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## **OPEN** Cationic lipid-nanoceria hybrids, a novel nonviral vector-mediated gene delivery into mammalian cells: investigation of the cellular uptake mechanism

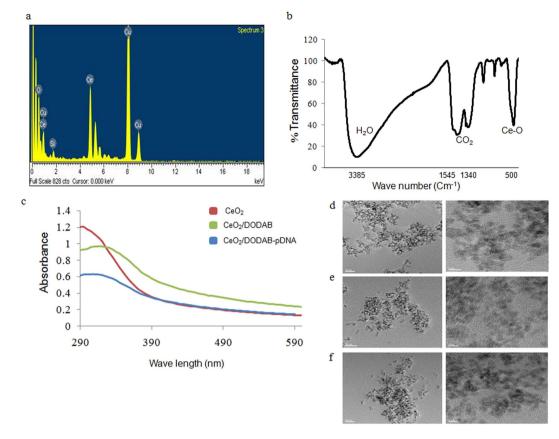
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Gene therapy is a promising technique for the treatment of various diseases. The development of minimally toxic and highly efficient non-viral gene delivery vectors is the most challenging undertaking in the field of gene therapy. Here, we developed dimethyldioctadecylammonium bromide (DODAB)nanoceria (CeO<sub>2</sub>) hybrids as a new class of non-viral gene delivery vectors. These DODAB-modified CeO<sub>2</sub> nanoparticles (CeO<sub>2</sub>/DODAB) could effectively compact the pDNA, allowing for highly efficient gene transfection into the selected cell lines. The CeO<sub>2</sub>/DODAB nanovectors were also found to be non-toxic and did not induce ROS formation as well as any stress responsive and pro-survival signaling pathways. The overall vector performance of CeO<sub>2</sub>/DODAB nanohybrids was comparable with lipofectamine and DOTAP, and higher than calcium phosphate and DEAE-dextran for transfecting small plasmids. The increased cellular uptake of the nanovector/DNA complexes through clathrin- and caveolaemediated endocytosis and subsequent release from the endosomes further support the increased gene transfection efficiency of the CeO<sub>2</sub>/DODAB vectors. Besides, CeO<sub>2</sub>/DODAB nanovectors could transfect genes in vivo without any sign of toxicity. Taken together, this new nano-vector has the potential to be used for gene delivery in biomedical applications.

Gene therapy has been recognized as a promising technique to treat genetic disorders and cancers. Gene therapy involves the reparation of defective genes or the incorporation of new functional genes into the cells<sup>1,2</sup>. However, the negatively charged genes cannot traverse the negatively charged cell membrane effectively without the assistance of gene delivery vectors. Gene delivery vectors include both viral<sup>3-5</sup> and non-viral systems<sup>6-8</sup>. Although viral vectors show high transaction efficiency, they are limited in terms of DNA packaging and are also hazardous to humans9. Therefore, non-viral vectors have gained prominence because they are largely biocompatible, easily functionalized and varied structurally, and have the potential to carry diverse genetic materials into living cells<sup>10</sup>. Non-viral gene delivery vectors have to overcome three important barriers during gene delivery: (1) DNA entry across the cell membrane, (2) protection of DNA bound to the vectors and subsequent release of DNA, and (3) DNA entry into the nucleus. Therefore, development of minimally toxic and highly efficient non-viral gene delivery vectors is the most challenging undertaking in the field of gene therapy<sup>11</sup>.

In recent years, inorganic nanoparticle-based gene delivery vectors have gained the attention of researchers due to their unique physical and chemical properties<sup>12</sup>. Several types of inorganic nanoparticles can form stable complexes with DNA and deliver it into living cells. These include silica nanoparticles<sup>13,14</sup>, quantum dots<sup>15</sup>, Au nanoparticles<sup>16-18</sup>, carbon nanotubes<sup>19,20</sup>, hybrid nanoparticles<sup>21</sup>, etc. Herein, for the first time, we introduce nanoceria (CeO<sub>2</sub>) as a non-viral gene delivery vector. Nanoceria is well known for its excellent antioxidant activity<sup>22-27</sup>. Nanoceria is a reported mimic for superoxide dismutase (SOD) with catalytic efficiency surpassing that of SOD itself<sup>28,29</sup>. Nanoceria has the ability to alter its valence state (between  $Ce^{3+}$  and  $Ce^{4+}$ ) and

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**Figure 1.** Characterization of nanoparticles and nanoparticle-pDNA complexes. (a) EDS spectrum of CeO<sub>2</sub>; (b) FTIR spectrum of CeO<sub>2</sub>; (c) UV spectra of CeO<sub>2</sub>, CeO<sub>2</sub>/DODAB, and CeO<sub>2</sub>/DODAB–pDNA complexes; (d–f) TEM images of CeO<sub>2</sub>, CeO<sub>2</sub>/DODAB and CeO<sub>2</sub>/DODAB–pDNA complexes at different magnifications.

create oxygen defects on its surface. The catalytic activity of nanoceria is derived directly from this property<sup>30,31</sup>. Therefore, it is quite reasonable to use nanoceria as a gene delivery vector due to its biocompatible nature. Liu *et al.*<sup>32</sup> demonstrated that instead of having a negative surface zeta potential at physiological pH, nanoceria exhibits strong DNA binding ability. However, they also showed that nanoceria could not prevent the migration of DNA upon gel electrophoresis, possibly due to the resultant negative charge of the complex. For effective gene delivery, the charge of the nanoparticle-DNA complex should be positive to facilitate intracellular uptake. Therefore, the nanoparticle should possess sufficient positive charge to confer a resultant positive charge to the nanoparticle-DNA complex. In the present study, we utilize dimethyldioctadecylammonium bromide (DODAB), a commercially available cationic lipid, for the surface modification of nanoceria. The interaction of DODAB with DNA and its application as a gene delivery vector has been evaluated in several prior studies<sup>33–38</sup>. However, due to its low transfection efficiency and enhanced cytotoxicity, DODAB could not been commercialized and applied for gene therapy.

Herein, we propose that by combining the advantage of DODAB as a gene delivery vector and the biocompatible nature of nanoceria to prepare a one-particle system (CeO<sub>2</sub>/DODAB), high gene delivery efficiency can be achieved. To test this hypothesis we (i) prepared negatively charged nanoceria from ammonium cerium(IV) nitrate with subsequent characterization, (ii) characterized positively charged nanoceria prepared via surface modification using DODAB, (iii) evaluated the size and surface zeta potentials of the nanoparticles and nanoparticle-DNA complexes, (iv) assessed the DNA complexing ability and protection against DNase I; (v) checked the *in vitro* transfection efficiency and cytocompatibility of the nanoparticles and intracellular distribution of the nanoparticle-DNA complexes, (vi) investigated the intracellular uptake pathways of the nanoparticle-DNA complexes, and (vii) evaluated the *in vivo* transfection efficiency and biocompatibility of the nanoparticles.

#### Results

**Preparation and characterization of nanoceria (CeO<sub>2</sub>), DODAB-modified nanoceria (CeO<sub>2</sub>/DODAB), and CeO<sub>2</sub>/DODAB-pDNA complexes.** In the present study, nanoceria (CeO<sub>2</sub>) was prepared by simply refluxing ammonium cerium(IV) nitrate and urea according to the method of Tsai<sup>39</sup>. The synthesized CeO<sub>2</sub> was characterized by energy dispersive spectroscopy (EDS) and Fourier transform infrared (FTIR) spectroscopic analyses. The EDS spectrum showed characteristic peaks of Ce and O and was devoid of any impurity peaks (Fig. 1a). However, a Cu peak arising from the TEM grid and a Si peak from the detector were observed. The chemical nature of CeO<sub>2</sub> was also verified from the FTIR spectrum, which showed a strong absorption band

Vectors/Complexes	Zeta potential (mV)	Diameter (nm)	
CeO <sub>2</sub>	$-24\pm0.9$	$291\pm7$	
CeO <sub>2</sub> /DODAB	$+41\pm0.44$	$370\pm19$	
CeO2/DODAB pEGFPN1	$+36\pm0.4$	$461\pm14$	
CeO <sub>2</sub> /DODAB-pGL3	$+34\pm0.4$	$464\pm74$	
DODAB-pGL3	$+37\pm0.6$	$583\pm72$	

Table 1. Hydrodynamic diameter and surface zeta potential of  $CeO_2$ ,  $CeO_2/DODAB$  and  $CeO_2/DODAB$ -pDNA complexes.

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at 500 cm<sup>-1</sup> due to the Ce-O stretching vibration (Fig. 1b). Infrared absorption bands were also observed at 3385 cm<sup>-1</sup>, 1545 cm<sup>-1</sup>, and 1340 cm<sup>-1</sup> due to water and CO<sub>2</sub> molecules adsorbed on the nanoparticle surface (Fig. 1b). After confirming the synthesis of CeO<sub>2</sub>, CeO<sub>2</sub>/DODAB was prepared by simply mixing CeO<sub>2</sub> and DODAB in a 1:2 mole ratio. The amount of DODAB (6.30%) bound to the nanoceria surface was calculated from the amount of nitrogen present in  $CeO_2/DODAB$  (Supplementary Table 1). The optical absorbance of synthesized CeO2 was checked by acquisition of the UV spectrum, which showed a distinct absorption band at 295 nm and was devoid of impurity peaks (Fig. 1c). However, in the case of CeO<sub>2</sub>/DODAB, the absorption band appeared at 315 nm (Fig. 1c). The CeO<sub>2</sub>/DODAB-pDNA complex (CeO<sub>2</sub>/DODAB to pEGFP-N1 mass ratio = 30) showed a broad band spanning 315-320 nm (Fig. 1c). TEM analysis showed almost spherical particles of synthesized  $CeO_2$  having diameters in the range of 3–4 nm (Fig. 1d). However, the apparent increase in the size of the  $CeO_2/$ DODAB and CeO<sub>2</sub>/DODAB-pDNA complexes was not clear from the TEM images (Fig. 1e,f). The processes of DODAB deposition on the CeO<sub>2</sub> surface and complex formation between CeO<sub>2</sub>/DODAB and pDNA were also monitored by dynamic light scattering (DLS) and zeta potential analyses. The DLS data suggested that the average diameter of CeO<sub>2</sub>, CeO<sub>2</sub>/DODAB, and CeO<sub>2</sub>/DODAB-pEGFPN1 were  $291 \pm 7$ ,  $370 \pm 19$ , and  $461 \pm 14$  nm respectively (Table 1) in water. Nanoparticles appear larger by DLS compared to by TEM analysis because of the solvation/hydration of nanoparticles. The results can be explained by the fact that in DLS measurement, the mean diameter is calculated from the diffusional properties of dynamic nanoparticles in hydrated state, whereas in TEM analysis, the mean primary particle diameter is calculated in dried state<sup>40,41</sup>. The zeta potential values of CeO<sub>2</sub>, CeO<sub>2</sub>/DODAB, and CeO<sub>2</sub>/DODAB-pEGFPN1 were  $-24 \pm 0.9$  mV,  $+41 \pm 0.44$  mV and  $+36 \pm 0.4$  mV respectively (Table 1) in water. The hydrodynamic diameter and zeta potential values of CeO<sub>2</sub>/DODAB-pGL3 (mass ratio = 30) and DODAB-pGL3 (N/P ratio = 8) complexes were also checked; the values were found to be  $464 \pm 74$  nm and  $+34 \pm 0.4$  mV respectively for CeO<sub>2</sub>/DODAB-pGL3, and  $583 \pm 72$  nm and  $+37 \pm 0.6$  mV respectively for DODAB-pGL3 in water (Table 1).

pDNA binding affinity of CeO<sub>2</sub>/DODAB and protection of pDNA against nucleases. Herein, we evaluated the binding affinity of the DODAB-modified CeO<sub>2</sub> nanoparticles (CeO<sub>2</sub>/DODAB) for pEGFP-N1 (100 ng) by agarose gel (1.0% w/v) retardation assay after synthesizing complexes with different mass ratios of CeO<sub>2</sub>/DODAB to pEGFP-N1. Supplementary Figure 1a shows that CeO<sub>2</sub>/DODAB was able to retard pDNA when used at mass ratios of CeO<sub>2</sub>/DODAB to pEGFP-N1 = 10 or above, indicating successful DNA binding via electrostatic interactions. After confirming the effective DNA binding ability of the CeO<sub>2</sub>/DODAB nanoparticles, we evaluated the integrity of pDNA bound to CeO<sub>2</sub>/DODAB to pEGFP-N1 = 5 were completely degraded after 30 min of treatment with DNase I, whereas pDNA bound to CeO<sub>2</sub>/DODAB remained stable at mass ratios of CeO<sub>2</sub>/DODAB to pEGFP-N1 = 10 or above. Supplementary Figure 1b). In order to quantitatively evaluate the protection of pDNA against DNase I, the CeO<sub>2</sub>/DODAB-pDNA complex (CeO<sub>2</sub>/DODAB to pEGFP-N1 mass ratio = 30) was treated with DNase I, and the bound DNA was then released by SDS treatment. Supplementary Figure 1 c demonstrates that DNA complexed with CeO<sub>2</sub>/DODAB did not undergo significant degradation after DNase I treatment.

Gene transfection efficiency and cytocompatibility of CeO<sub>2</sub>/DODAB in HEK293 cells. The gene transfection efficiency of the CeO<sub>2</sub>/DODAB and DODAB alone was first checked by Luc gene expression. We used CeO<sub>2</sub>/DODAB in different mass ratios (10–100) with respect to  $1 \mu g$  of pGL3-Control (1.5 nano mole bp). Elemental analysis showed that  $10 \mu g$  of CeO<sub>2</sub>/DODAB contained 1 nano mole DODAB (1 nano mole nitrogen). The N/P ratios for the CeO<sub>2</sub>/DODAB-pDNA complexes corresponding to different mass ratios are presented in Supplementary Table 2. DODAB/pGL3 complexes were also prepared with nitrogen to phosphate ratios of 6 to 10 based on a previous report that DODAB exhibited optimum transfection efficiency at an N/P ratio of 8<sup>42</sup>. Figure 2a shows that the highest transfection efficiency for CeO<sub>2</sub>/DODAB was obtained at the mass ratio of 30 (N/P = 1). On the other hand, the highest transfection efficiency for DODAB was obtained at the N/P ratio of 8, which is consistent with the previous report<sup>42</sup> (Fig. 2a). The Luc expression reached  $1.45 \times 10^{10}$  RLU/gm protein for CeO<sub>2</sub>/DODAB, while the Luc expression was around  $2.62 \times 10^9$  RLU/gm protein using DODAB alone as a vector at their respective optimal ratios. The gene transfection efficiency of the CeO<sub>2</sub>/DODAB nanovectors was further analyzed by EGFP gene expression. Fluorescence microscopy and flow cytometry analyses also demonstrated that the highest transfection efficiency for  $CeO_2/DODAB$  was obtained at the mass ratio of 30 (N/P = 1) (Fig. 2b,c), which is consistent with the Luc gene expression analysis. The transfection efficiency was 61%, where as for DODAB (N/P = 8) alone the transfection efficiency was only 39% (Fig. 2b,c).

The cytotoxicity of the synthesized CeO<sub>2</sub>/DODAB vectors was evaluated within the concentration range of  $40-200 \,\mu$ g/mL, which corresponds to mass ratios of 20–100 for gene transfection experiments. The synthesized

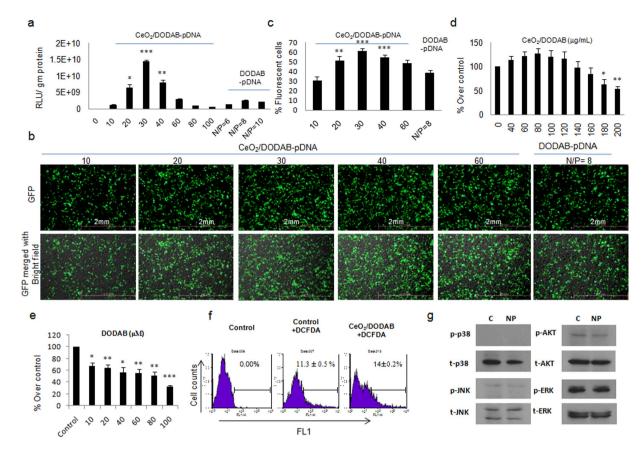


Figure 2. Gene transfection efficiency and cytocompatibility of CeO<sub>2</sub>/DODAB in HEK293 cells. (a) Transfection efficiency measured by Luciferase assay; (b) fluorescence microscopic images of cells transfected with pEGFP-N1; (c) quantification of GFP-positive cells by flow cytometry analysis. The CeO<sub>2</sub>/DODAB-pDNA and DODAB-pDNA complexes were prepared with various mass ratios and N/P ratios, respectively, using 1µg pDNA. All values are expressed as mean  $\pm$  SD. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001 versus the DODAB-pDNA group; (d,e) cell viability relative to the control (100%). Cells were treated with different concentrations of CeO<sub>2</sub>/DODAB (40–200µg/mL) or DODAB (20–100µM) for 6 hrs and cell viability was measured after 48 hrs using the Cell Counting Kit-8 (CCK-8); (f) ROS formation by flow cytometry analysis after 24 hrs of transfection using pDsRed-Monomer-N1vector-CeO<sub>2</sub>/DODAB complexes. All values are expressed as mean  $\pm$  SD. \*p < 0.05, \*\*p < 0.01 and \*\*p < 0.01 versus the non-treated group; (g) Western blot analysis of stress responsive and pro-survival pathways after 24 hrs of transfection using pDsRed-Monomer-N1vector-CeO<sub>2</sub>/DODAB complexes.

 $CeO_2/DODAB$  vectors were found to be nontoxic at a concentration upto  $140 \mu g/mL$  (which is 2.3 times greater than the concentration at which  $CeO_2/DODAB$  shows optimum transfection efficiency) (Fig. 2d). At a concentration of  $160 \mu g/mL$  (which is 2.7 times greater than the concentration at which  $CeO_2/DODAB$  shows optimum transfection efficiency), almost 84% of the cells were metabolically active (Fig. 2d). In contrast, DODAB was cytotoxic at a concentration of  $24 \mu m$  (showing optimum transfection efficiency), and when the concentration was increased to 2.5 times the optimum concentration, the cell viability was reduced to around 55% (Fig. 2e). We further checked the reactive oxygen species (ROS) formation by flow cytometry and the effects on stress responsive and pro-survival pathways after 24 hrs of transfection using 4.7 kb pDsRed-Monomer-N1vector-CeO\_2/DODAB complexes. Figure 2f demonstrated that transfection with CeO\_2/DODAB (14% DCF positive population) only increased around 3% ROS compared with the control nontreated cells (11% DCF positive population). Besides, CeO\_2/DODAB also did not induce any stress responsive signaling pathways, such as JNK and p38 as well as pro-survival signaling pathways, such as ERK and AKT (Fig. 2g).

**Gene transfection efficiency and cytotoxicity of CeO**<sub>2</sub>/DODAB, Lipofectamine 2000, DOTAP, DEAE-Deaxtran and calcium phosphate in HEK293, MCF-7 and HepG2 cells. Further, we have compared the gene transfection efficiency of CeO<sub>2</sub>/DODAB nanovector with Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate transfection methods by EGFP gene expression analysis in HEK293, MCF-7 and HepG2 cells. Fluorescence microscopy and flow cytometry analyses demonstrated that the transfection efficiency of Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate vere around 82%, 41%, 11% and 79% respectively in HEK293 cells (Fig. 3a, Supplementary Figure 2a). The transfection efficiency of CeO<sub>2</sub>/DODAB was higher than DOTAP and DEAE-Dextran (Fig. 3a). Although Lipofectamine 2000 and calcium

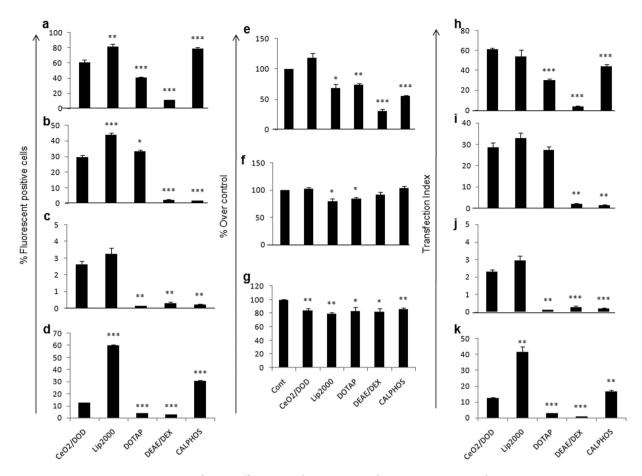
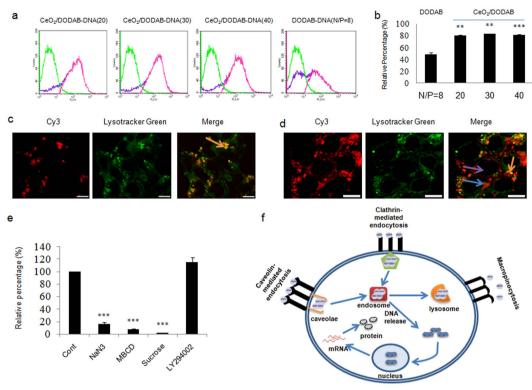


Figure 3. Gene transfection efficiency and cytotoxicity of CeO<sub>2</sub>/DODAB, Lipofectamine 2000, DOTAP, DEAE-Deaxtran and calcium phosphate in HEK293, MCF-7 and HepG2 cells. (a–c) Quantification of GFP-positive cells by flow cytometry analysis after transfection with pEGFPN1 in HEK293, MCF-7 and HepG2 cells respectively; (d) quantification of RFP-positive cells by flow cytometry analysis after transfection with pTRIPZ in HEK293 cells. All values are expressed as mean  $\pm$  SD. \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001 versus the CeO<sub>2</sub>/DODAB group; (e–g) cell viability relative to the control (100%) in HEK293, MCF-7 and HepG2 cells respectively. Cells were treated with different transfection agents at the same concentration that was used for transfection for 6 hrs and cell viability was measured after 48 hrs using the Cell Counting Kit-8 (CCK-8). All values are expressed as mean  $\pm$  SD. \*p < 0.01, and \*\*p < 0.01 versus the non-treated group; (h–j) Transfection Index (the product of percentage transfection and viability) for pEGFP transfection in HEK293, MCF-7 and HepG2 cells respectively. In HEK293 cells, for CeO<sub>2</sub>/DODAB group, the cell viability was taken 100% instead of 120%. (k) Transfection Index (the product of percentage transfection and viability) for pTRIPZ transfection in HEK293 cells. All values are expressed as mean  $\pm$  SD. \*p < 0.05, \*\*p < 0.05,

phosphate displayed much higher transfection efficiency compared to CeO<sub>2</sub>/DODAB (Fig. 3a), but reduced the cell viability upto 70% and 55% respectively, where as CeO<sub>2</sub>/DODAB did not induce any cytotoxicity (Fig. 3e). Further, we have checked the Transfection Index (TI) of the individual transfection reagents by calculating the product of transfection efficiency and cell viability. We observed that the TI of CeO<sub>2</sub>/DODAB (60%) was comparable with Lipofectamine 2000 (56%), and significantly higher than the other transfection reagents (Fig. 3h).

Fluorescence microscopy and flow cytometry analyses showed that the transfection efficiency for CeO<sub>2</sub>/DODAB, Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate were around 30%, 44%, 33%, 2% and 1% respectively in MCF-7 cells (Fig. 3b, Supplementary Figure 3a). Although Lipofectamine 2000 and DOTAP displayed higher transfection efficiency compared to CeO<sub>2</sub>/DODAB (Fig. 3b), but reduced the cell viability upto 80% and 85% respectively, where as CeO<sub>2</sub>/DODAB did not induce any cytotoxicity (Fig. 3f). However, the TI of CeO<sub>2</sub>/DODAB (30%) was comparable with lipofectamine 2000 (35%) and DOTAP (28%), and significantly higher than the other transfection reagents (Fig. 3i). In HepG2 cells, the transaction efficiency of CeO<sub>2</sub>/DODAB and Lipofectamine 2000 was found to be around 3% (Fig. 3c, Supplementary Figure 4a) and all the transfection reagents showed moderate cytotoxic effects (cell viability reduced upto 82–86%) (Fig. 3g).

We have also checked the ability of  $CeO_2/DODAB$  to transfect large plasmid (pTRIPZ, 13.34 kb) and compared the transfection efficiency with other transfection reagents. In HEK293 cells,  $CeO_2/DODAB$  could transfect around 12.63% cells, which was still higher than DOTAP and DEAE-Dextran (<3%), where as the transfection

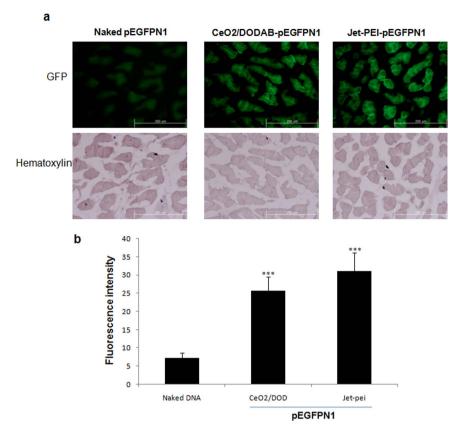


= CeO<sub>2</sub>/DODAB-pDNA complex

Figure 4. Intracellular uptake and distribution of CeO<sub>2</sub>/DODAB-DNA complexes in HEK293 cells. (a,b) Flow cytometry analysis of the uptake of CeO<sub>2</sub>/DODAB-Cy3-DNA and DODAB-Cy3-DNA complexes. The CeO<sub>2</sub>/DODAB-Cy3-DNA and DODAB-Cy3-DNA complexes were prepared with various mass ratios and N/P ratio = 8 respectively, using  $1 \mu g$  Cy3-DNA. Green line represents the population of Cy3 negative cells (background), violet line represents the population of Cy3 positive cells after transfection with nanoparticles or DODAB, pink line (subtraction of background from Cy3 positive population) represents the population of real Cy3 positive cells. All values are expressed as mean  $\pm$  SD. \*\*p < 0.01 and \*\*\*p < 0.001 versus the DODAB-Cy3-DNA group; (c,d) Confocal microscopic images of intracellular trafficking and localization of CeO<sub>3</sub>/ DODAB-Cy3-DNA complexes after 3 hrs and 6 hrs of transfection, respectively. The CeO<sub>2</sub>/DODAB-Cy3-DNA complexes were prepared with a mass ratio of 30 using 1 µg Cy3-DNA. Bright green regions indicate lysosomes and endosomes stained with LysoTracker Green. Red regions indicate Cy3-DNA. Blue arrow indicates cytosolic distribution of Cy3-DNA. Violet arrow indicates nuclear distribution of Cy3-DNA. Orange arrow indicates lysosomal/endosomal distribution of Cy3-DNA. The scale bar is 10 um, (e) Gene transfection efficiency was measured by Luciferase assay after transfection with CeO<sub>2</sub>/DODAB-pGL3 (mass ratio = 30 with  $1 \mu g$ pDNA). The cells were either cultured at 37 °C as a control (Cont) or pretreated with NaN3, MBCD, sucrose, or LY294002. All values are expressed as mean  $\pm$  SD. \*\*\*p < 0.001 versus the control group; (f) Schematic diagram of the gene delivery pathways of CeO<sub>2</sub>/DODAB nanovector.

efficiency of calcium phosphate and Lipofectamine 2000 were around 30.4% and 60.4% respectively (Fig. 3d, Supplementary Figure 2b). We observed that the TI of  $CeO_2/DODAB$  (12.63%) was also less than Lipofectamine 2000 (41.53%) and calcium phosphate (16.83%), and significantly higher than the other transfection reagents (Fig. 3k). On the other hand, the transfection ability of all the above mentioned transfection reagents including  $CeO_2/DODAB$  and Lipofectamine 2000 to transfect a 13.34 kb plasmid was very poor (<1%) in both MCF-7 and HepG2 cells (Supplementary Figure 3b, 4b).

**Cellular uptake and distribution of CeO<sub>2</sub>/DODAB nanovector DNA complexes in HEK293 cells.** The uptake efficiency of the nanovector-DNA complexes and intracellular trafficking were evaluated by complexation of Cy3-labeled DNA with CeO<sub>2</sub>/DODAB and by flow cytometry and confocal laser scanning microscopic analyses. Flow cytometric analysis (Fig. 4a,b) showed that around 83.2% of the cells displayed a Cy3-derived red fluorescence signal when CeO<sub>2</sub>/DODAB was used as the vector (mass ratio = 30, N/P = 1). On the other hand, around 48.9% of the cells displayed a Cy3-derived red fluorescence signal (Fig. 4a,b) when only DODAB was used as the vector (N/P = 8). Confocal microscopy analyses were performed to check the intracellular distribution of the CeO<sub>2</sub>/DODAB-Cy3-DNA complexes after different periods. LysoTracker Green was used for labeling the acidic organelles, such as endosomes and lysosomes, in the live cells. Figure 4c shows that after 3 hrs of incubation, the CeO<sub>2</sub>/DODAB-Cy3-DNA complexes successfully entered the cells and co-localized (yellow regions) within the endosomes/lysosomes. The red and green regions consistently overlapped with no



**Figure 5.** *In vivo* gene transfection. (a) EGFP expression in mouse muscles 3 days after intramuscular injections; (b) EGFP expression level analyzed by quantifying the fluorescence using the Image J software. All values are expressed as mean  $\pm$  SD. \*\*\*p < 0.001 versus the only pEGFP-treated control group.

separate red regions, indicating that the nanovector/DNA complexes were mostly internalized via the endocytosis pathway. Subsequent escape from the endosomes into the cytoplasm is also crucial for the gene delivery vectors in order to improve the gene delivery efficiency. Interestingly, after 6 hrs of incubation, many separate green and red regions along with yellow regions were apparent, indicating the release of these DNA complexes from the endosomes (Fig. 4d). Both cytosolic and nuclear distribution of Cy3-DNA were observed after transfection with the CeO<sub>2</sub>/DODAB vectors for 6 hrs (Fig. 4d).

To further investigate the cellular uptake pathway mechanism of the CeO<sub>2</sub>/DODAB-pDNA complexes into the HEK293 cells, the cells were pretreated with several endocytosis inhibitors, such as 10 mM NaN<sub>3</sub> (receptor-mediated endocytosis inhibitor), 0.45 M sucrose (clathrin-mediated endocytosis inhibitor), 10 mM methyl- $\beta$ -cyclodextrin (MBCD, caveolae-mediated endocytosis inhibitor), and 65  $\mu$ M LY294002 (macropino-cytosis inhibitor). The cells were then transfected with CeO<sub>2</sub>/DODAB-pGL3 complexes (CeO<sub>2</sub>/DODAB to pGL3 mass ratio = 30) in the presence of the added inhibitors for 6 hrs. Pretreatment with NaN<sub>3</sub>, sucrose, and MBCD significantly decreased the Luciferase activities to 84%, 98%, and 92%, respectively, compared to the non-treated cells (Fig. 4e). On the other hand LY294002 pretreatment did not reduce the Luciferase activities (Fig. 4e). Taken together, these results further confirm that the CeO<sub>2</sub>/DODAB-pDNA complexes were internalized through both clathrin- and caveolae-mediated endocytosis.

*In vivo* transfection efficacy. pEGFPN1 complexed with  $CeO_2/DODAB$  or *in vivo*-jeiPEI reagents or naked DNA alone were injected into the posterior tibialis muscles of six-week-old male ICR mice. After 72 hrs, the green fluorescence was observed in the muscle sections and the fluorescence intensity was analyzed by Image J software. The fluorescence intensity of muscles transfected with  $CeO_2/DODAB$  and *in vivo*-jeiPEI was 3.5 and 4.3 times higher than the naked DNA treated groups (Fig. 5a,b). However, the *in vivo* transfection efficiency of  $CeO_2/DODAB$  was around 17% less than the commercial *in vivo*-jeiPEI reagents (Fig. 5a,b).

*In vivo* biocompatibility of CeO<sub>2</sub>/DODAB. CeO<sub>2</sub>/DODAB nanoparticles were injected via tail vein at a dose of 20 mg/kg body weight of ICR male mouse and animal weight was monitored after particle injections. Animals were sacrificed 7 days later and biochemical analysis of the serum was performed. Biochemical analysis of the serum did not show any significant changes in liver function, kidney function, cholesterol and triglycerides of CeO<sub>2</sub>/DODAB versus the saline control groups (Table 2).

NAME	Albumin (g/dL)	T.Bilirubin (mg/dL)	ALP (U/L)	Cholesterol (mg/dL)	Triglyceride (mg/dL)	BUN (mg/dL)	Creatinine mg/dL)
Cont	$2.87\pm0.21$	< 0.1	$35.67 \pm 18.72$	$119.33\pm17.5$	$45.67 \pm 21.22$	$19.10 \pm 1.54$	$0.13\pm0.03$
CeO <sub>2</sub> /DODAB	$2.97\pm0.15$	< 0.1	$27.33\pm7.5$	$121.67 \pm 8.33$	$49.33 \pm 15.3$	$21.37 \pm 2.77$	$0.14 \pm 0.02$

 Table 2. In vivo biocompatibility of nanoceria/DODAB in mice.
 All values are expressed as mean  $\pm$  SEM. (n=3).

### Discussion

In the present study, we prepared a new class of nanoceria (CeO<sub>2</sub>)-based gene delivery vectors, and DODAB (a cationic lipid) was utilized for surface modification of negatively charged CeO<sub>2</sub> nanoparticles via simple electrostatic interaction. Nanoceria (CeO<sub>2</sub>) was prepared according to the method of Tsai<sup>39</sup> by simply refluxing ammonium cerium (IV) nitrate and urea. After confirming the synthesis of CeO<sub>2</sub> by EDS, UV and FTIR spectroscopy, CeO<sub>2</sub>/DODAB was prepared by mixing CeO<sub>2</sub> and DODAB in a 1:2 mole ratio. Approximately 6.30% of DODAB was bound to the nanoceria surface in CeO<sub>2</sub>/DODAB nanohybrids.

The processes of DODAB deposition on the CeO<sub>2</sub> surface and complex formation between CeO<sub>2</sub>/DODAB and pDNA were also monitored by UV spectrum, dynamic light scattering (DLS) and zeta potential analyses. CeO<sub>2</sub>/DODAB displayed a red shift (20 nm) in the UV absorption band compared to CeO<sub>2</sub>, which indicated a larger particle size for the latter sample. Similarly, CeO<sub>2</sub>/DODAB-pDNA complexes showed a broad band spanning 315–320 nm with a red shift (5 nm) compared to CeO<sub>2</sub>/DODAB, indicating an even larger particle size than that of CeO<sub>2</sub>/DODAB. The hydrodynamic diameter of CeO<sub>2</sub> increased by approximately 80 nm when loaded with DODAB, whereas the size of CeO<sub>2</sub>/DODAB increased by approximately 91 nm when complexed with pDNA. The reversal of the zeta potential of CeO<sub>2</sub> ( $-24\pm0.9$  mV) relative to that of CeO<sub>2</sub>/DODAB ( $+41\pm0.44$  mV) indicated the successful deposition of DODAB on the CeO<sub>2</sub> surface. However, the zeta potential decreased slightly for the CeO<sub>2</sub>/DODAB-pDNA ( $+36\pm0.4$  mV) complex due to the association with negatively charged DNA. Smaller hydrodynamic size of the CeO<sub>2</sub>/DODAB-pDNA complexes than those of DODAB-pDNA complexes are essential for their successful cellular internalization and subsequent gene delivery.

A major factor for achieving efficient gene delivery is the extent of binding of DNA to the transfection agent. Cationic lipids reportedly form complexes with DNA via electrostatic interactions<sup>42</sup>. In our present study, CeO<sub>2</sub>/ DODAB nanohybrids could successfully bind with pDNA via electrostatic interactions and were able to retard pDNA during agarose gel electrophoresis at mass ratios of 10 or above because of positively charged CeO<sub>2</sub>/ DODAB-pDNA complex formation. The tight complexing between DODAB and pDNA on the nanoparticle surface also protected pDNA from enzymatic degradation against nucleases.

The gene transfection efficiency of the CeO<sub>2</sub>/DODAB nanovectors was first checked and compared with DODAB alone in HEK293 cells by Luc gene expression, which is a very sensitive technique for checking the expression of exogenous genes after transfection<sup>43</sup>. The transfection efficiency of CeO<sub>2</sub>/DODAB was 5.5 times higher than that of DODAB as evident from Luc expression analysis at their respective optimal ratios. EGFP gene expression analysis also demonstrated that the transfection efficiency of CeO<sub>2</sub>/DODAB was 1.58 times higher than that of DODAB at their respective optimal ratios.

The biocompatibility of the gene delivery vector is another important issue for gene therapy study. The synthesized  $CeO_2/DODAB$  vectors were found to be nontoxic at a concentration upto  $140 \mu g/mL$  (which is 2.3 times greater than the concentration at which  $CeO_2/DODAB$  shows optimum transfection efficiency), where as DODAB showed concentration-dependent cytotoxicity from  $10-100 \mu m$  in HEK293 cells. Several researchers have shown that cationic lipid-mediated cytotoxicity was mainly caused by the inhibition of protein kinase C activity by cationic amphiphiles after incorporation into the plasma membrane<sup>44,45</sup>. This amphiphile incorporation might lead to transmembrane pores formation and the resultant disruptions of signal transduction. However, after coating onto nanoparticles, DODAB molecules were fixed onto the surface of  $CeO_2$  core which may prevent amphiphiles from incorporating into the plasma membrane and avoid the formation of transmembrane pores. Besides,  $CeO_2/DODAB$  did not significantly induce ROS formation as well as any stress responsive and pro-survival signaling pathways after 24 hrs of transfection using 4.7 kb pDsRed-Monomer-N1vector. Therefore, the lower cytotoxicity/greater biocompatibility of the CeO<sub>2</sub>/DODAB vectors compared to DODAB are advantageous for use of the former in safe gene therapy study.

The transfection efficiency of CeO<sub>2</sub>/DODAB nanovector has also been compared with Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate transfection methods in HEK293 cells. The transfection efficiency of CeO<sub>2</sub>/DODAB was found to be significantly higher than DOTAP and DEAE-Dextran, but less than that of Lipofectamine 2000 and calcium phosphate. We have also assessed the cellular metabolic activity as an indicator of cell health to evaluate toxicity that might arise from nanoparticles and other transfection reagents during transfection, and we found that except CeO<sub>2</sub>/DODAB, all other transfection reagents significantly decreased the cell viability compared to untreated control in HEK293 cells. The overall vector performance, also known as Transfection Index (TI) was checked by calculating the product of transfection efficiency and cell viability (Figueroa *et al.*)<sup>46</sup>. This TI will be larger for more biocompatible and efficient vectors, and thus, it was used to determine the overall vector efficiency that combines enhanced transfection with low cytotoxicity<sup>46</sup>. Our results demonstrated that the TI of CeO<sub>2</sub>/DODAB (60%) was comparable with lipofectamine 2000 (56%), and significantly higher than the other transfection reagents in HEK293 cells.

The gene transfection efficiency of the CeO<sub>2</sub>/DODAB nanovectors was also checked by analyzing EGFP gene expression in another two difficult to transfect cell lines, such as MCF-7 and HepG2. The transfection efficiency of CeO<sub>2</sub>/DODAB was found to be significantly higher than calcium phosphate and DEAE-Dextran, but less than that of Lipofectamine 2000 and DOTAP in MCF-7 cells. However, the TI of CeO<sub>2</sub>/DODAB was comparable

with Lipofectamine 2000 and DOTAP because of its biocompatible nature, where as Lipofectamine 2000 and DOTAP showed some decrease in cell viability. On the other hand, in HepG2 cells, the transfection efficiency of both  $CeO_2/DODAB$  and Lipofectamine 2000 was very low (around 3%) and all the transfection reagents showed moderate cytotoxic effects.

After that we have also checked whether  $CeO_2/DODAB$  could transfect large plasmid having size more than 10 kb (pTRIPZ, 13.34 kb) in all the above mentioned cell lines. However, the transfection efficiency of  $CeO_2/DODAB$  was very low (12.63%) compared to calcium phosphate (30.4%) and Lipofectamine (60.4%) in HEK293 cells. Besides, the transfection ability of all the above mentioned transfection reagents including  $CeO_2/DODAB$  and Lipofectamine 2000 to transfect a 13.34 kb plasmid was very poor (<1%) in both MCF-7 and HepG2 cells.

All these observations strongly indicate the effectiveness of the  $CeO_2/DODAB$  nanovectors as a gene delivery agent (except in HepG2 cells) to transfect small plasmid (around 5 kb) and its overall transfection performance is comparable with Lipofectamine 2000 and DOTAP, and higher than calcium phosphate and DEAE-dextran.

The increased transfection efficiency of the nanovectors was further supported by the higher cellular uptake efficiency of the nanovector-Cy3-DNA complexes compared with the DODAB-Cy3-DNA complexes. The cellular internalization pathways of the nanovector-DNA complexes were evaluated by intracellular trafficking of nanovector-Cy3-DNA complexes. Confocal laser scanning microscopy analysis illustrated both cytosolic and nuclear distribution of Cy3-DNA after transfection with CeO<sub>2</sub>/DODAB vectors for 6 hrs, indicating successful escape of the nanovector-DNA complexes from the endosomes after cellular internalization.

The higher cellular uptake of nanovector-DNA complexes compared to DODAB-DNA complexes is most possibly due to smaller hydrodynamic size of the former complex. Although the hydrodynamic size of DODAB bilayer is less than 200 nm (data not shown), but form multilamellar structure when complexed with pDNA<sup>47</sup>, and the size become larger than the CeO<sub>2</sub>/DODAB-DNA complexes. After coating onto nanoparticles, DODAB molecules were fixed onto the surface of CeO<sub>2</sub> core which may prevent them to form large multilamellar structure with DNA, and help to form smaller particles, resulting in enhanced cellular uptake and gene delivery. Besides, the higher cytotoxicity of DODAB compared to CeO<sub>2</sub>/DODAB vectors may also retard the subsequent transcription of the incorporated DNA into mRNA and translation into proteins. The endosomal escape of the CeO2/DODAB-DNA complexes with the anionic lipid components of the endosome which might disrupt the endosome and thus lead to the escape of DNA<sup>42</sup>.

The cellular internalization pathways of allogeneic materials depend on the cell type and the nature of the transfection agent<sup>48</sup>. The possible cellular uptake pathways of allogeneic materials comprise phagocytosis, endocytosis (clathrin-dependent, caveolae-dependent, or clathrin-/caveolae-independent endocytosis), and macropinocytosis<sup>49</sup>. To investigate the cellular uptake pathways of the CeO<sub>2</sub>/DODAB-pDNA complexes into the HEK293 cells, the cells were pretreated with several endocytosis inhibitors. Because the activity of the inhibitors depends on the administered concentration, we selected effective non-toxic concentrations of the endocytosis inhibitors from previously published articles<sup>42,50,51</sup>. Our results demonstrated that the nanovector-DNA complexes were internalized through both clathrin- and caveolae-mediated endocytosis.

Finally we have checked the *in vivo* gene transfection efficiency and biocompatibility of the above nanovectors in mice because the ultimate purpose of studying gene delivery is to be used in clinical applications. For *in vivo* gene transfection experiments, we injected naked pEGFPN1 or complexed with either CeO<sub>2</sub>/DODAB or *in vivo*-jeiPEI reagents into the posterior tibialis muscles of ICR mice and the green fluorescence was observed in the muscle sections 3 days later. Quantitative research showed that compared to naked DNA injection, the GFP fluorescence was around 3.5 fold higher for CeO<sub>2</sub>/DODAB, however, the *in vivo* transfection efficiency of CeO<sub>2</sub>/DODAB was only 1.23 fold less than the commercial *in vivo*-jeiPEI reagents.

For *in vivo* safety assessment, CeO<sub>2</sub>/DODAB nanoparticles were injected via tail vein at a dose of 20 mg/kg body weight of ICR mouse and biochemical analysis of the serum was performed 7 days later. It is encouraging that our *in vivo* safety study did not show any signs of toxicity after intravenous injection of CeO2/DODAB nanovectors. Based on its higher biocompatibility and enhanced gene transfection efficiency both *in vitro* and *in vivo*, CeO<sub>2</sub>/DODAB nanohybrids could be considered as a new class of non-viral vectors for therapeutic gene delivery applications.

#### Methods

Materials. Dimethyldioctadecylammonium bromide (DODAB), ammonium cerium(IV) nitrate, urea, calcium chloride, DEAE-dextran hydrochloride and fetal bovine serum (FBS) were purchased from Sigma-Aldrich (St. Louis, MO, USA). Penicillin-streptomycin solution, trypsin-EDTA solution, DMEM, and 1% antibiotic-antimycotic solution were obtained from Life Technologies GIBCO (Grand Island, NY, USA). LysoTracker Green DND-L7526 and lipofectamine 2000 were acquired from Invitrogen, USA. DOTAP and in vivo-jetPEI were purchased from Roche Diagnostics, Germany and polyplus transfection, France respectively. Cyanine 3 (Cy3)-labeled 876 bp DNA fragments (a partial sequence of puromycin-resistant gene) was prepared by polymerase chain reaction (PCR) using 5' Cy3-labeled primers (Macrogen, Korea); GTTTGCGTATTGGGCGCTC, TTAGTCGGGGGCTCACTCCTACAG, and pGL4.82 plasmid (Promega, USA) were used as templates. When necessary, highly labeled fragments were obtained by using Cy3-labeled dCTP (PerkinElmer, USA), in place of dCTP, during the PCR reaction. The resultant PCR fragments were purified by 1.0% agarose gel electrophoresis. Plasmid DNA pEGFP-N1 (4.7kb) and pDsRed-Monomer-N1vector (4.7kb) were obtained from Clontech (Mountain View, CA, USA). pGL3-Control (5.256 kb) and pTRIPZ (13.34 kb) were obtained from Promega Corp. (Madison, WI, USA) and Open Biosystems (USA), respectively. The Luc assay kit was purchased from Promega (USA). The bicinchoninic acid (BCA) protein assay system was obtained from Thermo Scientific (Rockford, IL, USA). The antibodies used for immunoblotting were against phospho ERK1/2, total ERK1/2, phospho AKT1, total AKT1 (Cell Signaling Technology, Beverly, MA), phospho P38 (Santa Cruz Biotechnology Inc., Santa Cruz, CA), phospho JNK1/2, total JNK1/2 and total P38 (Abcam, Cambridge, MA).

**Preparation of nanoceria (CeO<sub>2</sub>) and surface modification with dimethyldioctadecylammonium bromide (DODAB).** Nanoceria (CeO<sub>2</sub>) was synthesized according to the protocol developed by Tsai<sup>39</sup>. In brief, ammonium cerium(IV) nitrate (2 g) and urea (2 g) were dissolved in 200 g of distilled water and refluxed at 100 °C. The pH of the reaction mixture was maintained at 7.4. Precipitation was initiated after 1 hr and reflux was continued for another 4 hrs. The mixture solution was then cooled and centrifuged to obtain a pale white precipitate. The slurry was washed thrice with water to remove excess reagents and oven dried at 80 °C overnight. A stock solution of nanoceria was prepared by dissolving the appropriate amount of nanoceria in distilled water, followed by sonication; the solution was kept at room temperature. The synthesized nanoceria was characterized by energy-dispersive X-ray spectroscopy (Oxford EDS-6636) and Fourier transform infrared (FTIR) spectroscopy (Perkin Elmer Spectroscopy GX, PerkinElmer Inc., Waltham, MA, USA).

The DODAB solution was prepared by dissolving an appropriate amount of DODAB (2 mol) in water with subsequent sonication at 50 °C to obtain a clear solution. The DODAB solution (2 mole) was then combined with the nanoceria suspension (1 mole, pH 7.4)) and stirred vigorously for 30 min. The mixture solution was subsequently centrifuged at 14000 g for 10 min to remove excess DODAB and the slurry was washed thrice with water. The slurry was resuspended in distilled water and kept at room temperature. The amount of DODAB bound to the nanoceria surface was calculated by elemental analysis (FlashEA 1112 NC analyzer, Thermo Fisher). The detailed calculation process of the amount of DODAB bound to the nanoceria surface is described below:

The molecular weight of DODAB is 630.95 g and one molecule of DODAB contains one nitrogen (N) atom. Therefore 14 g N is present in 630.95 g DODAB. From the Elemental analysis study, we found that approximately 0.14% N was bound to nanoceria. In the CeO<sub>2</sub>/DODAB nanocomplex, the entire nitrogen (N) came from DODAB. Therefore, 14 g N is present in 630.95 g DODAB. So, 0.14 g N is present in =  $(630.95 \times 0.14)/14$  g DODAB = 6.3095 gm DODAB. Therefore, in 100 g CeO<sub>2</sub>/DODAB nanocomplex, the amount of DODAB present = 6.3095 g. So, the percentage of DODAB bound to CeO<sub>2</sub> surface is 6.3095.

**Preparation of nanoparticle-pDNA complexes.** The  $CeO_2/DODAB$ -pDNA complexes were prepared with different masses of  $CeO_2/DODAB$  to 1 µg pDNA. Both the nanovectors and pDNA solutions were prepared in 50 µL deionized (DI) water and then combined to give a final volume of 100 µL. The polyplex solutions were then vortexed gently for 10 sec and incubated for 30 min at room temperature.

UV spectroscopy, transmission electron microscopy (TEM), dynamic light scattering, and zeta potential measurements. The UV-visible spectra of  $CeO_2$ ,  $CeO_2/DODAB$ , and the  $CeO_2/DODAB$ -pDNA complexes were acquired by using an Optizen POP (Mecasys, *South Korea*) *instrument*. The primary size of  $CeO_2$ ,  $CeO_2/DODAB$ , and the  $CeO_2/DODAB$ -pDNA complexes was measured by transmission electron microscopy (TEM) using a JEM-1200EX microscope and at an accelerating voltage of 300 kV. The hydrodynamic size and zeta potential of  $CeO_2$ ,  $CeO_2/DODAB$ , DODAB, DODAB/pDNA (N/P = 8) and the  $CeO_2/DODAB$ -pDNA (mass ratio = 30) complexes were measured in water by using a Zetasizer Nano ZS90 (Malvern Instruments, Ltd., UK) instrument. For the  $CeO_2/DODAB$ -pDNA complex,  $CeO_2/DODAB$  to pDNA mass ratio of 30 was used.

**Agarose gel retardation and DNase I protection assay.** The pDNA binding ability of the nanovectors was determined by agarose gel (1.0% w/v) retardation assay. The agarose gel was prepared in Tris-acetate-EDTA buffer containing ethidium bromide (0.1 mg/mL). The CeO<sub>2</sub>/DODAB-pDNA complexes were prepared with various mass ratios using 100 ng pDNA. Gel electrophoresis was carried out at 80 V and the location of pDNA in the gel was analyzed using a UV transilluminator (UVP, Bio Doc-It). To evaluate the stability of the CeO<sub>2</sub>/DODAB-pDNA complexes against nucleases, the complex solutions were incubated with 1 µL DNase I (2 U/µL) in 50 mM Tris-Cl and 10 mM MgCl<sub>2</sub> at pH 7.4 at a temperature of 37 °C over the course of 30 min. The DNase I was then inactivated by adding 1 µL of 100 mM ethylenediaminetetraacetic acid (EDTA). The integrity of pDNA was analyzed by 1% agarose gel electrophoresis. For quantitative analysis, the pDNA was then released from the CeO<sub>2</sub>/DODAB-pDNA complex after DNase I treatment by adding 1% sodium dodecyl sulfate (SDS) obtained from Thermo Scientific (Rockford, IL, USA). The band intensity of pristine pDNA and the pDNA released from the CeO<sub>2</sub>/DODAB-pDNA complex after DNase I treatment followed by addition of 1% SDS were compared with Image J software.

**Cell culture.** Human embryonic kidney (HEK293) cells, MCF-7 human breast cancer and HepG2 human liver cancer cells were cultured in DMEM that was supplemented with 10% FBS and 100 U/mL penicillin-streptomycin; the cells were cultured in a humidified incubator maintained at 37 °C in the presence of 5% CO<sub>2</sub>.

**Gene transfection: Expression of Luc gene in HEK293 cells.** HEK293 cells were seeded ( $5 \times 10^4$  cells/well) into 24-well, flat bottom culture plates and incubated overnight at 37 °C in a 5% CO<sub>2</sub> incubator. The transfection experiments were carried out when the cells reached 50–60% confluence and 1 µg pGL3 was used for each well. Prior to transfection, the medium was exchanged with 400 µL of fresh DMEM without FBS and antibiotics. Subsequently, 100 µL of the CeO<sub>2</sub>/DODAB-pGL3 complex solutions (CeO<sub>2</sub>/DODAB to pGL3 mass ratio = 10–100) or DODAB/pGL3 complex solutions (nitrogen to phosphate ratio = 6–10) was added to the cells and transfected for 6 hrs in a humidified incubator at 37 °C in the presence of 5% CO<sub>2</sub>. The medium was then replaced with fresh medium containing 10% FBS and antibiotics and cultured for 48 hrs. The Luciferase activities were measured using Luciferase assay according to the manufacturer's (Promega) protocol. The gene delivery efficiency is herein expressed in relative light units per gram of total protein (RLU/g) from each sample.

**Expression of enhanced green fluorescent protein (EGFP) gene in HEK293, MCF-7 and HepG2 cells.** The gene transfection efficacy of nanoceria was also checked by EGFP expression analysis and compared with other transfection reagents, such as Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate. The HEK293 cells were seeded and transfected as described above, with the exception that pEGFP-N1 was used instead of pGL3. Cells were transfected with the CeO<sub>2</sub>/DODAB-pEGFP-N1 complexes (CeO<sub>2</sub>/DODAB to pEGFP-N1 mass ratio = 10–60) or DODAB/pEGFP-N1 complex solutions (nitrogen to phosphate ratio = 8) for 6 hrs. For the comparison of transfection efficiency of CeO<sub>2</sub>/DODAB and other transfection reagents, such as Lipofectamine 2000, DOTAP, DEAE-Dextran and calcium phosphate, HEK293, MCF-7 and HepG2 cells were seeded as above and transfected with CeO<sub>2</sub>/DODAB (30  $\mu$ g), lipofectamine 2000 (5  $\mu$ L), DOTAP (10  $\mu$ L), DEAE-dextran (final concentration, 40  $\mu$ g/mL) and calcium phosphate (DNA suspended in 250 mM CaCl<sub>2</sub> and mixed with equal volume of 2X hepes buffered saline) using 1  $\mu$ g pEGFP-N1 for 6 hrs. Forty-eight hours after transfection, the cells were observed by using an inverted fluorescence microscope. The efficiency of gene delivery was also quantified by flow cytometry using FACS Calibur and the data were analyzed with Cell Quest software.

**Cytotoxicity assay.** Cells were seeded  $(1.5 \times 10^4 \text{ cells/well})$  into 96-well, flat-bottom culture plates and incubated for 24 hrs at 37 °C in a 5% CO<sub>2</sub> incubator. The used medium was replaced with fresh DMEM containing no FBS and antibiotics. The cells were then treated with CeO<sub>2</sub>/DODAB (40–200 µg/mL), DODAB (10–100 µM), lipofectamine 2000 (10 µL/mL), DOTAP (20 µL/mL), DEAE-dextran (40 µg/mL) and calcium phosphate (100 µL 250 mM CaCl<sub>2</sub> mixed with 100 µL 2X hepes buffered saline and volume made up to 1 mL by the addition of DMEM media) for 6 hrs in a humidified incubator at 37 °C in the presence of 5% CO<sub>2</sub>. The medium was then replaced with fresh medium containing 10% FBS and antibiotics. A cell viability assay was performed using the Cell Counting Kit-8 (CCK-8, Dojindo Laboratories, Kumamoto, Japan) after 48 hrs. The absorbance was read at a wavelength of 450 nm using a microtiter plate reader (Multiskan FC, *Thermo Fisher Scientific Inc.*, Waltham, MA, USA).

**Reactive oxygen species (ROS) formation analysis.** HEK293 cells were first transfected with 4.7 kb pDsRed-Monomer-N1vector (obtained from Clontech, Mountain View, CA, USA) using CeO<sub>2</sub>/DODAB. After 24 hrs of transfection, cells were incubated with  $10 \mu M 2'$ ,7'-dichlorodihydrofluoresceindiacetate (H<sub>2</sub>-DCFDA) (Sigma-Aldrich, St. Louis, MO) in a humidified incubator at 37 °C for 30 min, washed with phosphate-buffered saline (PBS) and resuspended in PBS. The number of DCF-positive cells was quantified by flow cytometry using FACS Calibur and the data were analyzed with Cell Quest software.

**Immunoblotting.** HEK293 cells were first transfected with 4.7 kb pDsRed-Monomer-N1vector (obtained from Clontech, Mountain View, CA, USA) using CeO<sub>2</sub>/DODAB. After 24 hrs of transfection, cells were lysed in radioimmunoprecipitation (RIPA) lysis buffer containing protease and phosphatase inhibitors. Equal amounts of protein were resolved by 12% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and proteins were electrophoretically transferred to PVDF membranes. Membranes were blocked at room temperature with 6% non-fat dry milk for 2 hrs to prevent non-specific binding, and then incubated with primary antibodies overnight at 4 °C. Immunoreactivity was detected through sequential incubation with horseradish peroxidase-conjugated secondary antibodies and enhanced chemiluminescence reagents.

**Expression of red fluorescent protein (RFP) gene in HEK293, MCF-7 and HepG2 cells.** The HEK293, MCF-7 and HepG2 cells were seeded and transfected as described above using  $1 \mu g$  of 13.34 kb pTRIPZ for 6 hrs. After transfection, the medium was replaced with fresh medium containing 10% FBS, antibiotics and  $1 \mu g/mL$  doxycycline and cultured for 48 hrs. Forty-eight hours after transfection, the cells were observed by using an inverted fluorescence microscope. The efficiency of gene delivery was also quantified by flow cytometry using FACS Calibur and the data were analyzed with Cell Quest software.

**Cellular uptake and distribution of CeO**<sub>2</sub>/DODAB-Cy3-labeled DNA complexes in HEK293 cells. To check the intracellular uptake capacity of the nanovector-DNA complexes, Cy3-labeled DNA (1 µg/ well) was complexed with CeO<sub>2</sub>/DODAB (CeO<sub>2</sub>/DODAB to DNA mass ratio = 20–40) or DODAB (nitrogen to phosphate ratio = 8) and added to the HEK293 cells in FBS and antibiotic-free DMEM medium and then incubated for 6 hrs. The cells were seeded into 24-well culture plates as described earlier. The cells were then washed thrice with PBS and trypsinized. The cells were finally resuspended in PBS and the intracellular uptake capacity was examined by flow cytometry (FACS Calibur); the data were analyzed with Cell Quest software.

To evaluate the intracellular distribution of the nanovector-DNA complexes, the cells were incubated with  $CeO_2/DODAB-Cy3$ -labeled DNA complexes ( $CeO_2/DODAB$  to DNA mass ratio = 30) for 3 or 6 hrs. The cells were then incubated with LysoTracker Green for 30 min and washed thrice with PBS to eliminate the background signals. The cells were observed by confocal laser scanning microscopy.

**Cellular uptake pathways in HEK293 cells.** The HEK293 cells were seeded into 24-well culture plates as described earlier. When the cells reached 60–70% confluence, they were pretreated with several endocytosis inhibitors, such as 10 mM NaN<sub>3</sub> (Sigma–Aldrich, St. Louis, MO, USA), 0.45 M sucrose (Junsei Chemical Co., Ltd., Japan), and 10 mM methyl- $\beta$ -cyclodextrin (Sigma–Aldrich, St. Louis, MO, USA), as well as a macropino-cytosis inhibitor, such as 65  $\mu$ M LY294002 (Sigma–Aldrich, St. Louis, MO, USA) for 30 min<sup>42,50,51</sup>. The CeO<sub>2</sub>/DODAB-pGL3 complexes (CeO<sub>2</sub>/DODAB to pGL3 mass ratio = 30) were then added to the cells and transfected for 6 hrs as described earlier. The Luciferase activities were measured after 48 hrs using Luciferase assay according to the manufacturer's (Promega) protocol.

*In vivo* transfection. ICR male mice were housed in wire cages at  $22 \pm 1$  °C with 70% humidity under a 12/12 hrs light–dark cycle. Animals had access to food and water ad libitum. This study was carried out in strict accordance with the recommendations in the Guide for the Care and Use of the Konkuk University Animal Care and Experimentation Community. All experimental protocols were approved by the Committee on the Ethics of Animal Experiments of the Konkuk University (IACUC approval number: KU11035). Five microgram of pEGFPN1 complexed with CeO<sub>2</sub>/DODAB or *in vivo*-jeiPEI reagents or naked DNA alone was injected into the posterior tibialis muscles of six-week-old male ICR mice. After three days, the muscles were isolated and embedded with Optimal Cutting Temperature (OCT) Compound (Sakura Finetek USA) and froze at -80 °C. Frozen sections of 5µm thick were cut and stained with hematoxylin. The fluorescence of EGFP was observed by using an inverted fluorescence microscope and the fluorescence intensity was analyzed by Image J software.

*In vivo* CeO<sub>2</sub>/DODAB toxicity testing. The ICR male mice (six-week-old) were randomly divided into two groups: CeO<sub>2</sub>/DODAB and the saline control group with three mice per group. We used 20 mg/kg nanoparticle for intravenous injection through tail vein and animal weight was monitored after particle injections. Animals were sacrificed 7 days later to obtain blood. The serum was obtained by centrifuging the whole blood at 3000 rpm for 15 min and biochemical parameters were assayed.

**Statistical analysis.** All experiments were performed at least in triplicate, and statistical analyses were performed by one-way analysis of variance (ANOVA) followed by a Student's *t*-test. The level of significance was set at \*p < 0.05, \*\*p < 0.01, and \*\*\*p < 0.001.

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#### **Author Contributions**

J.D. and J.H.K. designed the study; J.D. performed the research; J.H.K. supervised the study; J.W.H and J.D. characterized the nanoparticles; J.D., J.H.K., Y.J.C., H.S., S.G.C., C.P. and H.G.S. analyzed the data; J.D. and J.H.K wrote the manuscript. All authors reviewed the manuscript.

#### Additional Information

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