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# Differential efficacy between stenting and plain balloon angioplasty for femoropopliteal disease with or without total occlusion

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Department of Internal Medicine, Cardiovascular Center, Seoul National University Bundang Hospital, 82 Gumi-ro 173beon-gil, Bundang-gu, Seongnam 13620, Korea Tel: +82-31-787-7052 Fax: +82-31-787-4052 E-mail: changhwanyoon@gmail.com https://orcid.org/0000-0001-6305-4442 **Background/Aims:** Whether the presence of chronic total occlusion (CTO) affects patency after stenting in femoropopliteal lesions is unknown. We determined the effects of plain balloon angioplasty (POBA) in comparison with those of stenting on patency for femoropopliteal CTO and stenosis (non-CTO).

**Methods:** We analyzed data from the Korean Vascular Intervention Society Endovascular Therapy in Lower-Limb Artery Diseases Registry, a multicenter cohort of patients with lower extremity peripheral arterial disease. Data from 1,329 patients and 1558 limbs treated with endovascular intervention for at least one femoropop-liteal lesion were evaluated.

**Results:** Among the 1,558 limbs, 345, 432, 275, and 506 were in the non-CTO-PO-BA, non-CTO-stent, CTO-POBA, and CTO-stent groups, respectively. During follow-up, loss of clinical primary patency, a composite of freedom from restenosis or clinically driven target lesion revascularization, occurred in 65 (18.8%), 68 (15.7%), 62 (22.5%), and 113 limbs (22.3%) in the non-CTO-POBA, non-CTO-stent, CTO-POBA, and CTO-stent groups, respectively. The patients in the non-CTO-stent group showed a significantly better clinical primary patency than those in the no-CTO-POBA group, whereas those in the CTO-stent and CTO-POBA groups showed no significant differences. After inverse probability of treatment weighting to balance the differences among covariates between the non-CTO-stent and non-CTO-POBA groups, the non-CTO-stent group still showed superior clinical primary patency as compared with the non-CTO-POBA group.

**Conclusions:** In the patients with femoropopliteal stenosis without CTO, stenting resulted in better clinical outcomes than balloon angioplasty. The presence of CTO in the femoropopliteal lesion should be considered when selecting a suitable device for performing endovascular procedures.

**Keywords:** Peripheral arterial disease; Registry; Balloon angioplasty; Stent; Coronary occlusion

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#### INTRODUCTION

Remarkable advances have been made over the last decades in the endovascular treatment of atherosclerotic disease in femoropopliteal arteries. Currently, endovascular treatment of femoropopliteal lesions is the first choice for stenosis/occlusions < 25 cm [1]. Balloon angioplasty effectively dilates the femoropopliteal lesions without any residues. However, the lack of scaffolds often leads to suboptimal results. Stenting provides a stable initial success with scaffolds while the high rate of stent failure, including stent fracture, thrombosis, and restenosis, is still an unresolved concern on the use of stents in femoropopliteal lesions. Trials have reported contradictory results on the patency of stenting and that of isolated plain old balloon angioplasty (POBA) for femoropopliteal occlusive disease. A meta-analysis reported that stent placement in femoropopliteal occlusive disease does not increase the patency rate when compared with angioplasty alone at 1 year [2]. Conversely, primary stenting with self-expanding nitinol stents for the treatment of superficial femoral artery (SFA) obstructions yields a sustained morphological benefit and a trend toward clinical benefit compared with balloon angioplasty with optional stenting [3]. In a single-center retrospective registry, stenting resulted in similar long-term outcomes as those of POBA when stratified between claudication and critical limb ischemia [4]. However, stenting yielded a statistically better primary patency only in the patients with TransAtlantic Inter-Society Consensus classification (TASC-II) C or D lesions. Therefore, whether stenting results in a therapeutic benefit as compared with balloon angioplasty remains controversial.

In the present study, we analyzed data from a multicenter cohort of patients with lower-extremity peripheral arterial disease (PAD) who underwent endovascular treatment. We determined the effects of POBA in comparison with those of stenting on patency for the treatment of femoropopliteal in the presence or absence of chronic total occlusion (CTO).

#### METHODS

#### Study population

The Korean Vascular Intervention Society Endovascular

Therapy in Lower-Limb Artery Diseases (K-VIS ELLA) registry is a multicenter, observational, retrospective, and prospective study of patients with lower extremity artery diseases treated with endovascular therapy (ClinicalTrials.gov NCT02748226). The present study used data from the retrospective patient cohort, which consists of datasets from 3,073 patients with 3,972 target limbs treated between January 2006 and July 2015 in 31 Korean hospitals [5]. Data regarding the patient baseline clinical, lesion, and procedural characteristics were collected from their electronic medical records. We excluded patients who did not receive femoropopliteal intervention (Fig. 1). We also excluded patients who were treated with drug-coated balloon or drug-eluting stents. We divided the patients into 4 groups according to the presence of CTO and use of stenting as follows: CTO-POBA, patients with CTO treated with POBA; CTO-stent, patients with CTO treated with stenting; non-CTO-POBA, patients without CTO treated with POBA; non-CTO-stent, patients without CTO treated with stenting. The study was conducted in accordance with the principles of the Declaration of Helsinki and approved by the Institutional Review Boards of the participating hospitals (Seoul National University Bundang Hospital, B-1603/340-304). The Institutional Review Boards of the participating hospitals waived the requirement for informed consent for this retrospective study.



**Figure 1.** Study flow. K-VIS ELLA, the Korean Vascular Intervention Society Endovascular Therapy in Lower-Limb Artery Diseases; CTO, chronic total occlusion; POBA, plain old balloon angioplasty.



# Definitions

PAD of the lower extremity was defined as the presence of  $\geq$  50% narrowing of a lower-extremity artery. Claudication was defined as Rutherford category 1, 2, or 3 disease (mild, moderate, or severe claudication, respectively), and critical limb ischemia was defined as Rutherford category 4, 5, or 6 disease (ischemic rest pain, minor tissue loss, or major tissue loss, respectively) [6]. The presence of hypercholesterolemia was defined as a total cholesterol level of > 200 mg/dL or treatment with a lipid-lowering agent prior to hospital admission, as documented in the medical records. Patients were considered current smokers if they smoked at least one cigarette per day within the last month. Patients were considered ex-smokers if they had stopped smoking at least 1 month prior to inclusion in the study. Congestive heart failure was defined as the presence of a left ventricular ejection fraction < 40%. Chronic kidney disease (CKD) was considered to be present if the estimated glomerular filtration rate according to the Modification of Diet in Renal Disease Study equation was < 60 mL/ min/1.73 m<sup>2</sup>.

Target vessels were classified as aortoiliac, femoropopliteal, anterior tibial, posterior tibial, and peroneal arteries. Target lesions of the femoropopliteal arteries were classified according to the TASC-II classification [7]. Multilevel disease was defined as the presence of significant obstructive lesions at > 1 level in the same limb (aortoiliac, femoropopliteal, and infrapopliteal). The prescribed antiplatelet drugs included aspirin, clopidogrel, ticlopidine, ticagrelor, prasugrel, and cilostazol.

### Endovascular procedure

The crossover or antegrade approach was selected as appropriate. Intraluminal or subintimal wire crossing was achieved using 0.014- or 0.035-in guidewires at the discretion of the operators. Balloon angioplasty with a standard balloon was performed in both groups, and the maximal diameter of the balloon was recorded. All the patients in the stent groups received nitinol stents, including Smart Stent (Cordis, Johnson & Johnson, Fremont, CA, USA), Complete SE (Medtronic Inc., Minneapolis, MN, USA), or Absolute pro (Abbott, Santa Clara, CA, USA). The sum of the stent length and the maximal diameter of the stents were recorded. Technical success was defined as a residual stenosis of < 30% by final angiography. Clinical success was defined as improvement in > 1 categories in the Rutherford class after the procedure.

# Patient and lesion follow-up

The patients and target lesions were followed up postoperatively at 6, 12, and 24 months. We examined the results of the patient's ankle-brachial index (ABI), duplex ultrasonography, computed tomographic angiography, and conventional peripheral angiography, which were performed at the physician's discretion during the follow-up period.

# End points

The primary end point was clinical primary patency, defined as a composite of freedom from an ABI reduction of  $\geq$  20% or > 0.15 in comparison with the post-intervention ABI, > 50% restenosis, as determined by duplex ultrasound (peak systolic velocity ratio < 2.4), computed tomographic angiography, or digital subtraction angiography, and freedom from clinically driven target lesion revascularization (TLR). Clinically driven TLR was adjudicated when the physicians considered reinterventions at the target lesion or if the patient complained of exertional limb discomfort or claudication within the follow-up period.

The secondary end point consisted of safety end points, including the amputation and mortality rates. We classified amputations performed above the ankle as major amputations. Amputations performed below the ankle that did not require the use of prosthesis and did not affect walking were defined as minor amputations [8,9]. Major bleeding was defined as bleeding that caused a decrease in hemoglobin level of  $\geq 20$  g/L (1.24 mmol/L) or that led to transfusion of  $\geq 2$  units of whole blood or red cells [10].

### Statistical methods

Continuous variables were expressed as means with standard deviations, whereas categorical variables were presented as numbers with percentages. Continuous variables were compared using the Student t test. Categorical variables were compared using the chi-square test. Survival times were censored at the date of the end point or last follow-up. The Kaplan-Meier product-limit estimator and the log-rank test were used to analyze



continuous survival times, and the mixed-effects Cox proportional hazards regression modeling was used to test the interaction of treatment assignment and subgroup factors, as well as multivariate modeling of risk factors. Covariates for the adjustment were selected using the stepwise Akaike information criterion (AIC) method. We included as covariates all the variables found to be statistically significant (p < 0.05) in the univariate analysis of the multivariable analysis or variables known to be clinically important, excluding those with multicollinearity with others. The proportional hazard assumption of each variable was tested on the basis of Schoenfeld residuals. The model included the available patient characteristics, clinical risk factors, lesion characteristics, and procedural data. To minimize bias by indication and missing values, an inverse-probability treatment-weighted (IPTW) cohort was created using the

"twang package" after multiple imputation of missing values by the "MICE package" in the R program (The R Foundation for Statistical Computing, Vienna, Austria; http://www.R-project.org). We provided a pooled result from 5 different datasets by multiple imputation. A two-sided p value of < 0.05 was considered indicative of a statistically significant difference. Statistical analyses were performed using R programming version 3.4.2 (The R Foundation for Statistical Computing). All the statistical analyses were performed by a professional statistician (S.H.K.).

#### RESULTS

#### Patient and lesion characteristics

The baseline demographic and clinical characteristics of

	1	Non-CTO (n = 781)			CTO (n = 674)	
Characteristic	POBA (n = 288)	Stenting (n = 362)	p value	POBA (n = 240)	Stenting (n = 439)	p value
Age, yr	68.34 ± 8.34	69.48 ± 9.48	0.098	69.08±9.080	69.94 ± 9.94	0.276
Male sex	217 (75.3)	287 (79.3)	0.272	175 (72.9)	369 (84.1)	0.001
BMI, kg/m <sup>2</sup>	23.95 ± 3.95	23.54 ± 3.54	0.176	23.61 ± 3.61	23.07 ± 3.07	0.083
Hypertension	242 (84.0)	287 (79.3)	0.149	172 (71.7)	319 (72.7)	0.851
DM	224 (77.8)	2.45 (67.7)	0.006	140 (58.3)	219 (49.9)	0.043
Hypercholesterolemia	110 (38.2)	172 (47.5)	0.021	99 (41.2)	158 (36.0)	0.205
Current smoker	54 (18.8)	96 (26.5)	0.025	67 (27.9)	172 (39.2)	0.004
CHF	21 (7.3)	24 (6.6)	0.861	19 (7.9)	33 (7.5)	0.971
CAD	185 (64.2)	216 (59.7)	0.268	128 (53.3)	238 (54.2)	0.889
CKD	106 (36.8)	84 (23.2)	< 0.001	51 (21.2)	66 (15.0)	0.052
Previous stroke	57 (19.8)	50 (13.8)	0.053	37 (15.4)	67 (15.3)	> 0.999
Previous bypass surgery	41 (14.2)	33 (9.1)	0.055	15 (6.2)	28 (6.4)	> 0.999
Previous amputation	40 (13.9)	14 (3.9)	< 0.001	20 (8.3)	18 (4.1)	0.034
Previous PTA	50 (17.4)	47 (13.0)	0.148	33 (13.8)	50 (11.4)	0.438
CLI	131 (45.5)	102 (28.2)	< 0.001	102 (42.5)	136 (31.0)	0.003
Aspirin	242 (86.7)	312 (87.2)	0.972	207 (87.7)	375 (87.4)	> 0.999
Clopidogrel	231 (82.8)	318 (88.8)	0.038	182 (77.1)	371 (86.5)	0.003
Cilostazol	103 (36.9)	137 (38.3)	0.790	109 (46.2)	198 (46.2)	> 0.999
Statin	196 (70.3)	275 (76.8)	0.075	165 (69.9)	299 (69.7)	> 0.999

Table 1. Patients' baseline characteristics according to the presence of CTO

Values are presented as mean ± SD or number (%).

CTO, chronic total occlusion; POBA, plain old balloon angioplasty; BMI, body mass index; DM, diabetes mellitus; CHF, congestive heart failure; CAD, coronary artery disease; CKD, chronic kidney disease; PTA, percutaneous transluminal angioplasty; CLI, critical limb ischemia.

the patients in the four groups are summarized in Table 1. Baseline patient sex and preexisting risk factors (body mass index, diabetes, smoking status, and CKD) were significantly different between the groups. In addition, the incidence of critical limb ischemia and previous amputation were significantly different between the groups. Clopidogrel was prescribed at a significantlyhigher rate in the stenting group.

Among 1,558 limbs, the numbers of limbs in the non-CTO-POBA, non-CTO-stent, CTO-POBA, and CTOstent were 345, 432, 275, and 506, respectively (Table 2). The number of patients with aortoiliac lesion was significantly higher in the stent group, whereas that of patients with infrapopliteal lesions was higher in the POBA group. Lesion characteristics differed between the two treatment groups. The mean lesion length was longer in the stenting and CTO groups. The maximal balloon diameter was significantly larger in the stenting group. The maximal stent diameter was larger and the mean stented length was shorter in the non-CTO- stent group than in the CTO-stent group. Technical and clinical successes and good antegrade flow were more frequently achieved in the stenting group. The postprocedural target limb ABI did not differ between the two groups.

# Postprocedural follow-up

The median follow-up duration was 509 days (interquartile range, 246 to 721). The clinical primary patency in the non-CTO-stent group was significantly higher than that in the non-CTO-POBA group (p = 0.041), whereas it did not differ between the CTO-stent and CTO-POBA groups (p = 0.670) (Fig. 2A). Freedom from TLR was also higher in the non-CTO-stent group than in the non-CTO-POBA group but showed no significant difference between the CTO-POBA and CTO-stent groups (Fig. 2B). The estimated clinical primary patency and TLR rates are summarized in Supplementary Table 1. Evaluation of either the whole population or patients divided according to TASC-II classifications (TASC-II A and B vs. C and

Table 2. Lesic	on and procedural	characteristics according	g to the presence of CTO
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Characteristic	]	Non-CTO			СТО	
	POBA (n = 345)	Stenting $(n = 432)$	p value	POBA (n = 275)	Stenting $(n = 506)$	p value
ABI target	0.69 ± 0.24	0.64 ± 0.19	0.019	0.58 ± 0.24	0.50 ± 0.20	< 0.001
ABI nontarget	0.78 ± 0.24	0.78 ± 0.23	0.780	0.84 ± 0.27	0.74 ± 0.23	0.001
Aortoiliac lesion	49 (14.2)	115 (26.6)	< 0.001	29 (10.5)	157 (31.0)	< 0.001
Below-the-knee lesion	202 (58.6)	130 (30.1)	< 0.001	126 (45.8)	173 (34.2)	0.002
Lesion length, mm	127.97 ± 105.80	136.02 ± 106.23	0.318	159.70 ± 103.38	198.94 ± 108.86	< 0.001
Maximal balloon diameter, mm	5.28 ± 1.22	5.51 ± 2.06	0.057	5.20 ± 0.96	$5.68 \pm 2.82$	0.001
Balloon length, mm	166.75 ± 147.79	117.16 ± 107.55	< 0.001	156.65 ± 130.29	141.59 ± 110.34	0.134
Max stent diameter, mm		6.97 ± 4.25			6.75 ± 0.84	
Stent length sum, mm		102.94 ± 59.17			152.62 ± 85.11	
Technical success			< 0.001			< 0.001
No	3 (0.9)	10 (2.4)		26 (9.6)	14 (2.8)	
Suboptimal	32 (9.4)	6 (1.4)		30 (11.1)	21 (4.2)	
Yes	304 (89.7)	409 (96.2)		214 (79.3)	466 (93.0)	
Good antegrade flow	334 (98.5)	413 (97.2)	0.313	239 (88.5)	477 (95.2)	0.001
Residual stenosis, < 30%	305 (90.0)	415 (97.6)	< 0.001	216 (80.0)	472 (94.2)	< 0.001
Contrast volume, mL	156.26 ± 78.84	159.05 ± 97.70	0.702	183.38 ± 88.95	185.30 ± 3.52	0.814
Clinical success	197 (57.1)	323 (74.8)	< 0.001	139 (50.5)	340 (67.2)	< 0.001
Post-ABI	0.82 ± 0.22	0.87 ± 0.18	0.038	0.84 ± 0.25	0.84 ± 0.19	0.836

Values are presented as mean ± SD or number (%).

CTO, chronic total occlusion; POBA, plain old balloon angioplasty; ABI, ankle-brachial index.



**Figure 2.** Kaplan-Meier curves for outcomes. Kaplan-Meier curves for clinical primary patency and target vessel revascularization in non-the chronic total occlusion (CTO) and CTO groups. (A) Clinical primary patency, (B) freedom from target lesion revascularization (TLR). BMS, bare metal stent; POBA, plain old balloon angioplasty.

D) did not result in any difference in outcome between stenting or the POBA procedures (Supplementary Tables 2-5, Supplementary Figs. 1 and 2).

We performed a Cox proportional hazard analysis to identify and adjust covariates, which may have affected the clinical primary patency rate. Hypercholesterolemia and lesion length were significantly associated with the clinical primary patency rate in both groups, whereas chronic renal failure was differentially associated with the outcome of CTO and non-CTO lesions. Even after adjustment with the covariates, stenting was associated with a better clinical primary patency than balloon angioplasty only in the non-CTO lesion group (Table 3).

With regard to the unbalanced baseline characteristics between the POBA and stenting groups, we performed IPTW analyses to test a causal inference from our retrospective data. Most covariates were well balanced after IPTW except for chronic renal failure, critical limb ischemia, and below-the-knee lesion in the non-CTO group (Table 4). We found that stenting in the non-CTO group led to a better clinical primary patency and freedom from TLR than did the POBA treatment in the non-CTO group in the IPTW analysis (p = 0.05 and p = 0.034, respectively) and in the adjustments for chronic renal failure, critical limb ischemia, and below-the-knee lesion (hazard ratio [HR], 1.43 [1.08 to 1.91]; p = 0.014; HR, 1.61 [1.15 to 2.26]; p = 0.006, respectively) (Fig. 3). However, in the CTO group, no significant difference was found between the treatment groups.

#### Sensitivity analyses

Several sensitivity analyses were performed to verify the robustness of the results using exploratory subgroups, including (1) *de novo* lesion only after the exclusion of a previously treated lesion, (2) technical success only after the exclusion of technical failure, and (3) good antegrade flow after the procedure. In any subgroup analysis, stenting in the non-CTO group led to a better clinical primary patency and freedom from TLR than did POBA in the non-CTO group (Supplementary Figs. 3-5).

#### DISCUSSION

In the present study, we determined for the first time the effects of POBA in comparison with those of stenting on clinical primary patency in patients with femoropopliteal CTO and non-CTO lesions. The non-CTO-stent group showed a significantly better clinical primary patency than the no-CTO-POBA group, where-

		Non-	CTO			5	0.	
Variable	Univariate		Multivariate		Univariate		Multivariate	
	HR (95% CI for HR)	þ value	HR (95% CI for HR)	þ value	HR (95% CI for HR)	þ value	HR (95% CI for HR)	þ value
Stenting vs. POBA	1.46 (1.01–2.09)	0.042	1.67 (1.13–2.46)	0.010	1.07 (0.78–1.48)	0.667		
Age	1.01 (0.99–1.03)	0.489			1.00 (0.98–1.02)	0.891		
Sex	0.93 (0.61–1.42)	o.736			0.70 (0.49–1.00)	0.050		
BMI	0.97 (0.92–1.02)	0.220			0.96 (0.91–1.00)	0.052		
Hypertension	0.71 (0.47–1.09)	0.122			0.81 (0.58–1.13)	0.212		
DM	0.91 (0.61–1.34)	0.623			1.16 (0.85–1.58)	o.355		
Hypercholesterolemia	1.53 (1.06–2.20)	0.022	1.65 (1.12–2.42)	0.011	1.72 (1.26–2.34)	100'0	1.82 (1.30–2.57)	100'0
CRF	1.45 (0.98–2.16)	0.065	1.73 (1.08–2.75)	0.021	1.01 (0.65–1.55)	0.981		
CHF	0.83 (0.37–1.89)	0.663			1.10 (0.62–1.94)	0.744		
Current smoking	1.09 (0.73–1.64)	0.664			0.75 (0.54–1.05)	0.092		
CAD	0.81 (0.56–1.16)	0.249			0.90 (0.66–1.23)	0.527		
Previous stroke	0.59 (0.32–1.09)	0.093			1.45 (0.98–2.13)	0.062		
CLI	1.28 (0.87–1.87)	0.214			1.10 (0.78–1.53)	0.591		
Lesion length, cm	1.03 (1.02–1.05)	< 0,001	1.04 (1.02–1.06)	<,001	1.03 (1.01–1.04)	100'0	1.03 (1.01–1.05)	< 0,001
TASC								
В	0.82 (0.50–1.36)	o.448			0.68 (0.30–1.51)	0.340		
C	1.14 (0.71–1.83)	0.593			1.31 (0.70–2.46)	0.406		
D	1.04 (0.54–2.01)	0.908			1.17 (0.64–2.14)	0.608		
Aortoiliac lesion	0.31 (0.10–0.89)	0.039			1.16 (0.51–2.66)	0.721		
Below-the-knee lesion	1.11 (0.77–1.61)	o.568			0.96 (0.69–1.34)	0.822		
CTO, chronic total occlusion; F chronic renal failure; CHF, cong	HR, hazard ratio; CI, co gestive heart failure; CA	nfidence i vD, corona	nterval; POBA, plain ol ry artery disease; CLI, c	ld balloon ritical lin	angioplasty; BMI, bod 1b ischemia; TASC, Tra	ly mass in nsAtlantic	dex; DM, diabetes mel Inter-Society Consens	litus; CRF, us classifi-

according to the presence of CTO \*0+0m Table 2 Predictors of nrimary

cation.



		Non-CTO				СТО		
Characteristic	Stenting	POBA	SMD	p value	Stenting	POBA	SMD	p value
Age, yr	69.77 ± 8.52	69.39 ± 8.78	0.044	0.850	69.81 ± 9.45	69.57 ± 9.72	0.026	0.946
Male sex	502 (76.83)	473 (76.13)	0.017	0.829	565 (82.39)	462 (77.57)	0.127	0.118
BMI, kg/m <sup>2</sup>	23.64 ± 3.76	23.73 ± 3.60	0.022	0.726	23.26 ± 3.73	23.37 ± 3.93	0.029	0.874
HTN	308 (47.21)	262 (42.25)	0.099	0.197	261 (38.03)	251 (42.14)	0.085	0.317
DM	459 (70.32)	461 (74.26)	0.086	0.268	351 (51.18)	327 (54.85)	0.073	0.387
Hypercholesterolemia	308 (47.21)	262 (42.25)	0.099	0.197	261 (38.03)	251 (42.14)	0.085	0.317
CRF	162 (24.80)	202 (32.46)	0.177	0.028	109 (15.87)	95 (16.00)	0.004	0.963
CHF	46 (6.97)	45 (7.24)	0.011	0.892	52 (7.56)	37 (6.19)	0.052	0.470
Smoking	172 (26.32)	121 (19.43)	0.157	0.180	267 (38.97)	206 (34.55)	0.091	0.293
CAD	386 (59.11)	407 (65.51)	0.130	0.089	377 (54.98)	338 (56.72)	0.035	0.675
Stroke	91 (13.98)	110 (17.75)	0.109	0.180	112 (16.38)	88 (14.80)	0.043	0.611
CLI	187 (28.66)	225 (36.24)	0.168	0.034	221 (32.31)	189 (31.69)	0.013	0.869
Lesion length, cm	13.13 ± 10.21	12.94 ± 10.41	0.019	0.482	19.09 ± 11.00	17.45 ± 10.86	0.149	0.249
TASC				0.516				0.774
А	150 (22.97)	136 (21.84)	0.027		58 (8.51)	48 (8.01)	0.018	
В	206 (31.57)	221 (35.56)	0.086		77 (11.26)	76 (12.78)	0.048	
С	224 (34.23)	185 (29.80)	0.094		161 (23.46)	156 (26.15)	0.063	
D	73 (11.22)	79 (12.80)	0.050		389 (56.77)	316 (53.06)	0.075	
Aortoiliac lesion	0.64 ± 0.21	0.68 ± 0.23	0.176	0.169	0.50 ± 0.22	0.55 ± 0.22	0.212	0.260
Below-the-knee lesion	239 (36.61)	302 (48.62)	0.249	0.002	242 (35.35)	256 (42.89)	0.158	0.065

Table 4. Characteristics according to the presence of CTO after inverse probability treatment weighting

Values are presented as mean ± SD or number (%). Inverse probability treatment weighting with age, sex, BMI, DM, chronic kidney disease, previous amputation, CLI, aortoiliac lesion, below-the-knee lesion, lesion length, maximal balloon diameter. CTO, chronic total occlusion; POBA, plain old balloon angioplasty; SMD, starndardized mean difference; BMI, body mass index; HTN, hypertension; DM, diabetes mellitus; CRF, chronic renal failure; CHF, congestive heart failure; CAD, coronary artery disease; CLI, critical limb ischemia; TASC, TransAtlantic Inter-Society Consensus classification.

as the CTO-stent and CTO-POBA groups showed no significant difference in the crude population. After the IPTW analysis to balance the difference of covariates between the non-CTO-stent and non-CTO-POBA groups, the non-CTO-stent group still showed superior clinical primary patency as compared with the non-CTO-POBA group. Sensitivity analyses also showed robustness of the results in the various subgroups.

### Comparison between balloon angioplasty and stenting

Clinical studies have shown the superiority of stenting to POBA in long SFA lesions [11,12], whereas in the short lesions (< 10 cm in length) no significant differences were found [13]. A previous meta-analysis showed that a short-term but no long-term benefit of primary patency from the primary stenting of SFA lesions in addition to angioplasty [14]. However, most studies were underpowered to sufficiently discriminate the efficacy of stenting in the CTO and non-CTO groups, and included patients with total occlusions comprising < 30% of the whole study population. Therefore, we do not have any data regarding the impact of CTO when deciding treatment options for SFA lesions. SFA is subject to longitudinal stretching, external compression, torsion, and flexion, which may lead to stent fractures and eventually restenosis. Therefore, understanding and identifying conditions in which stenting is superior to POBA are important. We demonstrated for the first time that stenting resulted in better clinical primary patency and was inferior to POBA only in the non-CTO lesion group, with a power of 80%. Lesion length was also a significant predictor of clinical primary patency irrespective of the





**Figure 3.** Kaplan-Meier curves for outcomes after inverse probability of treatment weighting. Kaplan-Meier curves for clinical primary patency and target vessel revascularization in the non-chronic total occlusion (CTO) and CTO groups. (A) Clinical primary patency, (B) freedom from target lesion revascularization (TLR). HR, hazard ratio; CI, confidence interval; BMS, bare metal stent; POBA, plain old balloon angioplasty.

presence of CTO in the multivariate Cox proportional hazard analyses. The TASC-II classification is a sophisticated system used to predict patency depending on the lesion length, location, and presence of CTO. However, we found that the TASC-II classification could predict long-term clinical primary patency but could not differentiate any benefit of stenting over POBA for the SFA lesions in our study.

#### Comparison between CTO and stenosis

A previous study that investigated the endovascular management of SFA CTO resulted in a reasonable primary patency ranging from 44% to 58% and a secondary patency of 92% [15]. In line with our results, no significant differences were found among the treatment modalities (angioplasty, angioplasty with stenting, and atherectomy). The inability to cross all lesions intraluminally in SFA occlusions may have led to a high rate of subintimal wiring and complications such as perforation or dissection. The procedural complexity in the occluded cases potentially worsened the clinical outcomes in the stenting group, which inevitably included bailout stenting. In a study where stenting was compared with angioplasty only in patients with chronic occlusive disease treated with subintimal angioplasty, 1-year primary patency was not significantly different between the stent and no-stent groups [16].

### Stenting or not: lesion or clinical indicators

In a randomized controlled trial (RCT) that reported the benefit of stenting over balloon angioplasty, the occlusion rate was 31% in the angioplasty group and 41% in the stenting group [3]. In our real-world registry, the occlusion rate was 50%, which was significantly higher than in the RCT. The overall stenting efficacy was not different from that of POBA. Therefore, stenting might be recommended only for cases of stenotic SFA lesions. The clinical status may also affect the efficacy of treatment modalities. For example, in CLI patients with SFA CTOs, atherectomy was reported to produce better outcomes than angioplasty alone [15]. The authors also reported that CTOs treated with stenting improved secondary patency rates as compared with those treated with POBA in patients with diabetes. In addition to CTO, CLI, and diabetes mellitus, additional lesion or clinical characteristics need to be identified to warrant the decision of stenting.

#### **Future strategies**

Endovascular therapies superior to POBA or bare-met-



al stenting have recently attracted considerable interest. Paclitaxel-eluting stents (PES) displayed sustained safety and efficacy compared with POBA in patients with femoral artery disease, with superior clinical efficacy as compared with POBA and provisional stenting [17]. In addition, paclitaxel-coated balloon angioplasty (PEB) for atherosclerotic femoropopliteal disease reduces target-lesion revascularization to a greater degree than POBA, with the advantage of leaving no prosthetic material behind [18-20]. However, decision-making criteria on whether to apply PES or PEB remain to be investigated. Whether PEB angioplasty and stenting may further reduce restenosis and improve long-term clinical outcomes as compared with PEB angioplasty alone in SFA occlusion deserves further investigation. Drug-eluting stents do not seem to be an option for SFA occlusions because a recent registry showed that PES did not improve outcomes as compared with bare-metal stents in TASC-II C and D femoropopliteal lesions [21].

#### Limitations

The present data do not represent the results of a randomized controlled study but instead are derived from a multicenter registry. Consequently, risk factors such as diabetes mellitus and critical limb ischemia showed a non-uniform distribution between the groups. Although we adjusted for significant risk factors, unmeasured covariates may have influenced the study outcomes. In our registry, we could not differentiate percutaneous transluminal angioplasty with provisional stenting from primary stenting. As an initial strategy, the 2 treatment options have different implications for operators in terms of procedure planning and may lead to different clinical outcomes. Our results reflect the clinical outcomes of the endovascular procedure ultimately chosen by the operators, although it is difficult to separate POBA from stenting because the femoropopliteal lesion is usually very long and treated with mixed methods.

In conclusion, in patients with femoropopliteal stenosis without CTO, stenting demonstrated better clinical outcomes than balloon angioplasty. The presence of CTO in femoropopliteal lesions should be considered when selecting a device to be used in endovascular procedures.

### **KEY MESSAGE**

- 1. Trials have reported contradictory results on the patency of stenting and that of isolated plain old balloon angioplasty for femoropopliteal occlusive disease. Moreover, whether the presence of chronic total occlusion (CTO) affects the patency after stenting in cases of femoropopliteal lesion is unknown.
- 2. We found that stenting demonstrated better clinical outcomes than did balloon angioplasty in patients with femoropopliteal stenosis without CTO.
- 3. Future randomized controlled trials are necessary to confirm these results.

#### **Conflict of interest**

No potential conflict of interest relevant to this article was reported.

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Variable	Non-CTO-POBA	Non-CTO-Stenting	CTO-POBA	CTO-Stenting		
Estimated clinical primary patency rate with 95% CI (%)						
ıyr	84.2 (80.1–88.5)	89.6 (86.6–92.8)	83.7 (79.2–88.5)	83.9 (80.5–87.5)		
2 yr	79.5 (74.7–84.6)	84.5 (80.7–88.6)	76.1 (70.5–82.1)	77.1 (72.9–81.5)		
3 yr	74.7 (68.4–81.5)	80.4 (75.6–85.5)	66.8 (58.7–76.1)	67.4 (61.6–73.7)		
4 yr	69.3 (61.4–78.3)	77.7 (72.2–83.6)	64.2 (55.2–74.7)	62.7 (55.9–70.4)		
5 yr	65.0 (55.8–75.6)	71.2 (64.1–79.2)	64.2 (55.2–74.7)	61.3 (54.2–69.3)		
Estimated target l	esion revascularization rate wit	h 95% CI (%)				
ıyr	88.5 (84.8-92.3)	93.0 (90.4–95.7)	89.9 (86.2–93.9)	89.0 (86.0–92.0)		
2 yr	82.8 (78.2–87.7)	89.7 (86.4–93.1)	85.8 (81.2–90.7)	82.5 (78.7–86.5)		
3 yr	80.4 (74.9–86.3)	86.1 (81.7–90.7)	76.9 (69.2–85.3)	73.6 (68.0–79.6)		
4 yr	78.7 (72.5–85.4)	82.4 (77.0-88.1)	74.8 (66.6–84.1)	68.1 (61.4–75.6)		
5 yr	74.4 (66.5–83.3)	74.9 (67.6–82.9)	74.8 (66.6–84.1)	65.4 (58.1–73.6)		

# Supplementary Table 1. Estimated clinical primary patency and target lesion revascularization rate with 95% CI

CI, confidence interval; CTO, chronic total occlusion; POBA, plain old balloon angioplasty.



Characteristic	POBA (n = 528)	Stenting (n = $801$ )	p value
Age	$68.68 \pm 8.68$	69.73 ± 9.73	0.043
Male sex	392 (74.2)	656 (81.9)	0.001
BMI	23.80 ± 3.80	$23.28 \pm 3.28$	0.017
Hypertension	414 (78.4)	606 (75.7)	0.273
DM	364 (68.9)	464 (57.9)	< 0.001
Hypercholesterolemia	209 (39.6)	330 (41.2)	0.596
Current smoker	121 (22.9)	268 (33.5)	< 0.001
CHF	40 (7.6)	57 (7.1)	0.836
CAD	313 (59.3)	454 (56.7)	0.377
CKD	157 (29.7)	150 (18.7)	< 0.001
Previous stroke	94 (17.8)	117 (14.6)	0.138
Previous bypass surgery	56 (10.6)	61 (7.6)	0.074
Previous amputation	60 (11.4)	32 (4.0)	< 0.001
Previous PTA	83 (15.7)	97 (12.1)	0.072
CLI	233 (44.1)	238 (29.7)	< 0.001
Aspirin	449 (87.2)	687 (87.3)	> 0.999
Clopidogrel	413 (80.2)	689 (87.5)	< 0.001
Cilostazol	212 (41.2)	335 (42.6)	0.657
Statin	361 (70.1)	574 (72.9)	0.294

Supplementary Table 2. Patients' baseline characteristics in the whole population

Values are presented as mean ± SD or number (%).

POBA, plain old balloon angioplasty; BMI, body mass index; DM, diabetes mellitus; CHF, congestive heart failure; CAD, coronary artery disease; CKD, chronic kidney disease; PTA, percutaneous transluminal angioplasty; CLI, critical limb ischemia.



#### Supplementary Table 3. Lesion and procedural characteristics in the whole population

Characteristic	POBA(n = 620)	Stenting $(n = 938)$	p value
ABI target	0.64 ± 0.64	0.57 ± 0.57	< 0.001
ABI nontarget	0.81 ± 0.26	0.76 ± 0.23	0.008
Aortoiliac lesion	78 (12.6)	272 (29.0)	< 0.001
Below-the-knee lesion	126 (45.8)	173 (34.2)	0.002
Diameter stenosis, %	89.40 ± 12.62	92.44 ± 11.28	< 0.001
Lesion length, mm	141.80 ± 105.84	169.79 ± 112.08	< 0.001
Maximal balloon diameter, mm	5.24 ± 1.12	5.60 ± 2.49	0.003
Balloon length, mm	162.48 ± 140.62	130.11 ± 109.66	< 0.001
Max stent diameter, mm		$6.85 \pm 2.94$	
Stent length sum, mm		129.86 ± 78.34	
Technical success			< 0.001
No	29 (4.8)	24 (2.6)	
Sub-optimal	62 (10.2)	27 (2.9)	
Yes	518 (85.1)	875 (94.5)	
Good antegrade flow	573 (94.1)	890 (96.1)	0.087
Residual stenosis, < 30%	521 (85.6)	887 (95.8)	< 0.001
Contrast volume, mL	168.19 ± 84.42	172.78 ± 96.36	0.396
Clinical success	336 (54.2)	663 (70.7)	< 0.001
Post-ABI	0.83 ± 0.23	$0.85 \pm 0.18$	0.133

Values are presented as mean ± SD or number (%).

POBA, plain old balloon angioplasty; ABI, ankle-brachial index.



		TASC (A, B) $(n = 781)$		Т	ASC(C, D)(n = 827)	
Characteristic	POBA (n = 255)	Stenting (n = 288)	p value	POBA (n = 306)	Stenting (n = 521)	p value
Age	67.72 ± 7.72	69.53 ± 9.53	0.027	69.39 ± 9.39	69.85 ± 9.85	0.499
Male sex	173 (76.9)	239 (83.0)	0.107	219 (72.3)	417 (81.3)	0.004
BMI	23.93 ± 3.93	23.46 ± 3.46	0.165	23.70 ± 3.70	$23.18\pm3.18$	0.067
Hypertension	188 (83.6)	228 (79.2)	0.252	226 (74.6)	378 (73.7)	0.840
DM	175 (77.8)	176 (61.1)	< 0.001	189 (62.4)	288 (56.1)	0.094
Hypercholesterolemia	102 (45.3)	148 (51.4)	0.203	107 (35.3)	182 (35.5)	> 0.999
Current smoker	49 (21.8)	79 (27.4)	0.172	72 (23.8)	189 (36.8)	< 0.001
CHF	13 (5.8)	24 (8.3)	0.348	27 (8.9)	33 (6.4)	0.241
CAD	145 (64.4)	179 (62.2)	0.659	168 (55.4)	275 (53.6)	0.662
CKD	77 (34.2)	67 (23.3)	0.008	80 (26.4)	83 (16.2)	0.001
Previous stroke	43 (19.1)	51 (17.7)	0.770	51 (16.8)	66 (12.9)	0.145
Previous bypass surgery	33 (14.7)	26 (9.0)	0.065	23 (7.6)	35 (6.8)	0.786
Previous amputation	23 (10.2)	9 (3.1)	0.002	37 (12.2)	23 (4.5)	< 0.001
Previous PTA	27 (12.0)	31 (10.8)	0.766	56 (18.5)	66 (12.9)	0.038
CLI	97 (43.1)	66 (22.9)	< 0.001	136 (44.9)	172 (33.5)	0.002
Aspirin	196 (90.7)	258 (92.5)	0.597	253 (84.6)	429 (84.4)	> 0.999
Clopidogrel	184 (85.2)	249 (89.2)	0.224	229 (76.6)	440 (86.6)	< 0.001
Cilostazol	80 (37.0)	107 (38.4)	0.837	132 (44.1)	228 (44.9)	0.897
Statin	168 (77.8)	211 (75.6)	0.650	193 (64.5)	363 (71.5)	0.049

Supplementary '	Table 4. Patier	nts baseline chara	acteristics according	to TASC I	AB vs. CD
Suppromonter /				,	

Values are presented as mean ± SD or number (%).

TASC, TransAtlantic Inter-Society Consensus classification; POBA, plain old balloon angioplasty; BMI, body mass index; DM, diabetes mellitus; CHF, congestive heart failure; CAD, coronary artery disease; CKD, chronic kidney disease; PTA, percutaneous transluminal angioplasty; CLI, critical limb ischemia.



Characteristic	TAS	C (A, B) (n = 781)		TASC (C, D)			
Characteristic	POBA (n = 262)	Stenting $(n = 333)$	p value	POBA (n = 358)	Stenting (n = $605$ )	p value	
ABI target	0.72 ± 0.26	0.64 ± 0.20	0.001	0.58 ± 0.22	0.52 ± 0.20	0.001	
ABI nontarget	0.85 ± 0.25	0.81 ± 0.21	0.126	0.78 ± 0.26	0.73 ± 0.24	0.035	
Aortoiliac lesion	36 (13.7)	83 (24.9)	0.001	42 (11.7)	189 (31.2)	< 0.001	
Below-the-knee lesion	142 (54.2)	87 (26.1)	< 0.001	186 (52.0)	216 (35.7)	< 0.001	
TASC			0.166			0.916	
А	100 (38.2)	147 (44.1)					
В	162 (61.8)	186 (55.9)					
С				163 (45.5)	272 (45.0)		
D				195 (54.5)	333 (55.0)		
Diameter stenosis, %	83.49 ± 13.28	86.43 ± 13.22	0.007	93.73 ± 10.14	95.75 ± 8.40	0.002	
Lesion length, cm	81.05 ± 65.05	84.96 ± 57.43	0.470	186.35 ± 107.87	216.02 ± 107.49	< 0.001	
Maximal balloon diameter, mm	5.18 ± 1.35	5.51 ± 2.27	0.035	5.29 ± 0.90	5.65 ± 2.61	0.003	
Balloon length, mm	147.30 ± 36.51	109.81 ± 107.24	0.001	174.12 ± 142.82	141.44 ± 109.45	0.001	
Max stent diameter, mm		6.79 ± 3.23			6.89 ± 2.78		
Stent length sum, mm		100.65 ± 56.57			145.17 ± 83.67		
Technical success			0.001			< 0.001	
No	4 (1.6)	5 (1.5)		25 (7.1)	19 (3.2)		
Sub-optimal	29 (11.3)	11 (3.3)		33 (9.4)	16 (2.7)		
Yes	224 (87.2)	315 (95.2)		294 (83.5)	560 (94.1)		
Good antegrade flow	248 (96.5)	321 (97.0)	0.927	325 (92.3)	569 (95.6)	0.047	
Residual stenosis, < 30%	228 (88.7)	322 (97.3)	< 0.001	293 (83.2)	565 (95.0)	< 0.001	
Contrast volume, mL	162.85 ± 8.32	156.81 ± 102.70	0.497	172.26 ± 81.26	182.91 ± 90.78	0.125	
Clinical success	145 (55.3)	241 (72.4)	< 0.001	191 (53.4)	422 (69.8)	< 0.001	
Post-ABI	0.88 ± 0.21	0.89 ± 0.17	0.554	0.79 ± 0.24	0.83 ± 0.19	0.082	

Supplementary Table 5. Lesion and procedural characteristics according to the presence of chronic total oc	clusion
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Values are presented as mean  $\pm$  SD or number (%).

TASC, TransAtlantic Inter-Society Consensus classification; POBA, plain old balloon angioplasty; ABI, ankle-brachial index.





**Supplementary Figure 1.** (A) Clinical primary patency. (B) Target lesion revascularization. BMS, bare metal stent; POBA, plain old balloon angioplasty.

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**Supplementary Figure 2.** Kaplan-Meier curves for outcomes according to TransAtlantic Inter-Society Consensus classification (TASC) AB vs. CD. (A) Clinical primary patency. (B) Clinical primary patency. (C) Freedom from target lesion revascularization (TLR). (D) Freedom from TLR. BMS, bare metal stent; POBA, plain old balloon angioplasty.



Α



**Supplementary Figure 3.** Kaplan-Meier curves for outcomes in various subgroups: *de novo* lesion only. (A) Clinical primary patency, (B) target lesion revascularization. CB, patient group with chronic total occlusion treated by plain balloon angioplasty; CS, patient group with chronic total occlusion treated by stenting; SB, patient group without chronic total occlusion treated by plain balloon angioplasty; SS, patient group without chronic total occlusion treated by stenting.





**Supplementary Figure 4.** Kaplan-Meier curves for outcomes in various subgroups: technical success only. (A) Clinical primary patency, (B) target lesion revascularization. CB, patient group with chronic total occlusion treated by plain balloon angioplasty; CS, patient group with chronic total occlusion treated by stenting; SB, patient group without chronic total occlusion treated by plain balloon angioplasty; SS, patient group without chronic total occlusion treated by stenting.





**Supplementary Figure 5.** Kaplan-Meier curves for outcomes in various subgroups: good antegrade flow only. (A) Clinical primary patency, (B) target lesion revascularization. CB, patient group with chronic total occlusion treated by plain balloon angioplasty; CS, patient group with chronic total occlusion treated by stenting; SB, patient group without chronic total occlusion treated by plain balloon angioplasty; SS, patient group without chronic total occlusion treated by stenting.