Virtual Intervention for Caregivers of Persons With Lewy Body Dementia: Pilot Quasi-Experimental Single-Arm Study

Oleg Zaslavsky, BSN, MHA, PhD; Jasmine Kaneshiro, DNP; Frances Chu, MSN, MLiS; Andrew Teng, PhD; Kimiko Domoto-Reilly, MD; Annie T Chen, PhD

University of Washington, Seattle, WA, United States

Corresponding Author: Oleg Zaslavsky, BSN, MHA, PhD University of Washington 1959 Pacific Street NE Seattle, WA, 98195 United States Phone: 1 2068493301 Email: ozasl@uw.edu

Abstract

Background: Compared to other types of dementia, family caregivers of people with Lewy body dementia (LBD) report higher stress levels and more severe depressive symptoms. Although several digital support interventions for caregivers of persons with dementia exist, few target LBD specifically or leverage a fully remote and asynchronous approach suitable for pandemic circumstances.

Objective: We performed a pilot evaluation of a digital intervention designed to help caregivers of people with LBD address challenges they have experienced, with the end goal of reducing psychological distress in this population.

Methods: We recruited 15 family caregivers of people with LBD to participate in the quasi-experimental, single-arm, mixed methods study titled Virtual Online Communities for Aging Life Experience–Lewy Body Dementia (VOCALE-LBD). The study offers an 8-week web-based intervention that uses a digital discussion platform and involves moderation, peer-to-peer support, didactic training, and problem-solving skill enactment.

Results: Participants' baseline characteristics were the following: mean age 66 (SD 8) years; 14 of 15 (93%) of them were female; all (15/15, 100%) were White; and 8 (53%) of them had at least a postgraduate degree. Throughout the intervention, participants engaged in weekly web-based discussions, generating a total of 434 posts (average 4 posts per week). Attrition was 20% (3/15). Upon study exit, participants showed the following average improvements: 3.0 (SD 6.0) in depression, 8.3 (SD 16.7) in burden, 2.9 (SD 6.8) in stress, and 0.3 (SD 0.8) in loneliness. When looking at the proportion of participants with clinically significant improvement versus those with a worsening of \geq 0.5 SD for each outcome, we observed net improvements of 50% (6/12), 33% (4/12), 25% (3/12), and 25% (3/12) in depression, loneliness, burden, and stress, respectively. In terms of the benefits of participants reported that participation helped them "a great deal" to (1) improve their understanding of LBD (9/12, 75%), (2) gain confidence in dealing with difficult behaviors of the care recipient (6/12, 50%), and (3) improve in one's abilities to provide care to the care recipient (4/12, 33%).

Conclusions: The study generated promising feasibility and preliminary efficacy data for a low-cost, web-based intervention designed for caregivers of persons with LBD. Though the study was not powered for significance, we observed nominal average and net improvements in important psychological outcomes. Moreover, many caregivers reported that study participation helped them better understand the disease, feel more confident in dealing with difficult behaviors of the care recipient, and improve their ability to care for the care recipient. If validated in future studies, the intervention could be an accessible, on-demand resource for caregivers, enabling them to engage in moderated remote discussions with peers at their own convenience in terms of location, time of the day, and frequency.

(JMIR Form Res 2022;6(7):e37108) doi: 10.2196/37108

KEYWORDS

RenderX

dementia; caregiver; internet based; digital health; digital intervention; eHealth; feasibility; web-based; peer support; didactic training; caregiving; informal care; spousal care; remote intervention; Lewy body; Lewy bodies; discussion forum; discussion

platform; online support; distress; stress reduction; online discussion; support group; discussion group; burden; depression; depressive symptom; lonely; loneliness; mental health

Introduction

Lewy body dementias (LBDs), referring to both dementia with Lewy bodies and Parkinson disease dementia (PDD), are the second most common type of degenerative dementia in older adults [1]. These are complex disorders in which patients often exhibit disruptive behaviors that make caregiving challenging [1,2]. Compared to other types of dementia, caregivers of people with LBD report higher stress [3] and more severe depressive symptoms [4]. Many were unsatisfied with the support they received, even in pre-COVID-19 contexts [5]. The ongoing COVID-19 pandemic has multiplied the challenges for family caregivers of persons with LBD [6]. First, changes in daily life, such as limited in-person social contact, can exacerbate neurobehavioral symptoms, causing significant distress to caregivers [7]. Second, as older persons with dementia are especially susceptible to COVID-19 complications [8], caregivers of persons with LBD may engage in more restrictive, prolonged protective practices that affect their own opportunities for peer-to-peer connection, socialization, and physical and cognitive stimulation. Finally, many caregivers rely on support services that could be severely disrupted or even permanently discontinued amid the ongoing pandemic. As such, support interventions that conform to "the new normal" realities in the COVID-19 era for caregivers of persons with LBD are warranted.

A proliferation of interventions for informal caregivers of persons living with dementia has occurred in recent years, including digital interventions focusing on caregiver empowerment and the psychological health [9-13]. However, many of the digital interventions do not account for the unique characteristics of their target users, affecting their effectiveness and implementation potential [14], and still include in-person elements that might be suboptimal in pandemic circumstances. Many lack a fully remote, asynchronous approach that allows on-demand engagement in terms of location, time, and frequency and do not focus on LBD specifically. A fully remote, asynchronous intervention specifically designed for family caregivers of persons living with LBD, leveraging peer-to-peer support, may fill the gap and improve psychological health in this population.

This study examined the feasibility of such an intervention, called Virtual Online Communities for Aging Life Experience–Lewy Body Dementia (VOCALE-LBD), in terms of recruitment, retention, and preliminary efficacy concerning the following psychological outcomes: caregiver burden, depressive symptoms, stress, and loneliness using a quasi-experimental, single-arm, mixed methods design. Owing to the preliminary nature of the study, the quantitative analyses were not powered for significance but were used to examine whether the intervention was associated with trends in the expected directions of the outcome measures. The qualitative data, on the other hand, provided opportunities to better understand participants' experiences with the intervention and areas for improvement.

https://formative.jmir.org/2022/7/e37108

Methods

Study Design

This was a prospective, one-group, pre-post study to assess the feasibility and preliminary efficacy of a new web-based intervention involving moderation, peer-to-peer support, didactic training, and problem-solving skill enactment. Participants were granted access to the VOCALE-LBD intervention hosted on a private website.

Ethical Considerations

The University of Washington's (UW's) institutional review board (IRB) approved the study protocol (approval 13431). At enrollment, participants provided informed consent to be included in the study.

Participants

Participants were recruited from the Memory and Brain Wellness Center (MBWC) at Harborview Medical Center in Seattle, Washington. The MBWC encompasses both the MBWC clinic and the Alzheimer's Disease Research Center (ADRC) and has been designated as a Lewy Body Dementia Association Research Center of Excellence [15]. The MBWC evaluates over 1000 new patients on an annual basis, and it is the only major academic medical center serving the 5-state region of Washington, Wyoming, Alaska, Montana, and Idaho. The ADRC maintains a continually updated, comprehensive contact list of persons who have signed an IRB-approved consent form to be contacted about participation in research studies conducted by UW-affiliated researchers. Many of the Research Registry members have been evaluated at the MBWC clinic and hence have a recent, reliable clinical diagnosis of specific types of dementia such as Alzheimer disease, Parkinson disease dementia (PDD), Dementia with Lewy bodies (DLB), etc. Family members of clinic patients are also eligible to join the registry. All have expressed willingness to be contacted for potential study participation. Eligibility criteria for this study were as follows: being family or informal caregiver of a person with a diagnosis of LBD; being able to read, write, and speak English; having a device that can access the internet and be used for videoconferencing or telephone calls; and being ≥ 18 years old. Of note, when possible, MBWC clinicians aim to distinguish between DLB and other subtypes of dementia, such as PDD, and to educate patients and their caregivers on the exact diagnosis. As such, most, if not all, study participants cared for people who were highly likely to have a diagnosis of DLB. Participants were compensated up to US \$250 depending on their participation.

Intervention

We adapted a prior social networking intervention that was developed for older adults with prefrailty and frailty, VOCALE [16-18], to the needs of caregivers of individuals with LBD. Similar to our previous studies, the refined intervention included training and moderated web-based discussion components. Training sessions were performed remotely; participants were

XSL•FO RenderX

introduced to the platform (Figure 1) and described activities to learn how to interact with the discussion board. Weekly thematic discussion prompts (Figure 2 shows an example of a prompt concerning hallucinations) allowed participants to respond to a specific topic of interest at their leisure and provided the participants an opportunity to interact with each other. The first 3 weeks were focused on the most salient LBD caregiving experiences featured in previous literature [5,19,20] and our previous formative work. Briefly, in this formative work, we conducted 8 individual interviews and 2 focus groups with caregivers of persons with LBD to identify relevant topics for the intervention. We used open-ended prompts to elicit ideas that caregivers would be interested in discussing during the study. Sleep problems, hallucinations and delusions, and self-care emerged as the topics of greatest interest and thus became our topics for the first 3 weeks.

The next 5 weeks involved psychoeducational materials based on problem-solving therapy (PST), a cognitive behavioral intervention focused on the adoption and application of adaptive problem-solving attitudes and skills [21]. PST has been used with individuals with different types of problems, including caregivers [22,23] and older adults with other health issues [24]. The intervention also incorporated personas or prototypical examples of caregivers of persons with LBD (Figure 3 shows an example of a persona). Personas, or representations or archetypes grounded in real data, are often used in user-centered design to help inform product design [25]. In this context, we used personas to enable participants to practice solving realistic problems that they might face. Working with the personas also provided some other potential benefits, such as being able to practice problem-solving without sharing anything too personal, as well as having an outlet to focus on someone besides themselves.

Two research staff members served as the study's moderators. Both were advanced practice nurses who received moderator training from the study team. Moderators logged in at least 3 times each weekday and at least once per weekend day to review any new comments and add comments to address questions, provide emotional support and validation, encourage dialogue, and redirect discussions as needed to stay on topic. The moderators did not provide any medical advice. Moderators also monitored for comments with inaccurate information and addressed them as needed through private email or discussion board comments. Lastly, moderators sent personalized reminder emails once a week to participants who had not yet posted. They replied to most participants' comments.

w

Figure 1. Landing page of Virtual Online Communities for Aging Life Experience (VOCALE)-Lewy Body Dementia.

Virtual Online Communities for Aging Life Experiences



Discussion Board

andrew Andrew (Tech Support)

Click here to go to the homepage

Welcome to the VOCALE discussion board!

Below are our weekly discussion topics arranged in order and split into two parts: (1) general health and (2) problem-solving. As a reminder, we ask that each of you **reply at least twice a week**; feel free to respond to all participants as much as or as a little as you feel comfortable! We look forward to interacting with all of you!

Discussion board rules	Dis	SCL	ISS	ion	boa	rd	ru	les
------------------------	-----	-----	-----	-----	-----	----	----	-----

	Week	Dates	Торіс
PART I:	ICE	BREAKERS	AND INTRODUCTIONS
		July 26- August 1	Ice Breaker #0
		August 2-8	Ice Breaker #1
		August 9- 15	Ice Breaker #2
PART II:	GENERAL	HEALTH	DISCUSSIONS
	1	August 16- 22	Self-Care
	2	August 23- 29	Sleep Problems
			Hallucinations and Delusions

This week, we are also going to be providing you with a questionnaire known as The Problem Solving



Zaslavsky et al

Figure 2. Virtual Online Communities for Aging Life Experience-Lewy Body Dementia sample weekly discussion prompt. LBD: Lewy body dementia.

Click here to go back to the discussion board



Good morning! Please read the prompt below and respond by clicking the 'Reply' button. As a reminder, we ask that all participants comment **at least twice a week** for full participation. Thank you so much and we look forward to a fruitful discussion!

This week, we are going to talk about hallucinations and delusions, which are common among people with LBD.

Many people with LBD experience visual hallucinations, meaning that they see things that aren't there. For example, someone may think they are seeing an intruder trying to come into the house. Non-visual hallucinations (perhaps involving hearing or smell) are less common but can also occur.

Delusions, which are misguided thoughts or opinions not grounded in reality, are also common with LBD. An example of a delusion is that a relative or caregiver has been replaced by an impostor - this is known as Capgras syndrome.

Both hallucinations and delusions can start off as misperceptions, such as seeing shapes or figures in complex patterns on a rug, or in a pile of leaves. Does your loved one experience either or both of these? Let's discuss. Here are some questions to consider:

- Can you describe some of the hallucinations and/or delusions? How often do they happen?
- What do you do when your loved one experiences hallucinations and/or delusions?
- How have they affected your loved one and yourself?
- Do you have any questions about hallucinations and delusions and what to do about them?
- Is there anything that you wish you knew about how to manage them better?

Click 'Reply' and please comment on your experiences below and respond to other participants.

Figure 3. Virtual Online Communities for Aging Life Experience-Lewy Body Dementia persona page.

▼ (1) Pam and Jim (click to expand)

In this scenario, we are going to try and help Pam, a person who is a caregiver for Jim. Jim has Lewy Body Dementia (LBD). We will help Pam with problem-solving. First, let's focus on helping Pam define her problems and her goals. Then, we'll help her solve them.

Pam and Jim



Pam is 69 years old and is a caregiver for her husband Jim, who is 70 years old. Jim needs help all the time. Their adult son, Steve, lives a few minutes away and helps with caregiving too. Pam tries her best to provide good care to Jim, who has a diagnosis of Lewy Body Dementia (LBD) and is experiencing symptoms including memory loss, trouble communicating, visual hallucinations, tremors, and sleep changes. Jim also has high blood pressure and diabetes.

Because of Jim's symptoms, Pam has to be by his side almost all the time during the day. Pam also often has to help Jim overnight. Recently, Pam started to experience pain in her back and joints. This affects her ability to take care of Jim. Pam is a bit frustrated about this. Even though life has become more difficult for Pam, she tries not to tell their children about her concerns because she does not want to be a burden.

Details about Pam:

- Support community: Husband, adult son
- Personality: Not very social
- Location of residence: House in a town in WA state
- Health symptoms: Joint and back pain, trouble sleeping
- Technology access: Uses a computer every day to play solitaire
- Sources of health information: TV, healthcare providers
- Goals: Improve ability to take care of Jim and manage her own health

Data Collection Procedures

All data collection sessions in this pilot were virtual and took place on Zoom. The study team collected data using Research Electronic Data Capture (REDCap) [26], a web-based survey platform, at 2 time points: baseline and post intervention. At

https://formative.jmir.org/2022/7/e37108

RenderX

baseline, the research coordinator provided training on the discussion board website and administered a set of demographic and clinical questionnaires (described in *Measures*). The second postintervention assessment occurred between weeks 9 and 10 and included another set of questionnaires and exit interviews. Exit interviews lasted approximately an hour each and were

conducted with a semistructured interview guide that asked for caregiver feedback on topics including various program components, whether people felt a sense of community or support, what was learned or gained from the discussion, and motivations to participate. Caregivers were also given the opportunity to provide any additional feedback or suggestions.

Measures

Depressive Symptoms

We used the 20-item version of the Center for Epidemiologic Studies Depression (CES-D) Scale to assess depression [27]. For each statement, respondents indicated how often they felt depressed during the past week using a scale from 0=rarely or never to 3=most or all of the time. Scores could range from 0 through 60, with higher scores indicating an increased presence of depression symptoms.

Caregiver Burden

We used the full 22-item version of the Zarit Caregiver Burden Interview [28]. Caregivers rated each item on a 5-point scale from 0=never to 4=nearly always, yielding a possible range of 0 to 88. Higher values indicated greater levels of caregiver burden.

Perceived Stress

We used the full 10-item version of the Perceived Stress Scale [29]. Caregivers rated each item on a 5-point scale from 0=never to 4=very often, yielding a possible range of 0 to 40. Higher values indicated greater perceived stress.

Loneliness

We used a short 3-item version of the Revised UCLA Loneliness Scale [30]. Its possible range was from 0 to 6 with higher scores indicating greater loneliness.

Social Support

We used a 9-item questionnaire from the Medical Outcomes Study [31]. The questionnaire was designed to assess the amount of social support the participant had available. Responses were scored on a 5-point scale ranging from "none of the time" to "all of the time." We computed total social support scores by summing the scores for all items after recoding responses. Scores could range from 0 through 45, with higher scores indicating increased social support.

Self-efficacy

We used a 5-item Health Self-Efficacy Measure [32]. For each statement, respondents indicated their level of agreement concerning their health management using a scale from 0=strongly disagree to 4=strongly agree. Scores could range from 0 through 20, with higher scores indicating stronger health self-efficacy.

Benefits of Participation

On conclusion of the study, we asked all caregivers 4 questions adapted from the REACH II study [33] about ways in which they benefited from participating in the study. Specifically, we asked whether their participation helped improve their understanding of LBD, improve their confidence and ability to deal with difficult behaviors of the care recipient, and make their caregiving life easier. The response options for each question were "not at all," "some," and "a great deal."

Data Analysis

Descriptive statistics summarized demographics at baseline and outcome distributions by measurement occasions. We also calculated mean (SD) pre- and postintervention change scores for each of the measurements. Next, for each outcome, we calculated the number and percentage of individuals who improved by at least 0.5 SD from baseline to post intervention, and the number and percentage of those who worsened by at least 0.5 SD, and then we subtracted the former form the latter to calculate a net improvement score. We also calculated the number and percentage of individuals selecting response options from the benefit of the participation questionnaire.

Exit interviews were recorded and transcribed verbatim. We analyzed the data using an inductive method adapted from previous research concerning digital interventions for behavioral change [34]. The research team reviewed transcripts line by line and then created a table to organize interview data at the participant level into categories. Categories were based on the interview guide, such as motivations to participate in the study, what was learned from the experience, and participants' thoughts on different program components. Suggestions, critiques, and other comments from participants were categorized as actionable, not actionable, or needing further discussion and consideration in the future. After each participant's interview, data were added to the table, and common responses were tabulated. Data abstraction was performed by JK, and all the coauthors participated in the review of the table.

Results

Participants

Of the 19 potential candidates screened, 15 were eligible, consented to the study, and provided baseline data (Figure 4). A total of 3 participants left the study at weeks 2, 3, and 5, which yielded a total of 12 participants who completed the full protocol, resulting in an 80% retention rate. Table 1 summarizes the baseline data. The mean participant age was 66 (SD 8) years, all participants (n=15, 100%) were White, and 93% (14/15) were female. In total, 8 (53%) participants had at least a postgraduate degree, and 80% (12/15) of the participants provided more than 40 hours of care per week.



Zaslavsky et al

Figure 4. Flowchart for study screening, enrollment, and completion. LBD: Lewy body dementia.



Tuble 1. I underpunt demographics (busenine, 11-15).	Table 1.	Participant	demographics	(baseline;	N=15).
---	----------	-------------	--------------	------------	--------

Variables	Values				
Sex, n (%)					
Female	14 (93)				
Male	1 (7)				
Age (years), mean (SD)	65.8 (8.3)				
Caregiver relationship: spouse or partner, n (%)	15 (100)				
White, not of Hispanic/Latino ethnicity, n (%)	15 (100)				
Education level, n (%)					
High school diploma or General Educational Development	3 (20)				
Vocational or associate's degree	3 (20)				
Baccalaureate degree	1 (7)				
Master's degree	5 (33)				
Doctoral degree	3 (20)				
Time spent as a caregiver (years), mean (SD)	3.8 (2.5)				
Average care time per week, n (%)					
Up to 8 hours	1 (7)				
20-39 hours	2 (17)				
≥40 hours	12 (80)				
Computer comfort level, n (%)					
Very uncomfortable	2 (17)				
Neutral	1 (7)				
Somewhat comfortable	6 (40)				
Very comfortable	6 (40)				

https://formative.jmir.org/2022/7/e37108

XSL•FO RenderX

Data, Measures, and Analysis

Table 2 summarizes engagement metrics over time. Throughout the intervention, participants engaged in weekly web-based discussions, generating a total of 434 posts (average of 4 posts per week). On study exit (Table 3), participants showed the following mean improvements: 3.0 (SD 6.0) in depression, 8.3 (SD 16.7) in burden, 2.9 (SD 6.8) in stress, and 0.3 (SD 0.8) in loneliness. When we calculated differences in the proportion of participants with clinically significant improvement versus those with a worsening of ≥ 0.5 SD for each outcome (Table 4), we observed net improvements of 50% (6/12), 35% (4/12), 25% (3/12), and 25% (3/12) in depression, loneliness, burden, and stress, respectively. When we assessed the benefits of participation (Table 5), 75% (9/12) of the participants reported that participation helped them "a great deal" to improve their understanding of LBD compared to 25% (3/12) of them selecting the "some" response option. Similarly, in response to the question about confidence in dealing with difficult behaviors of the care recipient, 50% (6/12) of the participants selected "a great deal," 42% (5/12) of them selected "some," and 8% (1/12) of them selected "not at all." Finally, in response to a question about improvement in one's abilities to provide care to the care recipient, 33% (4/12) of the participants selected "a great deal," 58% (7/12) of them selected "some," and 8% (1/12) of them selected "not at all."

Qualitative data from the exit interviews showed that of the 12 participants who completed the pilot, 92% (11/12) of them

reported they had a positive experience. All participants noted that they felt supported by other participants. Motivations for participating in the study included the following: wanting to support others (4/12, 33%), wanting to interact with others in similar situations and obtain feedback (6/12, 50%), and contributing to research (4/12, 33%).

When asked what they learned or gained from the pilot, 50% (6/12) of the participants mentioned the opportunity to hear from others who were caregivers of people with LBD, and 83% (10/12) of them discussed learning from others, such as solutions and strategies that others have used. Two-thirds (8/12, 67%) of participants felt that the problem-solving elements of the pilot were helpful. One-fourth (3/12, 25%) of participants felt that their baseline problem-solving skills were already robust; therefore, the intervention did not contribute to improvement. One-third (4/12, 33%) of participants did not feel that the intervention's problem-solving framework was helpful, with 2 participants (17%) mentioning that they felt the framework was difficult to apply in real life. All participants offered constructive feedback regarding the personas. For example, one-third (4/12, 33%) of participants felt that they could not relate to one or both personas, and one-third (4/12, 33%) of them felt that the personas needed to be fleshed out with more details to be useful. Over half (7/12, 58%) of the participants would have preferred to discuss participants' actual problems rather than those of the personas.

Participant ID	Posts, n								Total posts, n
	Week 1	Week 2	Week 3	Week 4	Week 5	Week 6	Week 7	Week 8	
1	3	4	2	4	7	7	5	5	37
2	9	3	2	3	3	6	1	2	29
3	2	5	2	2	2	4	2	3	22
4	2	3	2	2	3	1	0	1	14
5	4	7	3	1	3	0	0	0	18
6	8	5	15	3	1	3	3	6	44
7	4	2	0	0	0	0	0	0	6
8	15	12	8	2	8	10	2	7	64
9	4	6	5	2	4	3	3	2	29
10	3	3	4	2	4	2	2	2	22
11	6	12	5	5	8	10	6	5	57
12	4	5	5	2	4	2	3	4	29
13	3	6	9	4	2	8	2	5	39
14	0	0	0	0	0	0	0	0	0
15	3	3	2	3	3	3	3	4	24
Weekly total	70	76	64	35	52	59	32	46	434

Table 2. Participant engagement (posts per week).



Parameters	Baseline, mean (SD)	Postintervention (n=12), mean (SD)	Change (n=12), mean (SD)
Depressive symptoms	13.3 (9.0)	10.9 (9.9)	-3.0 (6.0)
Health self-efficacy	21.5 (3.3)	21.8 (3.6)	-0.6 (4.3)
Perceived stress	16.2 (7.2)	13.0 (9.0)	-2.9 (6.8)
Loneliness	5.4 (1.7)	5.0 (1.7)	-0.33 (0.8)
Social support	32.3 (7.9)	33.08 (7.1)	-0.33 (4.2)
Caregiving burden	39.1 (10.8)	36.3 (17.0)	-8.3 (16.6)

Table 3. Baseline, postintervention, and change scores (N=15).

Table 4. Clinically significant changes^a (N=12).

Variable	Improved, n (%)	Worsened, n (%)	Net improvement ^b , n (%)
Depressive symptoms	8 (70)	2 (17)	6 (50)
Health self-efficacy	3 (25)	4 (33)	-1 (-8)
Perceived stress	5 (42)	2 (17)	3 (25)
Loneliness	4 (33)	0 (0)	4 (33)
Social support	3 (25)	2 (12)	1 (8)
Caregiving burden	5 (42)	2 (17)	3 (25)

^aClinically significant improvement and worsening was defined as an unadjusted standardized change of ≥ 0.5 SD from baseline to follow-up. ^bNet improvement = participants who improved – participants who worsened.

Table 5.	Benefits	of	partici	pating	in	the	study	(N=1	2)
----------	----------	----	---------	--------	----	-----	-------	------	----

Question or response categories	Participants, n (%)					
1. Did your participation in the study help you better understand Lewy Body Dementia?						
Not at all	0 (0)					
Some	3 (25)					
A great deal	9 (75)					
2. Did your participation in the study help you feel more confident in dealing with difficult behavior of the care recipient?						
Not at all	1 (8)					
Some	5 (42)					
A great deal	6 (50)					
3. Did your participation in the study help make your life easier?						
Not at all	2 (17)					
Some	7 (58)					
A great deal	3 (25)					
4. Did your participation in the study help your ability to care for care recipient?						
Not at all	1 (8)					
Some	7 (58)					
A great deal	4 (33)					

Discussion

XSL•FO RenderX

Principal Findings

The study generated promising preliminary feasibility and efficacy data for a fully remote intervention designed specifically for family caregivers of persons with LBD.

https://formative.jmir.org/2022/7/e37108

Enrollment and retention were successful, with the study experiencing only a 20% dropout rate. Our study showed retention rates similar to or better than those reported in other recent studies of digital interventions for family caregivers of persons with dementia [14]. A pooled estimate from recent studies that mainly recruited spousal caregivers [9-13] reported

an average 66% retention rate, compared to the 80% retention rate reported in this study. Moreover, in the exit interviews, participants confirmed that the experience of participating in the study was valuable and motivated them to continue participating. As the study was not powered for statistical significance, we observed nominal average and net improvements in important psychological outcomes. Additionally, many caregivers reported that study participation helped them better understand the disease, feel more confident in dealing with difficult behaviors of the care recipient, and improve their ability to care for the care recipient. These encouraging results concerning improvement in psychological measures are on par with those of the hallmark REACH II trial [35], which, according to the recent AHRQ [36] and NASEM [37] reports, meets the threshold for an evidence-based intervention for family caregivers of a person with dementia. Given that VOCALE-LBD and the REACH II protocol share similar elements, such as the provision of information, didactic instruction, role-playing, problem-solving, and skills training, in this era of increased demand for fully remote interventions, this pilot program seems well suited for further research and evaluation.

In this pilot study, we found nominal average and net improvements in important psychological outcomes, similar to changes observed in the hallmark REACH II trial. Specifically using the same approach to calculate clinically significant net improvements, the REACH II trial reported an approximately 30% improvement in depression and 10% in burden in a comparable intervention subgroup in terms of race and ethnicity. Moreover, in terms of benefits of participation, 58% of the participants in the White/Caucasian REACH II intervention subgroup asserted that the intervention helped them understand memory loss a great deal, and similarly, 59% of them asserted that the intervention helped them to some extent to feel more confident in dealing with the care recipient. Our results of 50% and 30% net improvement in depression and burden, respectively, and 75% and 50% endorsements in benefits for participation fall within the upper bound of the REACH II results. As such, pending further research, one cautious interpretation is that the VOCALE-LBD remote intervention for family caregivers of persons with LBD holds promise for further development and evaluations.

Despite the full-time caregiving demands of our study participants, all but 3 participants completed the 8-week intervention (9/12, 80%). The platform is designed to promote convenience and accessibility, allowing the user to engage within the weekly interval at times of day and frequencies based on their own preferences. We are aware of only 5 web-based intervention studies since 2015 in the dementia caregiving context that recruited mostly spousal caregivers. A study by Pot et al [9] included approximately 60% spousal caregivers and had a 44% retention rate. A study by Gustafson et al [10] included approximately 90% spousal caregivers and had an 84%

retention rate. A study by Boots et al [11] included approximately 98% spousal caregivers and had a 61% retention rate. A study by Blom et al [12] included approximately 58% spousal caregivers and had a 70% retention rate. A study by Griffiths et al [13] included approximately 73% spousal caregivers and had a 73% retention rate. There may be various factors accounting for the 80% retention rate and engagement throughout this study. At the outset, because participants were recruited from a study registry, they are likely to demonstrate greater motivation and desire to participate. However, participants also commented that they appreciated the sense of community and connection among their peers, as well as the local context of the intervention (all participants were from the Pacific Northwest, with almost all from Washington state). On several occasions, participants discussed local resources and programs and even suggested plans to meet after the end of the study.

Limitations

This study has some limitations, which also suggest potential future directions. The effects of the intervention, although promising, must be interpreted with caution as the study was not powered for significance and did not include a control group. Moreover, the study focused on immediate health benefits without evaluating whether the changes were sustained over time. Eligible participants were part of a research registry and needed to have access to the internet. Although today, almost 70% of older adults have access to the internet [38], those who do not may respond differently to the intervention. A lack of racial or ethnic and gender diversity is a notable limitation, and there is a need to explore how caregivers of persons with LBD with other racial or ethnic and gender characteristics might experience VOCALE-LBD. Some participants had trouble relating to the personas and expressed a desire for skill enactment to be more focused on their real-life experiences, suggesting a need in future work to incorporate skill enactment activities more into participants' own lives. Finally, this study was subject to the common limitations of research involving self-report.

Conclusions

In this study, we performed a pilot evaluation of an innovative digital intervention that empowers caregivers of persons with LBD by providing a support network and enabling them to develop and improve problem-solving skills that they can use for daily challenges. If validated in future studies, the intervention could be an accessible, on-demand resource for caregivers to engage in moderated remote discussions with their peers at their own convenience in terms of location, time of the day, and frequency. The intervention could be used in conjunction with caregiver usual care and as a stand-alone module in circumstances, such as current and future pandemic emergencies when routine professional interventions might not be readily available.

Acknowledgments

This study was supported by the innovation grant program of the University of Washington Garvey Institute for Brain Health Solutions. The ADRC Research Registry was supported by the National Institute on Aging Grant P30AG066509.

XSL•FC RenderX

Conflicts of Interest

KDR receives funding from the Lewy Body Dementia Association Research Centers of Excellence.

References

- 1. Walker Z, Possin KL, Boeve BF, Aarsland D. Lewy body dementias. The Lancet 2015 Oct;386(10004):1683-1697. [doi: 10.1016/s0140-6736(15)00462-6]
- 2. Taylor J, McKeith IG, Burn DJ, Boeve BF, Weintraub D, Bamford C, et al. New evidence on the management of Lewy body dementia. The Lancet Neurology 2020 Feb;19(2):157-169. [doi: <u>10.1016/s1474-4422(19)30153-x</u>]
- Svendsboe E, Terum T, Testad I, Aarsland D, Ulstein I, Corbett A, et al. Caregiver burden in family carers of people with dementia with Lewy bodies and Alzheimer's disease. Int J Geriatr Psychiatry 2016 Sep;31(9):1075-1083. [doi: 10.1002/gps.4433] [Medline: 26765199]
- Lowery K, Mynt P, Aisbett J, Dixon T, O'Brien J, Ballard C. Depression in the carers of dementia sufferers: a comparison of the carers of patients suffering from dementia with Lewy bodies and the carers of patients with Alzheimer's disease. J Affect Disord 2000 Jul;59(1):61-65. [doi: 10.1016/s0165-0327(99)00123-8] [Medline: 10814772]
- Galvin JE, Duda JE, Kaufer DI, Lippa CF, Taylor A, Zarit SH. Lewy body dementia: caregiver burden and unmet needs. Alzheimer Dis Assoc Disord 2010;24(2):177-181 [FREE Full text] [doi: <u>10.1097/WAD.0b013e3181c72b5d</u>] [Medline: <u>20505434</u>]
- Killen A, Macaskill A. Using a Gratitude Intervention to Enhance Well-Being in Older Adults. J Happiness Stud 2014 Jun 20;16(4):947-964. [doi: 10.1007/s10902-014-9542-3]
- Boutoleau-Bretonnière C, Pouclet-Courtemanche H, Gillet A, Bernard A, Deruet AL, Gouraud I, et al. The Effects of Confinement on Neuropsychiatric Symptoms in Alzheimer's Disease During the COVID-19 Crisis. J Alzheimers Dis 2020;76(1):41-47. [doi: <u>10.3233/JAD-200604</u>] [Medline: <u>32568211</u>]
- Docherty AB, Harrison EM, Green CA, Hardwick H, Pius R, Norman L, ISARIC4C Investigators, et al. Features of 16,749 hospitalised UK patients with COVID-19 using the ISARIC WHO Clinical Characterisation Protocol. medRxiv Preprint posted online April 28, 2020. [doi: 10.1101/2020.04.23.20076042]
- 9. Pot AM, Blom MM, Willemse BM. Acceptability of a guided self-help Internet intervention for family caregivers: mastery over dementia. Int Psychogeriatr 2015 Aug;27(8):1343-1354. [doi: 10.1017/S1041610215000034] [Medline: 25648589]
- Gustafson DH, Gustafson DH, Cody OJ, Chih M, Johnston DC, Asthana S. Pilot Test of a Computer-Based System to Help Family Caregivers of Dementia Patients. J Alzheimers Dis 2019;70(2):541-552. [doi: <u>10.3233/JAD-190052</u>] [Medline: <u>31256126</u>]
- Boots LM, de Vugt ME, Smeets CM, Kempen GI, Verhey FR. Implementation of the Blended Care Self-Management Program for Caregivers of People With Early-Stage Dementia (Partner in Balance): Process Evaluation of a Randomized Controlled Trial. J Med Internet Res 2017 Dec 19;19(12):e423 [FREE Full text] [doi: 10.2196/jmir.7666] [Medline: 29258980]
- 12. Blom MM, Zarit SH, Groot Zwaaftink RBM, Cuijpers P, Pot AM. Effectiveness of an Internet intervention for family caregivers of people with dementia: results of a randomized controlled trial. PLoS One 2015;10(2):e0116622 [FREE Full text] [doi: 10.1371/journal.pone.0116622] [Medline: 25679228]
- Griffiths PC, Whitney MK, Kovaleva M, Hepburn K. Development and Implementation of Tele-Savvy for Dementia Caregivers: A Department of Veterans Affairs Clinical Demonstration Project. Gerontologist 2016 Feb;56(1):145-154. [doi: <u>10.1093/geront/gnv123</u>] [Medline: <u>26566806</u>]
- Wu K, Su Y, Chu F, Chen AT, Zaslavsky O. Behavioral Change Factors and Retention in Web-Based Interventions for Informal Caregivers of People Living With Dementia: Scoping Review. J Med Internet Res 2022 Jul 07;24(7):e38595 [FREE Full text] [doi: 10.2196/38595] [Medline: 35797100]
- Peterson B, Armstrong M, Galasko D, Galvin JE, Goldman J, Irwin D, et al. Lewy Body Dementia Association's Research Centers of Excellence Program: Inaugural Meeting Proceedings. Alzheimers Res Ther 2019 Mar 13;11(1):23 [FREE Full text] [doi: 10.1186/s13195-019-0476-1] [Medline: 30867052]
- Teng AK, Han S, Lin S, Demiris G, Zaslavsky O, Chen AT. Using an Innovative Discussion Platform to Give Voice to Aging-Related Experiences: A Pilot Study. J Gerontol Nurs 2019 Dec 01;45(12):33-40. [doi: <u>10.3928/00989134-20191105-05</u>] [Medline: <u>31755541</u>]
- 17. Chen AT, Chu F, Teng AK, Han S, Lin S, Demiris G, et al. Promoting Problem Solving About Health Management: A Mixed-Methods Pilot Evaluation of a Digital Health Intervention for Older Adults With Pre-Frailty and Frailty. Gerontol Geriatr Med 2021;7:2333721420985684 [FREE Full text] [doi: 10.1177/2333721420985684] [Medline: 33457461]
- Chen AT, Ge S, Cho S, Teng AK, Chu F, Demiris G, et al. Reactions to COVID-19, information and technology use, and social connectedness among older adults with pre-frailty and frailty. Geriatr Nurs 2021 Jan;42(1):188-195 [FREE Full text] [doi: 10.1016/j.gerinurse.2020.08.001] [Medline: 32863038]
- 19. Armstrong MJ, Alliance S, Taylor A, Corsentino P, Galvin JE. End-of-life experiences in dementia with Lewy bodies: Qualitative interviews with former caregivers. PLoS ONE 2019 May 30;14(5):e0217039. [doi: 10.1371/journal.pone.0217039]

RenderX

- 20. Killen A, Flynn D, De Brún A, O'Brien N, O'Brien J, Thomas AJ, et al. Support and information needs following a diagnosis of dementia with Lewy bodies. Int Psychogeriatr 2016 Mar;28(3):495-501. [doi: 10.1017/S1041610215001362] [Medline: 26328546]
- 21. Nezu A, Nezu C, D'Zurilla T. Problem-Solving Therapy. In: Kazantzis N, Reinecke MA, Freeman A, editors. Cognitive and Behavioral Theories in Clinical Practice. New York, NY: Guilford Publications; 2010:76-114.
- 22. Demiris G, Parker Oliver D, Wittenberg-Lyles E, Washington K, Doorenbos A, Rue T, et al. A noninferiority trial of a problem-solving intervention for hospice caregivers: in person versus videophone. J Palliat Med 2012 Jun;15(6):653-660 [FREE Full text] [doi: 10.1089/jpm.2011.0488] [Medline: 22536989]
- 23. Washington KT, Demiris G, Parker Oliver D, Albright DL, Craig KW, Tatum P. Delivering problem-solving therapy to family caregivers of people with cancer: A feasibility study in outpatient palliative care. Psychooncology 2018 Oct;27(10):2494-2499 [FREE Full text] [doi: 10.1002/pon.4859] [Medline: 30107070]
- 24. Kirkham JG, Choi N, Seitz DP. Meta-analysis of problem solving therapy for the treatment of major depressive disorder in older adults. Int J Geriatr Psychiatry 2016 May 05;31(5):526-535. [doi: <u>10.1002/gps.4358</u>] [Medline: <u>26437368</u>]
- 25. Pruitt J, Grudin J. Personas: practice and theory. 2003 Presented at: DUX03: Designing the User Experience; June 6-7, 2003; San Francisco, CA. [doi: 10.1145/997078.997089]
- 26. Harris PA, Taylor R, Thielke R, Payne J, Gonzalez N, Conde JG. Research electronic data capture (REDCap)--a metadata-driven methodology and workflow process for providing translational research informatics support. J Biomed Inform 2009 Apr;42(2):377-381 [FREE Full text] [doi: 10.1016/j.jbi.2008.08.010] [Medline: 18929686]
- 27. Radloff LS. The CES-D Scale. Applied Psychological Measurement 2016 Jul 26;1(3):385-401. [doi: 10.1177/014662167700100306]
- 28. Bédard M, Molloy D, Squire L, Dubois S, O'Donnell M. Zarit Burden Interview--Screening Version [Database record]. APA PsycTests 2001. [doi: 10.1037/t35628-000]
- Ezzati A, Jiang J, Katz MJ, Sliwinski MJ, Zimmerman ME, Lipton RB. Validation of the Perceived Stress Scale in a community sample of older adults. Int J Geriatr Psychiatry 2014 Jun 03;29(6):645-652 [FREE Full text] [doi: 10.1002/gps.4049] [Medline: 24302253]
- Russell D, Peplau LA, Cutrona CE. The revised UCLA Loneliness Scale: Concurrent and discriminant validity evidence. Journal of Personality and Social Psychology 1980 Sep;39(3):472-480. [doi: <u>10.1037/0022-3514.39.3.472</u>]
- 31. Sherbourne CD, Stewart AL. The MOS social support survey. Soc Sci Med 1991 Jan;32(6):705-714. [doi: 10.1016/0277-9536(91)90150-B]
- 32. Lee SY, Hwang H, Hawkins R, Pingree S. Interplay of Negative Emotion and Health Self-Efficacy on the Use of Health Information and Its Outcomes. Commun Res 2008 Apr 14;35(3):358-381. [doi: <u>10.1177/0093650208315962</u>]
- Lykens K, Moayad N, Biswas S, Reyes-Ortiz C, Singh KP. Impact of a community based implementation of REACH II program for caregivers of Alzheimer's patients. PLoS One 2014 Feb 27;9(2):e89290 [FREE Full text] [doi: 10.1371/journal.pone.0089290] [Medline: 24586664]
- Bradbury K, Morton K, Band R, van Woezik A, Grist R, McManus RJ, et al. Using the Person-Based Approach to optimise a digital intervention for the management of hypertension. PLoS One 2018;13(5):e0196868 [FREE Full text] [doi: 10.1371/journal.pone.0196868] [Medline: 29723262]
- 35. Belle SH, Burgio L, Burns R, Coon D, Czaja SJ, Gallagher-Thompson D, Resources for Enhancing Alzheimer's Caregiver Health (REACH) II Investigators. Enhancing the quality of life of dementia caregivers from different ethnic or racial groups: a randomized, controlled trial. Ann Intern Med 2006 Nov 21;145(10):727-738 [FREE Full text] [doi: 10.7326/0003-4819-145-10-200611210-00005] [Medline: 17116917]
- 36. Butler M, Gaugler J, Talley K, Abdi H, Desai P, Duval S, et al. Care Interventions for People Living With Dementia and Their Caregivers. Agency for Healthcare Research and Quality. 2020 Aug 31. URL: <u>https://effectivehealthcare.ahrq.gov/products/care-interventions-pwd/report</u> [accessed 2022-07-15]
- 37. National Academies of Sciences, Engineering, and Medicine. Meeting the Challenge of Caring for Persons Living with Dementia and Their Care Partners and Caregivers: A Way Forward. Washington, DC: The National Academies Press; 2021.
- 38. Anderson M, Perrin A. 1. Technology use among seniors. Pew Research Center. 2017 May 17. URL: <u>https://www.pewresearch.org/internet/2017/05/17/technology-use-among-seniors/</u> [accessed 2019-10-30]

Abbreviations

ADRC: Alzheimer's Disease Research Center
CES-D: Center for Epidemiologic Studies Depression
DLB: dementia with Lewy bodies
IRB: institutional review board
LBD: Lewy body dementia
MBWC: Memory and Brain Wellness Center
PDD: Parkinson disease dementia

RenderX

PST: problem-solving therapyREDCap: Research Electronic Data CaptureUW: University of WashingtonVOCALE-LBD: Virtual Online Communities for Aging Life Experience–Lewy Body Dementia

Edited by A Mavragani; submitted 07.02.22; peer-reviewed by A Ramamurthy, A Killen; comments to author 27.06.22; revised version received 13.07.22; accepted 13.07.22; published 29.07.22

<u>Please cite as:</u> Zaslavsky O, Kaneshiro J, Chu F, Teng A, Domoto-Reilly K, Chen AT Virtual Intervention for Caregivers of Persons With Lewy Body Dementia: Pilot Quasi-Experimental Single-Arm Study JMIR Form Res 2022;6(7):e37108 URL: <u>https://formative.jmir.org/2022/7/e37108</u> doi: <u>10.2196/37108</u> PMID:

©Oleg Zaslavsky, Jasmine Kaneshiro, Frances Chu, Andrew Teng, Kimiko Domoto-Reilly, Annie T Chen. Originally published in JMIR Formative Research (https://formative.jmir.org), 29.07.2022. This is an open-access article distributed under the terms of the Creative Commons Attribution License (https://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided the original work, first published in JMIR Formative Research, is properly cited. The complete bibliographic information, a link to the original publication on https://formative.jmir.org, as well as this copyright and license information must be included.

