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Diabetes and prognosis in older persons with colorectal cancer

J Luo*,1, H-C Lin2, K He1 and M Hendryx2

¹Department of Epidemiology and Biostatistics, School of Public Health – Bloomington, Indiana University, Bloomington, IN, USA and ²Department of Applied Health Science, School of Public Health – Bloomington, Indiana University, Bloomington, IN, USA

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Background: Epidemiological studies have reported that diabetes significantly increases overall mortality in patients with colorectal cancer. However, it is unclear whether diabetes increases colorectal cancer-specific mortality. We used the US Surveillance Epidemiology and End Results (SEER) database linked with Medicare claims data to assess the influence of pre-existing diabetes on prognosis of patients with colorectal cancer.

Methods: Data from 61 213 patients aged 67 or older with colorectal cancer diagnosed between 2003 and 2009 were extracted and prospectively followed through the date of death or the end of 2012 if the patient was still alive. Diabetes cases with and without complications were identified based on an algorithm developed for the Chronic Condition Data Warehouse (CCW). Cox models were used to estimate hazard ratios (HRs) for total mortality. The proportional subdistribution hazards model proposed by Fine and Gray was used to estimate HRs for colorectal cancer-specific mortality.

Results: Compared with patients without diabetes, colorectal cancer patients with pre-existing diabetes had significantly higher risk of overall mortality (HR = 1.20, 95 % confidence interval (95% CI): 1.17-1.23). The HR for overall mortality was more pronounced for patients who had diabetes with complications (HR = 1.50, 95% CI: 1.42-1.58). However, diabetes was not associated with increased colorectal cancer-specific mortality after accounting for non-colorectal cancer outcomes as competing risk.

Conclusions: Pre-existing diabetes increased risk of total mortality among patients with colorectal cancer, especially among cancer patients who had diabetes with complications. The increased risk of total mortality associated with diabetes was primarily explained by increased cardiovascular-specific mortality, not by increased colorectal cancer-specific mortality.

Colorectal cancer is the fourth most common cancer in the United States, and more than two-thirds of patients diagnosed with colorectal cancer are aged \geq 65 years (SEER, 2012a, b). Co-morbidity exerts a strong effect on the probability of survival after a cancer diagnosis (Gross *et al*, 2006; Barone *et al*, 2008), and there is a critical need for improved understanding of how co-morbid chronic conditions affect outcomes in patients with cancer (Extermann, 2003). Type 2 diabetes is one of the most common chronic diseases (Cowie *et al*, 2009) and appears to be an independent risk factor for colorectal cancer incidence (Larsson *et al*, 2005); pre-existing diabetes is present in \sim 18% of colorectal cancer cases (Gross *et al*, 2006). Accumulating epidemiological studies have reported that diabetes significantly increases total

mortality in patients with colorectal cancer (Barone *et al*, 2008; Stein *et al*, 2010). However, it is unclear whether the higher total mortality in colorectal cancer patients with diabetes is driven by a worse colorectal cancer prognosis or by competing risks such as diabetes-related cardiovascular disease or different clinical practices for cancer patients with pre-existing diabetes.

In the majority of epidemiological applications, competing risk has been ignored (i.e., patients experiencing competing events were censored at the time of these events), which may substantially overestimate the absolute risk of the event of interest and lead to biased findings (Putter *et al*, 2007; Wolbers *et al*, 2009). We overcame this limitation through applying an improved analytic approach – proportional subdistribution hazard model proposed

*Correspondence: Dr J Luo; Email: juhluo@indiana.edu

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by Fine and Gray (Fine and Gray, 1999) to estimate colorectal cancer-specific mortality by accounting for non-colorectal cancer outcomes as competing risk.

We used the US Surveillance Epidemiology and End Results (SEER, 2012a,b) database linked with Medicare claims data, a unique population-based source of information, to assess the influence of pre-existing diabetes on prognosis of patients with colorectal cancer. Our study hypothesis was that diabetes would adversely influence colorectal cancer prognosis, including total and cancer-specific mortality. This study aims to address the following questions: (1) Is there a mortality difference between diabetic and non-diabetic patients with colorectal cancer? (2) Is mortality outcome among colorectal cancer patients the result of colorectal cancer prognosis or of risk from non-cancer mortality? (3) Is diabetes associated with unfavourable tumour characteristics? (4) Does the impact of pre-existing diabetes on cancer prognosis differ by patients with and without diabetes complications? Addressing these questions will have great potential to advance the understanding of how pre-existing diabetes influences colorectal cancer prognosis. In particular, we can begin to understand whether diabetes per se may worsen colorectal cancer prognosis, or whether any competing risks may be more important in determining mortality outcomes.

MATERIALS AND METHODS

Data resource: SEER-Medicare data. The Surveillance, Epidemiology and End Results (SEER, 2012a, b)-Medicare-linked database is used in this project. The SEER programme is an epidemiologic surveillance system sponsored by the US National Cancer Institute (NCI), consisting of population-based tumour registries that routinely collect information on all newly diagnosed cancer cases that occur in persons residing in SEER areas (SEER, 2012a,b). Since 2000, the SEER areas capture ~25% of the US population (SEER, 2012a, b). Cancer registries participating in the SEER programme are required to meet strict standards with respect to case ascertainment and data quality. The information collected about each incident cancer diagnosis includes the patient's demographic characteristics (such as age, sex and race), date of diagnosis, cancer characteristics (e.g., histology, stage and grade), type of surgical treatment and/or radiation therapy recommended or provided within 4 months of diagnosis, followup of vital status and cause of death if applicable (Warren et al, 2002c).

The Medicare programme, federally funded and administered by the Center for Medicare and Medicaid Services (CMS, 2012), provides health insurance for people aged ≥65 years, people under age 65 with certain disabilities and people of all ages with end-stage renal disease (permanent kidney failure requiring dialysis or a kidney transplant) (CMS, 2012). Separate claim files can be obtained for inpatient, outpatient, physician and supplier, skilled nursing facility, and hospice services provided to beneficiaries enrolled in fee-for-service plans. Claim files contain diagnosis and procedure codes, dates of services, charges and amount paid.

The SEER–Medicare data reflect the linkage of two large population-based sources of data that provide detailed information about Medicare beneficiaries with cancer. The linkage was first completed in 1991 and has been updated biennially. For each of the linkages, 94 per cent of persons aged \geqslant 65 in the SEER files were matched to the Medicare enrolment file; the deficit reflects the 3% of elderly people who do not enrol in Medicare and another 3% who do not have sufficient or accurate enough information for the linkage (Engels *et al*, 2011).

Study population. As of December 2012, the data include all Medicare-eligible persons documented in the SEER data who were

diagnosed with cancer through 2009, and their Medicare claims through 2010. Our cohort included patients aged ≥ 67 years in the SEER database who had a first primary diagnosis of invasive colorectal cancers between 2003 and 2009. Sixty-seven years was selected as the age cutoff to ensure that each patient would have at least 2 years of Medicare eligibility before their cancer diagnosis. To ensure a complete assessment of pre-existing diabetes (exposure) and cancer treatment received, we only included patients who were continually enrolled in both Medicare Parts A and B and excluded patients who were enrolled in health maintenance organisation plans over the inclusive 2-year period before colorectal cancer diagnosis and 3 months after cancer diagnosis. Patients in health maintenance organisation plans were excluded because these patients do not have complete claim records. In addition, we excluded patients who had end-stage renal disease or disability alone or who were diagnosed exclusively by death certificates or at autopsy. After considering these inclusion and exclusion criteria, our final study cohort consisted of 61 213 patients with colorectal cancer. Of them, 46 483 (75.9%) patients were diagnosed with colon cancer, and 14730 (24.1%) were diagnosed with rectal cancer.

Measurements

Outcomes. Our primary outcome is colorectal cancer-specific mortality. However, we also examined total mortality as an outcome for comparison with previous findings.

Pre-existing diabetes status. We adapted an algorithm developed for the Chronic Condition Data Warehouse (CCW Chronic Condition Data Warehouse, 2013) by the Centers for Medicare & Medicaid Services (CMS, 2012) (CCW Chronic Condition Data Warehouse, 2013) to identify pre-existing diabetes. Diabetes status was determined on the basis of either a single inpatient claim or at least two outpatient claim diagnoses with the International Classification of Disease, 9th Revision, Clinical Modification (ICD-9-CM) diagnosis code of 250.xx during the interval beginning 2 years before and 3 months after colorectal cancer diagnosis. Extending the time interval to 3 months after diagnosis allowed us to capture previously undiagnosed diabetes as other studies have done (Yang et al, 2013). To avoid 'rule out' diagnoses on outpatient claims, a patient's diagnosis must have appeared on at least two different claims that were made > 30 days apart. We did not include diabetes medications in the definition since Medicare did not begin covering oral medications without an intravenous equivalent until January 2006. We defined diabetes with complication with ICD-9-CM codes 250.4-250.6 or 250.8-250.9 based on the definition of comorbidities described in the National Cancer Institute SEER-Medicare website.

Co-morbidity. Medicare claims were used to calculate the NCI combined co-morbidity index score proposed by Klabunde et al (2007) and as identified by Charlson et al (1987). The NCI index (Klabunde et al, 2000) is composed of two weighted co-morbidity scores derived separately from inpatient and outpatient claims. The NCI combined index uses weights derived from comorbid conditions identified in either Medicare inpatient or outpatient claims into a single co-morbidity index. Study has shown that the new NCI combined index is a more refined, easier to implement co-morbidity measurement algorithm appropriate for investigators using administrative claims databases to study commonly occurring cancers (Klabunde et al, 2007). Two conditions (diabetes without and with complications) pertaining to diabetes were removed from the NCI Co-morbidity Index to reduce correlation with diabetes. ICD-9-CM diagnostic codes recorded in Medicare claims over 2 years before colorectal cancer diagnosis were searched to create this co-morbidity index. Conditions reported

Table	1	Characteristics	of	61 213	colorectal	cancer	natients hy	diabetes status ^a	

	Diabetes						
		Diabetes					
	No diabetes	Total no. (%)	Without complication	With complication ^k			
All patients	46 400	14 813 (24.2)	12 298 (20.1)	2515 (4.1)			
Age (year)							
65–74	16708 (36)	5965 (40)	4912 (34)	1053 (42)			
75–84	20744 (44)	6682 (45)	5556 (45)	1126 (45)			
85 +	8948 (19)	2166 (15)	1830 (15)	336 (13)			
Sex (female, %)	25 762 (56)	7785 (53)	6501 (53)	1284 (51)			
Race							
White	40 650 (88)	12 167 (82)	10 206 (83)	1961 (78)			
Black	3411 (7)	1779 (12)	1383 (11)	396 (16)			
American Indian/Alaska Native	115 (0)	61 (0)	47 (0)	>11 (~)			
Asian or Pacific Islander	2025 (4)	754 (5)	616 (5)	138 (6)			
Unknown	199 (0)	52 (0)	46 (0)	<11 (~)			
Marital status							
Single (never married)	3623 (8)	1169 (8)	954 (8)	215 ((9)			
Married	22 715 (49)	7151 (48)	6010 (49)	1141 (45)			
Separated	270 (1)	121 (1)	101 (1)	20 (1)			
Divorced Widowad	2881 (6)	1003 (7)	807 (7)	196 (8)			
Widowed Unknown	14 881 (32) 2030 (4)	4711 (32) 658 (4)	3871 (32) 555 (6)	840 (33) 103 (4)			
Median Income							
	44.000 (0.1)	4042 (22)	0.46 ((0.0)	77. (2.)			
Lowest quartile	11 092 (24)	4210 (28)	3436 (28)	774 (31)			
Second quartile	11 483 (25)	3823 (26)	3193 (27)	630 (25)			
Third quartile	11 666 (25)	3644 (25)	3056 (25) 2613 (21)	588 (23) 523 (21)			
Highest quartile	12 159 (26)	3136 (25)	2613 (21)	523 (21)			
No. of comorbidities							
0	37 348 (81)	9624 (65)	8502 (69)	1122 (45)			
1	5323 (12)	2313 (16)	1855 (15)	458 (18)			
≥ 2	3729 (8)	2876 (19)	1941 (16)	935 (37)			
Cancer site at diagnosis							
Colon	34 851(75)	11 632 (79)	9598 (78)	2034 (81)			
Rectum	11 549 (25)	3181 (21)	2700 (22)	481 (19)			
Cancer stage							
Localised	20 088 (43)	6478 (44)	5336 (43)	1142 (45)			
Regional	17 520 (38)	5758 (39)	4822 (39)	936 (37)			
Distant	6679 (14)	1921 (13)	1617 (13)	304 (12)			
Unknown	2113 (5)	656 (4)	523 (4)	133 (5)			
Grade							
Grade I: well differentiated	4132 (9)	1335 (9)	1097 (9)	238 (10)			
Grade II: moderately differentiated	28 262 (61)	9258 (63)	7723 (63)	1535 (61)			
Grade III: poorly differentiated	7762 (17)	2403 (16)	1981 (16)	422 (17)			
Grade IV: undifferentiated Unknown	659 (1) 5585 (12)	205 (1) 1612 (11)	171 (1) 1326 (11)	34 (1) 286 (11)			
Cancer-direct surgery			. == ()				
No	5099 (11)	1533 (10)	1237 (10)	296 (12)			
Yes	40 915 (88)	13 158 (89)	10967 (89)	2191 (87)			
Unknown	386 (1)	13 136 (67)	94 (1)	28 (1)			
Radiation therapy							
No .	41 187 (89)	13 395 (9)	11 089 (90)	2306 (92)			
Yes	4628 (10)	1214 (8)	1043 (9)	171 (7)			
Unknown	585 (1)	204 (1)	166 (1)	38 (2)			
Chemotherapy							
Yes	4055 (9)	1338 (9)	1161 (9)	177 (7)			
	4000 (7)	1330 (7)	1101(7)	1// (/)			

^aAll tests are significant at P < 0.05 between two groups (non-diabetes vs diabetes) or among three groups (non-diabetes, diabetes without complication and diabetes with complication). ^bThere are n = 6 cases of Unknown race with diabetes and complications. To comply with the SEER-Medicare rules the cell sizes were suppressed for confidentiality reasons as per the SEER-Medicare data usage agreement.

within 1 month of cancer diagnosis were excluded to avoid misclassifying complications or conditions directly resulting from cancer diagnosis or treatment as co-morbidities (Klabunde *et al*, 2007).

Cancer stage and tumour characteristics. The colorectal cancer information was extracted from SEER data. The cancer stage was categorised as localised (confined to primary site), regional (spread to regional lymph nodes), distant (cancer has metastasised) or unknown (unstaged). Other tumour characteristics included tumour grade (grade I – well differentiated; grade II – moderately differentiated; grade III – poorly differentiated and grade IV – undifferentiated), and different histological subtypes of colorectal cancer (colon or rectum).

Cancer treatment. The SEER programme routinely collects information regarding certain anti-cancer therapies (i.e. surgery, radiation therapy) occurring within 4 months of diagnosis (first course of therapy). For surgery, we divided patients as two categories: cancer-directed surgery performed or not. For the method of radiation therapy performed as part of the first course of treatment, we collapsed patients who received any radiation (such as bean radiation, radioactive implants, radioisotopes or combination) as yes for radiation. As SEER does not report information pertaining to chemotherapy administration, we searched claims records to identify chemotherapy. Patients who had at least one claims record for chemotherapeutic administration, treatment or agents in any of inpatient and outpatient claims files within 6 months after primary diagnosis were considered chemotherapy recipients. We used codes including ICD-9-CM diagnosis codes (V58.1, V66.2 and V67.2), ICD-9-CM procedure code (99.25) and HCPCS codes (964xx, 965xx, Q0083-Q0085, J9XXX, J8510, J852x, J8530, J856x, J8600, J8610, J870x and J8999) (Warren et al, 2002a; Yang et al, 2013).

Covariates. In the multivariate model, we adjusted for demographic variables including patient's demographic characteristics (age at diagnosis, sex, race and marital status), and socioeconomic status (median household income). The median income in each patient's census tract was used as a proxy measure for socioeconomic status. It was estimated at census tract level using the 2000 census and stratified into quartiles. Race was categorised as white, black, American Indian/Alaska Native, Asian or Pacific Islander and others.

Supplementary Table 1 shows all of the ICD-9 codes listed in the paper.

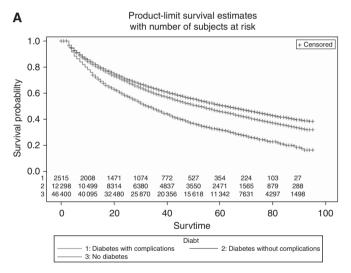
Statistical analysis. Distribution of baseline patients' characteristics, tumour characteristics and stage at diagnosis were compared between patients with and without diabetes. χ^2 -tests were used to evaluate differences for categorical covariates, and t-tests were used for continuous variables. Age-adjusted and multivariate-adjusted Cox proportional hazards models were used to estimate adjusted hazard ratios (HRs) and 95% confidence intervals (95% CI) for overall survival. The proportional subdistribution hazard model proposed by Fine and Gray (1999) was used to estimate HRs for colorectal cancer-specific mortality associated with diabetes status by accounting for non-colorectal cancer outcomes as competing risk. In the multivariate models, we adjusted for covariates including age at diagnosis (67-69, 70-74, 75-79, 80-84 and 85+), sex, race (white, black, American Indian/Alaska Native, Asian or Pacific Islander, other), marital status (never married, married, separated, divorced and widowed), grade (grade I - well differentiated; grade II - moderately differentiated; grade III poorly differentiated and grade IV - undifferentiated), census tract median income (quartiles) and co-morbidity (0, 1, 2 +).

The underlying time metric in the Cox model was follow-up time since diagnosis of cancer to the date of death or the end of 2012 if the patient was still alive. The date of death from any cause was used for total mortality; the date of death from colorectal cancer was used for cancer-specific mortality for colorectal, colon and rectal cancer patients. The proportionality assumption was confirmed for all exposure variables of interest and for all potential confounding variables, based on graphs of scaled Schoenfeld residuals (Hess, 1995).

RESULTS

Of a total of 61 213 colorectal cancer patients, 14 813 (24.2%) had diabetes including 12 298 without complications and 2515 with complications. Over an average of 38 months of follow-up (median = 33 months, range 0-96 months), 28 682 (46.9%) patients died from all causes, and 15 879 (25.9%) patients died from colorectal cancer.

Baseline patients' characteristics by diabetes status are shown in Table 1. Compared with patients without diabetes, patients with diabetes were significantly younger, males, members of non-White race groups, unmarried and from low-median income areas.



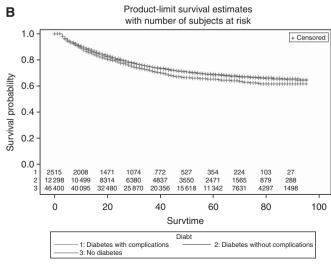


Figure 1. Survival curves among colorectal cancer patients by diabetes status (**A**) for total survival rates (log-rank test *P*-value <0.0001); (**B**) colorectal-cancer-specific survival rates (log-rank test *P*-value = 0.001).

Patients with diabetes were also more likely to have one or more co-morbidities, and were less likely to have radiation therapy performed as part of the first course of cancer treatment. There was no substantial difference between the diabetes group and the non-diabetes group in terms of the stage of diagnosis, tumour grade and whether the patient underwent cancer-direct surgery or chemotherapy, although *P*-values for statistical tests were significant due to the large sample size. The patterns were similar when comparing patients with complication to patients without complication among patients with diabetes (Table 1).

Figure 1 shows the crude total and colorectal cancer-specific survival curves by diabetes status. Diabetes with complications had the lowest total survival rates and the lowest colorectal cancer-specific mortality. There was no notable difference in colorectal cancer-specific survival rates between patients without diabetes and patients with diabetes but no complications.

Compared with patients without diabetes, we observed that colorectal cancer patients with pre-existing diabetes had significantly higher risk of total mortality (HR = 1.20, 95% CI: 1.17–1.23 for patients in all stages, HR = 1.25, 95% CI: 1.21–1.29 for patients in localised or regional stage and HR = 1.12, 95 CI: 1.06–1.18 for patients in distant stage) after adjusting for potential confounders. The risks of total mortality were more pronounced for patients who had diabetes with complications (HR = 1.50, 95% CI: 1.42–1.58 for patients in all stages, HR = 1.63, 95% CI: 1.53–1.73 for patients in localised or regional stage and HR = 1.21, 95% CI: 1.07–1.37 for patients in distant). Similar findings were observed when we separated colon and rectal cancer patients with an exception that the results for distant-stage rectal cancer patients become

non-significant (Table 2). We observed that diabetes was significantly associated with cardiovascular-specific mortality regardless of the site of cancer, especially for diabetes with complications (HR = 2.27, 95% CI: 2.06-2.50) (Table 2).

In contrast, we did not observe a significantly increased risk for colorectal-specific mortality among patients with colon, rectal or colorectal cancer regardless of stage and diabetes severity (Table 3). There was one exception, as diabetes with complications and advanced-stage colon cancer was significantly associated with colorectal cancer mortality.

Finally, we performed analyses stratified by sex for colorectalspecific mortality associated with diabetes. No significant difference was found between females and males (data not shown).

DISCUSSION

The present study found that colorectal cancer patients with preexisting diabetes had significantly higher risk of total mortality than those cancer patients without diabetes. The risk was more pronounced among those who had diabetes with complications. Further performing specific mortality analyses using the competing risk method, diabetes was significantly associated with cardiovascular-specific mortality, but not with colorectal cancer-specific mortality.

Our findings were in agreement with the majority of the literature in term of total mortality as an outcome (Barone *et al*, 2008; Stein *et al*, 2010; Huang *et al*, 2011; Dehal *et al*, 2012; van de Poll-Franse *et al*, 2012; Bella *et al*, 2013; Jeon *et al*, 2013;

		Overall		Localised or regional stage		Distant stage		CVD-specific mortality	
	No. of cases/no. of observations	Age- adjusted HR (95% CI)	Multivariate- adjusted HR (95% CI) ^a	No. of cases/no. of observations	Multivariate- adjusted HR (95% CI) ^a	No. of Cases/no. of observations	Multivariate- adjusted HR(95% CI) ^a	No. of Cases/No. of observations	Multivariate- adjusted HR(95% CI) ^a
Colorectal ca	ncer								
No diabetes Diabetes Diabetes without complications	21 137/46 400 7545/14 813 5983/12 298	Referent 1.28 (1.25–1.31) 1.19 (1.16–1.23)	Referent 1.20 (1.17–1.23) 1.15 (1.11–1.18)	13 768/37 608 5309/12 236 4141/10 158	Referent 1.25 (1.21–1.29) 1.17 (1.13–1.22)	5753/6679 1710/1921 1430/1617	Referent 1.12 (1.06–1.18) 1.11 (1.04–1.17)	3456/46 400 1608/14 813 1199/12 298	1.38 (1.29–1.46) 1.28 (1.20–1.37)
Diabetes with complications	1562/2515	1.78 (1.69–1.88)	1.50 (1.42–1.58)	1168/2078	1.63 (1.53–1.73)	280/304	1.21 (1.07–1.37)	409/2515	1.79 (1.61–1.99)
Colon cancer									
No diabetes Diabetes Diabetes without complications Diabetes with complications	15 560/34 851 5852/11 632 4581/9598 1271/2034	Referent 1.29 (1.25–1.33) 1.19 (1.15–1.23) 1.85 (1.75–1.96)	Referent 1.20 (1.17–1.24) 1.14 (1.10–1.18) 1.55 (1.47–1.65)	10 186/28 483 4117/9644 3163/7956 954/1688	Referent 1.26 (1.21–1.31) 1.18 (1.13–1.22) 1.70 (1.58–1.82)	4293/4981 1351/1508 1123/1265 228/243	Referent 1.13 (1.06–1.20) 1.10 (1.03–1.18) 1.28 (1.11–1.46)	2663/34851 1259/11632 938/9598 321/2034	1.35 (1.26–1.45) 1.27 (1.18–1.37) 1.71 (1.52–1.93)
Rectal cancer									
No diabetes Diabetes Diabetes without complications Diabetes with	5577/11549 1693/3181 1402/2700	Referent 1.28 (1.21–1.35) 1.23 (1.16–1.30) 1.61 (1.43–1.81)	Referent 1.22 (1.15–1.29) 1.20 (1.13–1.27) 1.35 (1.19–1.52)	3582/9125 1192/2592 978/2202 214/390	Referent 1.23 (1.15–1.32) 1.19 (1.11–1.28) 1.45 (1.26–1.68)	1460/1698 359/413 307/352 52/61	Referent 1.10 (0.98–1.24) 1.13 (0.99–1.28) 0.98 (0.74–1.31)	793/11 549 349/3181 261/2700	1.48 (1.30–1.68) 1.35 (1.17–1.55) 2.18 (1.73–2.74)

aln the multivariate models, we adjusted for covariates including age at diagnosis (65–69, 70–74, 75–79, 80–84 and 85 +), sex (male, female), race (white, black, American Indian/Alaska Native, Asian or pacific Islander, others), marital status (never married, married, separated, divorced and widowed), grade (grade I – well differentiated; grade II – moderately differentiated; grade III – poorly differentiated and grade IV-undifferentiated) and census tract median income (quartiles) and co-morbidity (0, 1, 2 +).

Table 3. Effect of pre-existing diabetes on cancer-specific mortality in patients with colorectal cancer, by stage

		Overall		Localised or re	egional stage	Distant stage	
	No. of cases/ No. of observations	Age-adjusted HR (95% CI)	Multivariate- adjusted HR (95% CI) ^a	No. of cases/ No. of observations	Multivariate- adjusted HR (95% CI) ^a	No. of cases/ No. of observations	Multivariate- adjusted HR (95% CI) ^a
Colorectal can	cer						
No diabetes Diabetes Diabetes without complications Diabetes with complications	12 214/46 400 3665/14 813 3025/12 298 640/2515	Referent 0.97 (0.94–1.01) 0.96 (0.92–1.001) 1.01 (0.93–1.09)	Referent 0.99 (0.95–1.03) 0.98 (0.94–1.02) 1.04 (0.95–1.12)	6291/37 608 1978/12 236 1611/10 158 367/2078	Referent 0.98 (0.93–1.03) 0.97 (0.91–1.02) 1.07 (0.96–1.19)	4855/6679 1386/1921 1158/1617 228/304	Referent 1.02 (0.96–1.08) 1.00 (0.94–1.07) 1.13 (0.99–1.29)
Colon cancer							
No diabetes Diabetes Diabetes without complications Diabetes with complications	8806/34 851 2768/11 632 2253/9598 515/2034	Referent 0.97 (0.93–1.01) 0.95 (0.91–1.00) 1.05 (0.96–1.15)	Referent 0.99 (0.94–1.03) 0.97 (0.92–1.01) 1.09 (0.996–1.20)	4454/28 483 1455/9644 1166/7956 289/1688	Referent 0.98 (0.93–1.05) 0.96 (0.90–1.02) 1.12 (0.99–1.26)	3638/4981 1097/1508 906/1265 191/243	Referent 1.02 (0.95–1.09) 0.98 (0.91–1.06) 1.22 (1.06–1.42)
Rectal cancer							
No diabetes Diabetes Diabetes without complications Diabetes with	3408/11 549 897/3181 772/2700	Referent 1.00 (0.93–1.07) 1.01 (0.94–1.10) 0.91 (0.76–1.09)	Referent 1.03 (0.95–1.11) 1.05 (0.97–1.14) 0.90 (0.75–1.08)	1837/9125 523/2592 445/2202	Referent 1.02 (0.92–1.12) 1.02 (0.92–1.13) 0.99 (0.79–1.25)	1217/1698 289/413 252/352 37/61	Referent 1.02 (0.89–1.16) 1.05 (0.92–1.21) 0.83 (0.59–1.15)

all the multivariate models, we adjusted for covariates including age at diagnosis (65–69, 70–74, 75–79, 80–84 and 85+), sex (males, females), race/ethnicity (white, black, American Indian/Alaska Native, Asian or pacific Islander, others), marital status (never married, married, separated, divorced and widowed), grade (grade I – well differentiated; grade III – moderately differentiated; grade III – poorly differentiated and grade IV – undifferentiated), census tract median income (quartiles) and co-morbidity (0, 1, 2+).

Walker et al, 2013; Yang et al, 2013), although not all studies have found this relationship (Jullumstro et al, 2009; Call et al, 2010; Chen et al, 2010; Noh et al, 2010; Huang et al, 2012). Subgroup analyses of two meta-analysis studies (Barone et al, 2008; Stein et al, 2010) based on six studies showed that colorectal cancer patients with diabetes had 32% increased risk of total mortality compared with those without diabetes (95% CI: 1.24-1.41). Among studies (Will et al, 1998; Polednak, 2006; Siddiqui et al, 2008; Jullumstro et al, 2009; Huang et al, 2011, 2012; van de Poll-Franse et al, 2012; Bella et al, 2013; Cossor et al, 2013; Walker et al, 2013) that examined cancer-specific mortality associated with preexisting diabetes, the findings are inconsistent. Of them, one study (Huang et al, 2011) found a significant increased risk for colon cancer-specific mortality. Two (van de Poll-Franse et al, 2012; Bella et al, 2013) found a significantly increased risk for only rectal cancer patients but not for colon cancer, and one (Siddiqui et al, 2008) found an association between poorly controlled pre-existing diabetes and the risk of death attributed to colorectal cancer. Other studies found no significant association between diabetes and subsequent death from colorectal cancer. In addition, an earlier study on this topic (Meyerhardt et al, 2003) showed diabetes had worse disease-free survival associated with diabetes.

However, among all previous studies examining cancer-specific mortality, none of them considered competing risk correctly; rather, they censored patients experiencing competing events at the time of these events, which may substantially overestimate the absolute risk of the event of interest (Putter *et al*, 2007; Wolbers *et al*, 2009). For the purpose of comparison with previous studies, we used conventional epidemiology methods to analyse colorectal-cancer-specific mortality; the resulting HRs were 1.05 (95% CI: 1.01–1.09) and 1.17 (95% CI: 1.08–1.27) for colorectal cancer-specific mortality associated with pre-existing diabetes without and with complications, respectively. Comparing the two analytic approaches, our analyses suggest that findings for cancer-specific mortality using conventional epidemiological methods were overestimated.

The potential influence of diabetes on cancer prognosis is complex. Diabetes may directly influence cancer progression and outcome via physiologic effects of hyperinsulinemia and/or hyperglycaemia (Richardson and Pollack, 2005; Morss and Edelman, 2007). Although our data did not directly assess the association between insulin and colorectal cancer prognosis, experimental and epidemiological evidence suggests that hyperinsulinemia may be an underlying mechanism to explain the association between diabetes and cancer incidence and outcome (Larsson et al, 2005; Berster and Goke, 2008; Giovannucci et al, 2010). Second, pre-existing diabetes may also have indirect adverse effects on cancer outcome by influencing patients or providers to make different clinical decisions regarding cancer screening and cancer treatment. Research has documented underuse of colorectal cancer screening among elderly diabetic women compared with those without diabetes (McBean and Yu, 2007), which may lead to

detection at a later stage on diagnosis. There may also be decisions to follow less-aggressive cancer treatments among diabetes patients (van de Poll-Franse *et al*, 2007). However, our data show that there were no substantial differences between the diabetes group and the non-diabetes group in terms of the stage of diagnosis, tumour grade or whether the patient underwent cancer-direct surgery or chemotherapy, although radiation therapy performed as part of the first course of treatment was slightly lower in diabetes patients.

Our study using competing risk methods observed that diabetes was associated with cardiovascular-specific mortality, but not with colorectal-cancer-specific mortality. The findings indicate that diabetes *per se* may not worsen colorectal cancer prognosis, and that other competing risks such as cardiovascular diseases may be more important in determining mortality outcomes. Thus, besides cancer treatment, preventing patients from developing diabetes and having proper management of diabetes for diabetic patients are also important in improving prognosis for patients with colorectal cancer.

The strengths of the present study include using a large, US nationally representative database, the availability of detailed clinical information on cancer and some data regarding severity of diabetes status. The SEER–Medicare data are a unique resource that combines clinical information from population-based cancer registries with claims information from the Medicare programme. Extraction of all of the Medicare claims for each cancer patient makes it possible to longitudinally track persons from their Medicare eligibility until death.

There are several limitations in the present study. First, our study typically relied on existing public health surveillance and administrative information that were not designed for this research purpose. Challenges in utilising existing data include lack of other information; for example, some demographic and lifestyle variables such as patients' income, BMI, smoking and alcohol habits are missing. As BMI, smoking or other lifestyle variables may influence survival after a diagnosis of colorectal cancer, if our diabetic colorectal cancer patients were more likely to have high BMI or to have smoked, the worse total survival independently associated with diabetes may be overestimated. Another concern is the completeness and accuracy of Medicare claims. To increase accuracy, we used an algorithm to identify conditions that required two outpatient claims or one in-patient claim. A previous study reported that this algorithm with Medicare claims data identified 69% of pre-existing diabetes cases (Gorina and Kramarow, 2011). The claims-based algorithm we used to identify diabetes has a validated sensitivity of 74.4% and specificity of 97.5% using a 2-year look-back period (Hebert et al, 1998). Thus, we may have missed some cases of diabetes. In addition, the restricted window available in claims data may be a concern. Specifically, claims data are not available before the age of 65 years, which limits our analysis to patients who are older than 67 years; thus, our findings may only be generalised to older patients enrolled in non-HMO Medicare, although colorectal cancer occurs disproportionately in the elderly with more than two-thirds of all cases occurring in persons aged ≥65 years (SEER, 2012a,b); further, studies have shown that age, sex and other sociodemographic features of the elderly SEER population are comparable with that of the US elderly population (Warren et al, 2002b). Moreover, we had no information on medication use, because our study cohort predated the advent of Medicare Part D. Metformin, an oral drug widely used as a first-line therapy for type 2 diabetes, has been associated with a lower risk of colorectal incidence compared with other antidiabetic therapies, such as insulin and sulfonylureas (Zhang et al, 2011). Studies have also shown that metformin use may lower risk of colorectal cancer-specific and total mortality (Lee et al, 2012). However, a recent study reported that the use of metformin was not associated with the incidence of colorectal cancer (Smiechowski et al, 2013).

In conclusion, our large population-based study provides additional evidence that pre-existing diabetes increased risk of total mortality among colorectal cancer patients. The increased total mortality associated with diabetes was mainly driven by increased risk of dying from cardiovascular diseases. Preventing diabetes and reducing diabetes complications may improve the survival rate of colorectal cancer patients.

ADDENDUM

To comply with SEER-Medicare data rules on confidentiality, data in the 'Diabetes/With complication (Race)' cell in Table 1 has been updated since Advance Online Publication and a footnote added to the table.

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