O moieties by measuring the number of crossings between both states. More specifically, we conducted the dynamics in such a way that the trajectory is propagated always in the lowest triplet state (i.e., triplet C=C or triplet C=O). This ensures that in every crossing event the system always hops to  $T_1$  and does not populate  $T_2$ . This protocol is equivalent to force a 100% hopping probability for any crossing event, which overestimates the real energy transfer rate but provides a qualitative picture of the process. In our case, we have found that C=O to C=C energy transfer events significantly increase when external forces are applied (compare initial and final values in Figure 6), showing that state crossings are feasible in the timescale of hundreds of femtoseconds.

**$T_1$  Reaction Pathway.** Summarily, after populating  $T_1$ , the ODPM system passes through different intermediates in this electronic state: BR1  $\rightarrow$  BR2  $\rightarrow$  BR3 (see Figure 1). BR3 is the key structure for decaying to  $S_0$  for two reasons. First,  $T_1$  and  $S_0$  energies are almost degenerated (see the Supporting

Information) in BR3. Second, the almost perpendicular disposition of the two atomic orbitals hosting each unpaired electron makes the spin–orbit coupling to be maximum, which is a characteristic feature of the sensitized di- $\pi$ -methane photorearrangement.<sup>44</sup>

The triplet energy pathway has been determined for different external force magnitudes (C1–C6 force pair), recalculating in each case the critical points on the PES and determining the energy profiles by including the mechanical work developed by the external force (see the Supporting Information for details).

The application of the C1–C6 external force clearly affects the reaction energy profile (see Figure 7). In fact, up to BR2,



**Figure 7.** Reaction pathways (energies in kcal·mol<sup>-1</sup>) along the  $T_1$  state for three different external forces (magnitudes: 0, 2.0, and 4.2 nN) for conformer E. As it can be observed, the energy profile does not substantially change with the applied force up to BR2, but it significantly changes from this point.

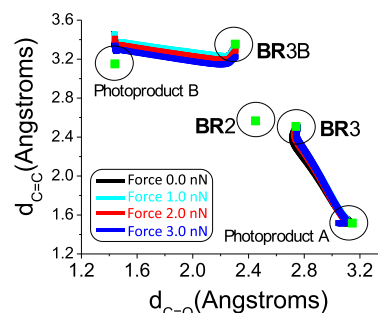
the energy profile does not strongly change, as expected. As a remainder, the C1–C6 force pair was chosen to specifically affect the evolution of the photoreaction from BR2, where the two possible pathways bifurcate to evolve to BR3 or BR3B. On the contrary, the energy profile from BR2 to BR3 is strongly affected by the application of the force. In the absence of external forces (i.e., classical ODPM photorearrangement), the formation of BR3 is the only possible pathway, being the preferred path in both thermodynamical and kinetic control, as already discussed in the first section of the results.

When applying a 2 nN external force (see Figure 7), the stability of BR3 and BR3B is inverted, reaching an energy difference of ca. 23 kcal/mol. Therefore, if the reaction on  $T_1$  is governed by thermodynamic control, this force is enough to invert the feasibility of each path, making the formation of BR3B (classically forbidden) the preferred pathway. It is possible to estimate the force magnitude where the inversion of the thermodynamic stability of BR3/BR3B is reached by interpolating the energy difference assuming a linear variation: a force magnitude of ca. 0.7 nN makes both biradicals to have similar energies on  $T_1$ , both pathways being equally accessible in the thermodynamic control regime. The energy barriers from BR2 to BR3 (0.3 kcal/mol) and BR3B (6.0 kcal/mol) are not similar under the action of this force magnitude, and the formation of BR3B is not expected in the limit of kinetic control. For a 4.2 nN force, the energy difference between BR3 and BR3B becomes much higher (ca. 66 kcal/mol), making the formation of BR3B (the classically forbidden pathway) also the most preferred one in the thermodynamic control regime. Additionally, the energy barrier for each intermediate becomes much closer (i.e., 1.2 kcal/mol), making both biradicals BR3 and BR3B to have much similar formation velocities, but the velocity of formation of BR3 is slightly higher than that of BR3B. These results are confirmed by molecular dynamics simulations made from BR2. Starting from a sampling following a Boltzmann distribution at 300 K, 20 trajectories for each force (0, 1.5, 3.0, and 4.2 nN) have been computed on  $T_1$ . The formation within 400 fs of BR3 and BR3B is observed at 4.2 nN, while for other forces, they are not observed as expected (see the Supporting Information for details).

In summary, the formation of BR3B is favored in thermodynamic control conditions for magnitude forces  $>0.7$  nN, while for kinetic control conditions, BR3 and BR3B are formed almost with equal rates for significantly strong forces up to ca. 4.2 nN.

**Ground-State Photoproduct Formation.** The last step of the reaction is the  $T_1/S_0$  intersystem crossing, allowing the population of  $S_0$  from  $T_1$ . In order to confirm that the corresponding biradicals BR3 and BR3B lead to the respective photoproducts (i.e., photoproducts A and B, respectively), minimum energy paths in  $S_0$  have been computed including the external forces. All the computed pathways (considering external forces from 0 to 3 nN) are qualitatively similar (see Figure 8), connecting in all the cases the corresponding biradical with the expected photoproduct.

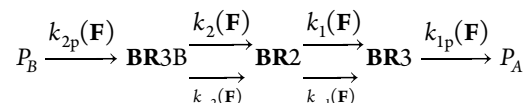
Additionally, both BR3 and BR3B in  $T_1$  are almost degenerated with their respective  $S_0$ . The determined spin–orbit couplings for both species (i.e., in the range of ca.  $3\text{ cm}^{-1}$  for BR3 and ca.  $10\text{ to }40\text{ cm}^{-1}$  for BR3B; see the Supporting Information for details) make the decay to  $S_0$  an efficient decay path in both cases, regardless of the force magnitude. Nevertheless, it has to be noted that the difference in the spin–orbit couplings for BR3 and BR3B makes the



**Figure 8.**  $S_0$  minimum energy paths (considering different external forces) from BR3 and BR3B, after  $S_0/T_1$  intersystem crossing. Formation of photoproducts (A from BR3 and B from BR3B) is always reached for any applied force.

intersystem rate constant to be ca. 100 times higher for BR3B than for BR3 due to the quadratic dependence of the rate constant with this magnitude.

A final qualitative prediction of the formed photoproducts can be proposed as a function of the applied force, that is, estimation of the mechanical control on the photochemical reaction. According to the predicted reaction mechanism, and taking into account the effect of the forces on the energetics of each intermediate, the rate of formation of each photoproduct can be estimated.

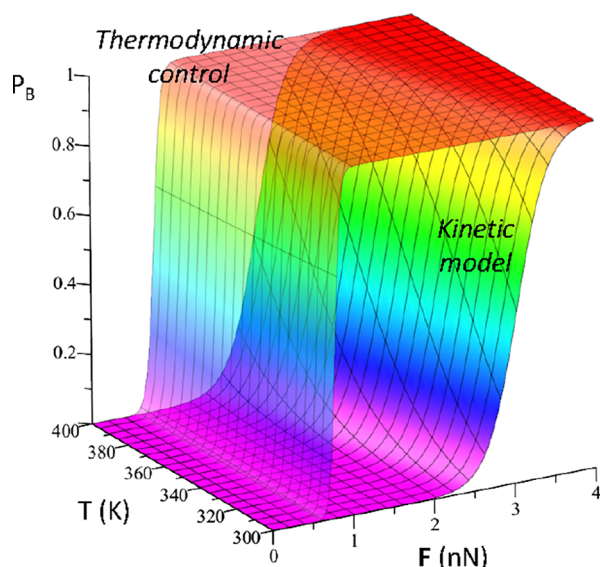


The rate constants are determined as the functions of the applied force magnitude,  $k_1(F)$ ,  $k_{-1}(F)$ ,  $k_2(F)$ , and  $k_{-2}(F)$  being the force-dependent rate constants for the respective  $T_1$  conversions and  $k_{1p}(F)$  and  $k_{2p}(F)$  the  $T_1/S_0$  intersystem crossing rates, the values of which are estimated to be in the range of  $10^6\text{--}10^8\text{ s}^{-1}$ .

Integrating the corresponding kinetic equations, the population of each photoproduct can be determined as a function of time. A total of  $10^3$  kinetic simulations for different temperatures and force magnitudes (ranging from 250 to 450 K and 0 to 4 nN, respectively) were performed. For each simulation, a total of  $10^8$  steps of integration were computed (time step of integration of 10 fs), reaching the equilibrium in each case at the end of the simulation (i.e., microsecond timescale). These simulations permit to determine the photoproduct formation rate.

In the limit of thermodynamic control (i.e., assuming that intersystem crossing is the rate-determining step), the relative populations of photoproducts B and A are given by the equilibrium populations of BR3 and BR3B. Assuming this control, the forbidden photoproduct B is predicted to be the main product even for relatively small forces (ca. 0.5 nN) and almost not sensible to temperature in the 300–400 K range (see Figure 9).

On the other hand, it is also possible to estimate specific values for the  $T_1/S_0$  intersystem crossing rate constants. Taking into account that  $k_{2p}$  is ca. 100 higher than  $k_{1p}$  due to the larger spin–orbit coupling values for BR3B than for BR3, for all the applied forces (see the Supporting Information), the formation of photoproduct B is temperature-dependent, for which ca. 2.5 nN force at 300 K (or ca. 1 nN at 400 K) is necessary to be the predominant photoproduct.



**Figure 9.** Predictions of photoproduct B ( $P_B$ ) abundance for the process studied, considering diverse force magnitudes and temperatures. This abundance ranges from 0 (violet color) to 1 (red color), calculated considering both the thermodynamic control and the kinetic model (see the above reaction mechanism once BR2 is formed).

Therefore, the classically forbidden photoproduct B can be the largest one if mechanochemical control is applied. In particular, when applying stretching forces on the specific bond C2–C6, the forbidden photoproduct B is the most abundant in both, and the thermodynamic control and predictions are made on the basis of a kinetic model at relatively soft conditions, that is, 0.5–2 nN and 300–400 K.

## CONCLUSIONS

We have shown that the mechanism of the photosensitized ODPM photorearrangement reaction can be altered by means of mechanochemistry. The activation of a classically forbidden pathway is possible in ODPM photorearrangement by including a force pair specifically chosen to favor it. The force pair is selected in view of the mechanism leading to the two possible photoproducts and their respective reaction coordinates. Hence, detailed knowledge of the whole reaction mechanism is mandatory to reach the mechanochemical control of the photoreaction. The external force has been selected, guided by chemical intuition, to affect the mechanism from the bifurcation point (BR2). However, caution is required as this strain could affect other properties of the system that can also determine the feasibility of the photoreaction. For instance, ground-state conformational equilibrium is strongly influenced by the applied force, some of them even vanishing with the force strength. This fact is of crucial importance because if the most stable conformer in the ground state changes, then its photophysical properties could also change, leading to unexpected findings. In this particular case, the conformational equilibrium modification by the force has a direct influence on the triplet excitation energy, which determines the photosensitization feasibility of the system. We demonstrate that, in this case, if an external force is applied, the triplet excitation energy increases. Therefore, it could be experimentally found that the strained ODPM is not photosensitized, not inducing the desired photorearrangement

reaction. In that case, the photosensitizer used should be changed, selecting one with a larger triplet energy.

We also demonstrate that the C=O or C=C moieties could be excited after the photosensitization of ODPM, but it is not relevant for the photoproduct formation as the same biradical species will be reached from them. Finally, the overall goal of the forbidden photoproduct activation has been demonstrated to be possible even with relatively small external forces (ca. 0.75 nN), by analyzing the energy profiles computed and simulated in  $T_1$ , considering diverse force strengths. Although this finding could seem trivial, previous works have reported unexpected and counterintuitive behaviors after applying an external force.

Hence, we would like to highlight the importance of performing a complete computational study of a given strained system, covering the study of all the crucial properties that can be affected by the external force, not reducing the study to the analysis of the force effect on the involved intermediates at the relevant electronic state. We hope that these theoretical results for the mechanochemical control of ODPM photorearrangement may encourage experimental photochemists to advance in the development of mechanophotochemistry.

## ASSOCIATED CONTENT

### Supporting Information

The Supporting Information is available free of charge at <https://pubs.acs.org/doi/10.1021/acs.joc.2c00720>.

Computational and methodological details (inclusion of external forces; triplet excitation energy distribution; molecular dynamics simulations with the inclusion of external forces); ground-state conformational equilibrium; spin densities in the triplet state after triplet energy excitation transfer; molecular dynamics simulation of triplet energy transfer between CC and CO moieties with the inclusion of external forces; degeneracy between singlet and triplet states for BR3 and BR3B; dynamics on  $T_1$  at different forces from BR2, yielding BR3 and BR3B; spin–orbit couplings in BR3 and BR3B; and kinetic model for predicting photoproduct formation (PDF)

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## Notes

The authors declare no competing financial interest.

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