

# **OPEN** On the stoichiometry of zirconium carbide

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The dependencies of the enhanced thermomechanical properties of zirconium carbide (ZrC<sub>v</sub>) with sample purity and stoichiometry are still not understood due to discrepancies in the literature. Multiple researchers have recently reported a linear relation between the carbon to zirconium atomic ratio (C/Zr) and the lattice parameter, in contrast with a more established relationship that suggests that the lattice parameter value attains a maximum value at a C/Zr ~ 0.83. In this study, the relationship between C/Zr atomic ratio and the lattice parameter is critically assessed: it is found that recent studies reporting the thermophysical properties of ZrC, have unintentionally produced and characterised samples containing zirconium oxycarbide. To avoid such erroneous characterization of ZrCx thermophysical properties in the future, we propose a method for the accurate measurement of the stoichiometry of ZrC, using three independent experimental techniques, namely: elemental analysis, thermogravimetric analysis and nuclear magnetic resonance spectroscopy. Although a large scatter in the results ( $\Delta C/Zr = 0.07$ ) from these different techniques was found when used independently, when combining the techniques together consistent values of x in ZrC<sub>x</sub> were obtained.

Zirconium carbide (ZrC) is a much-promising material, it has received increased interest recently as an alternative material to silicon carbide (SiC) in nuclear fuel applications<sup>1,2</sup>, in next-generation nuclear fusion reactors<sup>3</sup>, and also as an ultra-high-temperature ceramic to be used in ceramic-metal composite heat exchangers in concentrated solar power (CSP) plants<sup>4,5</sup>.

ZrC (here denoted as ZrC<sub>v</sub>) is typically non-stoichiometric as it can contain up to 50% of unoccupied carbon sites  $^{6,7}$ , it has been found that deviations in the stoichiometry of  $ZrC_x$  can severely affect its thermal and mechanical properties<sup>8,9</sup>. Given its potential in high-temperature applications, it is extremely important to define a method that robustly determines its stoichiometry and purity. The purity of  $ZrC_x$  should always be assessed as the presence of contaminants such as nitrogen or oxygen is detrimental for its performance. For example, if  $ZrC_x$ is to be used as a nuclear fuel coating in a nuclear reactor, any nitrogen contamination should be avoided due to the production of radioactive <sup>14</sup>C from nitrogen <sup>14</sup>N inside the reactor <sup>10</sup>

There are two common methods for defining the stoichiometry of ZrC<sub>x</sub>. The first one is to evaluate the C/Zr atomic ratio from the lattice parameter measured by X-ray diffraction (XRD) using the correlation published in Jackson & Lee<sup>6</sup>. The second one is to quantify through the inert-gas fusion technique the carbon content in  $ZrC_x$ and calculate the C/Zr atomic ratio assuming that the sample is free from impurities. Both techniques, however, have limitations and when used in standalone approaches can lead to erroneous stoichiometry estimations, as we will proceed to demonstrate later in this paper.

The need for an established robust method to measure the stoichiometry of ZrC<sub>x</sub> is evident when the relationship between the C/Zr atomic ratio and the lattice parameter is considered. Recently, researchers have published a linear correlation 8,11,12 between C/Zr atomic ratio and lattice parameter which is in disagreement with the more established relationship from the 1960s–70s showing a maximum at around  $C/Zr \sim 0.83^{13-15}$ . A significant spread of data exists between  $ZrC_x$  lattice parameter and stoichiometry, which is summarized in the book of Shabalin<sup>16</sup> and first acknowledged by Mitrokhin et al. 15. The disagreement in the trends reported, linear versus nonlinear, makes it difficult to clearly characterize the stoichiometry of ZrC<sub>x</sub> and therefore link thermophysical properties with  $ZrC_x$ . One of the main reasons for the significant spread of data reported can be ascribed to the difficulties

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Sample	Sintering temperature (°C)	Density (g/cm³)	TD (%)	
Set A	1850	$6.39 \pm 0.01$	$96.5 \pm 0.1$	
Set B	2000	$6.51; 6.58 \pm 0.02$	$97.8;99.2 \pm 0.1$	

**Table 1.** Set A and Set B hot pressed samples density results. Measurements were calculated on machined samples cut from bulk discs.

in controlling phase contamination due to the solubility of oxygen and nitrogen in the  $ZrC_x$  lattice. Additionally, the presence of oxygen in  $ZrC_x$  lattice gives birth to the oxycarbide class of compounds where there is a direct substitution of carbon (or a carbon vacancy) by oxygen<sup>17-19</sup>. It is also possible for carbon or oxygen to occupy the octahedral interstitial vacancies of the Zr lattice<sup>20,21</sup>. The oxycarbide was shown to exhibit the exact same rock salt structure of  $ZrC_x$  (see the Powder Diffraction File, PDF, 035 0784<sup>22</sup>) with similar<sup>23</sup> or smaller cell parameters<sup>21</sup>. Gendre *et al.*<sup>17</sup> observed that oxycarbides with  $C/Zr \ge 0.8$ , have the same XRD pattern as  $ZrC_x$  while oxycarbides with  $C/Zr \le 0.7$  could instead display some additional zirconia peaks<sup>17</sup>. If  $ZrC_x$  exists over a wide range of non-stoichiometry (as shown in the phase diagram of by Fernandez-Guillermet<sup>24</sup> and Jackson & Lee<sup>6</sup>), the oxycarbide, here written as  $ZrC_xO_y$ , is also able to exist in the same range of non-stoichiometry. Due to the similarities between the  $ZrC_x$  and the  $ZrC_xO_y$ , structures it is challenging to differentiate a pure single phase  $ZrC_x$  sample from an oxygen or nitrogen contaminated sample.

Recent studies  $^{8,11,12,20,25}$  have shown a linear relationship or polynomial relationships between lattice parameter and C/Zr atomic ratio and it is remarkable that the authors presenting this new correlations were working on either  $ZrC_x^{8,11,12}$  or  $ZrC_xO_y^{20,25}$ . Zhou *et al.*<sup>26</sup> discuss the difficulties encountered for the determination of carbon and nitrogen inclusions in  $ZrC_x$  using XRD and they confirmed the expected usefulness of neutron diffraction to determine accurately carbon and oxygen stoichiometries. However, neutron diffraction could not or was not used for the characterization of  $ZrC_x$  specimens in other literature  $^{8,11-14,20,25}$ , obviously because this technique is quite difficult to access (only ~20 facilities worldwide having an external users program<sup>27</sup>). Other techniques such as Raman spectroscopy that could be used to detect the presence of microcrystalline carbon or amorphous carbon in carbide systems  $^{28,29}$ , or X-ray photoelectron spectroscopy (XPS) that can also help distinguish the nature of bonds present in the sample, if the metal in the carbide is bonded with carbon, oxygen  $^{30}$  or nitrogen  $^{31}$ , are not often used within the ceramic processing community.

This work presents a critical analysis of the stoichiometry of  $ZrC_x$  as past literature is reassessed depending on the method of sample production, with emphasis on samples manufactured in graphite crucibles or in vacuum and length of heat treatment used. It is found that the linear relationship between the lattice parameter and the ratio C/Zr recently reported on  $ZrC_x^{12}$  samples is erroneous as the samples analysed in these studies were  $ZrC_xO_y$  and not  $ZrC_x$  due to a lack of in-depth characterization.

Additionally, the stoichiometries of hot pressed  ${\rm ZrC}_x$  samples manufactured using commercial powder have been analysed with 3 independent experimental techniques demonstrating that nuclear magnetic resonance (NMR) can detect the presence of graphite when XRD cannot. The comparison of stoichiometry obtained with the three techniques on the same samples elucidates the uncertainty in the stoichiometry definition of  ${\rm ZrC}_x$  in past and recent literature and provides recommendation for the use of our proposed standardized method for the evaluation of the stoichiometry of  ${\rm ZrC}_x$  in future studies.

#### **Experimental Procedure**

**Sample preparation and characterization.** Hot pressed  $ZrC_x$  samples were manufactured using ZrC commercial powder (3–5  $\mu$ m, 90% <8  $\mu$ m with contamination of 0.2% <hafnium <2%, Grade B, H.C. Starck, Karlsruhe, Germany) using the procedure previously described in our previous work<sup>32</sup> where Set A and B refer to samples hot pressed at 1850 °C and 2000 °C respectively. Set A was made using approximately 42 g of ZrC powder in a 40 mm graphite die, the height was about 0.5 cm. Set B was made using 85 g of ZrC powder filled in the same die, the final height of the disc was 1 cm. The die was lined with graphite foil before powder was inserted to facilitate extraction of the sample. The heating procedure, in argon atmosphere, was set in stages: first heating to 600 °C then a ramp of 20 °C/min was used to reach 1800 °C. A third ramp of 10 °C/min was used to reach the final desired temperature of 1850 °C or 2000 °C. After the desired temperature was reached, samples were hot pressed for 1 h after the 50 MPa of uniaxial pressure was completely applied (it took approximately 15 min). The density was measured with Archimedes method on machined samples cut by electrical discharge machining (EDM) method. To compare the density values obtained with the Archimedes method on different samples tested, the percentage of the theoretical density, TD, was here reported. The TD considered for ZrC was 6.63 ZrC0 was 6.63 ZrC1. The density results for Set A and Set B samples are reported in Table 1. Two Set B samples were manufactured using the same powder and same hot pressing procedure and the results from analysis of these two bulk samples are reported.

Sample lattice parameters were calculated using XRD characterization with a Bruker D2 Desktop (Massachusetts, USA), with a copper  $K_{\alpha}$  source. XRD was performed in the range  $2\theta$ : 20– $90^{\circ}$ . WinPLOTR (Fullprof suite software<sup>33,34</sup>) was used for XRD pattern refinement using Le Bail method and Rietveld refinement. Rietveld refinement cannot be used to obtain information of carbon, oxygen and nitrogen site occupancy due to the fact that XRD data are dominated by the scattering of the cation sublattice,  $Zr^{26}$ . However, both methods were used to calculate  $ZrC_x$  lattice parameter: the same value was obtained from either Le Bail or Rietveld refinement, nonetheless, Rietveld refinement reduced uncertainties. Sample grain sizes were observed using channelling contrast in a scanning electron microscopy (SEM) using backscattered electron images (BSEI) with a LEO Gemini

1525 FEG-SEM, Zeiss, Germany. Sample surfaces were polished using a Struers MD-Piano cloths 2000 and 4000 grit followed by a  $1\mu m$  diamond solution applied on a Struers MD Dac cloth.

**Stoichiometry techniques.** The stoichiometry (C/Zr atomic ratio) of samples from Set A and Set B was analysed using three approaches:

- C/Zr atomic ratio was obtained by fitting the lattice parameter calculated from XRD refinement with the trendline reported in Jackson & Lee<sup>6</sup> for impurity-free ZrC samples;
- 2. ZrC<sub>x</sub> stoichiometry was calculated using the full combustion technique in a thermogravimetric analyser coupled with a differential thermal analyser (TGA/DTA);
- 3. Carbon content in weight % was measured using a carbon analyser and this was subsequently converted into atomic % for definition of C/Zr atomic ratio. NMR was then used to correct C/Zr atomic ratio when inconsistencies were found with results from methods 1 and 2.

**Full combustion method (TGA/DTA).** The full combustion technique using a thermogravimetric analyser coupled with a differential thermal analyser (TGA/DTA) was performed in isotherm mode using a Netzsch STA 449F1 (Netzsch, Germany). A sample from Set A or Set B, previously machined by EDM, was inserted in a TGA/DTA and heated to  $1200\,^{\circ}$ C for Set A and to  $1000\,^{\circ}$ C or  $1100\,^{\circ}$ C for Set B with a rate of  $10\,^{\circ}$ C/min under an argon atmosphere ( $60\,^{\circ}$ mL/min of argon flow). When the temperature was reached, the sample was kept under argon atmosphere for  $20\,^{\circ}$ min to allow the temperature to stabilize before switching to air. The air flow was set to  $60\,^{\circ}$ mL/min and the exposure to the oxidizing environment was kept constant for  $5\,^{\circ}$ hr or  $2\,^{\circ}$ hr and full combustion was reached after approximately  $1\,^{\circ}$ hr. The initial mass of the sample, expressed in weight %, here  $m_{ZrC}$ , and the final mass of the sample, here  $m_{ZrC}$ , were recorded. To avoid any possible mass change due to buoyancy affected by gas flow rate insertion, both the argon and air fluxes were set to  $60\,^{\circ}$ mL/min. The oxidation of  $m_{ZrC}$  was considered to follow the reaction:

$$ZrC + 2O_2 = ZrO_2 + CO_2 \tag{1}$$

where all carbon is converted to  $CO_2$ . From the mass gain evaluated via TGA it was possible to calculate the mass of the final sample by using:

$$m_{\text{final}} = \frac{m_{\text{ZrC}} \cdot \% m_{\text{ZrO2}}}{\% m_{\text{ZrC}}} \tag{2}$$

where  $m_{\rm ZrC}$  is the initial sample mass measured before the experiment,  $\%m_{\rm ZrO2}$  and  $\%m_{\rm ZrC}$  are mass percentages (weight %) obtained from TGA analysis. After calculating the final mass of the sample, which is considered to be zirconia,  $m_{\rm final}$ , as all carbon is converted to CO<sub>2</sub> via Eq. 1, it is possible to derive the mass of zirconium in ZrO<sub>2</sub> using:

$$m_{\text{Zr-in-ZrO}_2} = m_{\text{Zr-in-ZrC}} = \frac{m_{\text{final}} \cdot MW_{\text{Zr}}}{MW_{\text{ZrO}_2}}$$
(3)

where MW is the molecular weight of Zr (91.224 g/mol) and of  $ZrO_2$  (123.218 g/mol). The mass of carbon present in the initial sample is calculated from:

$$m_{\text{C-in-ZrC}} = m_{\text{ZrC}} - m_{\text{Zr-in-ZrC}} \tag{4}$$

These equations consider that the samples are not contaminated by secondary phases or contaminants. After these calculations, the C/Zr ratio was calculated from:

$$\frac{C}{Zr} = \frac{m_{\text{C-in-ZrC}}/MW_{\text{C}}}{m_{\text{Zr-in-ZrC}}/MW_{\text{Zr}}} \tag{5}$$

The uncertainty on the reported value of C/Zr atomic ratio is the standard deviation obtained by performing three calculations using different steady states points for  $\%m_{ZrC}$  and  $\%m_{ZrO2}$ .

**Inert gas fusion.** Stoichiometry of Set A and Set B was reassessed using an inert gas fusion technique, also called carbon, nitrogen, oxygen analysers using an EMIA 320 V2 and EMGA 820 from Horiba Scientific (Horiba, Japan). This widely used technique allows the quantification of all carbon species present in the  $ZrC_x$  samples but without the possibility of discriminate between bonded carbon (e.g., ZrC) or free carbon (amorphous carbon or graphite). Carbon content in  $ZrC_x$  samples from Set A and Set B was compared to the carbon content present in the commercial powder used. ZrC commercial powder has, according to the manufacturer, a carbon content of 11.6 wt% (11.1% being combined and 0.5% being free carbon). The ZrC commercial powder analysed with the equipment used for this study showed a carbon content of  $11.7 \pm 0.1$  wt%<sup>35</sup>, in line with the manufacturers' specifications. As described in our previous work<sup>35</sup> the hot pressed  $ZrC_x$  pellets from Set A had a carbon content of  $11.2 \pm 0.1$  wt%, while pellets from Set B had a carbon content of  $11.9 \pm 0.1$  wt%. The stoichiometry of Set A and Set B from elemental analysis was reported as all other impurities were measured (oxygen and nitrogen) and an accurate (global) C/Zr atomic ratio could be estimated. A small amount of sample, with a mass of approximately 15–70 mg, was used for each measurement. All the uncertainties reported have been calculated as the standard deviation based on 3 measurements.

**Figure 1.** BSEIs of: (a) Set A sample showing a TD of 96.5%; and (b) and Set B sample showing a TD of 99.2%. Grain microstructure is shown by channelling contrast.

Nuclear magnetic resonance (NMR). Solid-state static <sup>13</sup>C nuclear magnetic resonance (NMR) spectroscopy was performed on Set B at room temperature to investigate the distribution of carbon environments within the sample and reassess the quantity of carbon bonded in ZrC<sub>r</sub>. As ZrC<sub>r</sub> is semi-metallic, shielding from the radiofrequency (RF) waves generated by the NMR can occur if the powder particle diameter is above the skin depth ( $\sim$ 33  $\mu$ m) of the sample. The sample was initially broken using a hammer and further reduction in particle size was achieved using a planetary ball miller (Deco Equipment, China) with stainless steel pots and agate milling balls. The samples were milled until they could be filtered through a 25 µm sieve. The powdered samples were loaded into a 7.5 mm zirconia NMR rotor with aluminium nitride spacers. Static room temperature NMR spectroscopy was undertaken on a Varian Infinity spectrometer at a frequency of 100.603 MHz, for <sup>13</sup>C NMR in a 9.4 T magnet. The <sup>13</sup>C NMR spectra were referenced to tetramethylsilane (TMS) via a secondary reference to solid adamantane. Sample spectra were obtained using a Hahn echo pulse sequence, typically around 10000 acquisitions were acquired with a  $\pi/2$  of 4.70 µs pulse and a pulse delay time of 9 s which were determined to be non-saturating conditions. Peak fitting was undertaken using a Voigt profile, and the Chi squared value was minimized using the Levenberg-Marquardt algorithm in Igor Pro software (WaveMetrics, 10200 SW Nimbus, G-7 Portland, OR 97223, USA). Graphite powder (282863 Sigma-Aldrich, <20 μm, synthetic, purchased from Aldrich Chemistry, Sigma-Aldrich, Merck, Darmstadt, Germany) was used to calibrate the graphite peak observed during analysis of Set B samples, no sample preparation was needed in this case.

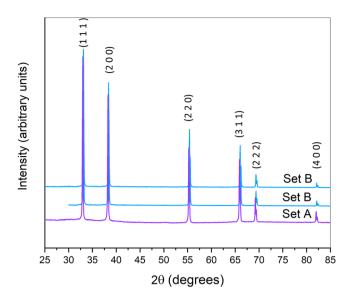
#### Results

BSEI revealed the grain size and level of porosity of Set A and Set B samples (shown in Fig. 1). The grain size of samples hot-pressed at 1850 °C was smaller than the grain size of samples hot-pressed at 2000 °C. Additionally, samples hot-pressed at 2000 °C (Set B) showed less porosity than samples hot-pressed at 1850 °C (Set A) as can be seen by comparing Fig. 1a,b.

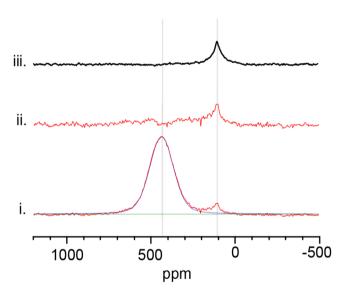
According to the XRD analysis shown in Fig. 2, in the limit of sensitivity of the XRD apparatus, both Set A and B samples did not display any secondary phase rich in oxygen (such as  $ZrO_2$ ) or graphite. Set A showed a carbon content of  $11.2\pm0.1$  wt% in line with the combined carbon concentration in the initial ZrC powder. Set B, however, showed a carbon content of  $11.9\pm0.1$  wt% indicating the presence of additional free carbon or graphite picked up during the hot pressing stage as commercial ZrC powder only contained 11.6 wt% of bonded and free carbon.

The nature of the additional presence of carbon measured by inert gas fusion carbon analysis associated with Set B samples could be detected using NMR as this technique can distinguish different carbon local environments. In <sup>13</sup>C NMR carbon atoms can be directly observed, the integration of a spectral peak corresponds to the proportion of carbon atoms in the associated unique bonding environment and ratio of this with the total spectral signal corresponds to the fraction of carbons present in that environment. NMR was used to analyse the nature of carbon in one Set B sample, the results are shown in Fig. 3.

The static  $^{13}$ C NMR spectrum at room temperature in Fig. 3(i) shows that two narrow resonances are observed in Set B sample. The  $ZrC_x$  and graphite resonances are located at ~437 and 113 ppm, respectively. Peak fitting was undertaken on the spectra for the  $ZrC_x$  resonance and it is shown in blue in Fig. 3(i). The post-fit residual spectrum of Fig. 3(i) is shown in Fig. 3(ii). The attribution of graphite to the lower shift resonance, 113 ppm, was confirmed by undertaking static  $^{13}$ C NMR of a graphite powder, this is shown in Fig. 3(iii). The separation of the broad line shape of the  $ZrC_x$  and the graphite resonances indicate that graphite present in the sample is entirely disassociated with  $ZrC_x$  structure. The line shape of the  $ZrC_x$  resonance structure, as determined by static  $^{13}$ C NMR is homogeneous with no additional sub-resonances. The homogeneity of the  $ZrC_x$  resonance observed in the static spectra is indicative of a single unique carbon chemical environment associated with the  $ZrC_x$  phase. NMR analysis enabled discrimination between carbon in  $ZrC_x$  and carbon disassociated from the  $ZrC_x$  structure which in turn made it possible to recalculate the proportion of carbon atoms in the  $ZrC_x$  sites and hence redetermine the stoichiometry of the sample. By comparing the fitted peak area of the graphite resonance with the total peak area of the NMR spectrum it was possible to correct the C/Zr ratio of  $ZrC_x$  previously calculated by elemental carbon analysis. Static  $^{13}C$  NMR on Set A was also undertaken and showed presence of the graphite



**Figure 2.** XRD Set A and Set B samples showing ZrC diffraction peaks (PDF 035 0784), no secondary phases were detected.



**Figure 3.** Static <sup>13</sup>C NMR spectra at room temperature of: (i) Set B sample in red, ZrC peak fit (blue) and baseline (green); (ii) the residual spectrum post-fitting; and (iii) graphite powder. Common peak positions are highlighted with a vertical grey line for comparison.

peak at 113 ppm. Corrected values of stoichiometry for Set A after NMR analysis were also performed and these are discussed in Table 2.

TGA/DTA was used to estimate the stoichiometry of Set A and Set B samples using the full combustion method, shown in Fig. 4. The TGA data were used to measure samples stoichiometry by using Eqs. 2–5.

The broad DTA peak in Fig. 4a–c was considered to be related to ZrC oxidation as it coexisted with the TGA curve.

A summary of the stoichiometry results obtained independently from TGA analysis, carbon analysis and NMR analysis are reported in Table 2. The total carbon content of Set B sample, measured by carbon analysis was found to be related to a  $C/Zr=1.02\pm0.01$ , by applying post NMR correction and subtracting the amount of graphite detected in the sample (shown in Fig. 3), the amount of bonded carbon was measured to be related to a stoichiometry of  $C/Zr=0.96\pm0.01$  in Set B sample, in agreement with the stoichiometry measured with TGA analysis in Table 2.

Set A stoichiometry value obtained by elemental analysis and TGA was in agreement: C/Zr ratio = 0.97. The mass increase measured by TGA and shown in Fig. 4a indicated a C/Zr value of  $0.97 \pm 0.01$ . If oxygen and nitrogen quantities were not considered for the stoichiometry calculation, then C/Zr would have been  $0.96 \pm 0.01$ , this

	Elemental analysis C, O and N wt%	C/Zr considering only C wt% (elemental analysis)	C/Zr NMR corrected	C/Zr from TGA	XRD Lattice parameter (Å)	XRD C/Zr (*)
Set A	$C = 11.2 \pm 0.1  O = 0.95 \pm 0.1  N = 0.28 \pm 0.1$	$0.97 \pm 0.01$	0.96 ± 0.01	$0.97 \pm 0.01$	$4.6920 \pm 0.0001$	0.575 (*)
Set B-1	$ \begin{array}{c} C = 11.9 \pm 0.1 \\ O = 0.40 \pm 0.\ 0.03 \\ N = 0.28 \pm 0.\ 0.01 \end{array} $	$1.02 \pm 0.01$	0.96 ± 0.01	0.95 ± 0.01	$4.6937 \pm 0.0004$	0.615 (*)
Set B-2	$ \begin{array}{c} C = 11.9 \pm 0.1 \\ O = 0.31 \pm 0.0.04 \\ N = 0.26 \pm 0.03 \end{array} $	1.02 ± 0.01		$0.92 \pm 0.01$	4.6913 ± 0.0003	0.589 (*)

**Table 2.** Summary of hot pressed ZrC samples stoichiometry. C/Zr ratio was measured by TGA method, by elemental analysis (measuring carbon, oxygen and nitrogen in wt %) and by correcting the C/Zr ratio from carbon analysis (wt%) using NMR which enabled discrimination between graphite and bonded carbon. The ratio C/Zr estimated from XRD analysis considered ZrC samples to be free from impurities and by matching the lattice parameter with the trendline in "lattice parameter vs. C/Zr ratio" published in Jackson & Lee<sup>6</sup> (\*).

indicates the importance of measuring all contaminants when reporting  $ZrC_x$  stoichiometry. When the nitrogen and oxygen elemental analyses on Set A and Set B are considered, these specimens should actually be labelled as an oxynitrocarbides. Only by measuring all contaminants the sample stoichiometry can be determined: Set A, for example has a considerable presence of oxygen and nitrogen and should be labelled  $ZrC_{0.97}O_{0.06}N_{0.02}$ .

Another issue occurring when contaminants are not fully quantified is that sample stoichiometry may be erroneously determined using the correlation in Jackson & Lee<sup>6</sup> for pure ZrC samples. If the correlation in Jackson & Lee<sup>6</sup> for pure ZrC samples is used on Set A, the stoichiometry is C/Zr = 0.575, a highly substoichiometric sample which is in disagreement with all experimental measurements shown in Table 2. To understand the discrepancy between the C/Zr measured experimentally by TGA and carbon analysis and the fitted C/Zr atomic ratio in Jackson & Lee<sup>6</sup> from lattice parameter, the relationship between lattice parameter and impurity contents that was first published by Mitrokhin *et al.*<sup>15</sup>. was analysed. The Mitrokhin *et al.*<sup>15</sup>. equation, which was then republished by Jackson & Lee<sup>6</sup> and Shabalin <sup>16</sup>, is:

$$a_{\text{ZrC}_x(O,N)_y} = 4.5621 - 0.2080x^2 + 0.3418x - 0.80y(1-x)$$
(6)

where *x* corresponds to C/Zr and *y* is (O + N)/Zr. This equation is only applicable when  $0.62 \le x \le 1$  and  $y \le 0.3$ , as reported by Mitrokhin *et al.*<sup>15</sup>, therefore it should not be used for C/Zr < 0.62 sub-stoichiometric samples.

The stoichiometry of Set A sample, showing a lattice parameter of  $a=4.6920\,\text{Å}\pm0.0001$ , was reanalysed using Eq. 6 considering the boundary condition reported by Mitrokhin  $et~al.^{15}$ . and adding the constraint of x+y=1 for stoichiometric compounds. The result is that Set A could appear as an oxycarbide with a stoichiometry of  $\text{ZrC}_{0.88}\text{O}_{0.12}$  (x=0.88 and y=0.12). This value,  $\text{ZrC}_{0.88}\text{O}_{0.12}$ , is still in disagreement with the stoichiometry evaluated by TGA and carbon analysis,  $\text{ZrC}_{0.97}$ , see Table 2. The oxycarbide value of  $\text{ZrC}_{0.88}\text{O}_{0.12}$  evaluated for Set A using Eq. 6 is, however, not the only possible solution for that given lattice parameter as  $\text{ZrC}_x$  allows for non-stoichiometric compounds, therefore  $\text{ZrC}_{0.85}\text{O}_{0.10}$  could also be possible. A representation of the quadratic Eq. 6 which covers all possible oxycarbide stoichiometries is highlighted in Fig. 5a,b. The upper limit of the Mitrokhin  $et~al.^{15}$ . equation is superimposed upon the experimental data of lattice parameter versus C/Zr from old literature (1960s-1970s)<sup>13,14</sup>, recent literature<sup>8,11,12,17,20,26,36,37</sup> and the experimental data presented in this work. Figure 5 deliberately combines experimental data from zirconium carbide  $\text{ZrC}_x^{8,11-14,26,36-38}$  and oxycarbide  $\text{ZrC}_x^{0}_y^{17,20,21,38}$  studies.

Recent data on oxycarbide production were not reported in Fig. 5 as their stoichiometry was not defined independently but was derived from pre-existing data. Hauser *et al.*<sup>25</sup>. samples stoichiometry, for example, was estimated by fitting their lattice parameter over pre-existing oxycarbide values from Gendre *et al.*<sup>17</sup>, Barnier *et al.*<sup>21</sup> and Constant *et al.*<sup>39</sup>. The oxycarbide stoichiometry data reported in Gendre *et al.*<sup>17</sup>, Barnier *et al.*<sup>21</sup> and Constant *et al.*<sup>39</sup> are in agreement with the equation reported by Mitrokhin *et al.*<sup>15</sup> for the Zr-C system for  $y \ne 0$ .

#### Discussion

There is an evident discrepancy in the relationship between lattice parameter and C/Zr ratio in the literature reported from the 1960s and 1970s<sup>13,14</sup>, that shows a peak of the former at a value of C/Zr  $\sim 0.83^{13,14}$ , and the linear or polynomial correlations found in recent literature<sup>8,11,12,17,20</sup>, which mostly focuses on zirconium carbide ZrC<sub>x</sub> or oxycarbide ZrC<sub>x</sub>O<sub>y</sub>, production and characterization.

By comparing the experimental method reported in Sara<sup>13</sup>, Storms & Wagner<sup>14</sup> and Mitrokhin *et al.*<sup>15</sup>, it was noticed that long heat treatments (up to 160 hr) and high temperatures (up to 3300 °C) were used for the production of nonstoichiometric  $ZrC_x$ . Storms & Wagner<sup>14</sup>, for example, sintered the powders at 2727 °C before treatment in either vacuum or graphite (at 1325–2050 °C) over long periods (from 1.2 to 160 hr). Mitrokhin *et al.*<sup>15</sup> reported hot pressing  $ZrC_{0.96}$  and  $ZrH_2$  at 2600 °C for 30 min followed by heat treatment at 2700 °C for 5 hr to obtain non-stoichiometric  $ZrC_x$ . Sara<sup>13</sup> prepared  $ZrC_x$  samples using heat treatments at 2600 °C or 3300 °C for a few hr.

By comparing data reported by Storms & Wagner<sup>14</sup>, shown in Fig. 5a, it became evident that samples that are heat treated in graphite (for 15 hr to 160 hr at temperatures in the range 1775–2000 °C) and samples heat treated in vacuum (for 1.2 to 15 hr at temperatures in the range 1325–2500 °C) can show similar C/Zr versus lattice

**Figure 4.** TGA/DTA data obtained during full combustion: (a) Set A sample at 1200 °C; (b) and (c) Set B samples at 1100 °C and 1000 °C, respectively.

parameter relationships. The samples heated in graphite may present carbon contamination due to the diffusion of this species in the carbide during heat treatment, especially considering the very long hot-pressing stage in graphite (between 15 hr and 160 hr). Storms & Wagner<sup>14</sup> did mention that two of the graphite heat treated samples contained free carbon. One key point emerging from the manufacturing process of Storms & Wagner<sup>14</sup> and Sara<sup>13</sup> is that very low oxygen contents were reported on the final  $ZrC_x$  samples with stoichiometries in the range 0.648 < x < 0.985. The oxygen concentration reported in Storms & Wagner<sup>14</sup> for  $ZrC_x$  samples ranged between 148 to 1300 ppm which converted in weight% is equal to 0.0148 wt% and 0.13 wt%.

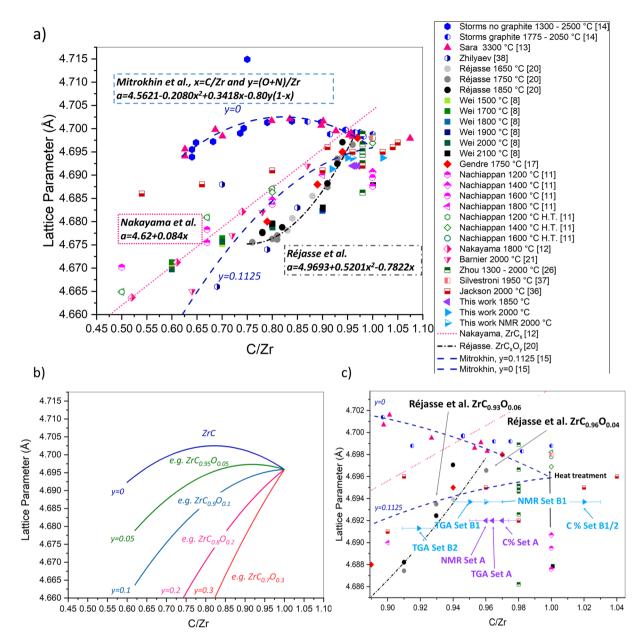
In this work, oxygen impurities reported in  $ZrC_x$  samples from past studies <sup>13,14</sup> are compared to oxygen impurities reported in recent literature <sup>12,26</sup> (even though very few authors reported oxygen concentration measurements). It is found that oxygen contamination from current manufacturing routes is much higher than in the 1960s-70s<sup>13,14</sup>. We reassessed the reported stoichiometry of recently manufactured  $ZrC_x$  compounds by taking into account, when published, the oxygen contamination. We found that what was published as  $ZrC_x$  compounds should have been called, instead,  $ZrC_xO_y$ , an oxycarbide. The reassessment of samples stoichiometry from previous studies <sup>12,26</sup> is shown in Table 3. The samples stoichiometry in Table 3 was calculated converting the reported elemental analysis measured in weight % with atomic % and assuming that no vacancies are present, i.e. the sum of x and y in  $ZrC_xO_y$  is equal to 1. For comparison, samples with similar stoichiometry from Storms & Wagner <sup>14</sup> are reported to show that Storms & Wagner <sup>14</sup> produced pure  $ZrC_x$  compounds. Unfortunately, other authors <sup>8,11,40</sup> recently reporting linear relationship between C/Zr and  $ZrC_x$  lattice parameter have not reported any oxygen and nitrogen analysis on their samples. It is therefore not possible to discern whether their samples were  $ZrC_x$  or  $ZrC_xO_yN_z$ . Wei et al. <sup>8</sup> did mention that the discrepancy of their measurements compared to Sara <sup>13</sup> and Storms & Wagner <sup>14</sup> could have been induced by presence of impurities such as oxygen but they did not quantify them.

Even though Zhou  $et~al.^{26}$  reported that carbon stoichiometry increased and dissolved oxygen decreased with increasing synthesis temperature from 1300 °C to 2000 °C, they reported all their compounds to be  $ZrC_x$  even though they showed a significant level of oxygen even at 2000 °C (see Table 3). From our analysis shown in Table 3 the level of oxygen present in Zhou  $et~al.^{26}$  and Nakayama  $et~al.^{12}$   $ZrC_x$  samples are of the same order of magnitude of Réjasse  $et~al.^{20}$  oxycarbide samples. We, therefore, renamed Zhou  $et~al.^{26}$  and Nakayama  $et~al.^{12}$  samples oxycarbide compounds,  $ZrC_xO_y$ , as shown in Table 3 due to their level of oxygen contamination.

The very long heat treatments and high temperatures used in past manufacturing processes<sup>13–15</sup> are considered the reason for the high purity ZrC samples synthetized in the 1960s and 1970s<sup>13,14</sup>.

It is worth noting that one of the experimental methods widely used to quantify oxygen content in carbide systems is the inert gas fusion or combustion method technique. In this technique the sample sits in a graphite crucible and is heated to very high temperatures in a helium gas atmosphere to burn all oxygen contaminants into CO/CO<sub>2</sub>. The very long heat treatments in graphite or vacuum at high temperatures conducted by Sara<sup>13</sup> and Storms & Wagner<sup>14</sup> may have acted in the same way as the inert gas fusion technique: temperature and time allowed conversion of most of the oxygen in contact with free carbon or graphite to escape the system as CO/CO<sub>2</sub> leading to oxygen free ZrC<sub>x</sub> samples. These authors<sup>13,14</sup> both found a relationship between the lattice parameter and ratio C/Zr that exhibited a maximum at ~ 0.83 and this was recently confirmed by Mellan *et al.*<sup>41</sup>. Mellan *et al.*<sup>41</sup> reported the lattice parameter of ZrC<sub>x</sub> to decrease from x = 0.97 to x = 1 using computational calculations, as the volume of vacant carbon site (in sub-stoichiometric ZrC<sub>x</sub> compounds) is larger than the corresponding volume of the perfect crystal. This means that the lattice parameter should expand, or increase, for x < 1.

The assessment conducted in this work proves that past studies  $^{13-15}$  are the only ones that reported production of pure zirconium carbide compounds with very little oxygen impurity, less than 1300 ppm, (we excluded in our discussions one sample reported in Storms & Wagner<sup>14</sup> that contained 8100 ppm of oxygen with an abnormal lattice parameter of 4.7149, visible as an outlier point in Fig. 5a). The linear relationship found in recent work<sup>8,11,12</sup> between C/Zr and lattice parameter was derived in studies where the samples instead of being  $ZrC_x^{12,26}$  were, unintentionally, oxycarbides:  $ZrC_xO_y$ , as seen in Table 3. The presence, or contamination, of oxygen in  $ZrC_x$  samples produced using commercial ZrC powder like in Nakayama *et al.*<sup>12</sup> work and this work (see, for example, Set A oxygen and nitrogen analysis in Table 2 relating to  $ZrC_{0.97}O_{0.06}N_{0.02}$ ) or by mixing  $ZrH_2$  and carbon black<sup>26</sup> could be related to the manufacturing process used nowadays, which is shorter (less than 5 hr<sup>8,11,26</sup> or even only a few min<sup>12,36</sup>) and conducted at lower temperatures ( $\leq 2100\,^{\circ}\text{C}$ ) than the manufacturing process used in the past (up



**Figure 5.** (a) Lattice parameter against C/Zr ratio showing Mitrokhin *et al.*<sup>15</sup> fit, Nakayama *et al.*<sup>12</sup> fit and Réjasse *et al.*<sup>20</sup> fit superimposed on zirconium carbide and oxycarbide experimental data, references are in square brackets and H.T. stands for heat treatment. (b) Mitrokhin *et al.*<sup>15</sup> equation plotted over the same range shown in (a) with varying oxygen and nitrogen contents:  $0 \le y \le 0.3$ . (c) Close-up of the lattice parameter against C/Zr presented in this work shown in (a) using three different experimental techniques: TGA, carbon analyser and NMR. Lattice parameter of Nachiappan *et al.*<sup>11</sup> samples increased after heat treatment as highlighted by the arrow and the "heat treatment" caption.

to  $160 \, \mathrm{hr}^{14}$  and  $3300 \, ^{\circ}\mathrm{C}^{13}$ ). The combination of short reaction and sintering time combined with low temperatures have induced production of contaminated  $\mathrm{ZrC}_x$  samples hosting oxygen impurities, therefore being in reality oxycarbides.

Before considering changes in mechanical and physical properties of ZrC<sub>x</sub> with stoichiometry, samples should be correctly characterized, and the stoichiometry be accurately reported considering oxygen and nitrogen contamination. This will help reducing the large scatter of data present to date on thermophysical properties of ZrC<sub>x</sub>.

Our study showed that the trend reported by Mitrokhin *et al.*<sup>15</sup> and republished in Jackson & Lee<sup>6</sup> for pure ZrC, is no longer able to represent the experimental data reported in recent studies<sup>8,11,12</sup> (see Fig. 5a). The reason was not related to a novel linear relationship of the lattice parameter with C/Zr atomic ratio recently reported but, instead, was due to the erroneous labelling of samples stoichiometry: recent literature who reported  $ZrC_x$  have unintentionally produced oxycarbides<sup>12,26</sup> instead of carbides (see Table 3).

Author	Temperature synthesis (°C)	C/Zr reported	Oxygen reported (wt%)	Corrected stoichiometry	Product formed
Zhou et al. <sup>26</sup>	2000	0.98	1.011	ZrC <sub>0.94</sub> O <sub>0.07</sub>	oxycarbide
Nakayama et al. <sup>12</sup>	1800	0.74	0.67	ZrC <sub>0.74</sub> O <sub>0.04</sub>	oxycarbide
Storms & Wagner <sup>14</sup>	2000 (22.7 hr in graphite)	0.975	0.026	$ZrC_{0.975}O_{0.001} = ZrC_{0.98}$	carbide
Storms & Wagner <sup>14</sup>	1800 (3.5 hr in vacuum)	0.736	0.02	$ZrC_{0.736}O_{0.001} = ZrC_{0.74}$	carbide

**Table 3.** Corrected stoichiometry from recent studies, the samples when are reassessed to be oxycarbides instead of carbides have been renamed. To help the reader discriminate between oxygen content in  $ZrC_x$  and  $ZrC_xO_y$ , compounds, two samples from Storms & Wagner<sup>14</sup> that were manufactured in the same regime of temperature, one in vacuum and one in graphite, have been analysed using the same method.

The increase of lattice parameter of  $\operatorname{ZrC}_x$  samples after post heat treatment reported by Nachiappan  $\operatorname{et} \operatorname{al.^{11}}$  agrees well with our hypothesis that  $\operatorname{ZrC}_x$  samples manufactured nowadays contain contaminants. It is well known that the lattice parameter of oxycarbides increases with the progressive removal of oxygen<sup>21</sup>, therefore, by heat treating samples in Nachiappan  $\operatorname{et} \operatorname{al.^{11}}$  study, most of the oxygen contaminants could leave the system as  $\operatorname{CO}/\operatorname{CO}_2$ . In Fig. 5c it was highlighted the change in lattice parameter of heat treated samples from Nachiappan  $\operatorname{et} \operatorname{al.^{11}}$  that did not quantify the presence of contaminants in their samples. After the heat treatment, their samples presented similar lattice parameter to stoichiometric samples from Storms & Wagner<sup>14</sup> which indicated a purification process during heat treatment.

In this work we have used three experimental techniques to measure the stoichiometry of commercial  $ZrC_x$  hot pressed samples, which contain oxygen and nitrogen contaminations, in an effort to find the best method: TGA, inert gas fusion and NMR. TGA and carbon analysis C/Zr atomic ratio were in agreement on Set A samples even though TGA was unable to measure small levels of impurities such as free carbon and oxygen. Set B samples presented significant non bonded carbon as  $C/Zr = 1.02 \pm 0.01$  measured by inert gas fusion analysis and the stoichiometry measured by TGA giving  $C/Zr = 0.95 \pm 0.01$  disagreed. NMR was used in this case to quantify the amount of graphite in the sample and to correct the C/Zr ratio. The NMR corrected value of  $C/Zr = 0.96 \pm 0.01$  was in agreement with the TGA method for both Set A and set B samples.

We have found that to have a clear understanding of sample stoichiometry in  $ZrC_x$  systems multiple techniques should be used together, and the relationship between C/Zr atomic ratio and lattice parameter published by Mitrokhin *et al.*<sup>15</sup> and Jackson & Lee<sup>6</sup> should be used only if the concentration of carbon, oxygen or nitrogen is known. We propose a method to address stoichiometry of  $ZrC_x$  in light of the results from this work and the review on past and recent literature, this is shown in Fig. 6.

In order to evaluate the stoichiometry of a  $ZrC_{\nu}$  sample correctly, we propose the following steps:

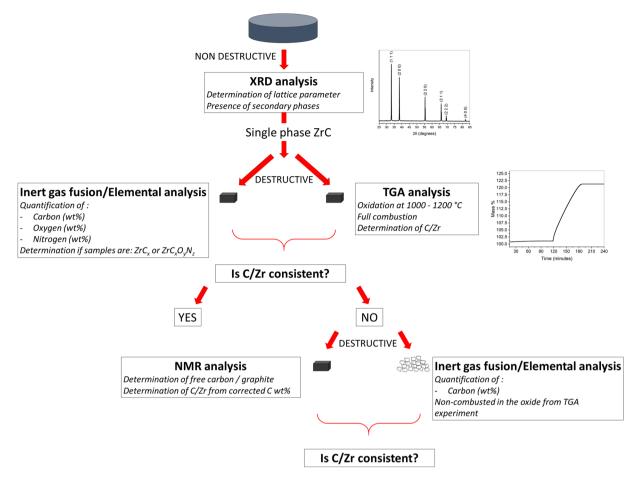
- 1. An elemental carbon, oxygen and nitrogen analysis needs to be conducted and the weight % must be converted to an atomic % to determine whether carbides or oxynitrocarbides are present.
- 2. TGA can be used as a reliable method to determine the stoichiometry of carbides if the sample is mostly single phase  $(ZrC_x)$  or if secondary phases are known (e.g., composition of  $ZrO_2$ ).
- 3. NMR needs to be conducted when discrepancies arise between elemental analysis and TGA analysis. NMR allows correction of stoichiometry from elemental analysis as free carbon or graphite can be identified and quantified.
- 4. Finally, a comparison between the lattice parameter value obtained by XRD and the relationship published by Mitrokhin *et al.*<sup>15</sup> equation should be performed to validate this theory.

Additionally, we suggest that long heat treatments at high temperature should be used to manufacture pure  $ZrC_x$  samples as the temperature and time seem to be keys in reducing any remaining oxygen contaminants. Lastly, care should be used when storing and handling carbides powders in air during sample preparation as oxygen contamination is difficult to avoid.

## **Conclusions**

This paper critically analysed the relationship between the atomic ratio C/Zr and the lattice parameter of  $ZrC_x$  samples. It was found that recent literature reporting on a new linear relationship between these two parameters erroneously did not consider contamination of oxygen and nitrogen in the  $ZrC_x$  samples under investigation. We reassessed, where possible (i.e., when the quantification of contaminants was reported), the stoichiometry of  $ZrC_x$  samples that reported a linear relationship between the ratio C/Zr and the lattice parameter and found that those samples were actually  $ZrC_xO_y$ . Additionally, it was noticed that very little oxygen contamination was found in older literature concerning  $ZrC_x$  due to the specific manufacturing routes that consisted of very long heat treatments (up to 160 hr) at high temperatures (up to 3300 °C), unlike the manufacturing methods used more recently.

The relationship of the atomic ratio C/Zr and the lattice parameter is not trivial and it is not possible yet to define a single and universal technique which is able to characterize the stoichiometry of  $ZrC_x$  and  $ZrC_xO_yN_z$  in one single stage. In our study, we used three independent experimental techniques to identify consistent values for  $ZrC_x$  stoichiometry. If each method was used alone, instead, a scatter on the atomic ratio as high as  $\Delta C/Zr = 0.07$  were found. From this work, we established that a chemical quantification of the carbon, oxygen and nitrogen contents should always be conducted, and the results should be compared with the TGA method which was found reliable in confirming the stoichiometry obtained by elemental analysis. In the case of a discrepancy



**Figure 6.** Proposed method to assess ZrC stoichiometry.

between TGA and elemental analysis, NMR should be used to correct the final stoichiometry, and the oxide from TGA analysis should be analysed to confirm presence of non-combusted carbon species (as shown in the Supplementary Information).

It is clear that a standardized procedure should be established in order to allow reliable comparisons between stoichiometry and the mechanical and thermophysical properties of  $ZrC_x$ . We hope that our proposed method will help future researchers to fully and accurately characterize sample stoichiometries and link these data to other physical or chemical material properties.

#### Data availability

The datasets generated during and/or analysed during the current study are available from the corresponding author on reasonable request.

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### **Author contributions**

C.G. conducted specimen characterisation using SEM, inert gas fusion technique and TGA. D.R. and I.F. performed NMR characterisation. D.H. calculated samples lattice parameters. C.G. and N.L.B. assessed oxygen content and corrected stoichiometries in old and novel literature samples. C.G. wrote the main manuscript text, D.R. and I.F. wrote the NMR sections. All authors reviewed the manuscript and contributed to the discussions of the results, C.N.M. reviewed the manuscript extensively and W.E.L supervised the entire research.

#### Competing interests

The authors declare no competing interests.

#### Additional information

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