

A prospective phase II study of multimodal prophylactic treatment for afatinib-induced adverse events in advanced non-small cell lung cancer (Niigata Lung Cancer Treatment Group 1401)

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Background: Afatinib has shown clinical benefits in patients with non-small cell lung cancer (NSCLC) harboring epidermal growth factor receptor (*EGFR*) mutations. Many patients treated with afatinib experience skin or gastrointestinal toxicity. However, an effective management strategy has not been established. This prospective study was conducted to evaluate the efficacy of multimodal prophylactic treatment for afatinib-induced toxicity.

Methods: This single-arm prospective study was conducted to evaluate the efficacy of multimodal prophylactic treatment for afatinib-induced toxicity in patients with *EGFR* mutation positive advanced NSCLC who planned to receive a 40 mg dose of afatinib. Eligible patients were treated with oral loperamide (2 mg twice per day), prophylactic minocycline (100 mg once per day), topical medium-class steroids, and gargling with sodium azulene. The primary endpoint was the ability of prophylactic loperamide to prevent severe or intolerable diarrhea during the 4 weeks after the initial administration of afatinib. The incidence, severity and time to occurrence of diarrhea, rash, oral mucositis and paronychia were evaluated based on a daily patient questionnaire.

Results: Forty-six patients were enrolled. The primary endpoint analysis was performed in 35 patients as the per-protocol (PP) population. The 4-week successful prophylaxis rate for severe or intolerable diarrhea was 82.9% (90% confidence interval: 70.1–91.9%). In the total population, the incidences of grade 3 or higher rash, oral mucositis and paronychia within 4 weeks were 4%, 2% and 4%, respectively.

Conclusions: Prophylactic loperamide administration was not effective in preventing severe or intolerable diarrhea during afatinib treatment. Adequate dose reduction will be a better approach to manage afatinib-induced diarrhea. Multimodal prevention using minocycline, topical steroids and gargling with sodium azulene may be helpful to maintain compliance with afatinib treatment (UMIN000016167).

Keywords: Non-small cell lung cancer (NSCLC); epidermal growth factor receptor (*EGFR*); afatinib; adverse event management

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Introduction

Epidermal growth factor receptor (EGFR) is the key target of driver-based precision medicine in non-small cell lung cancer (NSCLC) therapy. Mutation of the EGFR gene initiates the activation of an intracellular signaling cascade. This activation induces cell proliferation, angiogenesis and cancer metastases. EGFR tyrosine kinase inhibitors (EGFR-TKIs) inhibit these activation signals and induce a dramatic clinical response in EGFR-mutated NSCLC patients. Afatinib is categorized as a second-generation EGFR-TKI that irreversibly inhibits the ErbB family, including the EGFR tyrosine kinase domain. This agent has been approved and has shown superiority compared with chemotherapy as a first-line treatment in NSCLC patients with EGFR mutations (LUX-Lung 3 and LUX-Lung 6) (1,2). In the combined analysis of these two trials, afatinib demonstrated a survival benefit compared with platinum-doublet chemotherapy (3). Additionally, the LUX-Lung 7 trial was the first head-to-head randomized study to compare first-generation and second-generation EGFR-TKIs (4). Progression-free survival (PFS) and timeto-treatment failure were obviously longer in the afatinib arm than in the gefitinib arm. These studies suggested that afatinib is the most attractive agent for chemo-naïve NSLC patients with EGFR mutations.

Concerning side effects, the drug-associated adverse events (AEs) diarrhea, rash, oral mucositis and paronychia were more frequent with afatinib therapy than with gefitinib or erlotinib (5). Although it has been demonstrated that these kinds of AEs do not affect quality of life in clinical trials, they are often a problem for patients in clinical practice (6). A prospective randomized phase III trial of prophylaxis for erlotinib-induced rash named the Pan Canadian Rash Trial has already been reported (7). This trial suggested that prophylactic minocycline and reactive topical steroid treatments were some of the options for the management of erlotinibinduced rash. However, investigations of preventive treatment for other AEs have been insufficient, and effective preventive treatments for various AEs induced by afatinib have not yet been established. We considered that a multimodal intervention will be needed for efficacious

management to control afatinib-induced AEs. The aim of this trial is to investigate whether multimodal prophylactic supportive therapy can control AEs induced by afatinib. We present the following article in accordance with the TREND reporting checklist (available at http://dx.doi. org/10.21037/tlcr-20-649).

Methods

Study design

This study [Niigata Lung Cancer Treatment Group (NLCTG)1401] is a multicenter, single-arm prospective study evaluating the efficacy of a multimodal prophylactic intervention for advanced *EGFR*-mutated NSCLC patients treated with afatinib. All participants were recruited from 10 Japanese institutions according to the inclusion and exclusion criteria. Eligible patients were initiated at a dose of 40 mg of afatinib per day with the protocol-defined multimodal prophylactic interventions. This study was performed in accordance with the Helsinki Declaration (as revised in 2013). The Institutional Review Board of each participating institution approved the protocol. This study was registered in January 2015 on the clinical trial site of the University Hospital Medical Information Network Clinical Trials Registry (registration number: UMIN000016167).

Patient eligibility

The eligibility criteria were as follows: histologically or cytologically confirmed advanced NSCLC with *EGFR* active mutations; an Eastern Cooperative Oncology Group (ECOG) performance status (PS) of 0 to 2; afatinib-naïve patients who planned to be treated with a 40 mg dose of afatinib; over 20 years of age; and adequate organ function. The main exclusion criteria were as follows: history of interstitial pneumonia, active double cancer, and ileus or intestinal paralysis. All patients gave written informed consent prior to enrollment.

Study objectives and statistical analysis

The primary endpoint of this study was the ability of

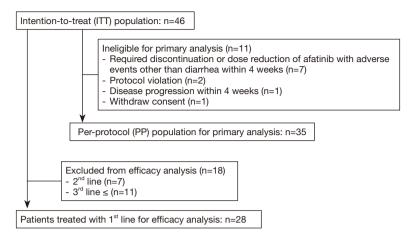


Figure 1 Details of the intent-to-treat population, per-protocol population and 1st-line efficacy analysis population.

prophylactic loperamide to prevent severe or intolerable diarrhea during the 4 weeks after the initial administration of afatinib. In the Japanese subgroup of the LUX-Lung 3 trial, the incidence of grade 3 diarrhea was 22%, and the percentage of dose reductions due to diarrhea was 22.2% (8). Based on these data, the prevention rate of severe or intolerable diarrhea during 4 weeks after initiation of afatinib was calculated as 77.8%. It was assumed that 75% of patients did not experience severe or intolerable diarrhea for 4 weeks. Additionally, it was assumed that if patients did receive multimodal prophylactic treatments, 90% of them would experience no severe or intolerable diarrhea for 4 weeks. With α and β errors of 0.1 and 0.2, respectively, the number of patients needed to analyze the effect of prophylactic loperamide was calculated to be 28 patients. First, we planned the target number of patients to be 30 patients, but early drop-out occurred due to side effects other than diarrhea or disease progression before 4 weeks after afatinib initiation. Thus, to obtain the analyzable cases, we set the final target number of patients as 45. The secondary endpoints were the incidence, severity and time to onset of diarrhea, rash, mucositis and paronychia. The antitumor effects of afatinib in the first-line setting were also evaluated. We used three data sets to analyze each endpoint (Figure 1). The intent-to-treat (ITT) population was defined as all enrolled patients. The per-protocol (PP) population was defined as a group of patients excluding early drop-out cases that were not ineligible for evaluating the primary endpoint. The first-line population was defined as a group of patients who were treated with afatinib as a first-line therapy. The antitumor effect of afatinib was evaluated only in this first-line population.

Patient assessment

Evaluation of AEs induced by afatinib was based on daily patient questionnaires (Appendix 1). The duration of evaluation was 12 weeks. This questionnaire included four questions about diarrhea, oral mucositis, paronychia and skin conditions based on Common Terminology Criteria for Adverse Events (CTCAE) grading. Furthermore, the number of doses of loperamide per day was also described in this questionnaire. The antitumor efficacy of afatinib was evaluated as a secondary endpoint, including the objective response rate (ORR), PFS, and overall survival (OS). The tumor response was evaluated using Response Evaluation Criteria in Solid Tumors (RECIST), version 1.1 (9). PFS was defined as the time from study enrollment to the date of initial disease progression or death. OS was defined as the time from study enrollment to death from any cause. All of the statistical analysis were performed using JMP 9 statistical software for Macintosh (SAS Institute Inc., Cary, North Carolina, USA).

Prophylactic treatments

Every eligible patient was treated with prophylactic treatments from the initiation of afatinib monotherapy until disease progression or intolerable toxicity. Treatment for diarrhea: patients were treated with loperamide 2 mg orally twice per day. Treatment for rash and paronychia: patients were treated with minocycline 100 mg orally once per day. Additionally, patients were treated with mediumgrade topical steroids on the body surface, including the fingertips. Treatment for oral mucositis: patients gargled a

Table 1 Patient characteristics

Parameter	Subtypes	ITT (n=46), n (%)	PP (n=35), n (%)	1 st line (n=28), n (%)
Sex	Male	14 (30.4)	10 (28.6)	10 (35.7)
	Female	32 (69.6)	25 (71.4)	18 (64.3)
Age, years	Median [range]	65 [36–83]	65 [36–79]	65 [36–77]
ECOG-PS	0	19 (41.3)	14 (20.0)	12 (42.9)
	1	22 (47.8)	18 (51.4)	12 (32.9)
	2	5 (10.9)	3 (8.6)	4 (14.2)
Histology	Adenocarcinoma	43 (93.5)	32 (91.4)	27 (96.4)
	Squamous	2 (4.3)	2 (5.7)	1 (3.6)
	Not other specified	1 (2.2)	1 (2.9)	0
Clinical stage	IIIB	1 (2.2)	1 (2.9)	1 (2.9)
	IV	34 (73.9)	23 (65.7)	19 (65.7)
	Recurrence	11 (23.9)	11 (31.4)	8 (31.4)
EGFR mutation	Exon 19 deletion	24 (52.2)	15 (42.9)	19 (42.9)
	Exon 21 L858R	11 (23.9)	11 (31.4)	2 (31.4)
	Others	11 (23.9)	9 (25.7)	7 (25.7)
Treatment lines	1 st line	28 (60.9)	21 (60.0)	28 (100.0)
	2 nd line	7 (15.2)	6 (17.1)	0
	≥3 rd line	11 (23.9)	8 (22.9)	0

ITT, intention-to treat; PP, per-protocol; ECOG, Eastern Cooperative Oncology Group; PS, performance status.

solution of sodium azulene four times a day.

Results

Patient characteristics

Between January 2015 and September 2016, 46 patients were enrolled in this study from 10 institutions. The details of patient enrollment and the analysis population are shown in *Figure 1*. Eleven enrolled patients were excluded from the primary endpoint analysis for the following reasons: 7 patients required discontinuation or dose reduction of afatinib with AEs other than diarrhea within 4 weeks, 2 patients experienced protocol violation, 1 patient experienced disease progression within 4 weeks, and 1 patient withdrew consent. Primary endpoint analysis was performed in 35 patients (the PP population).

The patient characteristics of each analysis set are presented in *Table 1*. The distributions of each patient background were similar. In the ITT population, 14 of 46

patients (30.4%) were male, with a median age of 65 years. The most common tumor histology was adenocarcinoma (93.5%). *EGFR* mutations were the mainly common mutations [exon 19 deletion (52.2%) and exon 21 L858R point mutation (23.9%)], and 11 patients had uncommon mutations. Twenty-eight patients (60.9%) were treated with afatinib as 1st-line therapy (1st-line population).

AEs during the evaluation period in the ITT population

The AEs that occurred during the evaluation period (84 days after afatinib administration) are summarized in *Table 2*. The most common AEs were diarrhea, oral mucositis, paronychia and rash/acne. The frequencies of all grades of diarrhea, oral mucositis, paronychia and rash were 83%, 57%, 52%, and 50%, respectively. The frequencies of grade ≥3 diarrhea, oral mucositis, paronychia and rash were 17%, 2%, 4%, and 4%, respectively. Treatment discontinuation related to afatinib occurred in 6 patients due to grade 4 transaminase elevation (2 patients), grade 3

Table 2 Adverse events and reason of dose reduction or discontinuation* (n=46)

Adverse event	All grade, n [%]	Grade 2, n [%]	≥ Grade 3, n [%]	Reason of dose, reduction, n [%]
Diarrhea	38 [83]	15 [33]	8 [17]	12 [26]
Oral mucositis	26 [57]	10 [22]	1 [2]	2 [4]
Paronychia	24 [52]	12 [26]	2 [4]	10 [22]
Rash/acne	23 [50]	6 [16]	2 [4]	6 [13]
Decreased appetite	20 [43]	9 [20]	6 [13]	10 [22]
AST elevation	11 [24]	1 [2]	2 [4]	0 [0]
Anemia	10 [22]	4 [9]	0 [0]	0 [0]
Serum creatinine elevation	10 [22]	1 [2]	0 [0]	0 [0]
Nausea	8 [17]	4 [9]	3 [7]	2 [4]
ALP elevation	8 [17]	2 [4]	2 [4]	0 [0]
Dry skin	8 [17]	2 [4]	0 [0]	0 [0]
ALT elevation	8 [17]	1 [2]	2 [4]	0 [0]
Constipation	8 [17]	1 [2]	0 [0]	0 [0]
Leukopenia	6 [13]	3 [7]	1 [2]	0 [0]
Neutropenia	5 [11]	0 [0]	1 [2]	1 [2]

^{*,} adverse events were shown for the ITT population reported in 10% of patients or more. AST; aspartate transaminase, ALP; alkaline phosphatase, ALT; alanine transaminase.

ileitis, grade 2 paronychia, grade 2 decreased appetite and grade 2 diarrhea. Three patients experienced grade 2 AEs and discontinued afatinib because they could not tolerate the AEs. AEs leading to dose reduction were observed in 27 patients. The main reasons for dose reduction were as follows: diarrhea (12 patients), paronychia (10 patients), decreased appetite (10 patients), rash/acne (6 patients), oral mucositis (2 patients), nausea (2 patients) and neutropenia (one patient) (*Table 2*). Treatment-related death was not observed. The time to AEs in the ITT population is shown in *Table 3*. The median time to onset of diarrhea, rash/acne or paronychia due to afatinib was 6, 12 or 26 days, respectively.

Primary endpoint analysis in the PP population

Primary endpoint analysis was performed in 35 patients classified as the PP population. The 4-week successful prophylaxis rate of severe or intolerable diarrhea was 82.9% (90% confidence interval: 70.1–91.9%). While the frequency of severe diarrhea tended to decrease, prophylactic oral loperamide therapy did not demonstrate statistically significant effectiveness. The 4-week successful

prophylaxis rate of severe or intolerable oral mucositis, paronychia or rash/acne was 95.6%, 100%, or 97.8%, respectively.

Antitumor effect of afatinib

Antitumor efficacy analyses were performed for 28 patients who were treated in the 1st-line setting of the ITT population. The *EGFR* mutation status was as follows: exon 19 deletion (n=19), exon 21 L858R point mutation (n=2), and uncommon mutation (n=7). The median follow-up duration was 14.8 months. Two patients achieved a complete response, and 21 patients achieved a partial response, for an ORR of 82%. Two patients showed stable disease, for a DCR of 89%. The median PFS was 15.8 months (95% CI: 8.0–not reach), and the median OS was 26.4 months (95% CI: 26.4–32.1) (*Figure 2A,B*).

Discussion

This is the first prospective study to elucidate the efficacy of multimodal prophylactic treatments for afatinib-induced toxicity, including diarrhea, rash, oral mucositis and

Table 3 Time to adverse events in the ITT population

Variables	n	≤28 days, n (%)	>28 days, n (%)	Median time-to-onset of AE
Diarrhea	38	38 [100]	0 [0]	6 [2–20]
Oral mucositis	26	22 [85]	4 [15]	10 [1–374]
Paronychia	24	13 [54]	11 [46]	26 [2–118]
Rash/acne	23	20 [87]	3 [13]	12 [4–58]
Decreased appetite	20	18 [90]	2 [10]	9 [2–57]
AST elevation	11	11 [79]	3 [21]	17 [2–489]
Anemia	10	9 [75]	3 [25]	14 [2–76]
Serum creatinine elevation	10	10 [91]	1 [9]	11 [2–64]
Nausea	8	7 [88]	1 [12]	5.5 [2–30]
ALP elevation	8	7 [78]	2 [22]	12 [2–489]
Dry skin	8	5 [63]	3 [37]	11 [4–83]
ALT elevation	8	7 [70]	3 [30]	14 [2–489]
Constipation	8	10 [100]	0 [0]	2 [1–6]
Leukopenia	6	6 [86]	1 [14]	17 [7–76]
Neutropenia	5	3 [60]	2 [40]	28 [14–57]

ITT, intention-to treat; AE, adverse event; AST, aspartate transaminase; ALP, alkaline phosphatase; ALT, alanine transaminase.

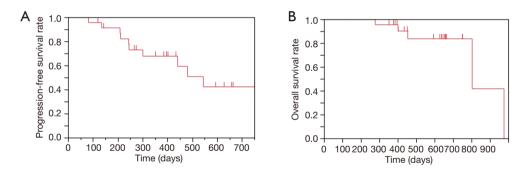


Figure 2 Survival analyses for 1st-line afatinib (n=28). (A) Kaplan-Meier curve of progression-free survival (PFS). The median PFS duration was 15.8 months. (B) Kaplan-Meier curve of overall survival (OS). The median OS duration was 26.4 months.

paronychia. This study could not demonstrate efficacy of prophylactic loperamide use for preventing severe diarrhea induced by afatinib. However, multimodal treatment including minocycline with medium-grade topical steroid and gargling with a solution of sodium azulene might be effective for preventing other problematic AEs. These kinds of interventions can improve the tolerability of a 40 mg dose of afatinib and impact the antitumor effect.

Afatinib is a second-generation EGFR-TKI and an irreversible inhibitor of ErbB family members, including

EGFR. This agent is potentially effective compared with first-generation EGFR-TKIs. However, many patients treated with afatinib experienced severe or intolerable AEs, such as diarrhea, oral mucositis, paronychia, or rash/acne. In two pivotal phase III trials of afatinib (LUX-Lung 3 and LUX-Lung 6), the dose reduction rates due to AEs were 52% and 28%, respectively (1,2). In the Japanese subgroup analysis of the LUX-Lung 3 trial, the incidence of all grade or grade 3 and higher AEs was higher than that in the global population (8). The improvement of afatinib-induced

AE management remains an important issue.

Afatinib-induced diarrhea is the most problematic AE and often affects quality of life. In the Japanese subgroup analysis of the LUX-Lung 3 trial, the incidence of all grade or grade 3 and higher diarrhea was 100% or 22.2%, respectively (8). The time to onset of diarrhea was 4 days, with a range of 2-84 days (10). It remains an important clinical question whether the use of prophylactic antidiarrheal drugs prevents severe diarrhea induced by afatinib. The current study investigated the prophylactic effectiveness of the use of loperamide 2 mg orally twice per day for afatinib-induced diarrhea. The incidence of all grade or grade 3 and higher diarrhea was 83% or 17%, respectively. The median time to onset of diarrhea was 6 days, with a range of 2-20 days. These data suggested that aggressive use of loperamide might be effective for preventing severe diarrhea and delaying the time to onset of diarrhea compared with the data of Japanese subgroup analysis of LUX-Lung 3. However, the effectiveness of aggressive use of loperamide was marginal, and constipation was frequently observed in this study (the all-grade frequency was 22%, and the grade 2 frequency was 4%). The prophylactic administration of loperamide should not be recommended to all patients who are treated with afatinib.

Few prospective studies have investigated an antidiarrheal drug for afatinib-induced diarrhea. The prospective phase II study to evaluate the preventive effect of kampo medicine (hangeshashinto, TJ-14) for afatinib-induced diarrhea reported that the incidence of \geq grade 3 diarrhea within 4 weeks of treatment was 3.4% (11). However, kampo medicine has not been recognized as the global standard treatment because of insurance reimbursement or unexpected side effects, such as interstitial lung disease. A pharmacokinetics study of afatinib demonstrated that afatinib-induced severe diarrhea was associated with the maximum plasma concentration values and the area under the plasma concentration-time curve (12). However, the prediction of severe diarrhea will be very difficult because afatinib plasma levels showed interpatient variability in the pharmacokinetic study of the LUX-Lung 3 trial (1). The post hoc analysis of LUX-Lung 3 and 6 suggested that symptom-based dose reduction was efficacious for reaching the adequate afatinib dosage (4). The randomized phase IIb LUX-Lung 7 study reproducibly showed the similar efficacy of afatinib in patients who experienced dose reduction due to treatment related adverse event compared with patients who could treat with 40 mg dose once a day (13).

Considering these reports and the result of our study that prophylactic loperamide administration was not effective in preventing afatinib-induced severe diarrhea, not hesitating to perform a dose reduction according to the patient's symptoms is the appropriate practical approach to manage afatinib-induced diarrhea.

Skin toxicities, including rash/acne and paronychia, were common AEs in EGFR-TKI therapy. In the Japanese subgroup analysis of the LUX-Lung 3 trial, the incidence of all grade or grade 3 and higher rash/acne was 100% or 20.4%, respectively (8). The incidence of all grade or grade 3 and higher nail effects (paronychia) was 92.6% or 25.9%, respectively (8). The time to onset of rash/acne or paronychia was 7 days (range, 1-171 days) or 37 days (range, 8-278 days), respectively (10). The preventive efficacy of tetracyclines and topical corticosteroids for EGFR-TKI-induced rashes has been investigated. A prospective randomized phase III trial showed that 4 weeks of prophylactic minocycline administration could reduce the severity of erlotinib-induced skin rash (7). It has been suggested that prophylactic tetracycline will be a promising strategy to prevent severe skin rash induced by EGFR-TKIs. In the current study, the incidence of severe rash and acne or paronychia was only 4%. The current study demonstrated that a prophylactic approach using minocycline administration with topical corticosteroids reduced the incidence of afatinib-induced dermatologic AEs but did not delay the time to onset of afatinib-induced dermatologic AEs. Notably, paronychia is the most common toxicity that compromises quality of life due to pain in patients treated with afatinib. However, the management of these AEs has not been fully investigated. This study is the first to report the possibility of preventive efficacy of minocycline with topical corticosteroids for afatinib-induced paronychia. On the other hand, prophylactic minocycline might induce additional side effects. Two cases of severe transaminase elevation were reported in the current study. Severe liver injury is a rare AE in afatinib therapy because afatinib is mainly metabolized by non-enzymecatalyzed Michael adduct formation on proteins (14). Long-term minocycline use may be a risk factor for liver injury, including autoimmune hepatitis (15). During prophylactic use of minocycline administration for afatinibinduced rash/acne or paronychia, attention should be paid to liver injury, and it is necessary to refrain from long-term administration.

Patients treated with afatinib often experience oral mucositis. Patients who experience this AE suffer from

dysgeusia and oral pain, but an effective prophylactic strategy has not been established. Basic oral care is essential to prevent oral mucositis, but further intervention will be needed for chemotherapy- or EGFR-TKI-induced oral mucositis (16). Gargling a solution of sodium azulene is often carried out empirically for the prevention of oral mucositis, but there are few prospective data. The incidence of severe oral mucositis in this study was only 2%. This is the first prospective study to evaluate the preventive efficacy of gargling a solution of sodium azulene for afatinibinduced oral mucositis.

The limitation of this study is that these results were depended on a small population-based nonrandomized trial, and the primary endpoint was not met. Thus, we recommend a dose reduction to manage the afatinibinduced severe diarrhea, not a prophylactic and aggressive use of loperamide. Furthermore, the sample size of this study was relatively small regarding evaluating the secondary endpoints. However, the result of this study suggests that multimodal intervention should consists of minocycline, topical steroids and gargling with sodium azulene as useful in preventing severe toxicities due to afatinib and in maintaining the compliance of afatinib. Notably, the median PFS was 15.8 months, and the median OS was 26.4 months in the efficacy analysis. These results were obviously favorable compared with previously reported data. To confirm the efficacy of this multimodal prophylactic treatment for afatinib therapy, a randomized trial is warranted.

Conclusions

Prophylactic loperamide was not effective in preventing severe or intolerable diarrhea within 4 weeks during afatinib treatment. The appropriate management for severe diarrhea induced by afatinib is tolerability-guided dose adjustment. Multimodal prevention using minocycline, topical steroids and gargling with sodium azulene has the potential to maintain compliance with afatinib.

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Footnote

Reporting Checklist: The authors have completed the TREND reporting checklist. Available at http://dx.doi.org/10.21037/tlcr-20-649

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Ethical Statement: The authors are accountable for all aspects of the work in ensuring that questions related to the accuracy or integrity of any part of the work are appropriately investigated and resolved. This study was performed in accordance with the Helsinki Declaration (as revised in 2013). The Institutional Review Board of each participating institution approved the protocol. This study was registered in January 2015 on the clinical trial site of the University Hospital Medical Information Network Clinical Trials Registry (registration number: UMIN000016167).

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