



Review Head and Neck Paragangliomas—A Genetic Overview

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Received: 25 September 2020; Accepted: 14 October 2020; Published: 16 October 2020



Abstract: Pheochromocytomas (PCC) and paragangliomas (PGL) are rare neuroendocrine tumors. Head and neck paragangliomas (HNPGL) can be categorized into carotid body tumors, which are the most common, as well as jugular, tympanic, and vagal paraganglioma. A review of the current literature was conducted to consolidate knowledge concerning PGL mutations, familial occurrence, and the practical application of this information. Available scientific databases were searched using the keywords head and neck paraganglioma and genetics, and 274 articles in PubMed and 1183 in ScienceDirect were found. From these articles, those concerning genetic changes in HNPGLs were selected. The aim of this review is to describe the known genetic changes and their practical applications. We found that the etiology of the tumors in question is based on genetic changes in the form of either germinal or somatic mutations. 40% of PCC and PGL have a predisposing germline mutation (including VHL, SDHB, SDHD, RET, NF1, THEM127, MAX, SDHC, SDHA, SDHAF2, HIF2A, HRAS, KIF1B, PHD2, and FH). Approximately 25–30% of cases are due to somatic mutations, such as RET, VHL, NF1, MAX, and HIF2A. The tumors were divided into three main clusters by the Cancer Genome Atlas (TCGA); namely, the pseudohypoxia group, the Wnt signaling group, and the kinase signaling group. The review also discusses genetic syndromes, epigenetic changes, and new testing technologies such as next-generation sequencing (NGS).

Keywords: pheochromocytoma; paraganglioma; head and neck neoplasms; head and neck tumors; genetic syndromes; mutations

1. Introduction

Pheochromocytomas (PCC) and paragangliomas (PGL) are rare neuroendocrine tumors originating from either adrenomedullary chromaffin cells (PCCs); sympathetic ganglia of the thorax (T-PGL); or abdominal (A-PGL), pelvic, or parasympathetic ganglia in the head and neck (HNPGL) [1,2]. They are referred to collectively as PPGL. PCCs typically secrete one or more than one catecholamine: epinephrine, norepinephrine, and dopamine [1], while PGLs in most cases are non-secretory [1,3–5]. PCC represent 80% to 85% of chromaffin-cell tumors, and PGL represent 15% to 20% [6]. These tumors are characteristically well-vascularized and typically benign; nonetheless, roughly 10–15% may metastasize to the lungs, bone, liver, and lymph nodes. They most frequently occur between the third and sixth decades of life and present more commonly in women [7]. HNPGL can be categorized into carotid body tumors, which are the most common, as well as jugular, tympanic, and vagal paraganglioma. Other rare locations include the larynx, thyroid gland, parathyroid gland, nose,

paranasal sinuses, parotid gland, or orbit [8]. PGL have also been described in the urogenital system, in the spermatic cord in particular [9]. Clinical symptoms vary according to the location and size of the tumor. Carotid body tumors typically produce a painless, slow-growing neck mass [10,11] that may eventually cause dysphagia and cranial nerve disorders. In contrast, pulsatile tinnitus and conductive hearing loss are characteristic of tympanic paraganglioma [12].

Neuroendocrine tumors show the highest degree of heritability in all neoplasms (approximately 40–50%) [13–17]. The first reports of the familial occurrence of PGL date from 1933, when carotid paragangliomas were first described by Chase [18,19]. In recent years, it has been confirmed that more than one-third of these tumors are genetically determined [20]. Today, the planning of further treatment considers family history, the extent and location of the tumor, its genetic origin, and the molecular pathways involved, especially as genetic testing becomes increasingly available and consistently improves the efficacy of therapy [3]. When a mutation is detected in a susceptibility gene such as *VHL*, *SDH*, or the recently discovered *MDH2*, a search for common co-occurring tumors is indicated [20,21]. Mutation in the SDHB subunit is also associated with the risk for malignancy and worse prognosis [3,10,22,23]. In 50% of patients with metastatic disease, a mutation in the *SDHB* gene was found. In the remaining 50% of cases, the genetic factors of the malignancy are still unidentified [23]. With this knowledge, genetic testing of PGL and the testing of first-degree family members should be routinely implemented to diagnose low-grade tumors [24]. Therefore, we aim to comprehend and conclude the most recent knowledge surrounding mutations in PGL, family occurrence, and their practical application based on the current literature and the paradigm of diagnostics.

2. Results

The outcomes are presented in the form of a literature review, structured by thematic subsections concerning the classification of head and neck paragangliomas with regard to genetic and molecular changes (based on 21 papers), as well as elucidation of genetic syndromes (based on 19 publications). Moreover, the review presents new methods as they pertain to the investigation of these tumors, such as investigation of epigenetic patterns or the application of new advanced molecular tools like next-generation sequencing (NGS) (based on five publications).

The details concerning the content of the presented articles (materials, methods, and conclusions) are presented in Table 1.

Author, Year No. of Patients Genes Findings Five patients with histologically proven paraganglioma (single family members) and one patient (of this family) Niemann et al. (2001) [25] SDHC gene location The disease locus in PGL3 was determined to be located at 1q21-q23. with imaging findings consistent with a PGL. 33 family members were clinically unaffected. RET 501 patients with PCC and/or PGL VHLDetection of germinal mutations (such as VHL, RET, NF1, SDHB, SDHC and 160 patients under 50 years of age whose DNA SDHD SDHD) in 32.1% of cases. From 100% in patients with associated lesions to 11.6% sequencing results revealed wild-type RET, VHL, SDHB, Mannelli et al. (2009) [26] SDHB in patients with a single tumor. SDHC, and SDHD were subsequently analyzed for SDHC Genomic rearrangements were found in two of 160 patients (1.2%), both involving genomic rearrangements involving the VHL gene or one Genomic rearrangements (total deletion of total deletion of the SDHD gene. of the SDH genes. the SDHD gene) No germinal (315 patients) or somatic (128 patients) mutations, and no germinal 443 patients with apparently sporadic PCC/PGL who did deletions of the SDHAF2 gene were found. not have mutations in SDHD, SDHC, or SDHB. Bayley et al. (2010) [27] SDHAF2 After pedigree analysis of a Spanish family with HNPGL a pathogenic mutation Examination of a Spanish family with HNPGL presenting in SDHAF2 was found that resulted in an amino acid substitution (p.Gly78Arg). with a young age of onset. The same mutation was noted previously in a Dutch kindred. Establishing a correlation between HNPGL occurrence (based on phenotypic Kunst et al. (2011) [28] 57 family members. SDHAF2 analysis) and SDHAF2 mutation. The mutation carriers showed early onset of the disease and high levels of multifocality. TMEM127 The occurrence of TMEM127, SDHAF2 and RET mutations was found in patients Casey et al. (2014) [29] 31 patients with confirmed PCC/PGL. SDHAF2 without indications for genetic testing based on phenotypic evaluation. RET Mutations in NF1 were detected in 42% of tumors. In 28% of SDHB-related Stage 1: whole exome sequencing on a discovery set of 21 tumors, deleterious variants of ATRX were found (PP119F1 p.W2275* and patients with PCC/PGL. NF1 PP098F2 p.R2197H). ATRX protein was not detected in tumor cells by Fishbein et al. (2015) [23] Stage 2: targeted sequencing of a separate validation set ATRX immunohistochemistry. of 103 patients with PCC/PGL. The study found somatic mutation of ATRX in 12.6% of cases; 30% of them had truncating mutations and 69% missense mutations, classified as deleterious. Missense mutation was found in six cases (PCC = 6/60, PGL = 0/5, and HNPGL = HRAS 0/20) in HRAS in the hotspot region of codon 13 and 61. In one case of PCC, an Luchetti et al. (2015) [30] 85 patients: PCC 60, PGL 5, HNPGL 20. BRAF activating BRAF mutation was found. In two patients a missense mutation was identified in the tetramerization domain of TP53 protein. SDHB, RET, WHL, NF1, 27% of patients had germinal mutations (including SDHB 9%, RET 6%, VHL 4%, SDHD, MAX and NF1 3%). SDHD, MAX, EGLN1 (PHD2), and TMEM127 mutations were EGLN1 (PHD2), Fishbein et al. (2017) [31] 173 patients with PCCs/PGLs. found in less than 2% each. CSDE1 was identified as a somatically mutated driver TMEM127, gene complementary to the other four known drivers (HRAS, RET, EPAS1, and CSDE1, HRAS, NF1). MAML3, BRAF, NGFR, and NF1 fusion genes were discovered. EPAS1, MAML3, BRAF, NGFR

Table 1. The table includes details concerning the content of the presented articles (authors, year of publication, number of patients in the study, reported genes, and most significant findings). Only data from original papers are included; no reviews are considered.

Author, Year	No. of Patients	Genes	Findings
Bausch et al. (2017) [32]	972 unrelated patients without mutations in the classic PCC/PGL associated genes.	SDHA, TMEM127, MAX, SDHAF2	Six percent of patients were mutation carriers (including SDHA, TMEM127, MAX, and SDHAF2). 91% of patients had familial, multiple, extra-adrenal, and/or malignant tumors and/or had younger age of onset. Extra-adrenal tumors occurred in 48% of mutation carriers and in 79% of carriers with HNPGL.
Chen et al. (2017) [22]	37 patients with HNPGLs.	SDHD SDHB SDHAF2	 SDHD gene mutations were found in: the Chinese founder mutation (c.3G>C, p.Met1Ile) in six cases, a missense mutation (c.284T>C, p.L95P) in one case, an in-frame deletion (c.278–280delATT, p.Y93S) in one case. A missense SDHB mutation (c.647A>G) and a nonsense SDHAF2 mutation (c.130C>T, p.GIn44Ter) were found in two cases. Frequent methylation was observed in six of the TSGs tested (HIC1, DcR1, DcR2, DR4, DR5, and CASPS8). Four of them (HIC1, DcR1, DcR2 and CASPS8) showed more frequent mutations in SDH-associated HNPGL than in non-mutated ones.
Calsina et al. (2018) [21]	830 patients with PPGLs, negative for the main PPGL driver genes.	MDH2	Twelve heterozygous variants of <i>MDH2</i> were found (five of the 12 were missense (41.7%), one synonymous (8.3%), four were located in the intronic region (33.3%), one was an in-frame deletion (8.3%), and one affected a donor splice-site (8.3%). Five of these were unreported variants. The study showed the functional impact of two variants (p.Arg104Gly and p.Lys314del) and suggests altered molecular function of two variants (p.Val160Met and p.Ala256Thr).
Ding et al. (2019) [33]	23 cases of multiple HNPGL.	SDHD, SDHB, SDHC, SDHAF2, VHL, RET	 Family 1: 12 SDHD mutations (8 bilateral carotid body tumor (CBT) with 1 bilateral malignant CBT) Family 2: 3 SDHD mutations (1 bilateral CBT, 2 unilateral CBT) Family 3: 2 cases of SDHD mutations (vagus PGL and pheochromocytoma) Other patients: sporadic manifestations (5 cases SDHD gene mutation, 1 case RET gene mutation). Two novel mutations were found: c.387–393del7 mutation of SDHD gene and c.3247A>G mutation of RET gene. More frequent occurrence of SDHD mutations was found in patients and family members with multiple HNPGL.

Table 1. Cont.

Germinal mutations occur in the germ line and are passed on to all cells of the developing body [34]. A germline predisposing mutation is found in approximately 40% of PCCs and PGLs in one of at least 12 genes (*VHL*, *SDHB*, *SDHD*, *RET*, *NF1*, *THEM127*, *MAX*, *SDHC*, *SDHA*, *SDHAF2*, *HIF2A*, *HRAS*, *KIF1B*, *PHD2*, *FH*). The second type of genetic alteration is classified as somatic. These occur later in life, affecting only a single cell of a particular tissue, and give rise to the development of a specific neoplasm. Somatic mutations of *RET*, *VHL*, *NF1*, *MAX*, and *HIF2A* account for 25–30% of these tumors [13,16,23,32,33,35,36].

PGLs are classified into three clusters by the Cancer Genome Atlas (TCGA) on the basis of molecular, cytogenetic abnormalities, and specific single-nucleotide causative mutations, which led to the development of PPGLs. Moreover, contributing genes are grouped according to their biological activity—namely, the pseudohypoxia group, the Wnt signaling group, and the kinase signaling group. This division into groups with different clinical, imaging, molecular, and biochemical features allows for the personalization of patient care as well as the development of new screening and treatment guidelines [14,35,37,38].

The pseudohypoxia group can be further divided into two subgroups. The first comprises tricarboxylic acid cycle (TCA)-related factors concerning 10–15% of PPGLs. This group includes germline mutations in succinate dehydrogenase subunits *SDHA*, *SDHB*, *SDHC*, *SDHD* or *SDHAF2* (SDHx)—succinate dehydrogenase complex assembly factor 2, and FH (a second enzyme in the TCA cycle). The second subgroup encompasses *VHL/EPAS1*-related genes and accounts for 15–20% of PPGLs [14,35,37–40].

Activation of hypoxia inducible factors (HIFs) is a mutual characteristic for this cluster. HIFs are released in physiological response to cellular hypoxia. A pseudo-hypoxic state is caused by the presence of abnormal, mutated *VHL*, *SDH*, *EGLN1*, and *HIF2A* genes. The effect of this is constant activation of HIF pathways in the cell despite normal oxygen levels. This condition causes epigenetic changes in HIF target genes, which affects many processes including proliferation, angiogenesis, migration, apoptosis, and invasion. These events may all contribute to PPGL formation [19,35,38,41–44].

The Wnt signaling cluster is another group that are, in particular, triggered by somatic mutations in the *CSDE1* gene or somatic gene fusions which affect the *MAML3* gene. This results in the activation of Wnt and Hedgehog signaling pathways. Patients with sporadic PPGLs (5–10% of all PPGLs) are grouped here. Many developmental processes such as proliferation, cell polarity, adhesion, or differentiation are regulated by the Wnt pathway. As a result, these tumors are considered more aggressive, recur significantly, and are often prone to metastases [14,31,37–39,45].

The kinase signaling cluster (50–60% of PPGLs) includes germline or somatic mutations in *RET*, *NF1*, *MAX*, *HRAS*, and *TMEM127* genes [14,37]. The RAS/MAPK and PI3/AKT signaling pathways are enabled due to *RET* proto-oncogene activation or *NF1* tumor suppressor inactivation, resulting in tumor formation. In contrast, *TMEM127* mutations trigger the mTOR pathways. Another mechanism includes deactivation of the *MAX* suppressor gene, causing an abnormally elevated expression of cofactor *MYC* (proto-oncogene), resulting in the formation of PPGLs [14,38–41,43,44].

Several genetic syndromes are associated with PPGL: Multiple endocrine neoplasia type 2 (MEN2), Neurofbromatosis type 1 (NF1), Von Hippel–Lindau (VHL) disease, and Hereditary paraganglioma syndrome (PGL 1, PGL2, PGL3 and PGL4) [46,47].

HNPGL are very rare in NF1, MEN 2, and VHL patients. Rather, they display a predisposition toward the development of PCCs.

2.2. Genetic Syndromes

HNPGL are a solid manifestation in hereditary paraganglioma syndromes. They are caused by mutations in the succinate dehydrogenase (SDH) complex, which is necessary for the mitochondrial electron transport chain and ATP generation. This compound is composed of four subunits (A-D) with

SDHAF2 stabilizing the entire complex. Subunits B, C, and D are strongly correlated with PCCs and PGLs [8,12,14,26,35,42,46–48].

PGL1 syndrome is an autosomal dominant disease linked to HNPGLs. It is correlated with inactivating mutations of the *SDHD* gene localized on chromosome 11q23. PCCs and sympathetic PGLs occur in 40% of cases, and bilateral or multifocal tumors are present in approximately 74% of patients. Though these tumors are typically not malignant, they have a tendency toward recurrence [14]. *SDHD* mutations are also associated with maternal genomic imprinting. Tumors are more likely to develop in children if the father is affected or a mutation carrier himself. If the mutation is inherited from the mother, it is inactivated but still genetically transmitted [8,12,15,35,41,47,49].

PGL4 syndrome also arises from a mutation with an autosomal dominant mode of inheritance, is responsible for inactivating the *SDHB* gene located on 11p35. In this condition, the following symptoms are reported: sympathetic extra-adrenal PGLs, PCCs, and HNPGLs. In up to 70% of all cases of PGL4 syndrome, the tumors are malignant [13]. PGLs typically produce catecholamines such as dopamine and norepinephrine, and only 10% of *SDHB* mutated tumors are biochemically silent; however, the clinical consequences are generally the result of significant mass effect rather than catecholamine excess. Typical tumor localizations include the abdomen and the mediastinum. The *SDHB* gene mutation increases the risk of renal cell carcinoma, gastrointestinal stromal tumor (GIST), and breast and papillary thyroid carcinoma, and while patients with metastatic disease should be routinely tested for the presence of the predisposing *SDHB* mutation, there are no guidelines regarding the screening of asymptomatic *SDHx* gene mutation carriers. Experts do suggest annual biochemical screening for PCC/PGLs from between the ages of five and 10, as well as full-body MRI screening for all associated tumor types every 2–5 years [8,12,14,35,41,47,49].

PGL3 syndrome is caused by an *SDHC* gene mutation located on 1q21-q23 and is inherited in an autosomal dominant pattern. PGL3 is associated with the occurrence of benign HNPGL, sympathetic PGL, and PCC and is typically multifocal. Metastases of these tumors is exceedingly rare [8,25,42,47,49,50].

Mutations in the *SDHAF2* gene have also been recently reported. *SDHAF2* mutation results in a rare type of familial paraganglioma syndrome that leads to HNPGL, but only in the children of a father who is a carrier of the defective gene. This syndrome is transmitted in an autosomal dominant manner, and usually manifests in the third decade of life. Genetic screening of *SDHAF2* mutation is crucial in patients with HNPGL with suspicious family history, young age of onset, or multiple tumors and have already tested negative for *SDHB*, *SDHC*, and *SDHD* mutations [27–29,37,46,47].

2.3. Epigenetic Patterns in HNPGL

Epigenetic changes are gene modifications that do not change the DNA sequence but affect gene activity. Most often the changes include methylation—the addition of a methyl group to the DNA strand—which results in the switching off or silencing of the gene and subsequent altered protein production. Other types of epigenetic modification include acetylation, phosphorylation, ubiquitylation, and sumoylation. Some of these changes can be inherited [51]. However, the most frequent of all epigenetic markers in DNA is cytosine methylation. This change in the human genome is referred to as "CpG methylation" or "DNA methylation" [52]. Inactivation of tumor-suppressor genes (TSGs) is caused by overall DNA hypomethylation and hypermethylation of CpG islands located in the closest vicinity of the promoter. Tumorigenesis of HNPGL is not yet fully explained, and the search for new genetic as well as epigenetic changes is ongoing.

In a study by Chen et al. [22], the methylation status of a panel of TSGs (*p16*, *HIC1*, *DcR1*, *DcR2*, *DR4*, *DR5*, *CASP8*, *HSP47*, *MGMT*, and *RASSF1A*) has been determined and compared in HNPGLs with and without SDH mutations. A correlation between the methylation index (MI) and the presence of germline mutations was observed. Six out of 10 TSGs showed frequent methylation: HIC1 and those involved in the apoptosis pathway DcR1, DcR2, DR4, DR5, and CASPS8. More frequent methylation

in SDH-related HNPGLs compared to non-mutated analogues was observed in four analyzed TSGs (CASPS8, HIC1, DcR1, and DcR2).

2.4. Next-Generation Sequencing (NGS)

Most of the studies conducted as of today have utilized conventional Sanger sequencing. Next-generation sequencing (NGS), in contrast to Sanger sequencing, enables broader and more accurate sequencing, leading to the detection of mutations in multiple genes. This technology allows for sample multiplication and also increases capacity and effectiveness, as well as reducing costs. Therefore, the use of NGS could provide the opportunity to test all patients at risk, rather than just a few selected targets [36]. It may provide a better understanding of the crucial role of the mutations acquired on various level of disease development, as well as those underlying the carcinogenesis of HNPGLs [53]. Luchetti et al. [30] analyzed 50 "mutation hotspot" variants in PCC and PGL using NGS in 20 patients with HNPGL and 85 patients with PPGL. The authors identified mutations in *HRAS* (7.1%), and *BRAF* (1.2%) as well as for *TP53* in 2.35% of cases. In the group of PPGL tumors with identified hereditary mutations (21 cases), *HRAS*, *BRAF*, and *TP53* genes were not mutated. It was concluded that the occurrence of *HRAS/BRAF* mutations predominates in sporadic PPGL (8.9%) but is inconsequential for inherited PPGL.

3. Materials and Methods

This study assumes a review of world scientific literature. An online search was conducted using the scientific databases PubMed and ScienceDirect applying the key words head and neck paraganglioma and genetics. The first resulting article in PubMed dated from 1981 and from 1996 in ScienceDirect. Over the last 10 years, the number of articles on the subject has doubled. While this review considers articles from the last 20 years, over 85% of them were published in the last 10 years. Detailed data concerning the number of articles in each year are presented in Figure 1.





Figure 1. Number of publications according to key words head and neck paraganglioma and genetics.

In total, 274 articles containing the indicated keywords were found in PubMed and 1183 in ScienceDirect. Of these, only those from the last 20 years reporting genetic changes in head and neck paraganglioma were selected.

4. Conclusions

The conclusions of this review are based on the entire overview of the literature and may prove useful for the improvement of diagnostic and therapeutic schemes surrounding PCCs and PGLs. According to the article "Recommendations for Somatic and Germline Genetic Testing of Single Pheochromocytoma and Paraganglioma" [54], the study of germline DNA should be prioritized in head and neck paraganglioma and thorax paraganglioma. A strong recommendation for genetic testing—somatic as well as germline mutations, regardless of the age at diagnosis—is indicated. It is also strongly recommended even in patients with a negative family history, especially if the lesions occur at a young age and are multifocal [55]. Genetic testing is very effective for predicting the incidence of metastatic tumors. Numerous authors [3,56,57] have demonstrated the variability in the *SDHB* gene, which leads to metastatic disease in 40% or more of patients. An agreement in the literature on the selection of mutations in HNPGL has been drawn, and encompasses the following genes: *SDHA*, *SDHB*, *SDHD*, *SDHAF2*, *SDHC*, *SDHB*, *VHL*, *FH*, *RET*. These should be routinely determined in PGL patients. Different combinations of these genes should be tested depending on the availability of a tumor sample or the performance of SDHB-immunohistochemistry (SDHB-IHC).

To conclude, the diagnostic schedule in PGL should include the collection of clinical data including epidemiology, family history concerning neoplasms, the course of the disease (e.g., tumor growth rate), and/or its relapses. Radiological evaluation of the tumor consisting of imaging and angiography (assessment of tumor size, vascularization, localization, position relative to other structures, presence of metastases) should also be considered. Furthermore, in light of the expanding knowledge of the genetic basis of this disease, genetic testing concerning causative alterations has become increasingly important. A multidisciplinary team consisting of an ENT specialist, a radiologist, an endocrinologist, a nuclear medicine physician, and a geneticist can qualify the patient on the grounds of such information for further treatment and the management of follow-up.

Author Contributions: All authors have read and agreed to the published version of the manuscript.

Funding: This research was funded by the National Science Centre, Poland, grant number 2019/33/N/NZ5/00872. The APC was funded by the National Science Centre, Poland, grant number 2019/33/N/NZ5/00872.

Acknowledgments: The authors thank Jadzia Tin-Tsen Chou for language revision.

Conflicts of Interest: The authors declare no conflict of interest.

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