

ORIGINAL ARTICLE

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Orthodontic treatment and referral patterns: A

survey of pediatric dentists, general practitioners,

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KEYWORDS

Orthodontist; Pediatric dentist; General practitioners; Perception; Knowledge **Abstract** *Objective:* This study aims to assess the orthodontic diagnostic skills, referral patterns, and the perceptions of orthodontic benefits of pediatric and general dentists in comparison with orthodontists.

Materials and methods: Two online surveys were e-mailed to pediatric dentists, general dentistry practitioners, and orthodontists registered as members of the Saudi Dental Society and the Saudi Orthodontic Society. The surveys included questions about the type of orthodontic treatment provided, referral trends, and timing; presumed benefits associated with successful orthodontic treatment; and diagnosis and treatment plans of seven cases representing different malocclusions.

Results: In total, 25 orthodontists, 18 pediatric dentists, and 14 general practitioners completed the survey. Only 38.8% of pediatric dentists and 7.1% of general practitioners reported that they practiced orthodontics clinically. The perceptions of the three groups toward the benefits of orthodontic treatment were comparable in the psychosocial areas. However, the orthodontists perceived significantly lesser effects of orthodontic treatment on the amelioration of temporomandibular disorder (TMD) symptoms. Pediatric dentists tended to rate the need and urgency of treatment higher, while general practitioners tended to rate the need of treatment lower. The selected treatment plans for three early malocclusion cases showed the greatest discrepancies between the orthodontists and the other two groups.

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Conclusions: The orthodontists consistently and significantly downplayed the perceived benefit of orthodontic treatment to reduce TMD symptoms. Also, while there was a similarity in the diagnosis, there were notable differences in the proposed treatment approaches, perceived treatment need, and timing of intervention between the three groups of practitioners.

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1. Introduction

The early diagnosis and referral of orthodontic cases is important for providing the best care to patients. Referrals to orthodontic clinics usually occur from pediatric and general practices. Although these practitioners are advised to be aware of the treatment options available and the most efficient timing of their application (Ngan and Fields, 1995), it is essential that pediatric and general dentists are well informed about the correct diagnosis of early malocclusion problems.

Orthodontic treatment provided by pediatric and general dentists has been reported in the literature, but the results are conflicting. While Hilgers et al. found that pediatric dentists spent less than 10% of their time providing orthodontic treatment (Hilgers et al., 2003) and Galbreath et al. similarly noted that general dentists spent less than 10% of their time providing orthodontic treatment (Galbreath et al., 2006), a study by Koroluk et al. showed that a large percentage of pediatric and general dentistry practitioners provided comprehensive orthodontic treatment (62% and 17.9%, respectively) (Koroluk et al., 1988). In another study, 76.3% of general practitioners were found to provide basic orthodontic treatment and 19.3% provided comprehensive orthodontic treatment (Wolsky and McNamara, 1996). General practitioners who showed a profile of high-volume orthodontic services were found to treat more difficult cases and there was a projected increase in the amount of orthodontic treatment performed in general practice (Jacobs et al., 1991). Thus, the anticipated increase or decrease in orthodontic treatment in pediatric or general practice is debatable and has been discussed in most of the previously mentioned articles.

General dentistry practitioners usually decide whether, when, and where to refer the patient. They are considered to be gatekeepers for specialist dental care (de Bondt et al., 2010). If referrals are made before the patient is ready for treatment, this may result in unnecessary appointments. However, if referrals are made after the 'ideal' time, the treatment may be more complex and lengthy. A study in England revealed that one reason for an excessive length in the waiting list of new orthodontic patient consultation is the unnecessary referral of patients by general practitioners (O'Brien et al., 1996). In a study by Parfitt and Rock who surveyed 30 general practitioners for their treatment plan accuracy and referral pattern, only 14% of general practitioner treatment plans agreed with the gold standard (Parfitt and Rock, 1996). In West Sussex, while 52% of dentists were able to correctly identify which type of orthodontic provider they refer to, only 20% of them were able to determine the appropriate time of orthodontic referral (Jackson et al., 2009). According to Berk et al. when the treatment need assessment scores of orthodontists, general dental practitioners, and pediatric dentists are compared, it was found that all three groups exhibited high levels of agreement on orthodontic treatment needs (Berk et al., 2002).

Dental students in the USA were surveyed to determine their ability to recognize malocclusions and measure their diagnostic skills. The study concluded that four years of undergraduate education did not improve the students' orthodontic diagnostic skills (Brightman et al., 1999). Among the British dental schools that were studied, 75% did not expect their new graduates to be able to formulate an orthodontic treatment plan. They also believed that undergraduate training should be concentrated more on the diagnosis and recognition of a dental malocclusion, rather than on the formulation of a treatment plan (Rock et al., 2002).

A survey of orthodontists suggested that early orthodontic intervention is the norm among practitioners in the United States, but practice characteristics affected treatment timing (Yang and Kiyak, 1998). Another survey showed that the majority of orthodontists recommended that the first assessment of an occlusion should be carried out before the age of 7 and that cross bites should be preferably applied during primary- and early-mixed dentition stages (Pietila et al., 2008).

This study aims to assess the diagnostic skills, referral patterns, and treatment approach provided by pediatric and general dentists in regard to orthodontic care. Comparison with orthodontists in terms of unity of diagnosis and treatment options, as well as treatment timing, was done to provide a baseline. Varying knowledge of the benefits associated with orthodontic treatment was also evaluated.

2. Materials and methods

This study utilized two self-administered online surveys: the first was directed toward pediatric and general dentists and the second was directed toward orthodontists (Tables 1 and 2). The study was registered and ethical approval was granted by the College of Dentistry Research Center, King Saud University (#IR 0043). The surveys started with biographic data (age, specialty, where and when the dental degree was earned) and then, to assess the respondents' opinions and knowledge, continued with general questions about the types of orthodontic treatment provided, referral amount and timing, and presumed risks and benefits associated with successful orthodontic treatment. This was followed by the presentation of seven cases, each of which included five intraoral photographs, a panoramic radiograph, and cephalometric tracing (Figs. 1 and 2). The participants were asked to diagnose the malocclusion that was being presented and to choose the most effective treatment option, in their opinion, for each case. The appropriate timing of treatment was also asked, as well as the level of treatment need. The second survey (that was directed toward the orthodontists) consisted of the same questions as the previously mentioned survey. The same cases were

1.	Gender:	□ Male			□ Female		
2.	Age:						
3.	What is your specialty? Where did you study your postgraduate	Pediatric Dentistry Saudi Arabia	Middle East		Europe	□ Other,	
	program?				E Europe		
	Graduation Year:						
4.	Where did you study your undergraduate program?	🗆 Saudi Arabia	□ Middle East	🗆 Asia	Europe	□ North America	
ç	Graduation Year:			Comment River Parts Con Civit	C Dell days Delegate Decision	Dest dass Diants Destrict	
5. 6.	Do you have a Clinical Certificate in Orthodoptics?		Government: Hospital or Dental Center	Government: Primary Dental Care Clinic	□ Full-time Private Practice □ Yes, please specify	□ Part-time Private Practice	
7.	Where did you receive most of your orthodontic knowledge?	□ CE Courses (1–2 day courses)	□ Postgraduate Training Programs	□ Undergraduate Dental Education		□ Other	
8.	Do you practice orthodontics?	□ No			□ Yes		
	Average number of cases treated						
	orthodontically every year?			□ 11 250/	D 26 50%	□ 51 750/	76 1009/
	vou spend providing orthodontic		L 1-10%	11-2376	L 20-30%	L 31-/370	□ 70-100%
	treatment?						
	Which of the following stages of dental	□ None	Primary Dentition	□ Early Mixed Dentition	□ Late Mixed Dentition	Permanent Dentition	
	development do you treat orthodontically?						
	What type of orthodontic treatment do	□ None Provided	□ Minor Tooth Malpositions Tx	□ Crossbite Tx	□ Serial Extraction Procedures	□ Class I Malocclusions Tx	
	you provide?	□ Class II and/or Class III Malocclusion Tx	□ Deep-bite/Open-bite Tx	□ Habits Management		\Box Other, please specify	
	What sort of appliances do you use?	Class Aligner (and Invitation)	□ Sectional Fixed Appliances (2×4, etc.)	Full Fixed Appliances Hundred (Coming International States)	Removable Hawley with auxiliary springs	Palatal Expansion Other alternation	
9.	How many patients do you refer to the	□ Clear Aligners (e.g. Invisalign) □ None	□ Functional Appliances □ 1–4 patients	□ Headgear (Cervical, Facemask, etc.)	□ 5-10 patients	\Box > 10 patients	
	orthodontist every month (on average)?		·				
10.	Please, rate the adequacy of the orthodon	tic education you received during your undergradua	te dental years? Poor		Excellent		
11.	If applicable-Please, rate the adequacy of In each of the following questions. Please	the orthodontic training you received during your p read each question carefully and slide the circle on	ostgraduate education? Poor	Improvement	Excellent No Improvement		
	Do you think that successfully con	nulated orthodontic treatment will reduce the Pis	k of Caries?				
	- Do you think that successfully con	npleted orthodontic treatment will reduce the Ris	k of Caries?				
	 Do you think that successfully con Do you think that successfully con 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self-	k of Caries? Esteem?				
	 Do you think that successfully con Do you think that successfully con Do you think that successfully con 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD	k of Caries? Esteem? Problems?				
	 Do you think that successfully cot 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self- npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Improve Phys	k of Caries? Esteem? Problems? cal Attractiveness?				
	 Do you think that successfully cot 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self-I npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Improve Phys npleted orthodontic treatment will Reduce Period	k of Caries? Esteem? Problems? cal Attractiveness? ontal Disease?				
	 Do you think that successfully cot 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self-I npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Improve Phys npleted orthodontic treatment will Reduce Perioc npleted orthodontic treatment will make the Teet	k of Caries? Esteem? Problems? cal Attractiveness? Iontal Disease? h Easier to Clean?				
	 Do you think that successfully cot 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self- npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Improve Phys npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will make the Teet npleted orthodontic treatment will Reduce Teasin	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents?				
13.	 Do you think that successfully cot 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Improve Phys mpleted orthodontic treatment will Reduce Perior mpleted orthodontic treatment will Reduce Teasin	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents?				
13.	 Do you think that successfully cot In each of the following 7 cases: What are the main problems of the r 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Improve Phys mpleted orthodontic treatment will Reduce Perior mpleted orthodontic treatment will make the Teet mpleted orthodontic treatment will Reduce Teasir malocclusion?	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents?	Clear III			
13.	 Do you think that successfully cot In each of the following 7 cases: What are the main problems of the r a. □ Class 1 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Improve Phys mpleted orthodontic treatment will Reduce Perior mpleted orthodontic treatment will Reduce Teasir malocclusion? □ Class II division 1	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Class II division 2	□ Class III			
13.	 Do you think that successfully cot In each of the following 7 cases: What are the main problems of the r a. Class I D. Average Overbite 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Improve Phys mpleted orthodontic treatment will Reduce Perior mpleted orthodontic treatment will Reduce Teasir malocclusion? Class II division 1 Deep Overbite	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Class II division 2 Shallow OB (edge to edge)	□ Class III □ Open Bite			
13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. Class I Average Overbite C. Normal Transverse 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self- npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Reduce Perior npleted orthodontic treatment will Reduce Perior npleted orthodontic treatment will Reduce Teasir nalocclusion? Class II division 1 Deep Overbite Unilateral Crossbite	k of Caries? Esteem? Problems? cal Attractiveness? intal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite	□ Class III □ Open Bite □ Anterior Crossbite			
13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. Class I b. Average Overbite c. Normal Transverse d. 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self-I mpleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Teasif npleted orthodontic treatment will Reduce Teasif nalocclusion? Class II division 1 Deep Overbite Unilateral Crossbite Crowding	k of Caries? Esteem? Problems? cal Attractiveness? Iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing	 Class III Open Bite Anterior Crossbite 			
13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. □ Class I b. □ Average Overbite c. □ Normal Transverse d. □ Normal Alignment 2. In your opinion, to what extent does 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self-I npleted orthodontic treatment will Reduce TMD npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Teasif nalocclusion? Class II division 1 Deep Overbite Class II division 1 Crowding this occlusion need orthodontic treatment?	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing	Class III Open Bite Anterior Crossbite			
13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. □ Class I b. □ Average Overbite c. □ Normal Transverse d. □ Normal Alignment 2. In your opinion, to what extent does □ None 	npleted orthodontic treatment will reduce the Ris npleted orthodontic treatment will Improve Self- npleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Period npleted orthodontic treatment will Reduce Teasin nalocclusion? Class II division 1 Deep Overbite Unilateral Crossbite Crowding this occlusion need orthodontic treatment? Minimal Need	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing Moderate Need	 Class III Open Bite Anterior Crossbite Great Need 	Extremely Great Need		
13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. □ Class I b. □ Average Overbite c. □ Normal Transverse d. □ Normal Alignment In your opinion, to what extent does □ None 3. If Applicable-When should orthodon 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Teasin malocclusion? Class II division 1 Deep Overbite Unilateral Crossbite Crowding this occlusion need orthodontic treatment? Minimal Need tic treatment start?	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? ig Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing Moderate Need	 Class III Open Bite Anterior Crossbite Great Need 	Extremely Great Need		
13.	 Do you think that successfully cot In What are the main problems of the r a. □ Class I b. □ Average Overbite c. □ Normal Transverse d. □ Normal Alignment In your opinion, to what extent does □ None If Applicable-When should orthodon □ Immediately 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Teasin malocclusion? Class II division 1 Deep Overbite Unilateral Crossbite Crowding this occlusion need orthodontic treatment? Minimal Need tic treatment start? After 2–3 years tamen chere?	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing Moderate Need Other, when patient is	 Class III Open Bite Anterior Crossbite Great Need 	Extremely Great Need		
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13.	 Do you think that successfully cot In each of the following 7 cases: I. What are the main problems of the r a. □ Class I b. □ Average Overbite c. □ Normal Transverse d. □ Normal Alignment 2. In your opinion, to what extent does □ None 3. If Applicable-When should orthodont □ Immediately 4. What would be your orthodontic tree □ Furtaction of 2 Unper Permoders 	mpleted orthodontic treatment will reduce the Ris mpleted orthodontic treatment will Improve Self- mpleted orthodontic treatment will Reduce TMD mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Period mpleted orthodontic treatment will Reduce Teasir malocclusion? Class II division 1 Deep Overbite Crowding this occlusion need orthodontic treatment? Minimal Need tic treatment start? After 2–3 years atment plan? Reduce Teasing The Agade at Corvical High-Pully	k of Caries? Esteem? Problems? cal Attractiveness? iontal Disease? h Easier to Clean? g Incidents? Class II division 2 Shallow OB (edge to edge) Bilateral Crossbite Spacing Moderate Need Other, when patient is Orthognathic Surgery Sectional Fixed Appliances (2 × 4)	Class III Copen Bite Anterior Crossbite Great Need Protraction Headgear (Reverse Pull) Extraction of Liner and Lower Permoders	Extremely Great Need Comprehensive Fixed Appliances Tx Other		

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Table 2 The orthodontists' survey.

Table 2	The ofthodolitists survey.					
1.	Gender	□ Male		Female		
2.	Age:					
3.	Where did you qualify in Orthodontics	Saudi Arabia	□ Middle East	□ Asia	□ Europe	North America
	from?					
	Graduation Year:					
4.	Where did you study your	Saudi Arabia	□ Middle East	□ Asia	Europe	North America
	undergraduate program?					
	Graduation Year:					
5.	Where do you practice?	□ Academic Institution	□ Government: Hospital or	Government:	Full-time	Part-time Private Practice
			Dental Center	Primary Dental Care Clinic	Private Practice	
6.	Regarding the referrals you receive,	% from Pediatric Dentists	% from General Practitioners		% from Others	
_	please rate the frequency by percentage:					
7.	Which of the following stages of dental		□ Primary Dentition	□ Early Mixed Dentition	□ Late Mixed Dentition	□ Permanent Dentition
	development do you treat					
0	orthodontically?		Continued Fired	C E-II E' A I'		
8.	what sort of appliances do you use?		L Sectional Fixed	Full Fixed Appliances	Removable Hawley	Palatal Expansion
		Clean Alianara (a.a. Invitalian)	Appliances (2 × 4, etc.)	U Handana	With auxiliary springs	
		Ciear Aligners (e.g. Invisalign)	Functional Appliances	Carriel Ecomock etc.)	□ Other, please specify	
9	Ages of the patients mostly referred to	□ 7-10	□ 11-14		□ >18	
2.	vour office?					
10	In each of the following questions. Please read a	each question carefully and slide the circle on the h	orizontal line to mark your answer: Great Improveme	nt	No Improvement	
10.	in each of the following questions, I lease fead e	alen question carerany and side the chere on the n	onional mie to mark your answer. Oreat improveme	····		
	- Do you think that successfully complete	d orthodontic treatment will reduce the Risk of	Caries?			
	- Do you think that successfully complete	d orthodontic treatment will Improve Self-Estee	m?			
	- Do you think that successfully complete	d orthodontic treatment will Reduce TMD Prob	lems?			
	- Do you think that successfully complete	d orthodontic treatment will Improve Physical A	Attractiveness?			
	- Do you think that successfully complete	d orthodontic treatment will Reduce Periodonta	l Disease?			
	- Do you think that successfully complete	d orthodontic treatment will make the Teeth Ea	sier to Clean?			
	- Do you think that successfully complete	d orthodontic treatment will Reduce Teasing Ind	cidents?			
11.	In each of the following 7 cases:					
	1. What are the main problems of the malocc	lusion?				
	a. 🗆 Class I	□ Class II division 1	□ Class II division 2	□ Class III		
	b. 🗆 Average Overbite	□ Deep Overbite	□ Shallow OB (edge to edge)	□ Open Bite		
	c. 🗆 Normal Transverse	□ Unilateral Crossbite	□ Bilateral Crossbite	□ Anterior Crossbite		
	d. 🗆 Normal Alignment		□ Spacing			
	2. In your opinion, to what extent does this o	occlusion need orthodontic treatment?				
	□ None	Minimal Need	□ Moderate Need	□ Great Need	Extremely Great Need	
	3 If Applicable-When should orthodoptic tre	atment start?				
	□ Immediately	After 2 3 years				
		🗆 Alter 2–5 years	\Box Other, when patient is			
	What would be your orthodontic treatment	t plan?				
	□ Functional Appliances	□ Rapid Palatal Expansion	□ Orthognathic Surgery	□ Protraction Headgear (Reverse Pull)		
					Fixed Appliances Tx	
	□ Extraction of 2 Upper Premolars	□ Headgear (Cervical, High-Pull)	\Box Sectional Fixed Appliances (2×4)	□ Extraction of Upper & Lower Premolars	□ Other,	



Figure 1 Case #1 (Class III, age 13) full records as presented in the survey.

presented to serve a baseline for comparison with the results of the pediatric dentists' and general practitioners' answers.

A pilot study was performed before uploading the surveys online, in order to assess the appropriateness and clarity of the questions and the cases. The pilot study sample included participants representing the three intended groups (three pediatric dentists, three general practitioners, and four orthodontists) and each received a hard copy of the survey. Few changes in regard to the biographic data questions were made. The ages of the patients were added, as well as more treatment options to select from.

The surveys were then uploaded to the website http:// www.surveygizmo.com and the links to the surveys were sent to the participants. The email addresses of the participants were obtained from the Saudi Dental Society database as well as investigators' contact lists.



Figure 2 Left buccal intraoral photographs of the remaining 6 cases shown in the survey: (A) Class I, crowding, age 8, (B) Class II div 1, age 8, (C) Class I, open bite, age 8, (D) Class I, crowding, age 13, (E) Class II div 1, age 12, (F) Class III, age 8.

Table 3Frequency of referral sources and dental stages of thepatients treated as reported by the orthodontists.

	Mean	SD	<i>p</i> -Value
Source of referral			
Pediatric dentists	26.52	21.624	0.008
GP's	45.92*	25.173	
Others	27.56	24.707	
Dental stage of treated p	atients		
Permanent dentition	62.20 [‡]	21.119	< 0.0001
Late mixed dentition	24.68**	15.148	
Early mixed dentition	10.76	6.648	
Primary dentition	2.36	3.094	

Tukey's post hoc test:

* Significantly higher than other 2 types of referrals.

** Significantly higher than early mixed dentition and primary dentition but significantly lower than permanent dentition.

[‡] Significantly higher than other 3 stages.

3. Results

The links to surveys were sent to 70 pediatric dentists, 100 general dentist practitioners, and 60 orthodontists. Invitation emails were sent starting December 22, 2013 and the surveys were closed on March 16, 2014 with four email reminders sent in between.

3.1. Orthodontist survey

Twenty-five surveys were completed by the invited orthodontists. The mean age of the participants was 40.6 years old (± 8.06 years), 68% of them were male, and 32% were female. Their year of graduation ranged from 1983 to 2012, 48% graduated from Saudi-Arabian orthodontic programs, and 32% from North-American programs. Places of work varied between governmental hospitals, academic institutions, and full-time private practice, with 20% of the orthodontists reporting that they work part-time in a private practice in addition to their full time job.

The orthodontists generally found that referrals came mainly from general practitioners and the difference was statistically significant (Table 3). Nearly two thirds of the patients were treated in the permanent dentition stage (62.20%), which was significantly more than the other dental stages. The appliances mostly used by the orthodontists are shown in Fig. 3.

3.2. Pediatric dentist and general dentist survey

Eighteen pediatric dentists completed the surveys and their mean age was 37.33 years (\pm 9.1 years). Among the responding pediatric dentists, 55.6% were male while 44.4% were female. Their place of work varied between governmental hospitals, academic institutions, and fulltime private practices, with 22.2% of them reporting that they work part-time in private practices. The responders noted that they had completed their specialty training programs between 1988 and 2013, 11.1% from European programs, 38.9% from Saudi-Arabian programs, and 50% from North-American programs. Only 11.1% of them have a clinical certificate in orthodontics, but 38.8% reported practicing orthodontics.

Fourteen general dentistry practitioners completed the surveys with a mean age of 30.9 years (\pm 7.9 years) and equally distributed between genders. Most of the responders worked at governmental hospitals (71.4%), and the rest served at academic institutions. Responders noted that they had graduated between 1970 and 2013, and that 92.86% of them had completed their dental programs in Saudi Arabia. Only one (7.1%) of the responding general practitioners reported practicing orthodontics.

As opposed to the orthodontists, the most common dental stages treated orthodontically by the pediatric dentists are the primary and the early-mixed dentitions, followed by late-mixed and then permanent dentition stages.

The services provided included treatment for (in decreasing order): cross bite, habit management, minor tooth malposition, serial extraction, deep-bite, open-bite, and Class I, Class II, and Class III malocclusion treatment. The devices used are shown in Fig. 3. It was also found that most of the participants (58.1%) referred 1–4 patients to the orthodontist per month, 19.4% referred 5–10 patients, 9.7% more than 10 patients, and 12.9% reported not referring any patients to the orthodontist.



Figure 3 Distribution of the orthodontic appliances mostly used by the pediatric dentists and general practitioners, and the orthodontist.

Perceptions	Group	Mean	SD	<i>p</i> -Value
Reduce the risk of caries	Orthodontists	43.80	32.219	0.12
	Pediatric dentists	61.94	39.375	
	GP's	65.71	36.630	
Improve self-esteem	Orthodontists	89.80	21.040	0.13
	Pediatric dentists	81.39	32.935	
	GP's	69.29	38.474	
Reduce TMD problems	Orthodontists	27.20	26.848	0.01*
-	Pediatric dentists	58.44	38.859	
	GP's	48.50	34.290	
Improve physical attractiveness	Orthodontists	83.44	23.417	0.98
	Pediatric dentists	84.72	25.125	
	GP's	83.07	18.403	
Reduce periodontal disease	Orthodontists	58.92	29.006	0.67
	Pediatric dentists	54.61	41.476	
	GP's	65.79	37.250	
Make the teeth easier to clean	Orthodontists	73.76	25.218	0.64
	Pediatric dentists	81.22	34.739	
	GP's	80.79	25.974	
Reduce teasing incidents	Orthodontists	83.96	17.876	0.05
	Pediatric dentists	75.94	25.080	
	GP's	64.86	27.895	

Table 4 Comparison of the perception scores of the three groups toward the risks and benefits of orthodontic treatment (ranging between 100 "Great effect" and 0 "No effect")

ANOVA shows a statistically significant difference between the three groups.

3.3. Comparison of the three practitioner groups

There was a significant difference between the groups in terms of the perceived effect that orthodontic treatment can have on reducing temporomandibular disorder (TMD) symptoms (Table 4). However, the perceptions were very close in terms of improving physical attractiveness, reducing periodontal disease, and making the teeth easier to clean. The orthodontists also saw a greater positive effect of successful orthodontic treatment on reducing teasing incidents and improving self-esteem, and lesser effects on reducing TMD problems and risk of caries.

In most of the cases, there was great agreement among the three groups in terms of the diagnosis of each of the seven cases on the questionnaire. In the sagittal dimension, there was good agreement, with an exception of three cases (Class I, Class II division 1) that were thought to be Class II division 2 by few pediatric dentists (17–28%). In the vertical and transverse dimensions, no major diversities were observed.

In general, pediatric dentists tended to rate the need of treatment higher, while the general practitioners tended to rate the need of treatment lower than the other two groups (Table 5). Pediatric dentists also tended to rate the urgency of treatment higher than the other two groups.

The proposed treatment plans for three of the early malocclusion cases showed the greatest discrepancies between the three groups. In the case of an 8-year-old child with Class I and an open bite, about 37% of the orthodontists recommended headgear and comprehensive fixed-appliances treatment, while 42% of the pediatric dentists and 47% of the general practitioners would have recommended the use of functional appliances. In the case of an 8-year-old child with Class I and crowding, the same approach was selected by the pediatric dentists and the general practitioners (27% and 43%, respectively), while only 3% of the orthodontists recommended functional appliances. In the early Class II malocclusions case (Fig. 4), two-thirds of the orthodontists recommended a rapid palatal expander and facemask, while 44% and 30% of the pediatric dentists and general practitioners, respectively, selected only a facemask for treatment.

4. Discussion

This study aimed to assess the orthodontic diagnostic and treatment-planning skills of pediatric dentists and general practitioners. It revealed that there was generally an agreement between the three groups, in terms of giving an accurate diagnosis. In the sagittal dimension, there was a good agreement, with an exception of three cases that were thought to be Class II division 2 by few pediatric dentists instead of Class I/Class II division 1. This agreement coincides with the study by Berk et al. that found a high level of agreement between pediatric dentists, general practitioners, and orthodontists (Berk et al., 2002). The confusion between Class II division 1 and 2 might indicate a need for further clarification of the definitions of the two types of Class II malocclusions, through interdisciplinary continuing education courses. Some treatment plans, on the other hand, show great discrepancies between the three groups. The use of functional appliances was recommended by the pediatric dentists and the general practitioners far more than the orthodontists in early-malocclusion cases (Class I, crowding, open bite), while the use of a facemask was not selected by a large percentage of the pediatric dentists and the general practitioners in the early Class-III malocclusion case. These results suggest that the three groups agree on the diagnosis of the malocclusion, but the approach to proper orthodontic treatment seems to be unclear for the pediatric dentists and the general practitioners.

Most orthodontic treatments were provided by the orthodontists during the permanent dentition stage, while the general and pediatric dentists provided treatment primarily during the primary and early-mixed dentition stages (in equal amounts), which decreased as the patients grew. This was in agreement with the study by Hilgers et al. for the pediatric

Cases	Case 1 (Clt	iss III, age 13)		Case 2 (Cla	ass I, crow	vding, age 8)	Case 3 (Class	s II div 1,	, age 8)	Case 4 (Cla	ss II, open	1 bite, age 8)	Case 5 (Clas	s I, crowd	ing, age 13,) Case 6 (Clas	s II div 1,	age 12)	Case 7 (Clas	s III, age	(8
Degree of Tx need	Moderate	Great	Extremely gre	eat Moderate	Great	Extremely great	t Moderate	Great	Extremely grea	t Moderate	Great	Extremely grea	t Moderate	Great	Extremely great	/ Moderate	Great	Extremely great	/ Moderate	Great	Extremely grea
Orthodontists	16	64	20	16	40	44	8	4	48	12	36	52	88	8	4	I	36	64	-	36	64
Pediatric dent.	Ξ	61.4	27.8	33.4	22.2	44.4	11.1	27.8	61.1	5.6	33.3	61.1	83.3	11.1	5.6	1	38.9	61.1	5.6	11.1	83.3
GP's	50	35.7	14.3	50	42.9	7.1	14.1	50	35.7	7.1	28.6	64.3	92.9	7.1	I	42.9	28.6	28.6	I	35.7	64.3
Appropriate time	Immediate	ly After 2-3 ye	ars Other	Immediate	ly After	Other	Immediately	After	Other	Immediatel	v After	Other	Immediately	After	Other	Immediately	After	Other	Immediately	After	Other
to start orthodontic T					2-3 yea.	ILS		2-3 years	s		2-3 year	S		2-3 years			2-3 years			2-3 year	
Orthodontists	68	I	32	80	12	8	56	28	16	83.3	12.5	4.2	68	I	32	96	4	Ι	92	I	8
Pediatric dent.	88.9	11.1	I	70.6	29.4	I	82.4	17.6	I	94.1	I	5.9	72.2	22.2	5.6	76.5	17.6	5.9	88.9	11.1	I
GP's	78.6	7.1	14.3	23.1	69.2	7.7	42.9	42.9	14.3	78.6	7.1	14.3	64.3	21.4	14.3	85.7	7.1	7.1	76.9	15.4	<i>T.T</i>

dentists and in disagreement with the study by Galbreath et al. for the general practitioners (Galbreath et al., 2006; Hilgers et al., 2003). About one-third of the pediatric dentists and less than 10% of the general practitioners were found to provide orthodontic treatment in this study. In contrast, the study by Koroluk et al. showed that 62% of pediatric dentists and 17.9% of general practitioners provide comprehensive orthodontic treatment (Koroluk et al., 1988). Wolsky and McNamara also showed a large percent (76.3%) of general practitioners who provide orthodontic treatment to their patients (Wolsky and McNamara, 1996). In terms of practice time dedicated to orthodontic treatment, Hilgers et al. and Galbreath et al. showed that most of the pediatric dentists (59.4%) and general practitioners (88.3%) spent less than 10% of their time providing orthodontic treatment (Galbreath et al., 2006; Hilgers et al., 2003). Discrepancies in the percentages of orthodontic clinical experience between the practitioners in Saudi Arabia and the United States may be related to the differences in the provision of dental health care (government-owned vs. private practice). The malocclusions (cross bites, habit management, minor tooth malocclusion) mostly treated by the pediatric dentists and general practitioners in the current study were found to be similar to those reported by Hilgers et al. and Galbreath et al. Also, the appliances mostly used (palatal expansion, removable Hawley appliances) were similar to the findings of Hilgers et al. and Galbreath et al. (Galbreath et al., 2006; Hilgers et al., 2003).

In regard to the perceived benefits of orthodontic treatment, the psychosocial variables (improved self-esteem, improved physical attractiveness, and reduced incidents of teasing) received the highest ratings by the three groups with no significant differences between them. Dental health factors were rated lower than the psychosocial variables with a significant difference between the groups in terms of the perceived benefit of orthodontic treatment to ameliorate TMD symptoms. Orthodontists tended more often than the other groups to appreciate the psychosocial benefits of orthodontic treatment, but rated the effect on TMD symptoms significantly lower. These results were similar to the Hunt et al. study, which showed that general dental practitioners rated an improvement in self-esteem while orthodontists considered an improvement in physical attractiveness as the most important benefit of orthodontic treatment (Hunt et al., 2001). Both groups also rated the reduction of TMD problems as the smallest benefit of orthodontic treatment (Hunt et al., 2001). Studies have not reliably confirmed the presence of positive effects of orthodontic treatment on periodontal health or the reduction in the incidence of dental caries (Bollen et al., 2008; Helm and Petersen, 1989). Also, comprehensive reviews concluded that, based on currently available evidence, orthodontists should avoid claiming that orthodontic treatment has the potential to influence TMD (Burden, 2007). Psychologically, orthodontic treatment can enhance some aspects of oral health-related quality of life, however, self-esteem does not appear to be significantly affected (Kiyak, 2008). The results of the present study indicate that pediatric dentists, general practitioners, and (to some extent) orthodontists tend to have unrealistic expectations of the dental health benefits of orthodontic treatment. The evidence-based approach to the continuing dental education courses should be implemented to address these perceptions.



Figure 4 Treatment options as suggested by the participants in the 3 groups for Case #7 (Class III, age 8) by percentage of the participants selecting the plan.

Pediatric dentists were found to rate the need for treatment higher, while general practitioners tended to rate the need for treatment lower than the other two groups. Pediatric dentists were also found to rate the urgency of treatment higher than the two groups. This may be related to the fact that pediatric dentists are usually the first dental health care professionals to clinically examine the children and they are more oriented toward addressing malocclusal complications as soon as they are observed. These results did not agree with the findings by Berk et al. which showed a high level of agreement between pediatric and general practitioners (Berk et al., 2002). Differences in the educational experiences and the practice settings between the practitioners in the USA and Saudi Arabia may explain the differences in the perceived need and timing of treatment.

This study was limited by the difficulties we encountered in obtaining a higher response rate. Despite several reminder emails sent by the professional society, as well as personal messages sent by the investigators over the course of 3 months, the response was insufficient to provide a representative sample. There were a large number of participants who partially filled the survey and were excluded from the statistics. This might have been due to the length of the survey and the attached seven cases. The current study should be regarded as a pilot for further comprehensive studies that look into the interdisciplinary agreement in diagnosis and treatment recommendations. The results of such studies can impact the undergraduate/postgraduate curricula and influence the professional continuing education programs.

5. Conclusions

Based on the findings of this pilot study, the following can be concluded:

(1) The perceptions of pediatric dentists, general dentistry practitioners, and orthodontists were not statistically different regarding the benefits of successfully completed orthodontic treatment; however, the orthodontists rated the effect of "reducing TMD problems" significantly less than the other groups.

- (2) There was agreement among the three groups in regard to the accurate diagnosis of orthodontic cases; however, the selected treatment plans differ in a number of the early malocclusion cases.
- (3) Pediatric dentists tended to rate the need and urgency for treatment higher, while the general practitioners tended to rate the need for treatment lower than the other two groups.

Conflict of interest

The authors have no conflict of interest to declare.

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