

# Systems Analysis of Gut Microbiome Influence on Metabolic Disease in HIV-Positive and High-Risk Populations

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ABSTRACT Poor metabolic health, characterized by insulin resistance and dyslipidemia, is higher in people living with HIV and has been linked with inflammation, antiretroviral therapy (ART) drugs, and ART-associated lipodystrophy (LD). Metabolic disease is associated with gut microbiome composition outside the context of HIV but has not been deeply explored in HIV infection or in high-risk men who have sex with men (HR-MSM), who have a highly altered gut microbiome composition. Furthermore, the contribution of increased bacterial translocation and associated systemic inflammation that has been described in HIV-positive and HR-MSM individuals has not been explored. We used a multiomic approach to explore relationships between impaired metabolic health, defined using fasting blood markers, gut microbes, immune phenotypes, and diet. Our cohort included ART-treated HIV-positive MSM with or without LD, untreated HIV-positive MSM, and HR-MSM. For HIV-positive MSM on ART, we further explored associations with the plasma metabolome. We found that elevated plasma lipopolysaccharide binding protein (LBP) was the most important predictor of impaired metabolic health and network analysis showed that LBP formed a hub joining correlated microbial and immune predictors of metabolic disease. Taken together, our results suggest the role of inflammatory processes linked with bacterial translocation and interaction with the gut microbiome in metabolic disease among HIV-positive and -negative MSM.

**IMPORTANCE** The gut microbiome in people living with HIV (PLWH) is of interest since chronic infection often results in long-term comorbidities. Metabolic disease is prevalent in PLWH even in well-controlled infection and has been linked with the gut microbiome in previous studies, but little attention has been given to PLWH. Furthermore, integrated analyses that consider gut microbiome, together with diet, systemic immune activation, metabolites, and demographics, have been lacking. In a systems-level analysis of predictors of metabolic disease in PLWH and men who are at high risk of acquiring HIV, we found that increased lipopolysaccharide-binding protein, an inflammatory marker indicative of compromised intestinal barrier function, was associated with worse metabolic health. We also found impaired metabolic health associated with specific dietary components, gut microbes, and host and microbial metabolites. This study lays the framework for mechanistic studies aimed at targeting the microbiome to prevent or treat metabolic endotoxemia in HIV-infected individuals.

**KEYWORDS** HIV, microbiome, men who have sex with men, MSM, metabolic disease, endotoxemia, endotoxemia, human immunodeficiency virus

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Inflammatory processes linked with bacterial translocation (measured by LBP) and interaction with dietary components and the gut microbiome form a central role in understanding metabolic disease among people living with HIV and high-risk MSM

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**P**oor metabolic health characterized by insulin resistance and dyslipidemia is common in people living with HIV (PLWH) (1–3) and has been linked with chronic inflammation (4–7) and several antiretroviral therapy (ART) drugs (8). Metabolic disease is particularly prevalent in HIV-positive individuals with lipodystrophy (LD), a disease linked with early ART drugs that is manifested by lipoatrophy in the face, extremities, and buttocks with or without visceral fat accumulation. Delineating pathophysiological mechanisms of impaired metabolic health is crucial for tailoring strategies for prophylaxis and treatment to PLWH.

Metabolic disease has been linked with gut microbiome structure and function outside the context of HIV infection (9–13), but this relationship has not been explored deeply in PLWH. We and others have found an altered gut microbiome composition in both PLWH (14–16) and men who have sex with men at high risk of contracting HIV (HR-MSM) (15, 17). Furthermore, we have demonstrated that the altered microbiome in HIV-infected individuals (14) and HR-MSM (14, 18) are proinflammatory *in vitro* and/or in gnotobiotic mice (14, 18). This is of interest since peripheral inflammatory signals have been implicated in both cardiovascular disease risk (7, 19) and insulin sensitivity (4, 5, 20–22) in PLWH. A recent study conducted in PLWH found that HIV-associated microbiome differences correlated with risk of metabolic syndrome, particularly in individuals with a history of severe immunodeficiency (23).

One mechanism by which the gut microbiome may impact metabolic disease in PLWH is through effects on intestinal barrier function. Impaired intestinal barrier, measured by increased bacterial products such as lipopolysaccharide (LPS; endotoxin) in blood, has been linked with metabolic syndrome and particular metabolic derangements (e.g., dyslipidemia and insulin resistance). This "metabolic endotoxemia" has been described in chronic kidney disease/hemodialysis patients (24), and there are mixed data regarding a role in obesity (25–27). Murine studies have further supported that an altered gut microbiome and translocation of LPS could trigger insulin resistance, diabetes, and atherosclerosis (28–31). This is of interest for PLWH because bacterial translocation, indicated by higher levels of LPS or LPS-binding protein (LBP) in blood, is well known to occur in HIV-infected populations, to be not completely ameliorated by ART (32, 33), and to positively correlate with HIV-associated gut microbiome differences (23). Increased plasma LPS levels have also been observed in MSM and linked with recent sexual behavior (34).

We hypothesized that PLWH and HR-MSM with poor metabolic health would harbor a distinct gut microbial signature that was in turn also associated with elevated peripheral immune activation. We evaluated this relationship while considering other factors known to influence the microbiome, immunity, and metabolic health. This analysis included typical diet; the HIV, ART, and LD status; and other demographic characteristics such as age and body mass index (BMI). For HIV-positive individuals on ART with or without LD, we further explored associations with the plasma metabolome (Fig. 1). Our results suggest a central role of inflammatory processes linked with bacterial translocation, as measured by LBP and correlated intestinal microbes, and dietary and demographic attributes in metabolic disease risk.

# RESULTS

**Study population.** This study examined a cohort of 113 men, including men who have sex with women (MSW; n = 22, 19.5%) and MSM (n = 91, 80.5%) (Table 1). Of the MSM, 32 were HIV negative (35.2%), 14 were HIV positive and not on ART (15.4%), and 45 were HIV positive and on ART (49.4%). The HIV-positive, treated group included those with lipodystrophy (LD; n = 25, 55.6%) and those without (n = 20, 44.4%). The HIV-negative MSM participated in activities that put them at high risk of contracting HIV including: (i) a history of unprotected anal intercourse with one or more male or male-to-female transgender partners; (ii) anal intercourse with two or more male or male-to-female transgender partners; or (iii) being in a sexual relationship with a person who has been diagnosed with HIV (35). In order to focus on HIV-associated





**FIG 1** Study design schematic. (A) Measures were collected from four compartments: gut microbiome, peripheral immune, diet questionnaire, and plasma metabolome. These separate compartments can all influence each other and can be influenced by other clinical and demographic characteristics such as HIV and treatment status. (B) Analysis pipeline for the study. First, a metabolic health outcome was determined. Second, informative variables were selected using random forest analysis. Lastly, the relationships between these informative variables and the metabolic health outcome were examined.

metabolic disease, obese individuals (BMI >30) were excluded. There was no significant difference in BMI between the cohorts (Kruskal-Wallis test, P = 0.085; see Table S1 in the supplemental material). Individuals in the HIV-positive, treated cohorts were significantly older than HIV-negative and HIV-positive, untreated MSM (Kruskal-Wallis test, P < 0.001). Age matching across all cohorts was not feasible in part because LD is associated with early generation ART drugs and thus most common in older HIV-positive individuals, and HR-MSM behavior and new HIV infections are predominantly in younger individuals. However, age is carefully considered in downstream analyses. All treated, HIV-positive individuals were on successful ART with suppressed viral loads (Table 1).

Metabolic disease score as a marker for metabolic health. We measured seven common clinical markers of metabolic health from fasting blood: triglycerides, glucose, insulin, low-density lipoprotein (LDL), high-density lipoprotein (HDL), leptin, and adiponectin. Since these markers are often correlated with each other, we used principalcomponent analysis (PCA) to define a single continuous measure of overall metabolic health, as has been done previously (Fig. 2A) (36, 37). Individuals with high values along the first principal component (PC1) generally had high triglycerides and low HDL, indicating dyslipidemia, and higher levels of fasting blood glucose and insulin, indicating insulin resistance (Fig. 2A; see also Fig. S1). PC1 values were shifted to a minimum of 1 and log transformed to define the metabolic disease score, which ranged from 0 as healthy to 2.5 as impaired. We used regression analysis to determine how this score related to clinically defined cutoffs for normal levels of the markers (see Fig. S1). For example, triglycerides positively correlated with metabolic disease score, and almost all individuals with a score above 1.45 had triglyceride levels in the unhealthy range of >200 mg/dl. Similar patterns and cutoffs were true for HDL, LDL, and glucose (see Fig. S1). The intersection of the regression with these cutoffs were all



Parameter	HIV-negative MSW	HIV-negative MSM	HIV-positive MSM, untreated	HIV-positive MSM, treated	HIV-positive MSM, treated, with LD	P <sup>b</sup>
No. of subjects	22	32	14	20	25	
Median (IQR) <sup>a</sup>						
Age, yr	33 (27.3–38.5)	34 (29.8–44.5)	34 (26.5–40.3)	46 (42.8–50.5)	60 (54–64)	***
BMI, kg/m <sup>2</sup>	25.2 (23.0–27.0)	25.5 (20.2–28.0)	21.4 (20.2–25.6)	23.9 (22.6–26.2)	25.8 (23.0– 28.0)	NS
CD4 cell count	NA	NA	538 (406–732)	586 (420–878)	659 (550–908)	NS
CD4 nadir count	NA	NA	496 (409–612)	256 (118–416)	152 (70–350)	***
Viral load (copies/ml)	NA	NA	101,400 (20,300–292,514)	20 (0–20)	0 (0–20)	***
Cholesterol drugs/statins, n (%)	2 (9.1)	3 (9.4)	1 (7.1)	4 (20)	14 (56)	+++

### TABLE 1 Description of full study cohort

<sup>a</sup>Numbers are reported as medians and interquartile ranges (IQR).

 $^{bP}$  values were determined using the Kruskal-Wallis test (\*\*\*, P < 0.001; NS, P > 0.05) and Fisher exact test (+++, P < 0.001). See Table S1 for pairwise comparisons between groups.

averaged to a single number of 1.4. Individuals below the cutoff were categorized as metabolically normal, and those above were categorized as metabolically impaired.

When comparing the metabolic disease score across cohorts, we found that ART-treated, HIV-positive individuals with LD trended higher in both the average metabolic disease score and the proportion of individuals with scores in the metabolically impaired group, but intergroup significance was lost after multiple test corrections (Fig. 2B). Furthermore, because our HIV-positive, treated cohorts were significantly older than our HIV-negative MSM and HIV-positive, untreated MSM, we used a linear model to explore differences in the metabolic disease score across cohorts while accounting for age (Fig. 2C). This score was positively associated with age only in HIV-negative MSM and HIV-positive, untreated MSM (Fig. 2C; linear model; P < 0.001 and P = 0.036, respectively), and only HIV-negative MSM had significantly higher metabolic disease scores compared to HIV-negative MSW when accounting for age (linear model; P < 0.001).

Selection of features that predict the metabolic disease score and interactions between selected features. We next explored the complex relationships of the gut microbiome, peripheral immune activation, and diet to the metabolic disease score and to each other using only data from the HIV-positive and HR-MSM cohorts. We first selected features that were important predictors of the metabolic disease score using the VSURF (Variable Selection Using Random Forest) tool (38). VSURF is optimized for feature selection, returning all features that are highly predictive of the response variable, even when a smaller subset of highly predictive variables with redundant features removed could be just as accurate for prediction (38). We input the following features into the VSURF tool: (i) 130 microbial features. 99% identity operational taxonomic units (OTUs) with highly co-correlated OTUs were binned into modules as described in the methods (detailed in Table S2). Only OTUs present in >20% of samples were included. (ii) A total of 21 immune features were measured in plasma using multiplex enzyme-linked immunosorbent assays (ELISAs; detailed in Table S2). These immune measures were selected based on a literature search for those previously shown to be altered in HIV infection and/or metabolic disease. (iii) A total of 21 clinical/demographic features, such as age, BMI, HIV infection, and treatment status, and typical gastrointestinal symptoms, including constipation, diarrhea, and bloating, were taken into consideration (detailed in Table S2). (iv) A total of 29 dietary features were collected using a food frequency questionnaire of typical dietary intake over the prior year, as detailed in Materials and Methods. Highly co-correlated diet features were also binned into modules (detailed in Table S2).

From the initial 201 measures, VSURF identified 69 important variables (4 clinical data measures, 6 diet measures, 14 immune measures, and 45 microbes) and a subset of 10





**FIG 2** Calculation of the metabolic disease score. (A) PCA of metabolic markers in fasting blood of 164 men and women, including 113 participants described in this report, along with 51 individuals recruited at the same time and under the same exclusion criteria as study participants. The metabolic disease score is calculated as the PC1 coordinates shifted to a minimum of 1 and log transformed. (B) Metabolic disease scores broken up by cohort. The percentages noted above the groups are the percentages of individuals with a score above our metabolic impairment cutoff (see Fig. S1). There is no significant difference between the proportions in each group (Fisher exact test, P = 0.11) or between mean ranks in each group (Kruskal-Wallis test, P = 0.13). (C) Relationships between metabolic disease score and age stratified by cohort. Statistical significances of slopes are indicated and were calculated according to the following linear model: score  $\sim$  age + cohort + age  $\times$  cohort. \*\*, P < 0.01; \*, P < 0.05.

highly predictive variables (see Table S3). These 69 features could predict the metabolic disease score using traditional random forest with an  $r^2$  of 31.05%, which is significantly better than a null model where the outcome was randomly permuted (P = 0.036; see Fig. S2).

We found that 21 of the 69 selected variables were positively or negatively correlated with the metabolic disease score (Spearman rank correlation, false discovery rate [FDR], P < 0.1; see Table S3). Since random forest can detect nonlinear relationships and/or features that are only important when also considering another feature, it is not surprising that all features were not correlated linearly with the metabolic disease score. All VSURF-selected clinical measures were positively correlated with metabolic disease score and included age, BMI, lipodystrophy, and bloating (see Table S3). None of the six selected diet measures correlated with metabolic disease score (see Table S3). VSURF selected immune markers that were positively correlated with the metabolic disease score included LBP, intercellular adhesion molecule 1 (ICAM-1), interleukin-16 (IL-16), IL-12, and granulocyte-macrophage colony-stimulating factor (GM-CSF) (see Table S3). The feature with the highest random forest importance score was LBP.

Diet, the microbiome, and immune phenotypes can all influence each other and can also relate to clinical/demographic factors such as BMI and age (Fig. 1). For this





**FIG 3** Networks of selected measures reveal several strong associations with metabolic disease score and between measures. (A and B) Correlation subnetworks of all the non-microbe-selected measures (A) and the nearest neighbors of LBP (B). All Spearman rank correlations with an FDR P < 0.25 are shown. Subnetworks were pulled from a larger network of all VSURF selected measures (see Fig. S3 and Table S2). (C) Network of interactions between measures calculated using iRF. All edges represent an interaction (i.e., proximity in a decision tree) that occurred in 30% or more of the decision trees.

reason, we also investigated the relationship between the 69 important factors using pairwise Spearman rank correlation and network visualization (Fig. 3; see also Fig. S3 and Table S3).

The selected important microbes included many that were highly correlated with each other and with dietary, clinical/demographic, and inflammatory phenotypes (see Fig. S3A and Table S3). For example, a module of bacteria identified within the *Prevotella* genus and the *Paraprevotellaceae* family, negatively associated with metabolic disease score and positively associated with dietary fiber (see Fig. S3B).

Because bacterial translocation is known to occur at increased levels in both HIVpositive individuals (39) and HR-MSM (34), we were specifically interested in investigating which of the selected features correlated with LBP. LBP was negatively correlated with several putative butyrate producing bacterium/bacterial modules such as OTUs in the genera *Coprococcus* (40, 41) (Fig. 3B). LBP was also positively correlated with *Dorea* species (Fig. 3B; see also Table S3). In addition to correlations, we evaluated interactions between selected variables using the tool iRF (iterative Random Forest) (42). These interactions represent variables that are in adjacent nodes in a random forest tree in which the value of one influences the predictability of the other. This analysis also identified that LBP interacted with age, BMI, an OTU in the *Lachnospiraceae* family, and microbiome module 24 (*Coprococcus* and *Blautia* spp.) in predictions of the metabolic disease score (Fig. 3C; see also Table S3).

Because of the recognized role of inflammation in an increased risk of age-associated non-AIDS-related morbidity and mortality in PLWH (43–46), we were also



specifically interested in investigating which of the selected features correlated with age. Age had the strongest positive association with lipodystrophy and also positively correlated with several inflammatory markers, including LBP, CRP, IL-6, ICAM-1, and serum amyloid A (SAA; see Fig. S3C and Table S2). Age was also correlated with several different gut microbes, including a negative correlation with OTUs assigned to *Bifidobacterium adolescentis, Eubacterium dolichum, Coprobacillus* sp., and *Oscillospira* sp. (see Fig. S3C).

Random Forest analyses do not allow for gaps in data, as a result, HIV-specific variables were omitted from these analyses. We thus performed Spearman's rank correlations to investigate a relationship between variables only measured in our HIV positive cohorts and the metabolic disease score and found no correlation with the CD4 nadir (P = 0.28) and CD4<sup>+</sup> T-cell count (P = 0.076). We also found no significant correlation between viral load and metabolic disease score among individuals with untreated HIV infection (P = 0.68).

Lastly, because many gut microbes were associated with metabolic disease score, we also investigated associations with overall microbiome composition measures. Microbiome evenness, as measured by Pielou's Evenness and Shannon Index, were both weakly negatively correlated (Spearman rank correlation, rho = -0.09 [P = 0.047] and rho = -0.09 [P = 0.045], respectively), and there was no significant relationship in measures of microbiome richness (Faith's PD and observed features). In addition, there was no significant relationship between weighted and unweighted UniFrac distances and metabolic disease score differences between individuals (Mantel test, P = 0.7 and P = 0.4, respectively).

Relationship between the plasma metabolome and the metabolic disease score in ART-treated HIV-positive individuals with or without LD. To pursue a further mechanistic understanding of how the gut microbiome may influence the metabolic disease score in PLWH, we performed untargeted metabolomics (liquid chromatographymass spectrometry [LC-MS]) on plasma from our cohort of ART-treated, HIV-positive individuals with or without LD (n = 44). Metabolite identities were then validated using untargeted tandem MS (MS/MS). We used two approaches to determine which plasma metabolites were either directly produced or indirectly influenced by the gut microbiome. First, metabolites were run through the computational tool AMON (47), which uses the KEGG database (48) and inferred metagenomes (calculated using PICRUSt2 [49]) to determine which could have been produced by the microbiome. Second, LC-MS was run on plasma from both germfree (GF) and humanized mice to determine metabolites that had significantly altered levels upon colonization with human microbiomes. Specifically, GF mice were gavaged using fecal samples from eight men from the study cohort (humanized mice), while two mice were gavaged using phosphate-buffered saline (PBS) as a control (see Table S4). Plasma was collected before and after gavage. All mice were fed a high-fat Western diet.

We found that 820 metabolites were different in abundance between GF and humanized mice after multiple test corrections (Student *t* test, FDR, P < 0.05), 493 of which were also present in the human plasma samples (Fig. 4). From the full set of 5,332 metabolites identified in the human plasma, 416 could be annotated with KEGG IDs. These were further analyzed using AMON. A total of 146 microbiome-associated metabolites were identified that are putatively produced by the gut microbiome; however, many of these could also be produced by the host. Of the 146 microbiome-associated metabolites identified by AMON, 26 also differed in colonized versus GF mice (Fig. 4; see also Table S5).

Of the 5,332 total measured metabolites in the human samples, 150 correlated with the metabolic disease score (Spearman rank correlation, FDR, P < 0.05; see Table S5). The correlated compounds were enriched in a number of different metabolic pathways with both the Phospholipid and the Glycerolipid pathways of the Small Molecule Pathway Database (SMDPH) (50) highly enriched (see Table S5). Consistent with the metabolic disease score being defined in part by dyslipidemia, 17 of the significant compounds were annotated as triglycerides. Seven of the significant compounds were





FIG 4 Microbiome-associated metabolite workflow. A two-pronged approach for identifying microbiomeassociated metabolites is depicted. Numbers in boldface indicate metabolite counts.

associated with the microbiome as determined by the process outlined above. We confirmed the identity of 5 of the 7 of these with MS/MS (see Table S5). Of these seven microbiome-associated metabolites, two could exclusively be explained by direct production by the microbiome. Specifically, dehydroalanine, identified as a microbial product by AMON, negatively correlated with the metabolic disease score, and bacteriohopane-32,33,34,35-tetrol positively correlated with the metabolic disease score (see Table S5). Two additional microbiome-associated compounds were triglycerides [TG (54:6) and TG(16:0/18:2/20:4)] that were positively correlated with metabolic disease score and elevated in humanized mice compared to GF mice. Another of these metabolites, 1-linleoyl-2-oleoyl-rac-glycerol, is a 1,2-diglyceride in the triglyceride biosynthesis pathway. Finally, phosphatidylcholine [PC(17:0/18:2)] and phosphatidylethanolamine [PE(20:3/18:0)] compounds were identified as microbiome associated and positively and negatively correlated with the metabolic disease score, respectively (51).

Since age was significantly associated with metabolic disease score in the full cohort and thus could present a potential confounding factor in the metabolite relationships, we constructed a model that included age using a rank-based estimation for linear models using the R package Rfit (52). Because of the statistical differences between linear regression and spearman correlation, we looked at Rfit with and without age. Both analyses identified 60 metabolites significant after FDR correction (see Table S5), and 45 of the 60 metabolites were identified with both Rfit models, indicating that age was not very influential on the results (see Fig. S4).

# DISCUSSION

In this study, we identified gut microbes, dietary components, demographic and immune measures that predicted impaired metabolic health in a cohort of MSM with or without HIV, ART, and LD. Notably, we identified a strong relationship with circulating LBP, which in turn correlated with other markers of systemic inflammation, a loss of beneficial microbes such as butyrate-producing bacteria, and a higher BMI, indicating that diverse modifiable factors may influence LPS/inflammation-driven metabolic disease in this population.



There was a positive association between impaired metabolic health and age, as has been reported previously for both HIV-positive (53) and HIV-negative (54) populations, but linear modeling suggested that this relationship was driven by an association in HIV-negative MSM and HIV-positive untreated MSM in our study, revealing a possibly larger effect size than in our other cohorts. Also, when controlling for age, HIV-negative MSM had the highest metabolic disease score, even compared to HIV-positive individuals on ART with LD, a population that has previously been reported to have higher incidence (55). This result is intriguing given prior research linking increased levels of LPS in blood with high-risk behavior in MSM (34). Larger cohorts and more detailed behavior information are required, however, to make any definitive claims on impaired metabolic health in aging in HR-MSM.

Our finding that age was a significant predictor of impaired metabolic health in this cohort is consistent with previous studies showing an increased risk of age-associated non-AIDS-related morbidity and mortality in PLWH (44–46, 56). Consistent with the previously reported role of inflammation in this relationship, age was positively correlated with 5 of the 14 immune measures that VSURF selected as important predictors of the metabolic disease score, including LBP, CRP, IL-6, ICAM-1, and SAA. Consistent with age being associated with changes in the microbiome previously (57–59), we also found age to be correlated with several different predictive microbes (see Fig. S3C and Table S2). These included a negative association with *B. adolescentis*, whose loss has previously been mechanistically linked with health deficits that occur with aging (60–63). *B. adolescentis* has been shown to prevent immunosenescence when fed probiotically to aged rats (62) and to have beneficial effects on barrier function in a murine model (64).

Consistent with prior studies that have associated high BMI with dyslipidemia, insulin resistance, and/or metabolic syndrome (65–67), BMI was a positive predictor of impaired metabolic health in our cohort even though our study excluded obese individuals but did include overweight individuals. This suggests the importance of weight management even among overweight, nonobese individuals as a strategy for reducing metabolic health impairment in this population.

We did not find a positive association between ART status and the metabolic disease score, but this may be because study participants were on a wide variety of drug combinations with the potential to have varied/contrasting effects. For instance, both integrase stand transfer inhibitors (68) and regimens, including the nucleoside reverse transcriptase inhibitor tenofovir, have been shown to increase the risk of weight gain (69). Conversely, the CCR5 antagonist, maraviroc, may confer a benefit to cardiovascular function and body weight maintenance, and evidence in mice suggests that these beneficial effects may be linked to gut microbiome composition changes (20, 70). Thus, future studies will be required to understand factors important in particular drug contexts. Other HIV-specific measures, such as CD4 nadir, viral load, and CD4<sup>+</sup> T cell count, also did not have a significant association with metabolic disease score. A low CD4<sup>+</sup> T-cell count (71) and a low CD4/CD8 T-cell ratio (72) have previously been linked to poor metabolic health in individuals on ART. Furthermore, a low CD4 nadir has been linked with gut microbiome dysbiosis in HIV-infected individuals (71), and an association between HIV-associated gut microbiome dysbiosis and metabolic syndrome was significantly stronger in individuals with past severe immunodeficiency compared to those without (23). Our negative results may be a result of small sample size and also a lack of individuals included in the study with severely compromised CD4<sup>+</sup> T-cell counts (Table 1).

Several dietary components that we identified have been previously associated with metabolic health, including dietary carotenoid, lycopene, and fiber (73–77). Fiber's benefit in glucose response has been linked with the activity of *Prevotella copri*. Specifically, individuals who had improved glucose response after 3 days of high-fiber consumption had a greater increase in *P. copri*, and these beneficial effects were confirmed in a mouse model (76). However, another study found that *P. copri* actually

promoted a poor glucose response in the context of a Western diet low in fiber through the production of branched-chain amino acids (11). Interactions between *Prevotella*, dietary fiber, and metabolic health are of particular interest in this cohort since HIV-positive and -negative MSM have much higher levels of *Prevotella*, including *P. copri*, than do non-MSM (15, 17). In addition, our prior study using *in vitro* stimulations of human immune cells with fecal bacteria of HIV-positive and -negative MSM indicated that the *Prevotella*-rich microbiomes of MSM could drive systemic inflammation (14). Interestingly, in our data, a module of three OTUs, two in the genus *Prevotella* and one in the family *Paraprevotellaceae*, negatively associated with metabolic disease score and positively associated with dietary fiber (see Fig. S3B), supporting a relation-ship between *Prevotella* and dietary fiber in improving metabolic health and not supporting deleterious effects. Further work will be needed to decompose the complex relationship between dietary fiber, particular *Prevotella* strains, and metabolic health in HIV-positive and -negative MSM with unique *Prevotella*-dominated communities.

LBP was the most important feature in the random forest analysis and also a highly interactive measure in the iRF analysis. LBP binds to both microbial LPS and lipoteichoic acid (78), and the presence of elevated LBP in blood is indicative of increased intestinal barrier permeability (79). LBP was correlated with age and BMI, a relationship that was previously observed in a cohort of HIV-negative men of African ancestry, with this trio being further associated with adiposity and prediabetes (80). LBP levels were also correlated with other inflammatory markers that have been linked with worse metabolic health, suggesting a role as a central mediator of metabolic-disease associated immune phenotypes. These included (i) ICAM-1, whose expression in adipose tissue has been associated with diet-induced obesity in mice (81) and metabolic syndrome in humans (82); (ii) IL-6, a proinflammatory cytokine that has been shown to play a direct role in insulin resistance (83); and (iii) SAA, which is regulated in part by IL-6 and plays a role in cholesterol metabolism (82); SSA3 specifically has been shown to be produced in response to gut bacteria in obesity in mice (84). We observed a positive association between the metabolic disease score and frequency of abdominal bloating (see Table S3 and Fig. S3A), further supporting a role of intestinal dysfunction in this population. Taken together, these associations suggest that inflammation originating from an impaired intestinal barrier is promoting worse metabolic health.

The importance of microbiota-driven intestinal barrier dysfunction in HIV-associated metabolic syndrome was suggested in a recent study of Gelpi et al. (23), which found that metabolic syndrome in HIV-infected individuals was correlated with an HIV-associated gut microbiota. This microbiota was characterized in part by a decrease in butyrate-producing bacteria, including Coprococcus and Butyrivibrio. Consistent with this finding, we found that multiple Coprococcus OTUs were selected as important predictors of the metabolic disease score and that they also negatively correlated with LBP. Butyrate is well known to have beneficial effects on intestinal barrier function (85-94), and low levels of intestinal butyrate producers have been previously associated with microbial translocation and immune activation in PLWH (95). The study of Gelpi et al. (23) also found increased levels of bacteria in the Desulfovibrionaceae family to be linked with HIV-associated metabolic syndrome. These bacteria produce hydrogen sulfide, a compound that can compromise the intestinal mucus layer by reducing disulfide bonds (96). Further supporting that microbes that may compromise the mucus layer can impact barrier function in this context, we found Dorea sp., which encodes the genes required to utilize the canonical sialic acid Neu5Ac as a carbon source (97), to be an important predictor of the metabolic disease score and to positively correlate with LBP. Hyposialylated intestinal glycans have previously been linked with higher abundance of glycan-degrading species and higher levels of microbial translocation in HIV-infected individuals (98). Taken together, these results suggest that impaired metabolic health in HIV-positive and -negative MSM may be influenced by impaired intestinal barrier linked with both protective and detrimental metabolic activity of intestinal bacteria.





In our metabolomic analysis, we identified 150 metabolites in blood that correlated with the metabolic disease score. To identify compounds whose prevalence may be related to the gut microbiome, we used two complementary approaches. First, we used the bioinformatics tool AMON (47), which allows us to specifically evaluate which compounds could have been directly produced by the gut microbiome but is limited by a lack of KEGG annotations for many compounds. Second, we measured which compounds changed in relative abundance in GF versus mice colonized with feces from our study cohort, which can identify microbial influence in unannotated compounds but cannot differentiate between direct production/consumption by microbes versus indirect influence. These results will also be influenced by physiological differences between mice and humans and incomplete colonization. Although these weaknesses may have led us to underestimate which of the 150 metabolic disease associated compounds may have been related to the microbiome, it still identified compounds that supported a mechanistic link between gut microbes, metabolites, and metabolic disease in HIV-infected individuals on ART.

First, we found a negative correlation between the microbially produced noncanonical amino acid, dehydroalanine, and metabolic disease score. Dehydroalanine is a component of lantibiotics that are active against Gram-positive bacteria. Second, we found a positive correlation with bacteriohopane-32,33,34,35-tetrol. This compound is a lipoxygenase inhibitor that prevents the formation of hydroxyicosatetraenoic acid and various leukotrienes from arachidonic acid (99), which have been linked with the development of cardiovascular disease and metabolic syndrome (100). This association of a potentially protective metabolite with an increase in metabolic impairment seems counterintuitive; however, it may be indicative of larger systemic changes in arachidonic acid metabolism. Third, we identified a PC and a PE associated with both the microbiome and the metabolic disease score. Changes in PCs and/or PEs have been previously implicated in atherosclerosis, insulin resistance, and obesity (51). AMON analysis indicated that both PCs and PEs can be synthesized by intestinal bacteria; however, these compounds can also be synthesized in the host and may be found in the diet. In our analysis, however, PE(18:1/20:1) levels were higher in colonized compared to GF mice indicating that intestinal bacteria do influence overall levels despite diverse potential sources. Finally, we observed increased levels of several plasma triglycerides in the humanized compared to GF mice, including two plasma triglycerides that were significantly associated with metabolic disease score. This confirms the influence of the gut microbiome on host plasma triglycerides (101-103). However, we did not find any strong associations between these triglycerides and specific microbes within our data set, indicating a potential need for studies conducted in larger cohorts or with shotgun metagenomics to look for functional correlates.

In conclusion, we observed a relationship between diet, gut microbiome, plasma metabolome, and peripheral immune markers of inflammation and metabolic disease in HIV-positive MSM and HR-MSM. Our results suggest a central role of inflammatory processes linked with bacterial translocation and interaction with the gut microbiome, age, and BMI in metabolic disease among HIV-positive and -negative MSM. Our results also suggest contributions of low fiber, key vitamins, and microbially produced metabolites. These results illuminate potential microbiome-targeted therapies and personalized diet recommendation given an interacting set of gut microbes and other host factors. Understanding these relationships further may provide novel treatments to improve the metabolic disease and inflammatory outcomes of MSM living with HIV.

#### **MATERIALS AND METHODS**

**Subject recruitment.** Participants were residents of the Denver, Colorado, metropolitan area, and the study was conducted at the Clinical Translational Research Center of the University of Colorado Hospital. The study was reviewed and approved by the Colorado Multiple Institutional Review Board, and informed consent was obtained from all participants. For detailed criteria on the recruitment of our five cohorts (HIV-negative MSW; HIV-negative MSM; HIV-positive, ART-naive MSM; HIV-positive ART-treated MSM with LD; and HIV-positive ART-treated MSM without LD), see the supplemental material.

Feces, a fasting blood sample, and clinical surveys were collected from participants in order to obtain



analytes for the study design outlined in Fig. 3 (see Table S2). Additional information about relevant clinical measures, such as probiotic use, was collected via a questionnaire, and study participants also filled out information on typical frequency of high-risk sexual practices and on typical levels of gastrointestinal issues such as bloating, constipation, nausea, and diarrhea.

**Diet data FFQ collection.** Typical dietary consumption over the prior year was collected using the Diet History Questionnaire II (104). Diet composition was processed using the Diet\*Calc software and the dhq2.database.092914 database (105). All reported values are based on USDA nutrition guidelines. Reported dietary levels were normalized per 1,000 kcal. To reduce the number of comparisons within the diet survey data, we binned highly cocorrelating groups of measures within the data types into modules (see Table S2). These modules were defined using the tool SCNIC (106).

Immune data collection. Whole blood was collected in sodium heparin vacutainers and centrifuged at 1,700 rpm for 10 min for plasma collection. Plasma was aliquoted into 1-ml microcentrifuge tubes and stored at -80°C. For ELISA preparation, plasma was thawed, kept cold, and centrifuged at 2,000  $\times$  g for 20 min before ELISA plating. Markers for sCD14, sCD163, and FABP-2 were measured from plasma using standard ELISA kits from R&D Systems (DC140, DC1630, and DFBP20). Positive testing controls for each ELISA kit were also included (R&D Systems, QC20, QC61, and QC213). LBP was measured by standard ELISA using a Hycult Biotech kit HK315-02. Markers for IL-6, IL-10, tumor necrosis factor alpha (TNF- $\alpha$ ), monocyte chemoattractant protein 1 (MCP-1), and IL-22 were measured using Meso Scale Discovery's U-PLEX Biomarker Group 1 multiplex kit K15067L-1. Markers for SAA, VCAM-1, ICAM-1, and CRP were measured using Meso Scale Discovery's V-Plex Plus Vascular Injury Panel 2 multiplex kit K15198G-1. A Vascular Injury Control Pack 1 C4198-1 was utilized as a positive control for this assay. Markers for GM-CSF, IL-7, IL-12/23p40, IL-15, IL-16, IL-17A, TNF-β, and VEGF were measured using Meso Scale Discovery's V-Plex Plus Cytokine Panel 1 multiplex kit K151A0H-1. A Cytokine Panel 1 Control Pack C4050-1 was utilized as a positive control for this assay. Plasma samples were diluted per manufacturer's recommendation for all assays. Standard ELISA kit plates were measured using a  $V_{\text{max}}$  kinetic microplate reader with Softmax Pro Software from Molecular Devices LLC. Multiplex ELISA kits from Meso Scale Discovery were measured using the QuickPlex SQ 120 with Discovery Workbench 4.0 software.

**Gnotobiotic mouse protocols.** Germ-free C57/BL6 mice were purchased from Taconic and bred and maintained in flexible film isolator bubbles, fed with standard mouse chow. Three days before they were gavaged, male mice between 5 and 7 weeks of age were switched to a Western high-fat diet and were fed this diet for the remainder of the experiment. Diets were all obtained from Envigo (Indiana): standard chow, Teklad global soy protein-free extruded (item 2920X [https://www.envigo.com/resources/data-sheets/2020x-datasheet-0915.pdf]), or Western diet, New Total Western Diet (catalog no. TD.110919). See Table S4 for detailed diet composition. Mice were gavaged with 200  $\mu$ l of fecal solutions prepared from 1.5 g of donor feces mixed in 3 ml of anaerobic PBS (18). Mice were housed individually after gavage for 3 weeks in a Tecniplast isopositive caging system, with each cage having HEPA filters and positive pressurization for bioexclusion. Feces were collected from mice at day 21 for 16S rRNA gene sequencing. Mice were euthanized at 21 days after gavage using an isoflurane overdose, and all efforts were made to minimize suffering. Blood from euthanized animals was collected using cardiac puncture, and cells were pelleted in K2-EDTA tubes; the plasma was then aliquoted and stored at  $-80^{\circ}$ C.

**Metabolomics methods. (i) Plasma sample preparation.** A modified liquid-liquid extraction protocol was used to extract hydrophobic and hydrophilic compounds from the plasma samples (107). Briefly, 50  $\mu$ l of plasma spiked with internal standards underwent a protein crash with 250  $\mu$ l ice cold methanol. Portions (750  $\mu$ l) of methyl *tert*-butyl ether (MTBE) and 650  $\mu$ l of 25% methanol in water were added to extract the hydrophobic and hydrophilic compounds, respectively. Then, 500  $\mu$ l of the upper hydrophobic layer and 400  $\mu$ l of the lower hydrophilic layer were transferred to separate autosampler vials and dried under nitrogen. The hydrophobic layer was reconstituted with 100  $\mu$ l of methanol, and the hydrophilic layer was reconstituted with 50  $\mu$ l of 5% acetonitrile in water. Both fractions were stored at -80°C until LC-MS analysis.

(ii) Liquid chromatography-mass spectrometry. The hydrophobic fractions were analyzed using reverse-phase chromatography on an Agilent Technologies (Santa Clara, CA) 1290 ultrahigh precision liquid chromatography (UHPLC) system on an Agilent Zorbax rapid resolution HD SB-C<sub>18</sub> analytical column (1.8  $\mu$ m; 2.1 × 100 mm) as previously described (107, 108). The hydrophilic fractions were analyzed using hydrophilic interaction liquid chromatography (HILIC) on a 1290 UHPLC system using an Agilent InfinityLab Poroshell 120 HILIC-Z analytical column (2.1 × 100 mm) with gradient conditions as previously described (109) with MS modifications as follows: nebulizer pressure, 35 lb/in<sup>2</sup>; gas flow, 12 liters/min; sheath gas temperature, 275°C; sheath gas flow, 12 liters/min; nozzle voltage, 250 V; and fragmentor, 100 V. The hydrophobic and hydrophilic fractions were run on Agilent Technologies 6545 quadrupole time-of-flight mass spectrometer. Both fractions were run in positive electrospray ionization mode.

(iii) Mass spectrometry data processing. Compound data were extracted using Agilent Technologies MassHunter Profinder version 10 software in combination with Agilent Technologies Mass Profiler Professional version 14.9, as described previously (47). Briefly, batch molecular feature extraction (BMFE) was used in Profinder to extract compound data from all samples and sample preparation blanks. The following BMFE parameters were used to group individual molecular features into compounds: charge state 1 to 2, with +H, +Na,  $+NH_4$ , and/or +K charge carriers. To reduce the presente of missing values, a theoretical mass and retention time database was generated for compounds present in samples only from a compound exchange format (.cef) file. This .cef file was then used to re-mine the raw sample data in Profinder using batch targeted feature extraction.

An in-house database containing KEGG, METLIN, Lipid Maps, and HMDB spectral data were used to putatively annotate metabolites based on accurate mass (≤10 ppm), isotope ratios, and isotopic



distribution. This corresponds to a Metabolomics Standards Initiative metabolite identification level three (110). To improve compound identification, statistically significant compounds underwent tandem MS using 10, 20, and 40 V. Fragmentation patterns of identified compounds were matched to either to NIST14 and NIST17 MS/MS libraries or to the *in silico* libraries MetFrag (111) and Lipid Annotator 1.0 (Agilent) (112).

(iv) Microbiome-associated metabolites. Microbiome-associated metabolites were defined using metabolites identified as significantly different in abundance between germfree compared to humanized gnotobiotic mice and/or metabolites identified as microbially produced by the tool AMON (47).

For the gnotobiotic mouse analysis, aqueous and lipid metabolites were analyzed separately. Metabolites that were present in <20% of samples were filtered out before analysis. Significant difference was determined using a Student *t* test with FDR *P* value correction. FDR-corrected *P* values of <0.05 were deemed significant. Significant metabolites also present in the human samples were retained for further analysis.

For the AMON-identified metabolites, the tool used an inferred metagenome, which was calculated using the PICRUSt2 QIIME2 plugin (49) and default parameters; a list of all identified KEGG IDs from the metabolite data (see metabolome methods); and KEGG flat files (downloaded 10 June 2019). AMON determined metabolites observed that could be produced by the given genes list. These metabolites were kept for analysis in addition to the gnotobiotic mouse identified metabolites. Those without any putative classification were removed from analysis.

**Microbiome methods. (i) Sample collection, extraction, and sequencing.** Stool samples were collected by the patient within 24 h of their clinic visit on sterile swabs dipped into a full fecal sample deposited into a commode specimen collector. Samples were kept cold or frozen at -20°C during transport prior to being stored at -80°C. DNA was extracted using the standard DNeasy PowerSoil kit protocol (Qiagen). Extracted DNA was PCR amplified with barcoded primers targeting the V4 region of 165 rRNA gene according to the Earth Microbiome Project 16S Illumina Amplicon protocol with the 515F:806R primer constructs (113). Control sterile swab samples that had undergone the same DNA extraction and PCR amplification procedures were also processed. Each PCR product was quantified using PicoGreen (Invitrogen), and equal amounts (ng) of DNA from each sample were pooled and cleaned using the UltraClean PCR Clean-Up kit (MoBio). Sequences were generated on six runs on a MiSeq sequencing platform (Illumina, San Diego, CA).

(ii) Microbiome sequence processing and analysis. Microbiome processing was performed using QIIME2 version 2018.8.0 (114). Data were sequenced across five sequencing runs. Each run was demultiplexed and denoised separately using the DADA2 q2 plugin (115). Individual runs were then merged together and 99% *de novo* OTUs were defined using vSEARCH (116). Features were classified using the skLearn classifier in QIIME2 with a classifier that was pretrained on GreenGenes13\_8 (117). The phylogenetic tree was building using the SEPP plugin (118). Features that did not classify at the phylum level or were classified as mitochondria or chloroplast were filtered from the analysis. Samples were rarefied at 19,986 reads. To reduce the number of comparisons within the microbiome, we binned highly cocorrelating groups of measures within the data types into modules (see Table S2). These modules were defined using the tool, SCNIC (119). For statistical analysis, features present in <20% of samples were filtered out.

**Bioinformatics. (i) Module definition.** Modules were called on microbiome and diet data. Modules were defined using the tool SCNIC (119). The q2-SCNIC plugin was used with default parameters for the microbiome data and standalone SCNIC was used for the diet data (https://github.com/shafferm/SCNIC). Specifically, for each data type SCNIC was used to first identify pairwise correlations between all features. Pearson correlation was used for diet and SparCC (120), which takes into account compositionality, was used for microbiome data. Modules were then selected with a shared minimum distance (SMD) algorithm. The SMD method defines modules by first applying complete linkage hierarchical clustering to correlation coefficients to make a tree of features. Modules are defined as subtrees where all pairwise correlations between all pairs of tips have an *R* value greater than defined minimum. The diet modules were defined using a Pearson *r*<sup>2</sup> cutoff of 0.75. The microbiome modules were defined using a SparCC minimum R value cutoff of 0.35. To summarize modules, SCNIC uses a simple summation of count data from all features in a module. Application of SCNIC reduced the number of evaluated features from 6,913 to 6,818 for microbiome and 59 to 29 for diet data.

(ii) Statistical analysis. All statistics were performed in R. For nonparametric tests, Spearman rank correlation and Kruskal-Wallis test were used. For parametric tests linear models and Student *t* test were used.

(iii) Data analysis tools. Metabolic disease score was calculated using PCA in R with prcomp. Data were scaled using default method within the prcomp library. All random forest analysis tools were used in R. Standard random forest was performed using the randomForest function. Variable selection was performed in R using the tool VSURF (38). Interaction analysis was performed in R using the tool iRF (42).

**Data availability.** Microbiome data are available at EBI/ENA under accession number ERP125300. Immune and diet data are available along with the microbiome data as associated metadata. Metabolomics data are available at Metabolomics Workbench under the study ID ST001750.

## SUPPLEMENTAL MATERIAL

Supplemental material is available online only. **TABLE S1**, XLSX file, 0.01 MB. **TABLE S2**, XLSX file, 0.02 MB.



 TABLE S3, XLSX file, 0.03 MB.

 TABLE S4, XLSX file, 0.01 MB.

 TABLE S5, XLSX file, 0.8 MB.

 FIG S1, TIF file, 2.6 MB.

 FIG S2, TIF file, 0.7 MB.

 FIG S3, TIF file, 0.9 MB.

 FIG S4, TIF file, 0.2 MB.

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We declare that we have no conflicts of interest.

A.J.S.A. analyzed and interpreted all data. A.J.S.A. and C.A.L. wrote the manuscript. N.R. guided generation and interpretation of metabolomics data. K.Q. and K.A.D. prepared, ran, and processed metabolomics. K.Q. ran metabolic pathway analysis. S.X.L. prepared and conducted mouse experiments. J.M.S. ran immunological assays. N.M.N. prepared and ran sequencing and coordinated fecal sample and metadata collection from study subjects. S.F. recruited subjects, collected samples, and maintained regulatory compliance. T.J.M. and J.H. collected and aided in interpretation and processing of diet data. C.A.L., B.E.P., and T.B.C. conceptualized and led the study. T.B.C. guided all clinical data collection and subject recruitment and provided clinical insight into study populations. B.E.P. guided generation and interpretation of immune data. C.A.L. guided microbiome data generation and multiomic data analysis. A.J.S.A. and J.F. performed statistical analyses. All authors read and approved the final manuscript.

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