

## TECHNICAL NOTE

# An analytical expression for R50% dependent on PTV surface area and volume: A cranial SRS comparison

Dharmin D. Desai<sup>1</sup> | E. L. Johnson<sup>2</sup> | Ivan L. Cordrey<sup>1</sup>

<sup>1</sup>Department of Radiation Oncology, CHI Memorial Hospital, Chattanooga, TN, USA

<sup>2</sup>Department of Radiation Medicine, University of Kentucky Chandler Medical Center, Lexington, KY, USA

Author to whom correspondence should be addressed. Dharmin D. Desai  
E-mail: dharminndesai@gmail.com.

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## Abstract

The intermediate dose spill for a stereotactic radiosurgery (SRS) plan can be quantified with the metric R50%, defined as the 50% isodose cloud volume ( $V_{IDC50\%}$ ) divided by the volume of the planning target volume (PTV). By coupling sound physical principles with the basic definition of R50%, we derive an analytical expression for R50% for a spherical PTV. Our analytical expression depends on three quantities: the surface area of PTV ( $SA_{PTV}$ ), the volume of PTV ( $V_{PTV}$ ), and the distance of dose drop-off to 50% ( $\Delta r$ ). The value of  $\Delta r$  was obtained from a simple set of cranial phantom plan calculations. We generate values from our analytical expression for R50% ( $R50\%_{Analytic}$ ) and compare the values to clinical R50% values ( $R50\%_{Clinical}$ ) extracted from a previously published SRS data set that spans the  $V_{PTV}$  range from 0.15 to 50.1 cm<sup>3</sup>.  $R50\%_{Analytic}$  is smaller than  $R50\%_{Clinical}$  in all cases by an average of  $15\% \pm 7\%$ , and the general trend of  $R50\%_{Clinical}$  vs  $V_{PTV}$  is reflected in the same trend of  $R50\%_{Analytic}$ . This comparison suggests that  $R50\%_{Analytic}$  could represent a theoretical lower limit for the clinical SRS data; further investigation is required to confirm this.  $R50\%_{Analytic}$  could provide useful guidance for what might be achievable in SRS planning.

## KEY WORDS

cranial SRS/SRT, dose drop-off distance, PTV surface area,  $R50\%_{Analytic}$ , R50%

## 1 | INTRODUCTION

A cranial stereotactic radiosurgery (SRS) plan should be highly conformal and have the steepest possible dose gradient outside of the planning target volume (PTV) to reduce complications associated with excessive radiation delivered to normal brain tissues as measured by the volume receiving 12 Gy<sup>1</sup> or other intermediate dose threshold. Several dose gradient metrics have been designed to quantify the intermediate dose spill outside the PTV. These include gradient index (GI), gradient measure (GM), and R50%.<sup>2-4</sup> The value of a given intermediate dose spill metric achievable in a clinical

setting is likely a complex function of the size, shape, and location of the PTV in the cranium, as well as delivery geometry, treatment modality, and optimization performance. Based on analyses of clinical treatment plans, Goldbaum et al. and Ballangrud et al. have provided guidance on limiting values of the GI in cranial SRS planning utilizing the known PTV volume ( $V_{PTV}$ ).<sup>5,6</sup> Knowledge of this limit may be useful to the treatment planner as it provides a realistic goal to pursue in the optimization.

Wang et al. noted that the original Radiation Therapy Oncology Group (RTOG) protocols 90-05 and 93-05 make no mention of intermediate dose spill.<sup>7</sup> However, the importance of intermediate dose spill, as measured by GI or R50%, in SRS/SRT plan evaluation is now widely recognized. Furthermore, two plans can have very similar high

\*Joint first authorship: Dharmin D. Desai and E. L. Johnson

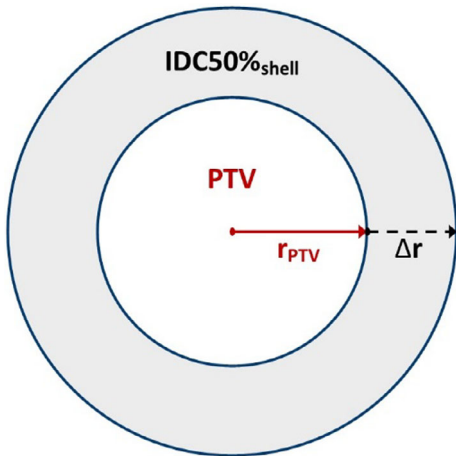
dose region conformity but have very different intermediate dose spill. The plan with the larger intermediate dose spill does more damage to surrounding tissue; thus, a smaller GI or R50% would yield less collateral damage. In this work, we examine the R50% metric to better understand what limits can be expected for R50% in high quality SRS/SRT plans.

Guidelines for intermediate dose spill metrics used in treatment planning tend to be phenomenological constructs, and limits so obtained are based on observations from large numbers of treatment plans. We have proposed a model-based approach for the metric R50% that considers the physical characteristics  $V_{PTV}$  and PTV surface area ( $SA_{PTV}$ ). This approach allows for the derivation of an analytical form of R50% ( $R50\%_{Analytic}$ ) that is based on physical principles. It is necessary, however, that this analytical methodology be validated against clinical data. At least one published study on cranial SRS does provide the necessary data for a meaningful comparison of  $R50\%_{Analytic}$  to clinical data.<sup>8</sup> Zhao et al. provided clear, tabulated data for a wide range of PTV volumes from 0.15 to 50.1 cm<sup>3</sup>. These clinical data sets are used to calculate R50% clinical values ( $R50\%_{Clinical}$ ), which are directly compared to our predicted  $R50\%_{Analytic}$  values in this paper. Note: A list of abbreviations is provided in the Appendix A.

## 2 | MATERIALS AND METHODS

### 2.A | $R50\%_{Analytic}$ derivation

Consider a spherical PTV volume,  $V_{PTV}$ , surrounded by a spherical shell that encloses the 50% isodose cloud volume ( $V_{IDC50\%shell}$ ) as illustrated in Fig. 1. The sum of  $V_{PTV}$  and  $V_{IDC50\%shell}$  is the total volume encompassed by the 50% isodose cloud ( $V_{IDC50\%}$ ). R50% is defined as the ratio of the volume of the 50% Isodose Cloud to the volume of the PTV as follows:



**Fig. 1.** Plane through the center of the spherical volumes. Inner volume is the planning target volume (PTV). The shaded region is the spherical shell bounded by the 50% isodose cloud and the PTV surface area.  $\Delta r$  is the radial thickness of the shell, as well as the distance of dose drop-off from the edge of the PTV to 50%.

$$R50\% = \frac{V_{IDC50\%}}{V_{PTV}} = \frac{V_{PTV} + V_{IDC50\%shell}}{V_{PTV}} = 1 + \frac{V_{IDC50\%shell}}{V_{PTV}} \quad (1)$$

Furthermore, we determined an exact value of  $V_{IDC50\%shell}$  by integrating the spherical differential shell volume,  $4\pi r^2 dr$ , from  $r = r_{PTV}$  to  $r = r_{PTV} + \Delta r$ .

$$V_{IDC50\%shell} = \int_{r_{PTV}}^{r_{PTV} + \Delta r} 4\pi r^2 dr = \frac{4}{3}\pi \left[ (r_{PTV} + \Delta r)^3 - r_{PTV}^3 \right] = 4\pi r_{PTV}^2 \Delta r \left[ 1 + \frac{\Delta r}{r_{PTV}} + \frac{1}{3} \left( \frac{\Delta r}{r_{PTV}} \right)^2 \right] \quad (2)$$

Given that  $SA_{PTV} = 4\pi r_{PTV}^2$  and combining Eqs. (1) and (2), the resulting analytical form of R50% can be expressed as:

$$R50\%_{Analytic} = 1 + \frac{SA_{PTV}}{V_{PTV}} \Delta r \left[ 1 + \left( \frac{\Delta r}{r_{PTV}} \right) + \frac{1}{3} \left( \frac{\Delta r}{r_{PTV}} \right)^2 \right] \quad (3)$$

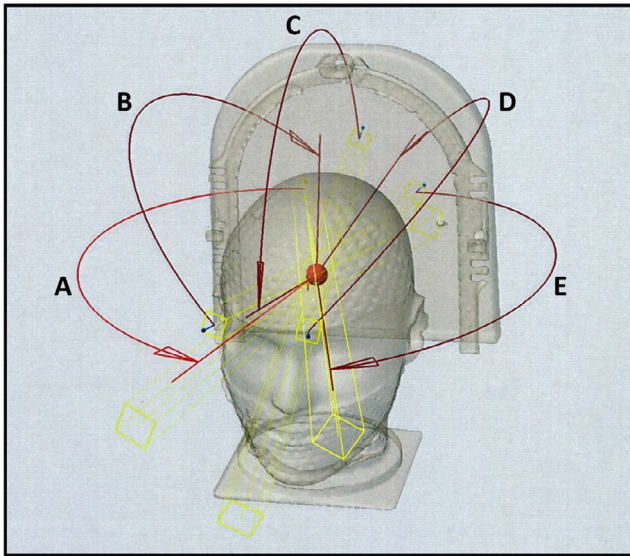
Equation (3) is a form of R50% for a spherical volume. We identify the three components within the square brackets of Eq. (3) as zeroth order, first order, and second order terms, respectively. This complete expression is an extension of previous work that only used the zeroth order term and, as expected, significantly improves agreement for smaller PTV volumes.<sup>9,10</sup>

### 2.B | $\Delta r$ determination

One additional requirement of this analytical approach is an estimate of the dose drop-off to 50% parameter,  $\Delta r$ , which cannot be calculated from first principles at this time. However, it is possible to obtain realistic estimates of  $\Delta r$  from treatment planning studies. Note that  $\Delta r$  is likely different for different treatment modalities (i.e., Gamma Knife, Cyber Knife, and SRS capable Linacs) and should be determined for each technology.

In our spherical model, the dose drop-off parameter  $\Delta r$  is the value of linear distance from the edge of the PTV to the outer edge of  $IDC50\%shell$  as shown in Fig. 1 and is taken as isotropic.

To experimentally determine a value of  $\Delta r$  for the  $R50\%_{Analytic}$  calculations, we utilized a treatment planning CT of the IROC SRS Head Phantom (IROC Houston QA Center, Houston, TX) as the anthropomorphic phantom model. Nine spherical PTVs were created in the center of the cranium with volumes ranging from 0.19 to 44 cm<sup>3</sup>. Treatment planning was performed on an Eclipse radiation treatment planning system (RTPS) using the photon optimizer PO v15.6 with a final calculation via the AAA v 15.6 algorithm on a 1 mm calculation grid size. All plans were created for a Varian TrueBeam STx with a 120 leaf HD MLC and used volumetric modulated arc therapy (VMAT, RapidArc) techniques. The delivery geometry employed in this study to determine  $\Delta r$  used five hemiarcs spanning 150° arc angles at five couch angles as shown in Fig. 2. This geometry is both clinically reasonable and highly conformal for a central cranial tumor because it uses nearly a full 2 $\pi$  solid angle. The prescription for PTVs with a volume  $\leq 3$  cm<sup>3</sup> was 18 Gy in one fraction with 99% of the  $V_{PTV}$  receiving the dose; the prescription for PTVs with a volume  $> 3$  cm<sup>3</sup> was 27 Gy in



**FIG. 2.** The five hemi-arcs beam arrangement for determination of  $\Delta r$ . This three-dimensional (3D) view of the IROC head phantom shows the beam delivery geometry used for the phantom plans used to determine  $\Delta r$  for a series of nine spherical planning target volumes. Each red curve in the figure represents the path of an arc around the cranium using the Varian IEC scale. For couch angles 355° (A), 315° (B), and 270° (C), the arcs span 195° to 345°. For couch angles 45° (D) and 5° (E), the arcs span 15° to 165°.

three fractions with 99% of  $V_{PTV}$  receiving the prescription dose (D99% volumetric prescription). One could also use a percent isodose line (PIDL) prescription to achieve the same volumetric PTV coverage as one achieves with the volumetric prescription.<sup>11</sup> Ultimately, we just need 99% of the PTV volume covered by the prescription dose consistently for all plans that determine  $\Delta r$  such that CI is very nearly 1.0. Eclipse NTO (Normal Tissue Objective) was used in conjunction with three dose control shells (inner control

shell, middle control shell, and outer control shell) as described by Clark et al. to directly limit the dose spill outside the PTV, in accordance with standard clinical practices.<sup>12</sup> Alternatively, one could use other dose limiting shell techniques.<sup>13</sup> We sought the minimum value of  $\Delta r$  one could obtain clinically in ideal circumstances. The quality of these phantom plans can be seen from the parameters given in Table 1.

Since a highly noncoplanar delivery geometry coupled with a spherical PTV was chosen, the resulting dose distribution is reasonably isotropic and can be assumed spherical. This nearly spherical dose distribution can be clearly seen in Fig. 3 as the transparent yellow isodose cloud of 50% of the prescription dose (IDC50%) surrounding the solid orange PTV. This distribution bears a marked similarity to Fig. 1 used in the derivation of  $R50\%_{Analytic}$ . Thus, it becomes simple to extract a value of  $\Delta r$  for each phantom PTV as follows:

$$\Delta r = r_{IDC50\%} - r_{PTV} \quad (4)$$

Based on the values of  $\Delta r$  obtained from the phantom study, a power law fit was generated (Microsoft Excel) for  $\Delta r$  as a function of  $V_{PTV}$  as shown in Fig. 4.

The resulting power law expression for  $\Delta r$ , in units of cm, is:

$$\Delta r = 0.2844 \times V_{PTV}^{0.1973} \quad (5)$$

where  $V_{PTV}$  is measured in  $cm^3$ .

As can be seen in Table 1, the GM values reported by Eclipse for these spherical volumes are nearly identical to the  $\Delta r$  values obtained from Eq. (4). This should not be surprising since GM is defined as the difference, in centimeters, of the equivalent sphere radii of  $V_{IDC50\%}$  and  $V_{IDC100\%}$  ( $r50\%_{eq}$  and  $r100\%_{eq}$ , respectively).<sup>7</sup> Thus,

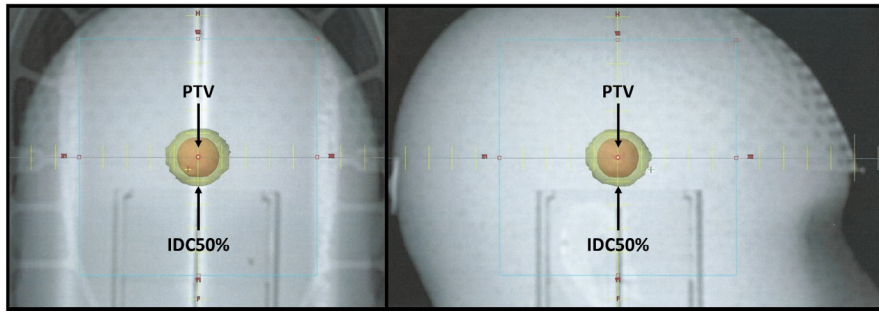
$$GM = r50\%_{eq} - r100\%_{eq} \quad (6)$$

By comparison, for a perfectly conformal plan (CI = 1.0),  $V_{IDC100\%}$  is identical to and spatially coincident with  $V_{PTV}$ . Thus, for

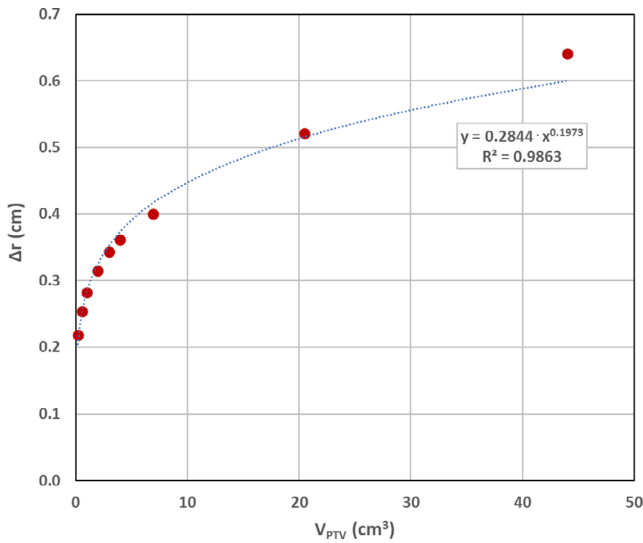
**TABLE 1** Summary of treatment planning properties obtained from the IROC SRS head phantom study to determine the value of  $\Delta r$ .

$V_{PTV}$ ( $cm^3$ )	$r_{PTV}$ (cm)	CI <sub>RTOG</sub>	HI <sub>RTOG</sub>	GM (cm)	$r_{IDC50\%}$ (cm)	$\Delta r$ (cm)	PIDL
0.19	0.36	1.18	1.80	0.20	0.57	0.22	57.3
0.55	0.51	0.99	1.26	0.25	0.76	0.25	80.8
0.99	0.62	1.04	1.38	0.27	0.90	0.28	72.8
1.96	0.78	1.04	1.36	0.30	1.09	0.31	83.2
2.96	0.89	1.03	1.31	0.34	1.23	0.34	78.5
3.97	0.98	1.04	1.27	0.35	1.34	0.36	79.6
6.93	1.18	0.99	1.22	0.40	1.58	0.40	85.4
20.45	1.70	0.99	1.21	0.52	2.22	0.52	88.7
43.99	2.19	0.99	1.21	0.65	2.83	0.64	91.7
	Ave CI <sub>RTOG</sub>	1.03					
	Std Dev	0.06					

CI<sub>RTOG</sub> is the conformity index, and HI<sub>RTOG</sub> is the homogeneity index. All plans are normalized volumetrically to D99% (99% of the PTV volume receives 100% of the prescription dose). The equivalent PIDL is determined by matching the coverage of the D99% prescription.  $\Delta r$  values are calculated from the difference of  $r_{IDC50\%}$  and  $r_{PTV}$ , assuming both volumes are spherical. Note the Eclipse GM values are nearly identical to  $\Delta r$ .



**FIG. 3.** Typical results for the phantom study to determine  $\Delta r$ . The diagram shows an AP DRR and a right lateral DRR that display the position and size of the PTV (solid orange shape) and IDC50% (transparent yellow shape) within the cranium. The distance from the edge of the PTV and the outer edge of IDC50% is  $\Delta r$ . The volume of the PTV is  $3 \text{ cm}^3$ . Note that the IDC50% is very nearly spherical.



**FIG. 4.** Phantom study derived  $\Delta r$  as a function of  $V_{\text{PTV}}$ . A good fit is obtained with the power law function shown.

a spherical PTV,  $r_{100\%_{\text{eq}}} = r_{\text{PTV}}$ . Furthermore, if IDC50% is assumed to be spherical,  $r_{50\%_{\text{eq}}} = r_{\text{IDC50\%}}$ . Therefore, it is reasonable to assume that for nearly spherical volumes, the GM values obtained from Eclipse can be considered equivalent to  $\Delta r$ . For simplicity,  $\Delta r$  was only considered as a function of  $V_{\text{PTV}}$ .

## 2.C | Comparison methodology

To validate the clinical relevancy of  $R50\%_{\text{Analytic}}$ , we compared values generated from Eq. (3) to  $R50\%_{\text{Clinical}}$  values obtained from a published data set. Zhao et al. performed a retrospective analysis of 30 clinical cases and investigated an optimal prescription isodose line that yields the steepest dose fall-off (smallest GI) outside the PTV for cranial SRS plans. While  $R50\%$  values are not directly presented in the retrospective analysis, clinical values for GI and  $Cl_{\text{RTOG}}$  values are given for all 30 cases. Given the following definitions of GI and  $Cl_{\text{RTOG}}$ ,

$$GI = \frac{V_{\text{IDC50\%}}}{V_{\text{IDC100\%}}} \quad (7)$$

and

$$Cl_{\text{RTOG}} = \frac{V_{\text{IDC100\%}}}{V_{\text{PTV}}} \quad (8)$$

$R50\%$  can be seen as the product of Eqs. (7) and (8).

$$R50\% = \frac{V_{\text{IDC50\%}}}{V_{\text{PTV}}} = \frac{V_{\text{IDC50\%}}}{V_{\text{IDC100\%}}} \times \frac{V_{\text{IDC100\%}}}{V_{\text{PTV}}} = GI \times Cl_{\text{RTOG}} \quad (9)$$

Using this approach, the data of Zhao et al. will yield the equivalent  $R50\%$  to be used for comparison.

## 3 | RESULTS

Table 2 contains  $V_{\text{PTV}}$ ,  $Cl_{\text{RTOG}}$ , and GI values directly transcribed from Zhao et al., values calculated from the clinical data, and the subsequently generated  $R50\%_{\text{Analytic}}$  values. The parameter  $r_{\text{PTV}}$  was calculated using an assumption that PTV is spherical, and thus, it is an equivalent sphere radius of the PTV.  $SA_{\text{PTV}}$  is the surface area of the equivalent sphere PTV.  $R50\%_{\text{Clinical}}$  was obtained by multiplying the clinical  $Cl_{\text{RTOG}}$  and GI values provided by Zhao et al. [Eq. (9)].

Table 2 also displays the %Difference between the values of  $R50\%_{\text{Clinical}}$  and  $R50\%_{\text{Analytic}}$ .  $R50\%_{\text{Analytic}}$  values are uniformly smaller than  $R50\%_{\text{Clinical}}$  values by an average of  $15\% \pm 7\%$ . A quick observation confirms that for smaller PTV volumes the  $R50\%_{\text{Clinical}}$  values are significantly larger than the  $R50\%_{\text{Analytic}}$  results obtained from Eq. (3). As an example, for the smallest PTV volume ( $0.15 \text{ cm}^3$ ),  $R50\%_{\text{Clinical}}$  is 34.3% larger than  $R50\%_{\text{Analytic}}$ . These data are also shown graphically in Fig. 5, which indicates the larger  $R50\%_{\text{Clinical}}$  values over the PTV volume range included in this study.

## 4 | DISCUSSION

It can be readily seen that  $R50\%_{\text{Analytic}}$  values are consistently lower than the corresponding  $R50\%_{\text{Clinical}}$  data (Fig. 5). Consideration of

**TABLE 2** Clinical data and comparison of R50%<sub>Analytic</sub> values to R50%<sub>Clinical</sub> values.

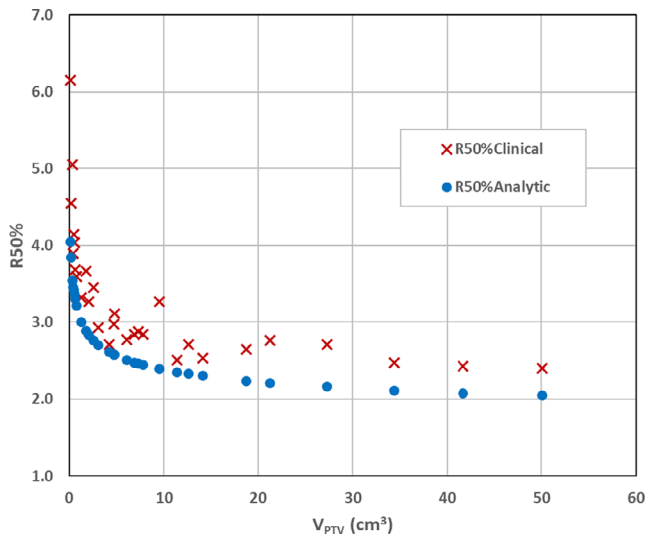
V <sub>PTV</sub> <sup>(a)</sup> (cm <sup>3</sup> )	r <sub>PTV</sub> <sup>(c)</sup> (cm)	SA <sub>PTV</sub> <sup>(b)</sup> (cm <sup>2</sup> )	CI <sub>RTOG</sub> <sup>(a)</sup>	GI <sup>(a)</sup>	R50% <sub>Clinical</sub> <sup>(b)</sup>	Δr (cm)	R50% <sub>Analytic</sub>	%Diff of R50% Values
0.15	0.33	1.37	1.59	3.87	6.15	0.20	4.05	-34.26
0.21	0.37	1.71	1.30	3.50	4.55	0.21	3.85	-15.47
0.37	0.45	2.49	1.61	3.14	5.06	0.23	3.54	-29.88
0.44	0.47	2.80	1.27	3.07	3.90	0.24	3.46	-11.25
0.48	0.49	2.96	1.30	3.19	4.15	0.25	3.42	-17.55
0.53	0.50	3.17	1.32	3.06	4.04	0.25	3.37	-16.49
0.61	0.53	3.48	1.23	3.00	3.69	0.26	3.31	-10.31
0.75	0.56	3.99	1.24	2.90	3.60	0.27	3.22	-10.46
1.30	0.68	5.76	1.21	2.75	3.33	0.30	3.00	-9.83
1.80	0.75	7.15	1.33	2.76	3.67	0.32	2.88	-21.48
2.10	0.79	7.93	1.25	2.62	3.28	0.33	2.83	-13.61
2.60	0.85	9.14	1.28	2.70	3.46	0.34	2.76	-20.18
3.10	0.90	10.28	1.14	2.57	2.93	0.36	2.70	-7.74
4.20	1.00	12.59	1.08	2.51	2.71	0.38	2.61	-3.67
4.70	1.04	13.57	1.20	2.48	2.98	0.39	2.58	-13.34
4.80	1.05	13.76	1.22	2.55	3.11	0.39	2.57	-17.29
6.10	1.13	16.14	1.15	2.41	2.77	0.41	2.51	-9.56
6.90	1.18	17.52	1.15	2.47	2.84	0.42	2.47	-12.91
7.30	1.20	18.20	1.16	2.48	2.88	0.42	2.46	-14.52
7.80	1.23	19.02	1.16	2.45	2.84	0.43	2.44	-14.08
9.50	1.31	21.69	1.22	2.68	3.27	0.44	2.39	-26.83
11.40	1.40	24.49	1.05	2.39	2.51	0.46	2.35	-6.42
12.60	1.44	26.18	1.11	2.44	2.71	0.47	2.32	-14.16
14.10	1.50	28.22	1.06	2.39	2.53	0.48	2.30	-9.25
18.80	1.65	34.19	1.12	2.36	2.64	0.51	2.24	-15.43
21.30	1.72	37.15	1.14	2.42	2.76	0.52	2.21	-19.93
27.30	1.87	43.84	1.27	2.13	2.71	0.55	2.16	-20.21
34.40	2.02	51.14	1.07	2.31	2.47	0.57	2.11	-14.50
41.70	2.15	58.14	1.06	2.29	2.43	0.59	2.08	-14.42
50.10	2.29	65.71	1.07	2.24	2.40	0.62	2.04	-14.71
							Ave %Diff	-15.32
							Std Dev	6.63

Values shown are actual and calculated parameters from Zhao et al. SA<sub>PTV</sub> values were calculated assuming spherical PTVs in the Zhao et al. data. Also shown are values of Δr and R50%<sub>Analytic</sub> obtained from Eqs. (4) and (3), respectively. <sup>(a)</sup>values given by Zhao et al. <sup>(b)</sup>values calculated from Zhao et al. <sup>(c)</sup>value calculated from Zhao et al. based on spherical PTV assumption.

the treatment planning conditions of Zhao et al. may provide a basis for a reasonable explanation of the differences observed. The clinical data presented by Zhao et al. are a composite of situations influenced by a wide range of conditions: unique prescription doses, diverse sizes and shapes, various locations in the brain, and variable proximity to different organs at risk among other restrictions. The distance of dose drop-off from PTV surface to 50% (Δr) is likely affected by some of these conditions. In contrast, consider the ideal conditions assumed in the derivation of Eq. (3). For simplicity, isotropic dose drop-offs from PTV surface to 50% were assumed around spherical PTVs, which implies a 4π delivery geometry. In most realistic scenarios, the treatment of cranial targets can achieve a 2π

delivery geometry for a Linac-based SRS delivery. Clinical PTVs, however, are not ideal spheres, and dose drop-offs are not perfectly isotropic around the PTV. Also, clinical considerations of organs at risk in proximity of the PTV were not included in the R50%<sub>Analytic</sub> model. As a result, the R50%<sub>Analytic</sub> model, as indicated by Eq. (3), should be considered as a theoretical lower limit of R50% for intracranial targets.

We measured Δr in a simple planning study of spherical targets of varying volumes. Our planning study used VMAT (RapidArc) delivery. A similar study could be done to determine Δr using dynamic conformal arc therapy (DCAT), and the values of Δr so obtained could be different. The data provided by Zhao et al. for the replan



**Fig. 5.** Comparison of  $R50\%_{\text{Clinical}}$  and  $R50\%_{\text{Analytic}}$  as functions of the  $V_{PTV}$  in the range from 0.15 to 50.1 cm<sup>3</sup>. This is a graphical representation of the data in Table 2. The  $R50\%_{\text{Clinical}}$  values are extracted from the clinical study of Zhao et al. The values of  $R50\%_{\text{Analytic}}$  are calculated from Eq. (3). Note that the general trend of  $R50\%_{\text{Clinical}}$  as a function of  $V_{PTV}$  is reflected in the same trend of  $R50\%_{\text{Analytic}}$ . Furthermore, the  $R50\%_{\text{Clinical}}$  values are consistently larger than the  $R50\%_{\text{Analytic}}$  values.

of clinical cases were done using DCAT delivery. If the  $\Delta r$  was larger as a function of  $V_{PTV}$  using DCAT delivery, the agreement with the data provided by Zhao et al. would improve. However, our goal in this work was to provide the minimum achievable R50% as described by  $R50\%_{\text{Analytic}}$ . We chose to determine  $\Delta r$  using VMAT techniques because VMAT delivery of SRS/SRT is rapidly gaining popularity, particularly for multiple target cases.<sup>6,11</sup>

There are other ways to measure or estimate  $\Delta r$  and similar quantities. We used a simple planning study and the GM functionality built into Eclipse. Sung and Choi use proprietary software to determine cumulative dose gradient index (cDGI), a metric of their creation similar to  $\Delta r$  in the case of the cDGI for the 50% of prescription dose (cDGI50%).<sup>14</sup> They determine the cDGI50% for a 3 cm diameter spherical target ( $V_{PTV} = 14.14$  cm<sup>3</sup>) to be cDGI50% = 5.98 mm. Our empirical formula for  $\Delta r$  [Eq. (5)] for that same volume yields  $\Delta r = 4.80$  mm, which is comparable to the value of cDGI50%.  $R50\%_{\text{Analytic}}$  will be a larger value if one uses cDGI50% as the estimate for  $\Delta r$ . Zhang et al. propose yet another novel metric they call dose-dropping speed (DDS).<sup>15</sup> Dose-dropping speed certainly has relationship to  $\Delta r$  and shows similar dependence on  $V_{PTV}$ , which they describe in terms of PTV diameter. In fact, to compare values for  $1/DDS$  to our  $\Delta r$  values, one finds they are within 0.1 mm for a 0.9 cm<sup>3</sup> target and within 1.4 mm for a 61.6 cm<sup>3</sup> target, with the Zhang et al. determined values of  $1/DDS$  being the larger values.

In our work, we do not propose a new metric but rather a way to predict the minimum value of an established metric, R50%, for an SRS/SRT case based on three parameters:  $V_{PTV}$ ,  $SA_{PTV}$ , and  $\Delta r$ . Because  $\Delta r$  cannot be calculated from first principles at this time,

we measure  $\Delta r$  for the case of spherical targets. Yet, the value of  $\Delta r$  is not the primary focus of this work. Our primary focus is testing the equation  $R50\%_{\text{Analytic}}$  against the clinical data provided by Zhao et al.

Goldbaum et al. noted that a group of plans with very similar PTV volumes produced a wide range of R50% values. They hypothesized that the increase in R50% could be related to variations in  $SA_{PTV}$  but were not able to quantify the relationship. Although this current study only considered spherical volumes, the dependence on  $SA_{PTV}$  is explicit in Eq. (3), and conceptually, this analytic model should be able to account for variations in  $SA_{PTV}$ . In fact, the model would predict larger R50% values for targets with increased  $SA_{PTV}$  to  $V_{PTV}$  ratios, which is consistent with the suppositions in Goldbaum et al. In previous work, it was quantitatively shown that an increase in the  $SA_{PTV}$  to  $V_{PTV}$  ratio leads to an increase in R50% values.<sup>9</sup> For any given volume, the shape that corresponds to the smallest surface area is a sphere,<sup>16</sup> and the assumption of a spherical PTV with an isotropic dose drop-off is central to the construction of our analytic equation for R50% [Eq. (3)]. This reflects an ideal case, and therefore, it would be reasonable to argue that the analytical equation yields the smallest possible R50% (the R50% lower limit). Zhao et al. provided  $V_{PTV}$  values for their study but did not provide  $SA_{PTV}$  data. However, this is not unexpected since commercial treatment planning systems do not include surface area as part of the structure statistics as they report (like  $V_{PTV}$ ). Without available surface area information, we assumed a spherical PTV (smallest surface area) and calculated  $SA_{PTV}$  from the provided  $V_{PTV}$  values; the calculated  $SA_{PTV}$  values were then used in Eq. (3) to generate  $R50\%_{\text{Analytic}}$ . The actual clinical PTV shapes in the data of Zhao et al. are likely to have some nonspherical character.

At lower  $V_{PTV}$  values, a larger difference is seen between  $R50\%_{\text{Clinical}}$  and  $R50\%_{\text{Analytic}}$  (Fig. 5), which indicates that caution should be taken when evaluating clinical values of R50% at low PTV volumes. Zhao et al. suggested that, for small PTV volumes, dose drop-off is extremely sensitive to location, target shape, and beam settings and discussed the limitation of treatment planning systems to accurately compute dose for small targets. Our analytic form does not suffer from those clinical and technical challenges, and thus, it is a reasonable assumption that, for a certain  $V_{PTV}$ , the smallest theoretical R50% value is expressed by Eq. (3). This prediction could be used as a guide for the treatment planner to consider, among other factors, when progressing through the plan optimization. A set of PTVs of a given volume could have different shapes and, thus, different surface areas. Equation (3) clearly shows that a larger surface area PTV should have a larger R50%. As such, knowing the  $SA_{PTV}$  and recognizing that a larger surface area guarantees a higher R50% value can be useful at the onset of the treatment planning process. Based on the comparison results with Zhao et al., a plan with R50% within 15% of the  $R50\%_{\text{Analytic}}$  would be a plan with excellent intermediate dose spill.

It is possible that  $R50\%_{\text{Analytic}}$  could be used for automated planning or artificial intelligence planning systems that seek to control intermediate dose spill.<sup>13</sup> As such,  $R50\%_{\text{Analytic}}$  would be used as the

target or goal R50% of the automated planning.  $R50\%_{\text{Analytic}}$ , as expressed in Eq. (3), may not be achievable in all circumstances, but as stated above, a plan within 15% of the  $R50\%_{\text{Analytic}}$  is a plan with excellent intermediate dose spill.

Understanding intermediate dose spill when multiple PTVs are optimized simultaneously using a single isocenter is not a trivial task. It depends on several factors: relative locations and sizes of PTVs with respect to one another (e.g., a large PTV in close proximity to a much smaller PTV), plan delivery geometry, plan optimization performance, etc. There is no easy or straight forward way to account for an increase in R50% of a PTV due to its location with respect to another PTV. Drawing from comments of Bohoudi et al. and Goldbaum et al. stating that their results obtained for intermediate dose spill around single cranial targets should apply to multiple cranial target cases as well,<sup>5,17</sup> we expect  $R50\%_{\text{Analytic}}$  to perform well in predicting the theoretical minimum R50% for individual PTVs in multiple target cranial SRS/SRT cases. This will need to be confirmed by further investigation.

## 5 | CONCLUSION

An analytical expression for R50% was derived for the special case of spherical volumes. The expression appears to provide a lower limit of R50% when compared to peer-reviewed, clinical data. We surmise that  $SA_{\text{PTV}}$  plays an important role in the determination of the R50% value ultimately achievable in treatment planning. Further research is needed to establish the role of  $SA_{\text{PTV}}$  for other PTV shapes in the determination of treatment planning outcomes. Research is also needed to establish methods for obtaining  $\Delta r$  and investigate additional determining factors beyond  $V_{\text{PTV}}$ .

## CONFLICT OF INTEREST

No conflict of interest.

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## APPENDIX A

### ABBREVIATIONS

Table A1 contains definitions for abbreviations used throughout this article.

**TABLE A1** List of abbreviations with definitions.

Abbreviation	Definition
cDGI	Cumulative dose gradient index
CI <sub>RTOG</sub>	RTOG conformity index
D99%	99% of PTV volume covered by 100% of prescription dose
DDS	Dose-dropping speed
GI	Gradient index
GM	Gradient measure
HI <sub>RTOG</sub>	RTOG homogeneity index
IDC	Isodose cloud
IDC50%	50% (of prescription dose) isodose cloud
IDC50%shell	Distance from the edge of the planning target volume to the edge of the 50% isodose cloud
IDC100%	100% (of prescription dose) isodose cloud
NTO	Normal tissue objective; Instructs the optimizer to limit dose to non-target volumes
OAR	Organs at risk
PIDL	Prescription isodose line
PTV	Planning target volume
$\Delta r$	Distance of dose drop-off from the edge of the planning target volume to 50% dose
r <sub>IDC50%</sub>	Radius of the 50% isodose cloud
r <sub>PTV</sub>	Radius of the planning target volume
r50% <sub>eq</sub>	Equivalent sphere radius of the volume of the 50% isodose cloud
r100% <sub>eq</sub>	Equivalent sphere radius of the volume of the 100% isodose cloud
R50%	Ratio of the volume of the 50% isodose cloud to the volume of the planning target volume
R50% <sub>Analytic</sub>	Value of R50% generated from our analytical expression
R50% <sub>Clinical</sub>	Value of R50% calculated from clinical data
RTPS	Radiation treatment planning system
SA <sub>PTV</sub>	Surface area of the planning target volume
SRS	Stereotactic radiosurgery
SRT	Stereotactic radiotherapy
V <sub>IDC50%</sub>	Volume of the 50% isodose cloud
V <sub>IDC50%shell</sub>	Volume of the 50% isodose cloud minus the volume of the planning target volume
V <sub>IDC100%</sub>	Volume of the 100% isodose cloud
V <sub>PTV</sub>	Volume of the planning target volume
VMAT	Volumetric modulated arc therapy