

## REVIEW

# Clinical review: Stem cell therapies for acute lung injury/acute respiratory distress syndrome - hope or hype?

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### Abstract

A growing understanding of the complexity of the pathophysiology of acute lung injury (ALI)/acute respiratory distress syndrome (ARDS), coupled with advances in stem cell biology, has led to a renewed interest in the therapeutic potential of stem cells for this devastating disease. Mesenchymal stem cells appear closest to clinical translation, given the evidence that they may favourably modulate the immune response to reduce lung injury, while maintaining host immune-competence and also facilitating lung regeneration and repair. The demonstration that human mesenchymal stem cells exert benefit in the endotoxin-injured human lung is particularly persuasive. Endothelial progenitor cells also demonstrate promise in reducing endothelial damage, which is a key pathophysiological feature of ALI. Embryonic and induced pluripotent stem cells are at an earlier stage in the translational process, but offer the hope of directly replacing injured lung tissue. The lung itself also contains endogenous stem cells, which may ultimately offer the greatest hope for lung diseases, given their physiologic role in replacing and regenerating native lung tissues. However, significant deficits remain in our knowledge regarding the mechanisms of action of stem cells, their efficacy in relevant pre-clinical models, and their safety, particularly in critically ill patients. These gaps need to be addressed before the enormous therapeutic potential of stem cells for ALI/ARDS can be realised.

### Acute lung injury/acute respiratory distress syndrome - a therapeutic challenge

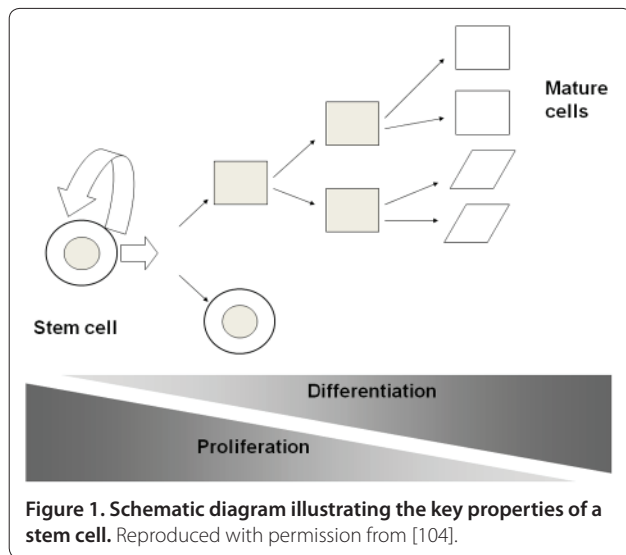
Acute lung injury (ALI) and acute respiratory distress syndrome (ARDS) are the leading cause of death in critical care, with mortality rates of 40 to 60%. In the US alone, there are 200,000 new cases annually [1]. ALI/ARDS also constitute a considerable long-term illness and disability burden, with significant neuromuscular, pulmonary and psychological morbidity seen in 50 to 70% of survivors, and just 49% returning to employment one year post-discharge [2]. Despite being a focus of ongoing intensive research efforts over four decades, there are no pharmacologic therapies for ALI/ARDS [3]. Large-scale clinical trials of multiple therapeutic strategies, including nitric oxide [4,5], anti-oxidants [6-9], surfactants [10], corticosteroids [11] and immunomodulating agents such as IL-10 [12] and granulocyte-macrophage colony-stimulating factor [13] have all failed. Consequently, advances in the management of ALI/ARDS have relied on improvements in supportive measures, such as 'protective' mechanical ventilation strategies [3], restrictive intravenous fluid management approaches [14], and prone positioning of severely hypoxaemic patients [15,16]. While these and other improvements in supportive care have decreased mortality [17], the failure of pharmacologic therapies suggests the need to consider novel approaches for ALI/ARDS.

ALI/ARDS is a highly complex disease process. Earlier concepts of distinct disease phases, from an early 'pro-inflammatory' to a later 'fibrotic' phase, now appear to be an over-simplification. These 'phases' largely co-exist, with evidence of pro-inflammatory responses leading to host damage, an impaired immune response to pathogens, and repair and fibrosis all present in the complex milieu that is clinical ALI/ARDS. Given this, it is perhaps not surprising that strategies targeted at one aspect of the disease process have been unsuccessful. This suggests the need to consider more complex therapeutic approaches aimed at reducing early injury while maintaining host immune competence, and facilitating (or at least not inhibiting) lung regeneration

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**Figure 1. Schematic diagram illustrating the key properties of a stem cell.** Reproduced with permission from [104].

and repair. Could stem cells fit this new therapeutic paradigm?

### What are stem cells?

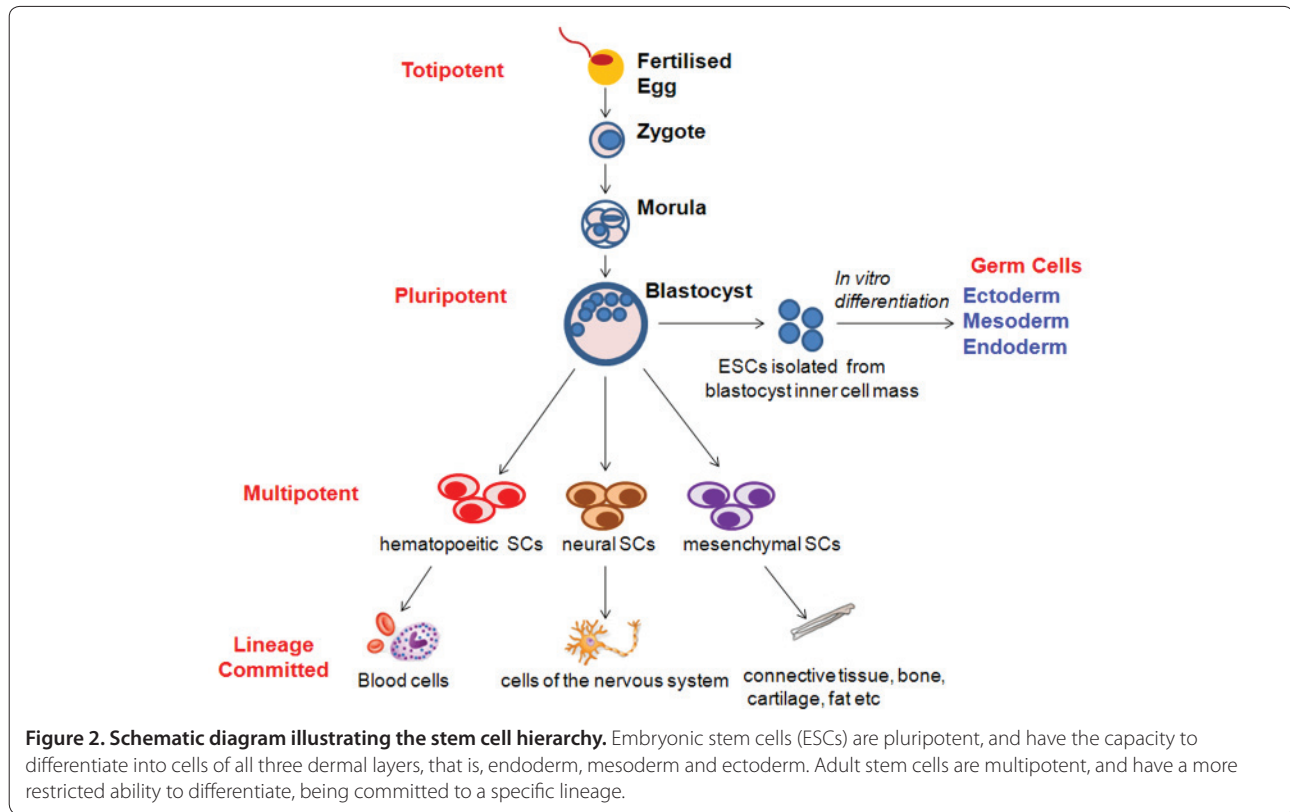
A stem cell is a cell that has the ability to divide asymmetrically to produce another cell like itself, or a more differentiated cell (Figure 1). Stem cells are classified based on their tissue of origin. Embryonic stem cells (ESCs) are derived from the inner blastocyst cell mass (Figure 2) and are pluripotent, that is, they are capable of differentiating into cells of all embryological lineages. Fetal stem cells are derived from extra-embryonic tissues, including the amniotic fluid, the placenta, umbilical cord blood and Wharton's jelly. They appear to represent a new stem cell population with growth kinetics and plasticity intermediate between adult and embryonic stem cells.

Adult tissue-derived stem cells include mesenchymal stem cells (MSCs), endothelial progenitor cells (EPCs), and endogenous lung stem cells (Figure 2). MSCs are cells of stromal origin that are capable of self-renewal and differentiation into cells of mesodermal origin, including chondrocytes, osteocytes and adipocytes [18]. Endothelial progenitor cells are circulating cells with the ability to proliferate and differentiate into mature endothelial cells. The adult lung itself also contains endogenous stem cells (Figure 3). These lung stem cells may ultimately be the ideal cell to regenerate injured lung. In general, the potential of stem cells to differentiate decreases as one moves from embryonic to adult stem cells. Adult stem cells are multi-potent, having the potential to differentiate into a more limited range of mature cell types. An exception is induced pluripotent stem cells (iPSCs), derived from adult cells that have been reprogrammed to de-differentiate following transduction with transcription factors [19].

### Why do stem cells offer promise for ALI/ARDS?

Stem cells offer considerable promise as a novel therapeutic strategy for ALI/ARDS for a number of reasons. First, stem cells, particularly pluripotent cells such as ESCs or iPSCs, offer the potential to differentiate into lung cells and directly replace damaged cells and tissues. Second, ALI is characterized by an intense but transient inflammatory response. While previous strategies to simply inhibit this response have met with failure, the more complex, 'immunomodulatory' properties of adult stem cells such as MSCs may be more effective. Stem cells may be able to 'reprogramme' the immune response to reduce the destructive inflammatory elements while preserving the host response to pathogens. Third, stem cells may be able to enhance the repair and resolution of lung injury. Resolution of ALI/ARDS is impeded by destruction of the integrity of the epithelial barrier, which inhibits alveolar fluid clearance and depletes surfactant [20]. Stem cells may restore epithelial and endothelial function, whether by differentiating into these cell types or via secretion of paracrine factors to enhance restoration of these tissues. Fourth, ALI/ARDS is frequently a component of a generalized process resulting in dysfunction and failure of multiple organs. MSCs have been demonstrated to decrease injury and/or restore function in the kidney [21,22], liver [23,24] and heart [25]. Fifth, stem cells may directly attenuate bacterial sepsis, the commonest [26] and most severe [27] cause of ALI/ARDS, via a number of mechanisms, including enhancement of phagocytosis, increased bacterial clearance [28], and anti-microbial peptide secretion [29]. Sixth, there is the potential to further enhance the therapeutic effect of MSCs by transducing them to secrete disease modifying molecules. As stem cells home to sites of inflammation when administered intravenously following tissue injury [30], they may therefore provide an attractive vector for gene-based therapies [31]. Seventh, both the distal lung epithelium and the pulmonary endothelium are selectively accessible to stem cell therapies, via the intratracheal route [32] and because the entire cardiac output transits the pulmonary vasculature. Finally, stem cells are in clinical studies for a wide range of disease processes. The clinical potential of MSCs for ALI/ARDS has been considerably enhanced by a recent study demonstrating that human MSCs can reduce endotoxin-induced injury to explanted human lungs [33].

Taken together, these findings offer considerable hope for stem cells as a therapy for ALI/ARDS. However, the potential mechanisms of action, therapeutic effects and translational potential differ significantly based on the specific stem cell population. While MSCs currently may offer the best hope of a potential therapy for ALI/ARDS [33], each stem cell population is a potential therapeutic



candidate (Table 1). In the following paragraphs we consider each population separately.

### How do stem cells work?

Stem cells may act directly via differentiation into one or more of the injured cell types and engraftment into the organ. Indirect mechanisms of action include modulation of the injury and repair process via paracrine and cell-cell contact-dependent mechanisms. The effects of specific stem cells appear to differ depending on the stem cell type.

### Differentiation and engraftment

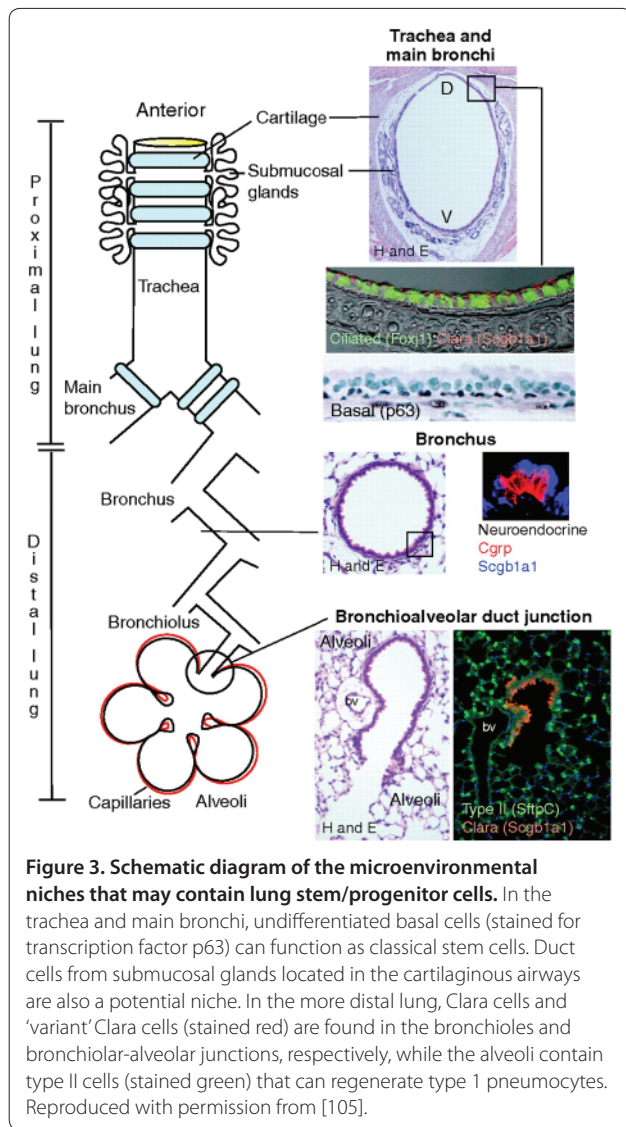
Cellular differentiation is the process by which a less specialized cell becomes a more specialized cell type. Pluripotent ESCs or iPSCs have the capacity to differentiate into cells of all three dermal layers, that is, endoderm, mesoderm and ectoderm (Figure 2). Differentiation into the injured cell types and engraftment appears to be a key mechanism of action for these stem cell types. The derivation of alveolar epithelial cells from ESCs has been demonstrated [34].

The role of differentiation and engraftment in the mechanisms of action of adult stem cells is more controversial. Adult stem cells have a more restricted ability to differentiate, being committed to a specific lineage (Figure 2). Multipotent adult stem cells such as MSCs can differentiate into a variety of 'lineage-specific' (that is,

mesenchymal) tissues, including bone, cartilage, tendon, fat, bone marrow stroma and muscle [35]. Progenitor cells, such as EPCs, have even more limited differentiation potential, being committed to a single lineage. Trans-differentiation refers to the potential for adult stem cells to differentiate into cells of other lineages [36]. Early studies suggested trans-differentiation might constitute an important mechanism of action of adult stem and progenitor cells. Krause and colleagues [37] found that a single bone marrow-derived haematopoietic stem cell could give rise to cells of multiple different organs, including the lung. Kotton and colleagues [38] demonstrated that bone marrow-derived cells could engraft into pulmonary epithelium and exhibit characteristics specific to lung epithelial cells (Figure 4). Suratt and colleagues [39] found significant rates of engraftment of transplanted haematopoietic stem cells in lung specimens from female allogeneic haematopoietic stem cell transplant recipients that received stem cells from male donors. However, more recent pre-clinical studies demonstrate that while adult stem cells can reduce ALI/ARDS, engraftment rates are low [31,40,41], casting doubt on the therapeutic significance of this mechanism of action.

### Paracrine and cell-cell effects

The potential for stem cells to act indirectly, by modulating key aspects of the host immune and repair



responses, while potentially applicable to all stem cell types, is best understood for MSCs. MSCs secrete multiple paracrine factors, such as growth factors [42], factors regulating endothelial and epithelial permeability [43], anti-inflammatory cytokines [44], and antimicrobial peptides [29], that can modulate the immune response and facilitate repair and regeneration (Figure 5). Cell-cell contact, mediated by adhesion molecules, appears to be a key mechanism by which MSCs modulate immune effector cells such as macrophages [44] and T cells [45]. MSCs also appear to communicate with host cells by releasing membrane-derived microvesicles containing RNA [45], and mitochondria [46].

### Therapeutic potential of stem cells for ALI/ARDS

A growing body of evidence highlights the potential benefits of cell-based therapy for ALI/ARDS. Most

pre-clinical studies to date have focused on MSCs, and the therapeutic potential of these cells is examined in detail elsewhere [47]. However, emerging studies using ESCs, EPCs and foetal stem cells attest to the growing interest in a variety of cell-based approaches for ALI/ARDS.

### Mesenchymal stem cells

MSCs were first identified in the bone marrow in 1976 by Friedenstein and colleagues [48], but have now been identified in numerous tissues, including lung, umbilical cord, cord blood, adipose tissue and gastrointestinal tract. MSCs exhibit several advantages of relevance to ALI/ARDS, including their derivation from (multiple) adult tissues, their low immunogenicity, which means that they may be given allogeneically [49], and their relative ease of isolation and enormous expansion potential in culture.

### Efficacy in pre-clinical models

MSCs have been demonstrated to reduce the severity of lung injury caused by septic and non-septic insults. Ortiz and colleagues [50] reported that MSC therapy decreased bleomycin-induced lung injury in mice despite an engraftment rate of less than 5%. MSCs and/or their secreted factors reduced hyperoxia-induced lung inflammation, decreased histologic injury, and attenuated long-term remodelling [51,52]. Most recently, they have been demonstrated to enhance recovery and repair [53] in a model of repair following ventilation-induced lung injury [54]. Importantly, MSCs appear to enhance the host response to bacterial sepsis; they decrease septic lung injury induced by endotoxin [40,46], *Escherichia coli* pneumonia [28] and systemic sepsis [46]. Intravenous MSC administration decreased intraperitoneal endotoxin-induced alveolar neutrophil infiltration and decreased pulmonary edema [55]. MSC therapy decreased the number of bacteria recovered from the lung following *E. coli* instillation [56] and following cecal ligation and puncture [56]. MSCs improved survival, reduced organ dysfunction, including indices of ALI, reduced neutrophil oxidative injury and increased circulating neutrophils, while lowering bacterial counts in blood, following cecal ligation and puncture-induced systemic sepsis [44]. The anti-bacterial effects of MSCs appear to be due, in part, to the secretion of anti-microbial peptides [29].

Critical illness is characterised by dysfunction and failure of multiple organs. Reassuringly, MSCs have demonstrated efficacy in pre-clinical studies for a variety of clinical disorders, including myocardial infarction [33,57], diabetes [58], hepatic failure [59], acute renal failure [60], and sepsis [28,44]. The clinical potential of MSCs for ALI/ARDS has been considerably enhanced by a recent study demonstrating that human MSCs can

**Table 1. Postulated mechanisms of action of stem cells in pre-clinical models of ALI/ARDS**

Source	Lung injury model	MSC delivery route	Postulated mechanism of action
<b>Mesenchymal stem cells</b>			
Ortiz <i>et al.</i> 2007 [41]	Murine bleomycin	IV	Secretion of IL-1 receptor antagonist
Xu <i>et al.</i> 2007 [55]	Murine IP LPS	IV 1 hour post-injury	Inhibition of TNF- $\alpha$ production by macrophage and IL-1 $\alpha$ -dependent T-cell line Production of soluble factors by MSCs that promote an anti-inflammatory cytokine milieu Paracrine effect was enhanced by cell to cell contact
Gupta <i>et al.</i> 2007 [40]	Murine IT LPS	IT 4 hours post-LPS	Production of chemoattractants for MSCs by lung cells Paracrine effect by MSCs in downregulating the inflammatory response Engraftment rate <5%
Lee <i>et al.</i> 2009 [33]	<i>Ex vivo</i> perfused human lung	IT 1 hour post-LPS	Secretion of KGF by MSCs resulting in improved endothelial permeability and restoration of alveolar epithelium fluid transport
Németh <i>et al.</i> 2009 [44]	Murine CLP	IV 24 hours pre-injury	Prostaglandin E2-dependent reprogramming of macrophage to increase production of IL-10
Mei <i>et al.</i> 2010 [28]	Murine CLP	IV 6 hours post-injury	Modification of inflammatory gene transcriptional activity Down-regulation of the acute inflammatory response and up-regulation of pathways relevant to phagocytosis and bacterial clearance
Krasnodembskaya <i>et al.</i> 2010 [29]	<i>E. coli</i> pneumonia	IT 4 hours post-injury	Secretion of the anti-microbial peptide LL-37, resulting in increased bacterial clearance
Danchuk <i>et al.</i> 2011 [46]	Murine LPS	IV, OA and IP human MSCs	Secretion of TSG-6 by MSCs resulting in reduced neutrophil recruitment and activation Secretion of KGF
<b>Endothelial progenitor cells</b>			
Lam <i>et al.</i> 2008 [76]	Rabbit oleic acid	IV 30 minutes post-injury	Reduced expression of iNOS Reduced lung leukocyte count Decreased pulmonary oedema, lung haemorrhage and hyaline membrane formation
Mao <i>et al.</i> 2010 [56]	Rat LPS	IV 30 minutes post-injury	Anti-inflammatory-increased IL-10 Decreased pulmonary oedema, lung haemorrhage Reduced iNOS and ET-1 expression
<b>Embryonic stem cells</b>			
Wang <i>et al.</i> 2010 [88]	Murine bleomycin	IT 1 or 2 days post-injury	Improved oxygenation Decreased collagen deposition Increased survival
<b>Foetal stem cells</b>			
Moodley <i>et al.</i> 2009 [94]	Murine bleomycin	IV 24 hours post-injury	Anti-inflammatory-reduced IL-1, IL-6, MCP-1 Anti-fibrotic-reduced TGF- $\beta$

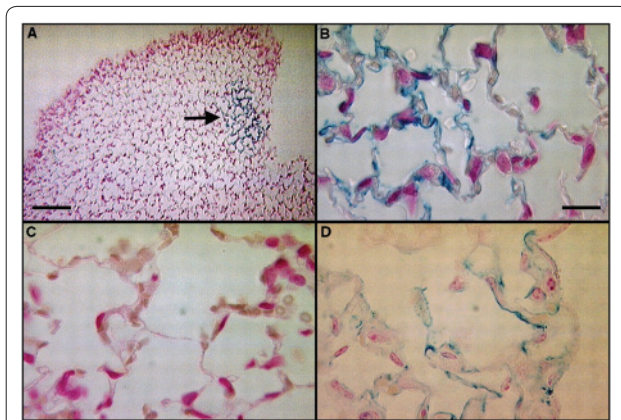
CLP, caecal ligation and puncture; ET-1, endothelin-1; iNOS, inducible nitric oxide synthase; IP, intraperitoneal; IT, intratracheal; IV, intravenous; LPS, lipopolysaccharide; KGF, keratinocyte growth factor; MCP-1, monocyte chemotactic protein-1; MSC, mesenchymal stem cell; OA, oropharyngeal aspiration; TGF, transforming growth factor; TNF, tumour necrosis factor; TSG-6, tumour necrosis factor alpha-induced protein 6.

reduce endotoxin-induced injury to explanted human lungs [61] (Figure 6). Taken together, these findings offer considerable hope for MSCs as a therapy for ALI/ARDS

#### Insights from clinical studies

MSCs are in clinical trials for multiple other diseases. In fact, the Clinicaltrials.gov database has over 100 clinical trials on its registry investigating MSCs as a therapy for diverse diseases, including diabetes, myocardial infarction, Crohn's disease, graft versus host disease, osteogenesis imperfecta and multiple sclerosis. A pilot study

with 11 patients showed that MSCs were effective for radiation-induced lung injuries that developed after combined chemotherapy and radiation therapy for lymphogranulomatosis or breast cancer [62]. In a phase I study of MSCs (Prochymal®, Osiris Therapeutics Inc., Columbia, MD, US) in patients with acute myocardial infarction, MSCs improved forced expiratory volume in 1 s (FEV<sub>1</sub>) and forced vital capacity (FVC) [63]. These observations stimulated a multicenter phase II trial of MSCs for patients with moderate to severe chronic obstructive pulmonary disease (NCT00683722). Recent



**Figure 4. Transdifferentiation of mesenchymal stem cells.** Photomicrographs of murine lung demonstrating engraftment of mesenchymal stem cells, which are stained blue due to their expression of the Lac-Z transgene, into the mouse lung following bleomycin-induced lung injury. Reproduced with permission from [38].

clinical studies highlight the potential for MSCs to enhance wound repair. Treatment of cutaneous wounds with MSCs accelerates wound healing kinetics and increases epithelialization and angiogenesis [64-66]. These clinical trials attest to the safety of MSCs and their ability to attenuate injury severity whilst enhancing repair.

#### **Mechanisms of action**

The mechanism of action of MSCs appears to be predominantly paracrine, and to involve the release of factors that have immunomodulatory, reparative and anti-bacterial effects. In contrast to classic 'anti-inflammatory' strategies, MSCs decrease host damage arising from the inflammatory response while enhancing host resistance to sepsis. MSCs interact with a wide range of immune cells and exert diverse effects on the innate and adaptive immune responses. These include suppression of T-cell proliferation, natural killer cell function and inhibition of dendritic cell differentiation [67]. Soluble factors that are candidate mediators for MSC immune modulation include transforming growth factor- $\beta$  [68], indoleamine 2,3-dioxygenase [69], IL-1-receptor antagonist [41], tumour necrosis factor- $\alpha$ -induced protein (TSG)-6 [46], and IL-10 and prostaglandin E2 [44] (Figure 5). MSCs appear to augment the host immune response to sepsis via secretion of anti-microbial peptides and TSG-6, and they increased bacterial clearance and enhanced host cell phagocytosis in septic mice [28]. Recently, Krasnodembskaya and colleagues [29] reported that MSCs improved bacterial clearance of *E. coli* pneumonia via the secretion of an antimicrobial peptide, LL-37.

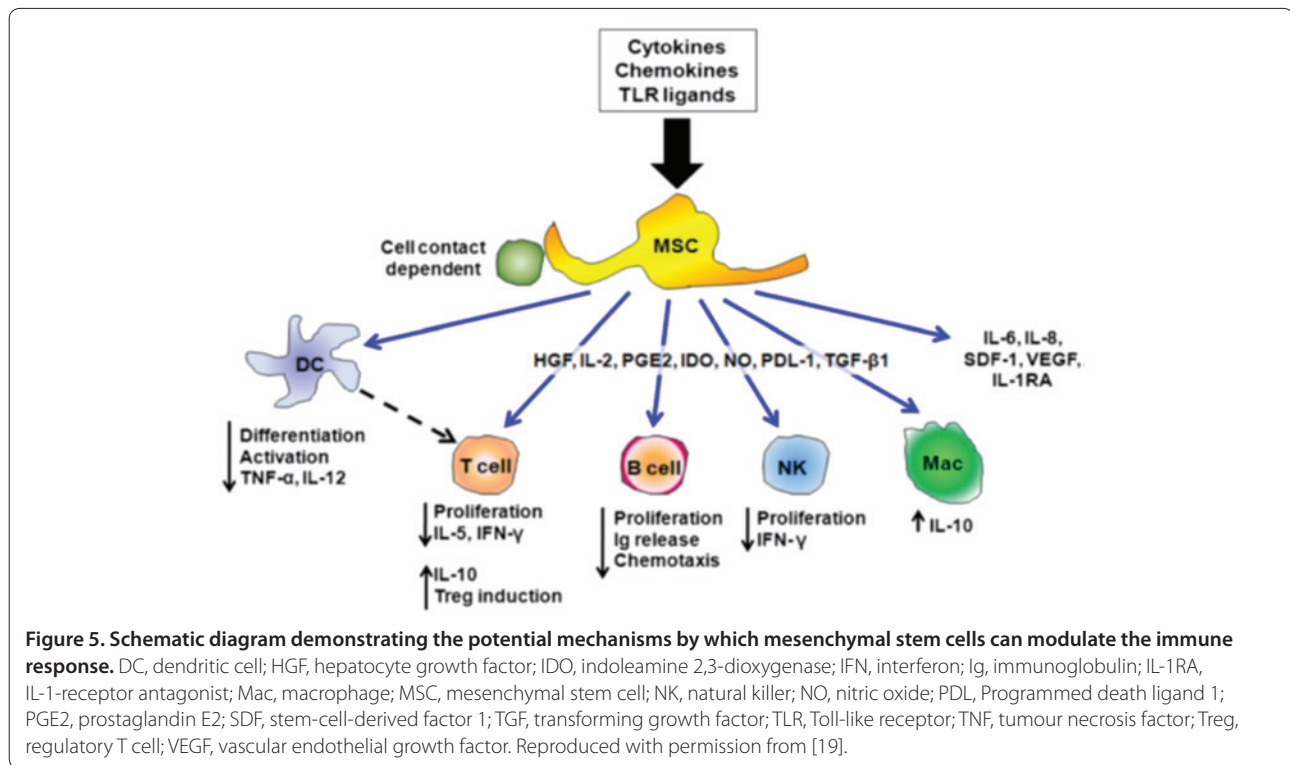
MSCs appear to aid lung repair and regeneration following injury, in part via the secretion of cytoprotective agents [31,33,43,46]. MSC secretion of angiopoietin and keratinocyte growth factor restores alveolar epithelial and endothelial permeability and enhances resolution of ALI/ARDS in pre-clinical models [31,33,43,46]. MSCs decreased bleomycin-induced lung injury and fibrosis, and decreased lung collagen accumulation, fibrosis and levels of matrix metalloproteinases in part by IL-1-receptor antagonist secretion [41].

#### **Barriers to clinical translation**

The optimal route of administration of MSCs is not known, with evidence supporting the intravenous [44], intratracheal [33,40] and intraperitoneal administration routes [46]. The optimal dosage regimen for MSCs, including the lower effective MSC doses, is also unclear. The true 'therapeutic' potential of MSCs - that is, their effectiveness when administered after the lung injury is established - is also unclear. Pre-clinical studies to date have used relatively poorly defined, heterogeneous MSCs. The potential for recently identified more specific MSC subpopulations [58,70] to be more effective in attenuating ALI/ARDS remains to be determined. Unlike haematopoietic stem cells, MSCs are not defined by a single marker and stem cell markers are not uniquely expressed by stem cells [18,70]. While a set of minimal criteria for defining MSCs has been developed [71], there remains a lack of standardised protocols for isolation and characterisation of them. Furthermore, there is no validated method of measuring MSC bioactivity *in vivo* [72]. Despite recent advances, our understanding of the mechanisms of action of MSCs remains incomplete. Of concern in this regard, recent studies suggest that MSCs might elicit a memory T-cell response in mice, suggesting that they are not as 'immunoprivileged' as previously thought [73,74]. The need to address these barriers to clinical translation is underlined by the limited clinical experience with MSCs in critically ill patients to date [63].

#### **Endothelial progenitor cells**

Interest in EPCs stems from the fact that endothelial damage is a key pathophysiological feature of ALI, contributing to hyaline membrane formation and development of protein-rich alveolar edema [20]. While there is also no defined set of cell markers to identify an EPC, two different subpopulations of EPCs, with different differentiation abilities, cell markers and roles in endothelial repair, have been identified. 'Early' EPCs have haematopoietic surface markers (for example, CD-34) and secrete pro-angiogenic factors but display limited differentiation ability. 'Late' EPCs, so called because they appear after more than two weeks in culture, lack



haematopoietic surface markers and do not secrete pro-angiogenic factors. However, they make endothelioid tubes *in vitro* and have a greater role in replacement of damaged endothelium [75].

#### **Efficacy in pre-clinical models**

EPCs appear to regenerate the alveolar endothelium in ALI. Lam and colleagues [76] demonstrated that autologous infusion of 'early' EPCs improved alveolar-capillary integrity as evidenced by decreased lung haemorrhage, water content and hyaline membrane formation in a rabbit oleic acid ARDS/ALI model. EPCs may also exert anti-inflammatory as well as regenerative effects [56]. Animals treated with allogeneic EPCs showed increased levels of the anti-inflammatory cytokine IL-10 as well as reduced inducible nitric oxide synthase and endothelin-1 [56]. The EPC group also showed evidence of neovascularisation, re-endothelialization and improved survival. Importantly, this study was carried out using allogeneic EPCs and attests to their low immunogenicity [56].

#### **Insights from clinical studies**

Human studies showing an association between increased circulating levels of EPCs and improved outcome from ALI [77] and bacterial pneumonia [78] suggest a role for EPCs in lung repair. Two clinical trials investigating the use of autologous EPCs in pulmonary hypertension have been conducted. A pilot study in children [79] and a

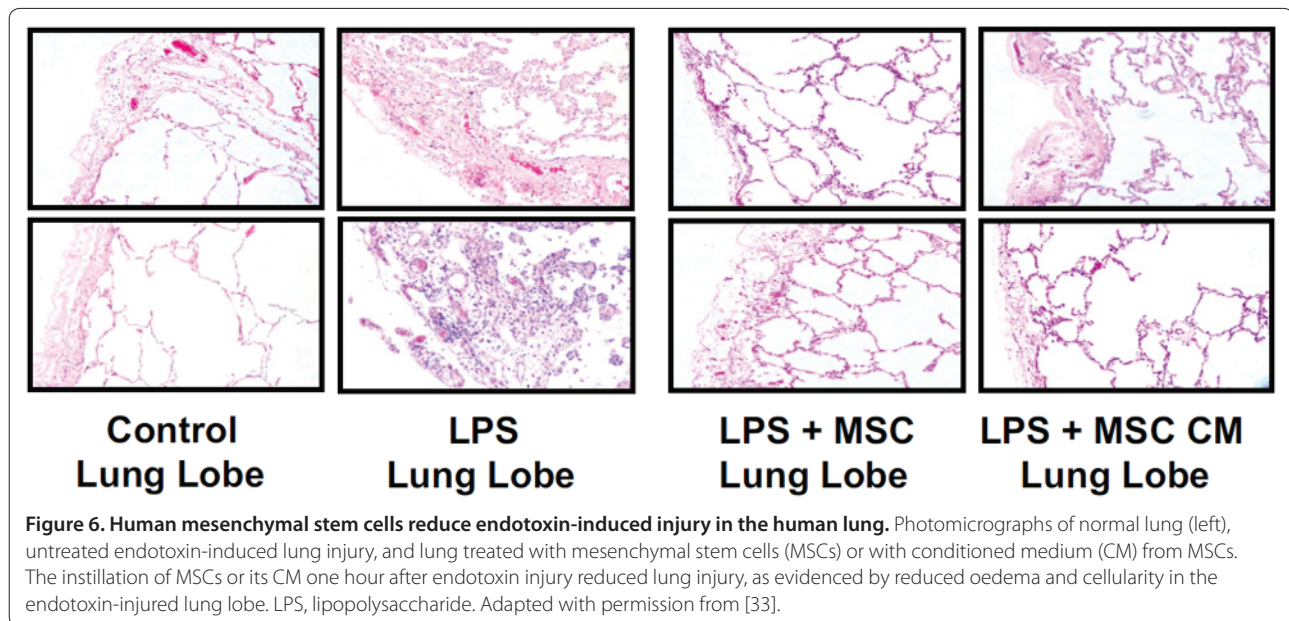
small randomised control trial in adults [80] showed promising results with improvements in exercise capacity and pulmonary haemodynamics in the treatment group. No immunologic reactions or other adverse effects were noted from EPC infusion. A comparable trial of administration of autologous EPCs transduced to express endothelial nitric oxide synthase for adult patients with pulmonary hypertension is currently underway in Canada.

#### **Mechanisms of action**

EPCs appear to exert therapeutic effects via diverse direct differentiation and engraftment into the vasculature and via secretion of factors that mobilise adjacent endothelial cells and tissue-resident progenitor cells to partake in angiogenesis and reconstruction. The EPC secretome has recently been analyzed and found to contain at least 35 proteins known to relate to endothelial cell biology and angiogenesis [81]. As discussed above, EPCs also appear to exert immunomodulatory effects.

#### **Barriers to clinical translation**

Low circulating levels of EPCs, even in illness, mean that these cells are difficult to isolate [82]. Most studies use autologously harvested EPCs. Experience with allogeneic transplantation of EPCs is limited [56] and the safety of this approach is unconfirmed. This is a significant practical limitation that reduces their therapeutic potential for acute illnesses such as ALI/ARDS.



#### Embryonic stem cells

ESCs are pluripotent cells derived from the inner blastocyst cell mass (Figure 2) and constitute a potentially unlimited source of cells that could be differentiated into lung progenitor cells for possible clinical use. The generation and implantation of a committed lung progenitor cell would have a clear therapeutic advantage, as it could give rise to all cell types within the lung following therapeutic transplantation. However, the embryologic origin of lung cells makes the generation of lung cells from ESCs difficult, and there are significant ethical issues regarding the use of these cells.

#### Insights from laboratory studies

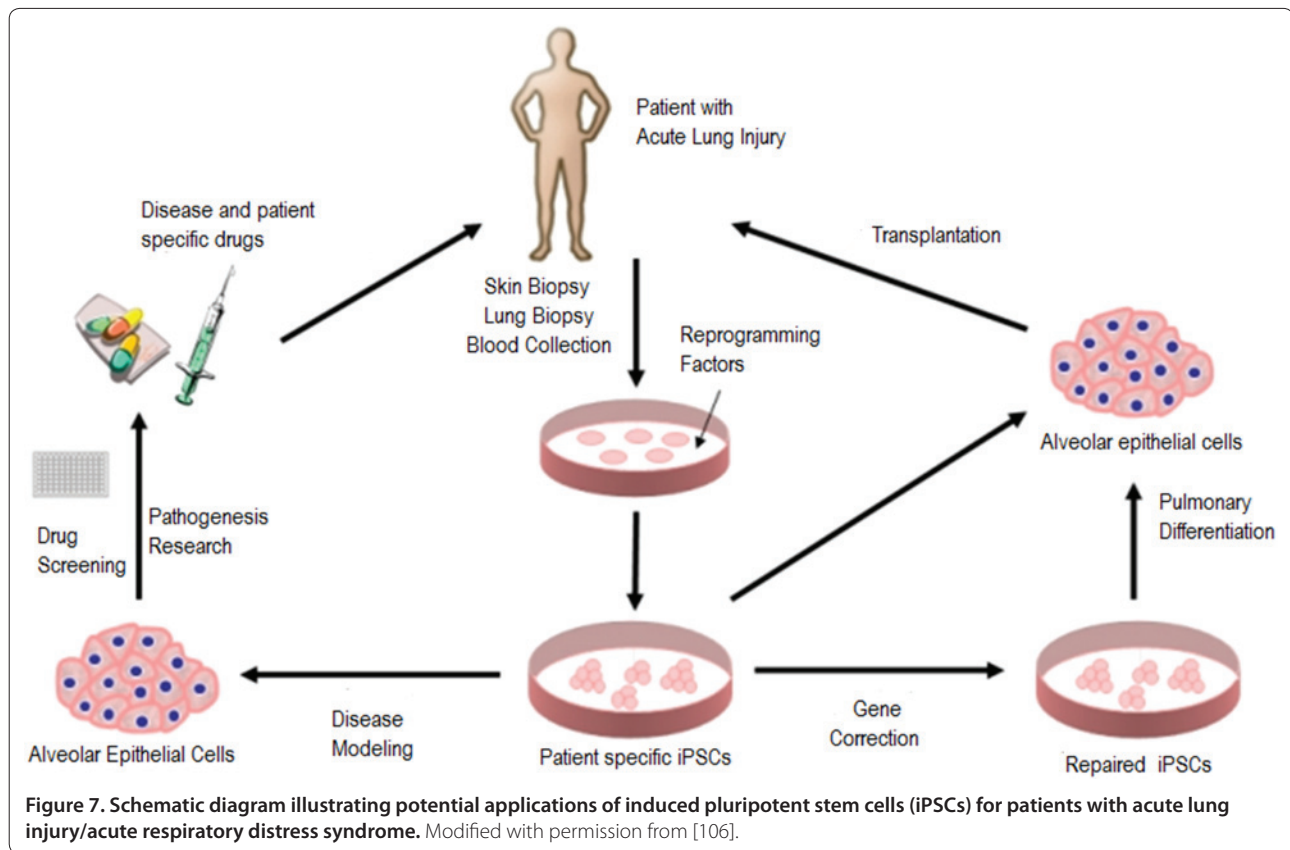
Advances in ESC culture and differentiation methods in recent years have led to renewed interest in ESCs as a potential therapeutic agent in ALI [83,84]. Efforts have largely concentrated on derivation of type II alveolar epithelial cells from ESCs [34,85]. A difficulty is the origin of the lung in the endoderm, the third germ layer to form and one with a complex cellular specification process. Consequently, alveolar epithelial cells have proven difficult to derive from both murine and human ESCs. Wang and colleagues [34] recently derived a transfection and culture procedure utilising surfactant protein C promoter-driven neomycin expression to facilitate the differentiation of human ESCs into a more than 99% pure population of type II alveolar epithelial cells. Previous techniques, including co-culture [86] with pulmonary mesenchyme, yield mixed populations of cell derivatives and were unsuitable for clinical use. Purity of cell populations for transplantation is essential as the presence of pluripotent cells in the differentiating

culture engenders a significant risk of teratoma formation post-transplantation.

#### Efficacy in pre-clinical models

*In vivo* studies of ESCs as a potential replacement therapy for damaged alveolar epithelial cells in ALI remain limited [87,88]. The functional significance of ESC differentiation and engraftment into the injured lung remains to be clearly demonstrated [88]. Roszell and colleagues [85] developed alveolar type II (ATII) cells from mouse ESCs and administered them intratracheally into preterm mice. Over the 24-hour study period the cells maintained differentiation and surfactant protein C expression. However, it was not determined if the cells had engrafted into the lung parenchyma or resulted in any functional benefit. Wang and colleagues investigated the effect of ESC-derived ATII cells on ALI in mice injured by intratracheal bleomycin [88]. At day 9 post-intratracheal administration, these human ESC-ATII cells had engrafted in the lung, and a small number had undergone differentiation to type I alveolar epithelial cells. Furthermore, the severity of ALI was reduced and animal survival increased. Most recently, Toya and colleagues [89] studied the effects of blast progenitor cells derived from human ESCs cultured in conditions favouring development of mesoderm in a mouse caecal ligation and puncture model. These cells ameliorated sepsis-induced lung injury when administered intravenously one hour post-injury by modulation of the immune response. The protective effects were mediated by a subpopulation of progenitor cells positive for the endothelial and haematopoietic lineage marker angiotensin converting enzyme. These cells interacted with CD11b+ host immune cells in





the lungs, reducing their production of pro-inflammatory cytokines and nitric oxide.

#### Barriers to clinical translation

Research in this field has been impeded by ethical, financial and technical concerns. Additional concerns include the potential for malignant transformation (that is, teratoma formation) [90] and immune rejection [91].

#### Foetal stem cells

Foetal stem cells appear to be a novel stem cell population and are derived from extra-embryonic tissues (amniotic fluid, the placenta, umbilical cord blood and Wharton's jelly). They exhibit growth kinetics and plasticity intermediate between adult MSCs and ESCs. Unlike ESCs, they demonstrate low immunogenicity *in vivo* [92] and do not give rise to tumours. Furthermore, given their role in foetal tolerance, these cells have immunoregulatory properties [92] that are already used clinically in ophthalmology [93] and as a therapy for burns [92]. Their derivation from extra-embryonic tissues, which are normally discarded at birth, obviates the necessity for invasive biopsy or destruction of the blastocyst, and renders them an ethically neutral source of stem cells. Stem cells with a phenotype consistent with MSCs derived from

Wharton's jelly of the human umbilical cord reduce bleomycin-induced lung injury and fibrosis [94].

#### Barriers to clinical translation

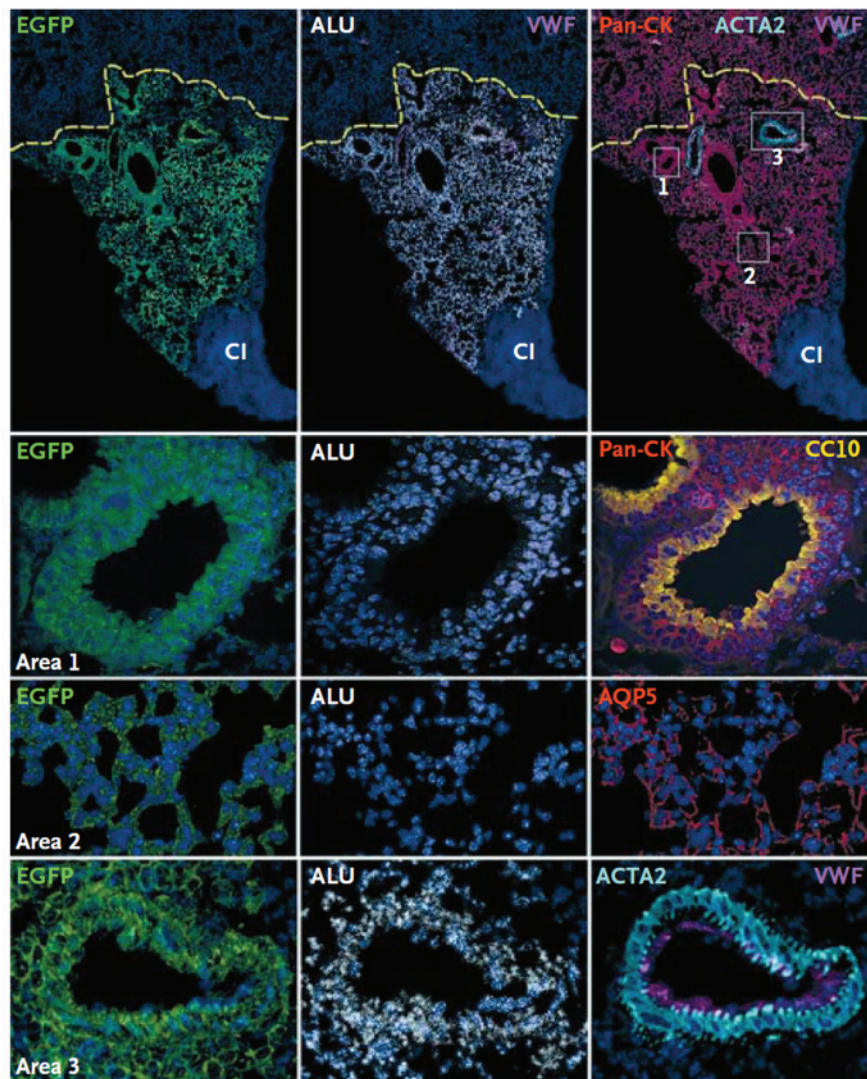
These cells are relatively newly defined and poorly characterized. Significant additional pre-clinical study is required prior to consideration of their potential in ALI/ARDS.

#### Induced pluripotent stem cells

iPSCs are adult somatic cells (for example, dermal fibroblasts) that have undergone dedifferentiation following reprogramming following transduction (using retroviruses or non-viral techniques) to express four transcription factors, Oct3/4, Sox2, Klf-4 and c-Myc [19]. The autologous nature of the cells eliminates the problem of immune rejection associated with ESCs. iPSCs are comparable to ESCs in terms of morphology, gene expression and teratoma formation. The potential applications of iPSCs in ALI/ARDS are numerous (Figure 7).

#### Barriers to clinical translation

Currently there are no clinical or pre-clinical studies of the use of iPSCs for any respiratory pathology. The developmental potential of human iPSC lines has yet to be characterised. The recent demonstration of *in vitro*



**Figure 8. Human lung stem cells regenerate the injured mouse lung.** Photomicrographs demonstrating engraftment of human lung c-kit-positive cells into the cryo-injured mouse lung. These cells, which express green fluorescent protein (EGFP), regenerated the injured area of lung tissue. The c-kit-positive cells differentiated into lung epithelial cells (area 1), and formed lung bronchioles as evidenced by staining for Clara cell 10-kDa secretory protein (CC-10), alveolar lung tissue (area 2) as evidenced by staining for aquaporin-5 (AQP5), and pulmonary arterioles (area 3) as evidenced by staining for von-Willebrand factor (VWF). Reproduced with permission from [99].

differentiation of iPSCs into ATII-like epithelial cells is a welcome development [95]. However, there is evidence to suggest that iPSCs are neither as robust nor as pleuripotent as ESCs [96]. Moreover, the current gene transduction techniques for generating pluripotent cells are impractical for producing a clinically reliable stem cell source, especially for an acute illness such as ALI [97].

#### Endogenous lung stem cells

The ideal cell type to use to regenerate the injured lung would be the lung's native stem cell population. The ability of the lung to regenerate following injury provides clear evidence for the existence of one or more native

lung stem cell populations [98]. Until recently, however, this putative adult lung-derived stem cell population remained poorly characterised. While several different possible populations of anatomically and functionally distinct endogenous stem cell candidates have been identified, none of these cells meet the full criteria of what constitutes a stem cell. However, in a landmark study Kajstura and colleagues [99] have recently identified a population of c-kit-positive stem cells in the human lung located in the distal lung, the bronchioles and to a lesser extent the alveoli, demonstrating the ability to regenerate all components of an injured mouse lung (Figure 8). This study has generated controversy,

particularly in regard to the fact that key experiments lacked appropriate controls, and the fact that, by focusing on human c-kit-positive lung cells, these studies provide only partial insights into the fates of these cells [100,101]. The novelty of these findings is underlined by the fact that multipotent stem cells that can give rise to both endodermal and mesodermal lineages have not previously been described in the lung, nor indeed in any organ. In other studies, Chapman and colleagues [102] identified a novel integrin  $\alpha\beta4$ -expressing alveolar epithelial cell in the mouse lung that can repair the lung following ALI. Hegab and colleagues [103] provide convincing evidence that submucosal gland duct cells constitute a stem/progenitor cell population. Taken together, these studies offer considerable promise for a therapeutic role for endogenous lung stem/progenitor cells in lung diseases such as ALI/ARDS.

#### Barriers to clinical translation

It is not known whether the regenerative capacity demonstrated by these c-kit-positive stem cells can be replicated in human lung, and indeed whether the tissue produced will function as normal lung. Likewise, the feasibility of harvesting endogenous stem cells from a critically ill ALI/ARDS patient is unclear. It is likely that the first clinical applications of lung stem cells will be in the field of tissue bioengineering for chronic conditions such as tracheal atresia

#### Conclusion

Stem cells constitute a promising therapeutic strategy for patients suffering from ALI/ARDS. MSCs appear closest to clinical translation, given the evidence that they may favourably modulate the immune response to reduce lung injury, while maintaining host immune-competence and also facilitating lung regeneration and repair. The demonstration that human MSCs exert benefit in the endotoxin-injured human lung is particularly persuasive. However, gaps remain in our knowledge regarding the mechanisms of action of MSCs, the optimal MSC administration and dosage regimens, and the safety of MSCs in critically ill patients. It is anticipated that these remaining knowledge deficits will be addressed in ongoing and future studies. Other stem cells, such as ESCs and iPSCs, are at an earlier stage in the translational process, but offer the hope of directly replacing injured lung tissue. Ultimately, lung-derived stem cells may offer the greatest hope for lung diseases, given their role in replacing and repairing the native damaged lung tissues.

#### Abbreviations

ALI, acute lung injury; ARDS, acute respiratory distress syndrome; ATII, alveolar type II; EPC, endothelial progenitor cell; ESC, embryonic stem cell; IL, interleukin; iPSC, induced pluripotent stem cell; MSC, mesenchymal stem cell; TSG, tumour necrosis factor- $\alpha$ -induced protein.

#### Competing interests

The authors declare that they have no competing interests.

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