

Idiopathic CD4 Lymphocytopenia

Clinical and Immunologic Characteristics and Follow-Up of 40 Patients

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Abstract: Idiopathic CD4 T lymphocytopenia (ICL) is a rare and severe condition with limited available data. We conducted a French multicenter study to analyze the clinical and immunologic characteristics of a cohort of patients with ICL according to the Centers for Disease Control criteria.

We recruited 40 patients (24 female) of mean age 44.2 ± 12.2 (19–70) years. Patients underwent T-lymphocyte phenotyping and lymphoproliferation assay at diagnosis, and experiments related to thymic function and interferon (IFN)- γ release by natural killer (NK) cell were performed. Mean follow-up was 6.9 ± 6.7 (0.14–24.3) years. Infectious, autoimmune, and neoplastic events were recorded, as were outcomes of interleukin 2 therapy.

In all, 25 patients had opportunistic infections (12 with human papillomavirus infection), 14 had autoimmune symptoms, 5 had malignancies, and 8 had mild or no symptoms. At the time of diagnosis, the

mean cell counts were as follows: mean CD4 cell count: $127/\text{mm}^3$ (range, 4–294); mean CD8: $236/\text{mm}^3$ (range, 1–1293); mean CD19: $113/\text{mm}^3$ (range, 3–547); and mean NK cell count: $122/\text{mm}^3$ (range, 5–416). Most patients had deficiency in CD8, CD19, and/or NK cells. Cytotoxic function of NK cells was normal, and patients with infections had a significantly lower NK cell count than those without ($p = 0.01$). Patients with autoimmune manifestations had increased CD8 T-cell count. Proliferation of thymic precursors, as assessed by T-cell rearrangement excision circles, was increased. Six patients died (15%). CD4 T-cell count $<150/\text{mm}^3$ and NK cell count $<100/\text{mm}^3$ were predictors of death.

In conclusion, ICL is a heterogeneous disorder often associated with deficiencies in CD8, CD19, and/or NK cells. Long-term prognosis may be related to initial CD4 and NK cell deficiency.

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Abbreviations: AIHA = autoimmune hemolytic anemia, CDC = Centers for Disease Control, CMV = cytomegalovirus, cpm = count per minute, CVID = common variable immunodeficiency, CXCR4 = C-X-C chemokine receptor type 4, HIV = human immunodeficiency virus, HLA = human leukocyte antigen, HPV = human papillomavirus, HTLV-1/2 = human T-cell lymphotropic 1/2, ICL = idiopathic CD4 T lymphocytopenia, IFN- γ = interferon- γ , IL = interleukin, JC virus = John Cunningham virus, LPA = lymphocyte proliferation assay, NK = natural killer, P = patient, PBMC = peripheral blood mononuclear cell, Pwd = pokeweed, SI = stimulation index, sj = signal joint, TREC = T-cell rearrangement excision circle.

INTRODUCTION

Idiopathic CD4 T lymphocytopenia (ICL) is an immunodeficiency described in 1992 and characterized by the United States Centers for Disease Control (CDC) as absolute CD4 T-lymphocyte count $<300/\text{mm}^3$ or $<20\%$ of total T cells on more than 1 cell count at least 6 weeks apart; no evidence of infection with human immunodeficiency virus (HIV)-1/2 or human T-cell lymphotropic 1/2 (HTLV-1/2); and lack of a defined immunodeficiency disease or therapy associated with depressed levels of CD4 T cells.²⁴ Epidemiologic, clinical, and immunologic characteristics of the syndrome were described in 1993,^{9,10,13,24,25} and ICL is now considered a heterogeneous syndrome not caused by an infectious agent. Patients with ICL may have opportunistic infections such as disseminated *Cryptococcus neoformans* infection,³² *Pneumocystis jirovecii* pneumonia,²⁰ and John Cunningham (JC) virus infection¹⁵ as a result of profound cell-mediated immune-response deficiency.

Few studies have focused on the pathophysiology of ICL. CD4 T-lymphocyte phenotyping revealed increased CD95

TABLE 1. Baseline Characteristics of 40 Patients With Idiopathic CD4 Lymphocytopenia (ICL)

Characteristic	No. of Patients (%)
Sex	
Female	24 (60.3)
Ethnicity/Race	
White	33 (83)
Black	2 (5)
North African	5 (13)
Age at time of diagnosis of ICL, yr	
10–19	1 (2.5)
20–29	4 (10)
30–39	9 (22.5)
40–49	11 (27.5)
50–59	13 (32.5)
60–69	1 (2.5)
70–79	1 (2.5)
Circumstances at time of diagnosis	
Infection	20 (50)
· <i>Cryptococcus neoformans</i> meningitis	4 (10)
· <i>Pneumocystis jirovecii</i> pneumonia	2 (5)
· Mycobacterial	
· <i>Mycobacterium tuberculosis</i>	2 (5)
· <i>Mycobacterium kansasii</i> and <i>fortuitum</i>	1 (2.5)
· Cutaneous <i>Alternaria</i> spp	1 (2.5)*
· <i>Nocardia brasiliensis</i> pneumopathy	1 (2.5)*
· Chronic cutaneous/mucosal HPV	8 (20)†
· Other (ear-nose-throat infection, dental abscess, pneumonia)	3 (7.5)
Autoimmune symptoms	6 (15)
· Cerebral granulomatous vasculitis	1 (2.5)
· Cytopenia	
· Immune thrombocytopenic purpura	1 (2.5)
· Autoimmune hemolytic anemia	1 (2.5)
· Crohn disease	1 (2.5)
· Antiphospholipid syndrome	1 (2.5)†
· Guillain-Barré syndrome	1 (2.5)
Other (Raynaud phenomenon, diarrhea, gastroesophageal reflux, eczema, urticarial lesion)	6 (15)
Fortuitous (blood donor, occupational medicine, routine analysis)	8 (20)

*Same patient (P2).
 †Same patient presented with warts and antiphospholipid syndrome (P35).

expression that could be responsible for excess apoptosis leading to lymphocytopenia.^{17,21} Moreover, the membrane expression of C-X-C chemokine receptor type 4 (CXCR4) was found impaired in T lymphocytes with ICL, and CXCR4 trafficking was improved with interleukin 2 (IL-2) treatment in some patients.²² Recently, mutations in *nunc119*,¹² *MAGT1*,¹⁸ and *Rag1*¹⁶ were found associated with CD4 T lymphocytopenia. In a prospective study of 39 patients, CD8 T lymphocytopenia (<180/mm³) and degree of CD4 T-cell activation measured by human leukocyte antigen DR (HLA-DR) expression were found associated with poor prognosis.³³

We prospectively analyzed the clinical and immunologic characteristics and long-term prognosis of patients with ICL in a French multicenter cohort. We quantified lymphocyte subpopulations and mitogen/antigen-induced proliferation and explored proliferation of thymic precursors through quantification of T-cell rearrangement excision circles (TRECs) as well as natural killer (NK) cell cytotoxicity.

PATIENTS AND METHODS

Patient Selection

We prospectively included patients with CD4 T lymphocytopenia between January 1991 and June 2012. Diagnosis of ICL was based on absolute CD4 T-lymphocyte count <300/mm³ or <20% of total T cells on 2 cell counts at 6 weeks apart; no HIV-1/2 or HTLV-1/2 infection; and absence of defined immunodeficiency or therapy associated with decreased levels of CD4 T cells.²⁴ Therefore, we systematically searched for a known primary or secondary immunodeficiency, including viral infection (for example, HIV, HTLV, transient viral infection), tuberculosis, malignancy (lymphoma or solid tumor), autoimmune and/or inflammatory disorders (for example, Sjögren syndrome, sarcoidosis, systemic lupus erythematosus, granulomatosis with polyangiitis) or other causes of acquired lymphocytopenia. Searches for a known immunodeficiency were performed according to knowledge at the time of diagnosis and during follow-up. Data from clinical files were retrospectively collected by 2 authors (AR and LM). Results for 6 patients were previously reported: Patient 1 (P1), P2, and P13;²² P12,¹⁴ P14,²³ and P21.¹⁹

Data were analyzed by initial symptoms of any infection known to be associated with lymphocytopenia and any symptom related to autoimmune diseases. During follow-up, unusual infections, neoplasms, and symptoms related to autoimmune diseases were recorded. Patients were classified into 3 groups based on clinical and/or laboratory manifestations at diagnosis or during follow-up: autoimmune/inflammation, infection, or malignancy. Patients could be classified in more than 1 group.

TABLE 2. AIDS-Defining Condition at Time of Diagnosis or During Follow-Up in 40 Patients With ICL

AIDS-Defining Condition	No. of Patients (%)
Cryptococcosis, extrapulmonary	6 (15)
Esophageal candidiasis	5 (12.5)
<i>Mycobacterium avium</i> complex or <i>Mycobacterium kansasii</i> , disseminated and/or extrapulmonary	3 (7.5)
Malignant lymphoma	2 (5)
<i>Mycobacterium tuberculosis</i> of any site—pulmonary, disseminated, and/or extrapulmonary	2 (5)
<i>Pneumocystis jirovecii</i> pneumonia	2 (5)
Progressive multifocal leukoencephalopathy	2 (5)
<i>Mycobacterium</i> , other species or unidentified species, disseminated or extrapulmonary	1 (2.5)
Herpes simplex virus: dermal chronic ulcers (>1-mo duration)	1 (2.5)

TABLE 3. Clinical Manifestations During Long-Term Follow-Up of 40 Patients With ICL

Patient	Age (yr)/Sex	Length of Follow-Up (mo)	Alive/Deceased/Lost to Follow-Up	Baseline Total Lymphocyte Count	Baseline CD3 Lymphocytes (%)		Baseline CD3CD4 Lymphocytes (%)		Main Clinical Manifestations
					Normal = 1539±300 (75±5)	Normal = 858±260 (47±8)			
1†	41/M	122	A	612	533 (87)	12 (2)	12 (2)	<i>Cryptococcus neoformans</i> meningitis, cirrhosis	
2†	49/M	103	A	100	10 (10)	4 (4)	4 (4)	AIHA, ITP, myelodysplastic syndrome, relapsing cutaneous alternariosis, nocardiosis, <i>C. neoformans</i> meningitis, <i>Mycobacterium avium</i> lymph node infection, progressive multifocal leukoencephalopathy, cirrhosis	
3	58/M	10	D	515	319 (62)	67 (13)	67 (13)	ITP, esophageal candidiasis, cerebral tuberculosis	
4	22/F	85	D	253	162 (64)	63 (25)	63 (25)	Castleman disease, pulmonary arterial hypertension, <i>Mycobacterium kansasii</i> and <i>Mycobacterium fortuitum</i> pulmonary infection	
5	40/F	165	A	1833	1338 (73)	275 (15)	275 (15)	Central nervous system vasculitis, Bowen disease	
6	19/M	171	A	440	268 (61)	123 (28)	123 (28)	ITP, Raynaud phenomenon, warts and condyloma, epidermodyplasia verruciformis	
7	44/F	177	A	583	326 (56)	70 (12)	70 (12)	Eczema	
8	45/F	177	A	480	62 (13)	24 (5)	24 (5)	Malignant lymphoma	
9	30/F	28	L	790	521 (66)	253 (32)	253 (32)	None	
10†	33/M	10	D	282	59 (21)	26 (9)	26 (9)	Malignant lymphoma, esophageal candidiasis, <i>Pneumocystis jirovecii</i> pneumonia, <i>C. neoformans</i> meningitis, molluscum contagiosum, microsporidia diarrhea, chronic dermal HSV infection	
11	47/F	185	A	300	84 (28)	21 (7)	21 (7)	Chronic hepatitis E, disseminated tuberculosis, relapsing shingles	
12	56/F	229	A	1740	1305 (75)	139 (8)	139 (8)	Bowenoid papulosis, <i>C. neoformans</i> meningitis, necrotizing pneumonia	
13†	51/F	50	A	960	634 (66)	250 (26)	250 (26)	<i>C. neoformans</i> meningitis	
14	36/M	184	D	650	384 (59)	39 (6)	39 (6)	Pancreatic adenocarcinoma, epidermodyplasia verruciformis, <i>C. neoformans</i> meningitis, esophageal candidiasis, tracheal infection with <i>M. kansasii</i>	
15	52/F	295	A	720	216 (30)	58 (8)	58 (8)	Bowenoid papulosis and in situ carcinoma	
16	29/F	112	A	583	373 (64)	190 (33)	190 (33)	None	
17	37/F	107	A	342	147 (43)	52 (15)	52 (15)	Urticarial lesions	
18	46/M	211	A	886	319 (36)	180 (20)	180 (20)	ITP	
19	53/M	125	A	1262	656 (52)	118 (10)	118 (10)	AIHA, ITP, molluscum contagiosum, warts	

(Continued on next page)

TABLE 3. (Continued)

Patient	Age (yr)/Sex	Length of Follow-Up (mo)	Alive/Deceased/Lost to Follow-Up	Baseline Total Lymphocyte Count	Baseline CD3 Lymphocytes (%) Normal = 1539±300 (75±5)	Baseline CD3CD4 Lymphocytes (%) Normal = 858±260 (47±8)	Main Clinical Manifestations
20	38/F	41	D	260	179 (69)	39 (15)	Gum and cheek adenocarcinoma, anogenital dysplasia, in situ carcinoma, esophageal candidiasis, recurrent oral HSV
21	39/F	242	A	340	270 (68)	211 (53)	Breast cancer, anogenital dysplasia, recurrent pulmonary infection, epidermodysplasia verruciformis, warts
22	63/F	64	A	705	430 (61)	218 (31)	Shingles, esophageal candidiasis
23	56/M	53	A	305	119 (39)	40 (13)	None
24	59/M	44	A	310	205 (57)	165 (45)	Raynaud phenomenon
25	35/F	13	A	562	326 (58)	142 (25)	Crohn disease
26*†	23/F	67	A	473	383 (81)	47 (10)	AIHA, Goodpasture syndrome, warts, shingles
27	44/F	55	A	320	140 (35)	75 (19)	None
28	42/F	17	A	753	444 (59)	236 (31)	Repeated pulmonary infection leading to moderate bronchiectasia
29†	36/F	72	A	720	313 (43)	170 (34)	Condyloma
30	42/F	15	A	720	433 (60)	294 (41)	Basal cell carcinoma, shingles
31	70/M	4	A	262	238 (91)	66 (25)	Bowenoid papulomatosis, condyloma
32	56/M	3	D	451	194 (43)	133 (30)	Progressive multifocal leukoencephalopathy, cirrhosis
33	56/F	8	A	672	504 (75)	294 (44)	Hashimoto thyroiditis
34	43/M	39	A	1310	1273 (96)	32 (2)	Duodenal villous atrophy (grade II) without anti-transglutaminase or anti-endomysium antibody, <i>P. jirovecii</i> pneumonia
35	33/M	2	A	1271	572 (45)	219 (17)	Warts, antiphospholipid syndrome
36	55/F	11	A	351	95 (27)	25 (7)	Shingles
37	55/F	12	A	700	392 (56)	278 (40)	Seronegative polyarthritis, Raynaud phenomenon
38	57/F	4	A	339	339 (61)	187 (34)	Vitiligo, epidermodysplasia verruciformis
39	57/M	6	A	555	339 (56)	222 (37)	None
40	23/M	10	A	1210	750 (62)	38 (3)	Guillain-Barré syndrome

Abbreviations: A = alive, AIHA = autoimmune hemolytic anemia, D = deceased, HPV = human papilloma virus, HSV = herpes simplex virus, ITP = immune thrombocytopenic purpura, L = lost to follow-up.

*Patient 26 received rituximab before lymphocyte phenotyping.

†Patient received IL-2 during follow-up.

Methods

Laboratory and Immunologic Data

Laboratory tests were performed in each center. Immunologic assays and lymphocyte phenotyping were all performed in a single laboratory in Pitié-Salpêtrière hospital when ICL diagnosis was established. We collected laboratory results for leukocyte and lymphocyte counts; levels of hemoglobin, albumin, serum gammaglobulin, IgG, IgA, and IgM; antinuclear antibodies; C3, C4 complement components and CH50; hepatitis B surface antigen (HBs); antibodies for hepatitis B core (HBc), hepatitis C virus (HCV), HIV-1/2, HTLV-1/2, human herpes virus 8, and Epstein-Barr virus; and zinc.

Blood samples from healthy adult volunteer donors from the blood collection center at Pitié-Salpêtrière hospital were used as controls. Peripheral blood mononuclear cells (PBMCs) were isolated with Ficoll-hypaque (Eurobio, Les Ulis, France). To label surface antigens, we used monoclonal antibodies for CD3-PC5 or CD3-FITC, CD4-RD1, CD8-ECD, CD16+56-PE, CD19-PC5, CD25-PE, CD28-FITC, CD45RA-PE, CD45RO-FITC, TCRγδ-PE, and HLA-DR-FITC from Beckman Coulter (Marseille, France) and for TCRαβ-FITC from Becton Dickinson.

For lymphocyte proliferation assay (LPA) of fresh PBMCs, cells were resuspended at 10⁶/mL, and 100 μL cells were seeded in each well and stimulated with precoated anti-CD3 alone or with anti-CD28, IL-2, phytohemagglutinin or pokeweed (PwD) in triplicate. After 3 days, thymidine was added for 18 hours, and proliferation was measured by thymidine incorporation. Results are expressed as mean ± SD count per minute (cpm). The

stimulation index (SI) was calculated as the cpm ratio of (cells + stimuli)/(cells + medium). A positive test result was defined as the association of 1) cpm >35,000 (>5000 for PwD) and 2) SI >35 (>5 for PwD).

LPA stimulation with antigens against cytomegalovirus (CMV) (Behring, Marburg, Germany), tuberculin (Statens Serum Institut, Denmark), candidin (Sanofi Pasteur, Lyon, France), and streptococcal enzyme (Sigma-Aldrich, St. Louis, MO) was for 6 days and was otherwise similar to mitogen LPA. A positive test result was defined as cpm >3000 and SI >3.

Parallel quantification of the signal joint (sj)-TREC and the diversity βjunction β(DβJβ)-TRECs, together with CD3γ gene (used as a housekeeping gene) was performed for each sample using LightCycler technology (Roche Diagnostics, Meylan, France) with a technique adapted from Dion et al.⁸ Intrathymic precursor T-cell proliferation was evaluated by calculating the sj/βTREC ratio as described by Dion et al.⁷ sjTREC frequencies were adjusted to naive T-cell counts as defined by CD45RA and CCR7 expression.

PBMCs were incubated for 16 hours with 10 ng/mL IL-12 and 100 ng/mL IL-18 (R&D Systems) at 37°C or without (control). Golgi Stop and Golgi Plug solutions (BD Biosciences) were added for an additional 5 hours. Cells were stained for the cell-surface markers CD3 (ECD; #UCHT1) and CD56 (PC7; #N901; Coulter), fixed and permeabilized with BD cytofix/cytoperm solution (BD Biosciences), then stained for intracellular interferon-γ (IFN-γ) expression (Alexa-Fluor-700; #B27; BD Biosciences) and analyzed by flow cytometry. Percentage of intracellular IFN-γ producing cells was determined in CD3-CD56+ NK cells.

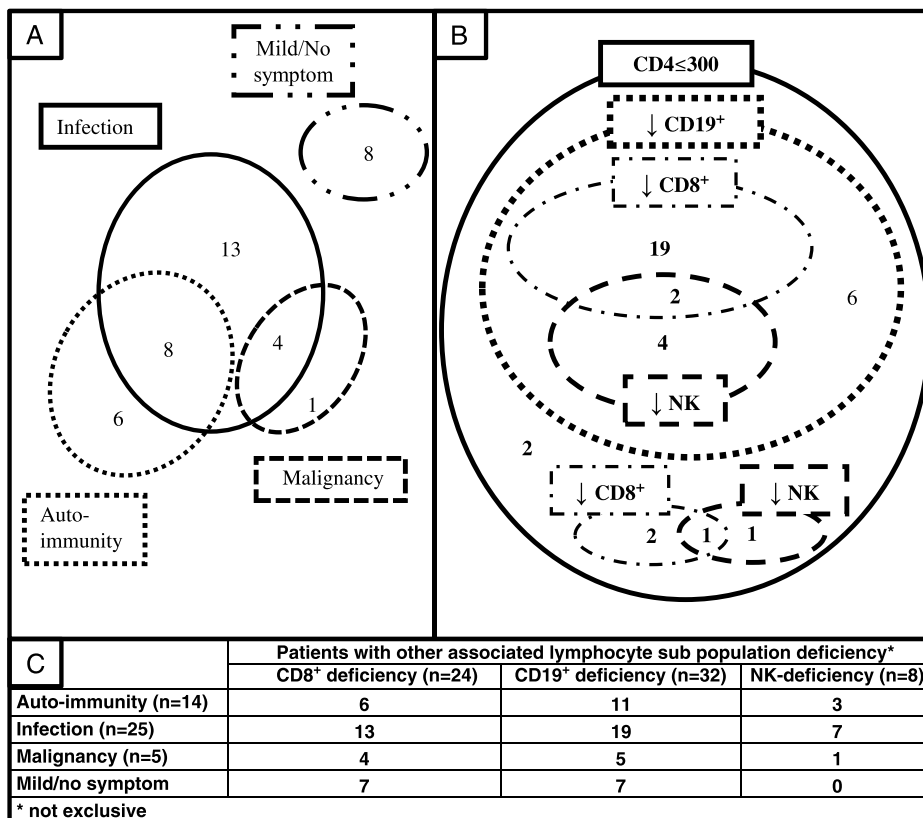


FIGURE 1. Biological heterogeneity of patients with idiopathic CD4 lymphocytopenia (ICL) at diagnosis and clinical manifestations during follow-up. **A.** Classification of patients with ICL by main clinical manifestations (n = 40); **(B)** lymphocyte subpopulation deficiencies (n = 37); and **(C)** clinical manifestation according to subpopulation-associated cell deficiency. CD19, CD8, and NK cell counts were available for only 37 patients at diagnosis.

Statistical Analysis

Results are expressed as number and/or percentages for categorical variables and mean ± SD for continuous variables. Lymphocyte subpopulations and intracellular IFN-γ production were compared by Mann-Whitney test. Regression analysis was used for correlation analysis of lymphocyte subpopulations, with significance determined by Fisher exact test. The Kaplan-Meier

method was used for survival analysis. Analysis of covariance (ANCOVA) was used for TREC analysis.

Ethical Considerations

This survey was conducted in compliance with the protocol of Good Clinical Practices and Declaration of Helsinki

TABLE 4. Detailed Immunologic Data for 40 Patients With ICL, at Time of Diagnosis

Patient	Total Lymphocyte Count	CD3 Lymphocytes (%) Normal = 1539±300 (75±5)	CD3 TCR αβ (%) 1416±267 (69±6)	CD3 TCR γδ (%) 110±53 (5±2)	CD3 ⁺ CD4 ⁺ Lymphocytes (%) 858±260 (47±8)	CD4 ⁺ CD45RO ⁺ CD4 ⁺ (%) 378±100 (49±12)	CD4 ⁺ CD45RA ⁺ CD4 ⁺ (%) 417±196 (52±12)	CD4 ⁺ CD28 ⁺ CD4 ⁺ (%) 870±153 (100±6)
1	612	533 (87)	361 (59)	165 (27)	12 (2)	8 (68)	4 (37)	12 (97)
2	100	10 (10)	NA	NA	4 (4)	3 (80)	0 (3)	4 (93)
3	515	319 (62)	NA	NA	67 (13)	52 (77)	11 (17)	62 (93)
4	253	162 (64)	NA	NA	63 (25)	39 (62)	23 (36)	62 (98)
5	1833	1338 (73)	1338 (73)	55 (3)	275 (15)	182 (66)	47 (17)	272 (99)
6	440	268 (61)	NA	NA	123 (28)	69 (56)	49 (40)	NA
7	583	326 (56)	291 (50)	23 (4)	70 (12)	57 (81)	6 (8)	46 (66)
8	480	62 (13)	48 (10)	14 (3)	24 (5)	24 (99)	0 (2)	NA
9	790	521 (66)	NA	NA	253 (32)	577 (73)	174 (22)	766 (97)
10	282	59 (21)	53 (19)	3 (1)	26 (9)	25 (96)	1 (3)	26 (100)
11	300	84 (28)	72 (24)	12 (5)	21 (7)	20 (94)	1 (7)	18 (88)
12	1740	1305 (75)	1270 (73)	35 (2)	139 (8)	120 (86)	39 (28)	NA
13	960	634 (66)	441 (46)	240 (25)	250 (26)	191 (76)	27 (11)	99 (40)
14	650	384 (59)	NA	NA	39 (6)	31 (79)	8 (21)	NA
15	720	216 (30)	NA	NA	58 (8)	55 (95)	2 (3)	NA
16	583	373 (64)	344 (59)	29 (5)	190 (33)	146 (77)	30 (16)	188 (99)
17	342	147 (43)	147 (43)	7 (2)	52 (15)	39 (75)	17 (33)	26 (50)
18	886	319 (36)	275 (31)	44 (5)	180 (20)	171 (95)	4 (2)	169 (94)
19	1262	656 (52)	449 (35)	154 (12)	118 (10)	93 (79)	35 (30)	112 (95)
20	260	179 (69)	161 (62)	16 (6)	39 (15)	36 (92)	4 (11)	39 (99)
21	340	270 (68)	262 (66)	8 (2)	211 (53)	203 (96)	2 (1)	NA
22	705	430 (61)	374 (53)	14 (2)	218 (31)	192 (88)	35 (16)	214 (98)
23	305	119 (39)	85 (28)	24 (8)	40 (13)	24 (50)	14 (36)	33 (83)
24	310	205 (57)	102 (33)	3 (1)	165 (45)	89 (54)	104 (63)	155 (94)
25	562	326 (58)	270 (48)	34 (6)	142 (25)	97 (68)	35 (25)	139 (98)
26*	473	383 (81)	273 (58)	113 (24)	47 (10)	36 (76)	12 (25)	44 (94)
27	320	140 (35)	102 (32)	0 (0)	75 (19)	54 (72)	19 (25)	64 (85)
28	753	444 (59)	444 (59)	23 (3)	236 (31)	163 (69)	71 (30)	231 (98)
29	720	313 (43)	331 (46)	29 (4)	170 (34)	156 (92)	12 (7)	161 (95)
30	720	433 (60)	382 (53)	50 (7)	294 (41)	138 (47)	144 (49)	279 (95)
31	262	238 (91)	217 (83)	13 (5)	66 (25)	59 (90)	8 (12)	58 (88)
32	451	194 (43)	203 (45)	5 (1)	133 (30)	81 (61)	52 (39)	122 (92)
33	672	504 (75)	531 (79)	13 (2)	294 (44)	129 (44)	141 (48)	NA
34	1310	1273 (96)	1166 (89)	105 (8)	32 (2)	23 (72)	5 (15)	30 (94)
35	1271	572 (45)	521 (41)	64 (5)	219 (17)	188 (86)	31 (14)	105 (48)
36	352	95 (27)	20 (21)	5 (5)	25 (7)	NA	NA	NA
37	700	392 (56)	214 (54)	4 (1)	278 (40)	NA	NA	NA
38	556	339 (61)	180 (53)	17 (5)	187 (34)	NA	NA	NA
39	605	339 (56)	166 (49)	10 (3)	222 (37)	NA	NA	NA
40	1210	750 (62)	458 (61)	8 (1)	38 (3)	NA	NA	NA

Abbreviation: NA = not assessed.

*Patient 26 received rituximab before lymphocyte phenotyping.

None received IL-2 treatment before lymphocyte phenotyping.

principles. Patients gave their consent to participate after being orally informed about the study protocol. In accordance with European regulation (Directive 2001/20/EC of the European Parliament and of the Council of 4 April 2001 on the approximation of the laws, regulations, and administrative provisions of the Member States relating to the implementation of good clinical practice in the conduct of clinical trials on medicinal

products for human use; Directive 95/46/EC of the European Parliament and of the Council of 24 October 1995 on the protection of individuals with regard to the processing of personal data and on the free movement of such data), observational studies of data obtained without any additional therapy or monitoring procedure in France do not need formal approval of an institutional review board or an independent ethics committee,

<i>CD4⁺</i> <i>CD25⁺/ CD4⁺ (%)</i> 86±60 (10±7)	<i>CD4⁺HLA- DR/CD4⁺</i> (%) 54±25 (6±3)	<i>CD3⁺CD8⁺</i> <i>Lymphocytes</i> (%) 482±164 (26±5)	<i>CD8⁺</i> <i>CD45RO⁺/ CD8⁺ (%)</i> 221±94 (32±11)	<i>CD8⁺</i> <i>CD45RA⁺/ CD8⁺ (%)</i> 454±169 (69±12)	<i>CD8⁺</i> <i>CD25⁺/ CD8⁺ (%)</i> 6±13 (1±2)	<i>CD8⁺HLA- DR/CD8⁺</i> (%) 30±54 (6±6)	<i>CD19⁺</i> <i>lymphocytes</i> (%) 313±66(15±2)	<i>CD3⁻CD16⁺</i> <i>CD56⁺ (%)</i> 243±95 (12±4)
7 (62)	4 (30)	353 (57)	53 (15)	325 (92)	4 (1)	11 (3)	24 (4)	31 (5)
3 (71)	1 (20)	1 (1)	NA	NA	NA	0 (33)	3 (3)	69 (69)
9 (14)	22 (33)	259 (58)	127 (49)	168 (65)	3 (1)	163 (63)	41 (8)	93 (18)
13 (20)	8 (13)	96 (38)	69 (72)	31 (32)	0 (0)	46 (48)	86 (34)	5 (2)
72 (26)	36 (13)	1293 (69)	142 (11)	1073 (83)	13 (1)	26 (2)	147 (8)	220 (12)
14 (11)	14 (11)	106 (24)	15 (14)	77 (73)	1 (1)	23 (22)	75 (17)	66 (15)
23 (33)	26 (37)	144 (37)	50 (35)	84 (58)	1 (1)	81 (56)	64 (11)	169 (29)
4 (18)	19 (80)	24 (5)	4 (18)	21 (88)	0 (1)	5 (19)	38 (8)	360 (75)
24 (3)	71 (9)	253 (32)	363 (46)	442 (56)	0 (0)	71 (9)	NA	150 (19)
10 (37)	13 (51)	26 (9)	12 (46)	16 (60)	1 (4)	9 (34)	152 (54)	35 (14)
5 (26)	8 (37)	18 (6)	12 (69)	7 (38)	2 (10)	7 (42)	93 (31)	90 (30)
58 (42)	39 (28)	1131 (65)	554 (49)	927 (82)	68 (6)	102 (9)	209 (12)	226 (13)
55 (22)	48 (19)	291 (30)	54 (18)	203 (70)	3 (1)	109 (37)	29 (3)	230 (24)
6 (15)	4 (9)	351 (54)	NA	NA	39 (11)	59 (17)	143 (22)	NA
NA	14 (24)	151 (21)	42 (28)	80 (53)	15 (10)	39 (26)	252 (35)	137 (19)
2 (1)	9 (5)	145 (25)	81 (56)	49 (34)	0 (0)	23 (16)	110 (19)	82 (14)
4 (8)	9 (17)	89 (26)	36 (40)	53 (59)	3 (3)	18 (20)	75 (22)	106 (31)
18 (10)	36 (20)	115 (12)	72 (63)	43 (37)	3 (3)	25 (22)	80 (9)	416 (47)
5 (4)	17 (14)	431 (37)	86 (20)	353 (82)	4 (1)	91 (21)	97 (13)	316 (25)
3 (7)	12 (32)	138 (53)	37 (27)	100 (73)	0 (0)	35 (25)	5 (2)	83 (32)
17 (8)	27 (13)	51 (13)	34 (66)	NA	1 (1)	9 (17)	27 (8)	58 (17)
15 (7)	26 (16)	204 (29)	120 (59)	88 (43)	0 (0)	NA	92 (13)	162 (23)
4 (9)	18 (45)	62 (21)	7 (11)	50 (80)	1 (1)	11 (18)	88 (29)	92 (30)
7 (4)	35 (21)	40 (11)	14 (36)	34 (86)	0 (1)	12 (29)	65 (21)	65 (21)
24 (17)	16 (11)	126 (23)	76 (60)	29 (23)	4 (3)	38 (30)	129 (23)	101 (18)
5 (10)	12 (25)	220 (46)	68 (31)	156 (71)	0 (0)	29 (13)	0 (0)	71 (15)
12 (16)	16 (22)	62 (15)	43 (70)	19 (30)	1 (1)	15 (24)	64 (20)	122 (38)
54 (23)	24 (10)	184 (25)	86 (47)	105 (57)	4 (2)	70 (38)	181 (24)	113 (15)
14 (8)	14 (8)	111 (22)	65 (59)	33 (30)	0 (0)	14 (13)	187 (26)	72 (10)
29 (10)	21 (7)	103 (14)	23 (22)	87 (84)	3 (3)	8 (8)	266 (37)	50 (7)
13 (19)	27 (41)	165 (93)	36 (22)	129 (78)	0 (0)	45 (27)	16 (6)	3 (1)
39 (29)	37 (28)	52 (12)	21 (40)	36 (69)	27 (51)	35 (67)	140 (31)	99 (22)
NA	NA	203 (30)	37 (18)	75 (37)	NA	NA	94 (14)	40 (6)
2 (6)	11 (34)	1134 (85)	374 (33)	782 (69)	0 (0)	431 (38)	13 (1)	26 (2)
26 (12)	55 (25)	256 (20)	110 (43)	141 (55)	8 (3)	44 (17)	547 (43)	38 (3)
2 (10)	9 (35)	36 (10)	NA	NA	0 (1)	11 (30)	147 (46)	73 (23)
31 (11)	22 (8)	112 (16)	NA	NA	1 (1)	32 (29)	84 (13)	185 (28)
7 (4)	30 (16)	132 (24)	NA	NA	0 (0)	18 (14)	71 (13)	135 (25)
13 (6)	27 (12)	96 (16)	NA	NA	0 (0)	26 (27)	155 (24)	144 (22)
6 (16)	18 (47)	691 (57)	NA	NA	7 (1)	55 (8)	192 (17)	216 (19)

and formal written consent from patients is not required for this kind of project.

RESULTS

Clinical Manifestations

We prospectively included 59 patients in the study; 19 were secondarily excluded because they did not meet CDC criteria for ICL: 8 had a CD4 count $\geq 300/\text{mm}^3$, and the other exclusion criteria were sarcoidosis (n = 3), malignant lymphoma (n = 1), multiple myeloma (n = 1), Sjögren syndrome (n = 1), splenomegaly secondary to portal thrombosis (n = 1), anorexia nervosa (n = 1), exudative enteropathy (n = 1), common variable immunodeficiency (CVID) (n = 1), and tuberculosis (n = 1). We followed 40 patients (24 female; mean age 44.2 ± 12.2 [19–70] years at the time of diagnosis) for a mean of 6.9 ± 6.7 (0.14–24.3) years. Patient 21 had moderate hypogammaglobulinemia and decreased level of IgG but did not fulfill criteria for CVID. Patients' clinical characteristics at the time of diagnosis are detailed in Table 1. Eleven patients received trimethoprim sulfamethoxazole prophylaxis.

Infections developed in 25 patients at diagnosis or during follow-up. In total, 12 patients had extensive human papillomavirus (HPV)-related infection, including warts (n = 5), condyloma (n = 3), Bowenoid papulomatosis (n = 3), pseudo-epidermodysplasia verruciformis (n = 4), and anogenital dysplasia (n = 2), and 2 patients had molluscum contagiosum (n = 2). *Cryptococcus neoformans* infection was documented in 6 patients: all had evidence of meningitis and 1 (P1) underwent surgery for excavated pneumonia related to cryptococcal infection. At diagnosis or during follow-up, 14 patients had acquired immunodeficiency syndrome (AIDS)-defining conditions (Table 2). Other opportunistic infections were recurrent *Alternaria* species and *Nocardia brasiliensis* infections.

At diagnosis or during follow-up (Table 3), 14 patients had autoimmune and/or inflammatory manifestations, including

immune thrombocytopenic purpura (ITP) (n = 5), autoimmune hemolytic anemia (AIHA) (n = 3), central nervous system vasculitis (n = 1), Goodpasture syndrome (n = 1), grade II duodenal villous atrophy (n = 1), Crohn disease (n = 1), antiphospholipid syndrome (n = 1), seronegative polyarthritis (n = 1), vitiligo (n = 1), Guillain-Barre syndrome (n = 1) and Hashimoto thyroiditis (n = 1). These manifestations were not related to therapy except for the AIHA and Goodpasture syndrome of P26, which appeared during and 3 years after IL-2 therapy, respectively.

We observed 2 cases of malignant lymphoma (null phenotype lymphoma and non-Hodgkin lymphoma) and 3 cases of solid tumor. One patient had multicentric Castleman disease and pulmonary arterial hypertension. Three patients had cirrhosis of unknown etiology.

Overall, 8 patients had mild or no symptoms. Eight patients had infections and autoimmunity, 4 patients had infections and malignancy. No patient had autoimmunity and malignancy, and no patient had infectious, autoimmune, and malignant manifestations (Figure 1A).

Immunologic Characteristics

At the time of diagnosis, mean CD4 count was $127/\text{mm}^3$ (4–294). Mean CD8, CD19, and NK cell counts were $236/\text{mm}^3$ (1–1293), $113/\text{mm}^3$ (3–547), and $122/\text{mm}^3$ (5–416), respectively (Table 4). In total, 24/40 patients had CD8 T-cell deficiency, 32/38 had CD19 B-cell deficiency, and 8/39 had CD3-CD16+CD56+ NK cell deficiency (Figure 1B). Absolute CD4, CD19, CD8, and NK cell counts were positively correlated with severity of lymphocytopenia (Figure 2A). Proportions of each lymphocyte population were modified by severity of lymphocytopenia: that of CD8 T cells decreased with the severity of lymphocytopenia ($p = 0.01$). Residual CD4 T cells showed increased expression of markers of activation (HLA-DR+) and memory (CD45RO+) (Figure 2B) and reduced expression of a naive marker (CD45RA+) (data not shown).

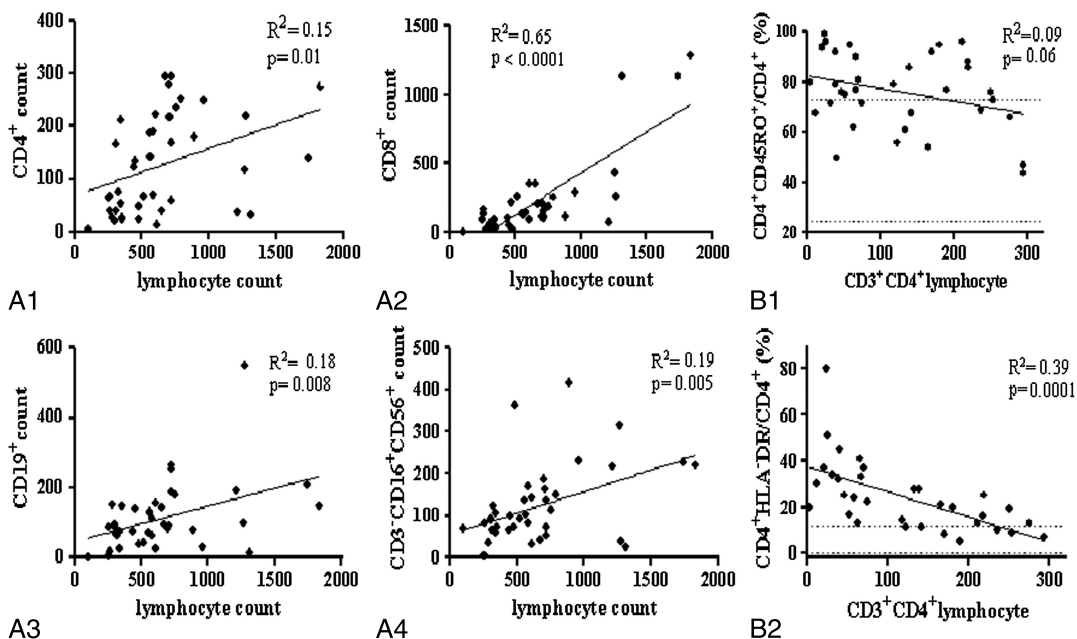


FIGURE 2. Descriptive analysis of lymphocyte populations and subpopulations. Positive correlations of CD4 (A1), CD8 (A2), CD19 (A3), and CD3-CD16+CD56+ NK (A4) counts and lymphocyte count. Negative correlations of CD45RO+ memory (B1) and HLA-DR+–activated (B2) residual CD4 lymphocytes and CD4 lymphocytopenia severity. Dashed lines represent normal values ± 2 SDs. Abbreviations: R2 = goodness-of-fit of linear regression, p = probability that slope is null.

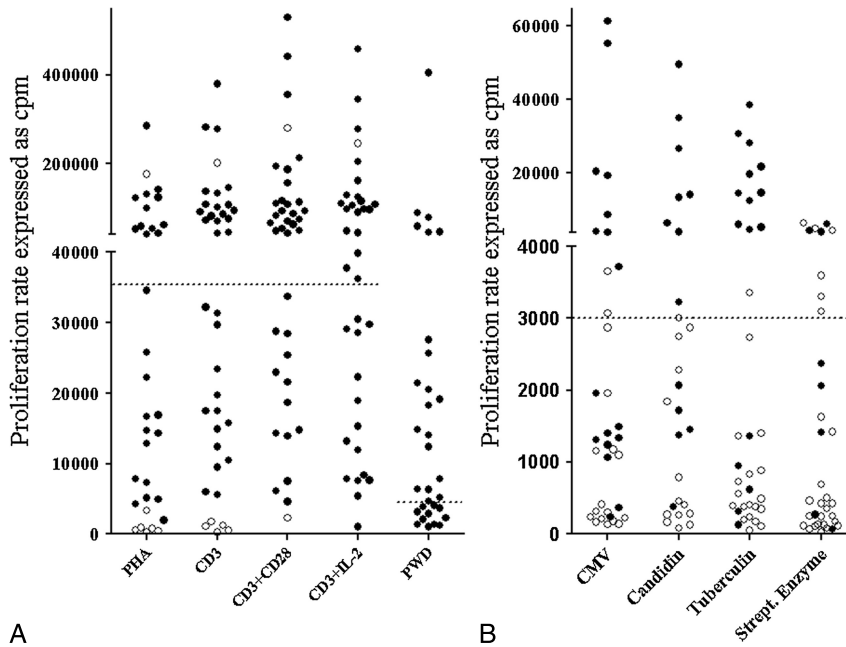


FIGURE 3. A. T-lymphocyte proliferation induced by mitogens (PHA, CD3, CD3+CD28, CD3+IL-2, PWD). **B.** T-lymphocyte proliferation induced by antigens (CMV, candidin, tuberculin, streptococcal enzyme). A positive test result is defined as both thymidine incorporation (cpm) above threshold (dashed line) and a positive stimulation index (SI). Positive and negative SIs are represented by black (positive) and open (negative) dots. Abbreviations: CMV = cytomegalovirus, cpm = count per minute, PHA = phytohemagglutinin, PWD = pokeweed.

T-cell proliferation in the presence of mitogens was normal after stimulation with anti-CD3 antibody in 18/38 patients. On co-stimulation with anti-CD28 antibody or exogenous IL-2, proliferation was normal in 23/38 and 20/36 patients, respectively (Figure 3). B-cell proliferation was normal in 19/31 patients. Proliferation induced by CMV, streptococcal enzyme, tuberculin, and candidin antigens was defective in 25/34, 28/34, 26/35, and 17/27 patients, respectively (see Figure 3). We note that patients with infections showed significantly lower response to tuberculin-induced proliferation ($p = 0.0008$).

Follow-Up and Prognosis

Patients with infection manifestations during follow-up had a significantly lower NK cell count at diagnosis; patients with autoimmune symptoms had significantly higher CD3+, CD8+, and CD8+CD45RO+ T-cell counts; and patients with malignancy had low CD4+CD45RA+ and CD8+CD45RO+ T-cell

counts (Table 5). Six patients (15%) died during follow-up. The causes of death were cerebral infection with *Mycobacterium tuberculosis* identified on autopsy, misdiagnosed as toxoplasmosis (P3); anaplastic lymphoma (null phenotype) (P10); pancreatic adenocarcinoma (P14); epidermoid carcinoma of the cheek (P20); respiratory failure related to pulmonary arterial hypertension (P4); and multiorgan failure due to septic shock related to *Escherichia coli* infection (P32). We identified initial CD4 T-cell count $<150/mm^3$ and low NK cell count ($<100/mm^3$) or a low NK cell count ($<100/mm^3$) as prognostic markers of increased mortality (Figure 4).

In the current study, 6 patients (P1, P2, P10, P13, P26, P29) received recombinant IL-2 treatment. CD4 T-lymphocyte count was increased, but remained below normal values in 4 of these patients. Treatment was stopped in 2 patients because of injection intolerance (P29) and AIHA 6 months after treatment initiation (P26). Treatment was stopped for lack of efficacy in

TABLE 5. Main Clinical Manifestations During Follow-Up (Infection, Autoimmunity, Malignancy) According to Initial Lymphocytic Features for 40 Patients With ICL

	Infection	No Infection	P	Autoimmunity	No Autoimmunity	P	Malignancy	No Malignancy	P
CD3	392 (321)	391 (318)	0.98	532 (374)	316 (256)	0.03	191 (139)	420 (324)	0.06
CD19	116 (120)	99 (43)	0.87	112 (136)	108 (73)	0.53	73 (69)	115 (102)	0.38
NK	95 (76)	165 (105)	0.01	142 (116)	110 (79)	0.67	134 (152)	120 (88)	0.64
CD4 count	112 (88)	153 (98)	0.14	143 (99)	119 (90)	0.50	68 (80)	136 (92)	0.08
CD45RO+CD4+	88 (67)	100 (61)	0.53	95 (65)	89 (66)	0.86	64 (78)	95 (63)	0.25
CD45RA+CD4+	25 (33)	39 (43)	0.28	34 (40)	28 (36)	0.61	3 (3)	34 (38)	0.006
CD8 count	240 (291)	230 (335)	0.53	363 (399)	168 (218)	0.04	118 (138)	253 (319)	0.18
CD45RO+CD8+	95 (130)	57 (43)	0.61	111 (100)	68 (111)	0.02	22 (16)	89 (113)	0.02
CD45RA+CD8+	192 (245)	139 (296)	0.08	290 (355)	119 (194)	0.08	46 (47)	185 (272)	0.14

Numbers indicate mean (standard deviation).

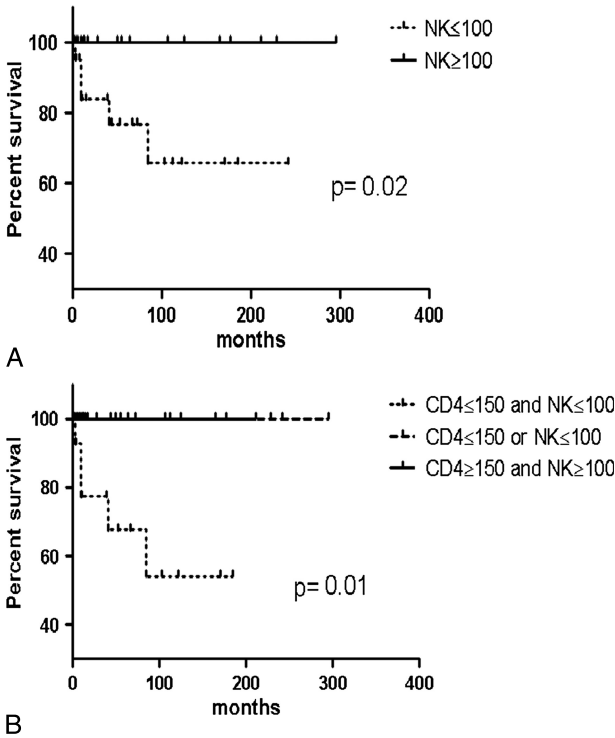


FIGURE 4. Survival in 40 patients with ICL by lymphocyte subpopulation counts. Kaplan-Meier survival curves by **(A)** initial NK cell count and **(B)** combined CD4 T-lymphocyte and NK cell count.

P2, who died from a null-phenotype lymphoma. For patients with response to recombinant IL-2 treatment, the treatment was stopped 3 (P13) and 5 (P1) years later, without any new opportunistic infection. Response to treatment was sustained after IL2 treatment interruption, but remained under normal values in 1 patient (P13).

TRECs Analysis

Eighteen ICL patients and 34 healthy controls were investigated for TRECs in peripheral blood. It is noteworthy that sjTREC frequency (sjTREC/PBMC) was identical in ICL patients and healthy controls (data not shown). However, when adjusted to naive T-cell counts, the sjTREC frequency (sjTRECs/10⁵ naive T-cells) was higher in ICL patients than in healthy controls ($p < 0.0001$; ANCOVA) (Figure 5A). Finally, patients with ICL had higher sj/βTREC ratio than controls ($p = 0.01$; ANCOVA) (Figure 5B). Taken together, these results suggest that in the investigated ICL patients, thymic function is supra normal as compared to age-matched healthy individuals.

IFN-γ Release Assay by NK Cells

Nine ICL patients and 9 healthy controls underwent NK cell function analysis by IFN-γ release after treatment with IL-12 and IL-18. The frequency of IFN-γ-producing NK cells did not significantly differ between ICL patients and healthy controls, with a median of 23.4% (11.6%–41.0%) and 31.5% (13.2%–48.8%), respectively ($p < 0.113$) (Figure 6).

DISCUSSION

We report the clinical and immunologic characteristics and long-term follow-up of 40 patients with ICL in a French

multicenter cohort. Patients received a diagnosis of ICL after other etiologies of CD4 lymphocytopenia were excluded.

In our series, patients had opportunistic infections that have already been described in the setting of ICL, including *Cryptococcus neoformans* meningitis,³⁴ *Pneumocystis jirovecii* pneumonia,²⁰ and mycobacterial²⁶ and JC virus¹⁵ infection. However, to our knowledge, *Nocardia* infection was previously reported in only 2 patients with ICL,^{11,28} and cutaneous *Alternaria* species infection has never been described. Although ICL patients have been reported with HPV infection,² the follow-up of these patients revealed that mucocutaneous lesions due to HPV were common in ICL and can be responsible for neoplasms and/or require surgical treatment. Thus, the true prevalence of HPV infection in ICL patients may be underestimated, and these patients should be carefully examined to detect anogenital lesions.

Autoimmune symptoms are common in patients with ICL, as in other primary or secondary immunodeficiencies.⁴ We found autoimmune cytopenia in 6 of 40 patients (15%), which represents the most common autoimmune symptom. However, to our knowledge, central nervous system vasculitides and Goodpasture syndrome have not been previously reported in ICL. The disruption of immune tolerance remains of unknown origin. Patients show a relative increase in activated CD25+CD4+ or HLA-DR+CD4+ T cells. This hyperactivated state could result from CD4 T lymphocytopenia (inverse correlation of HLA-DR+CD4 T lymphocytes and CD4 T cells) and could be responsible for autoimmune manifestations.

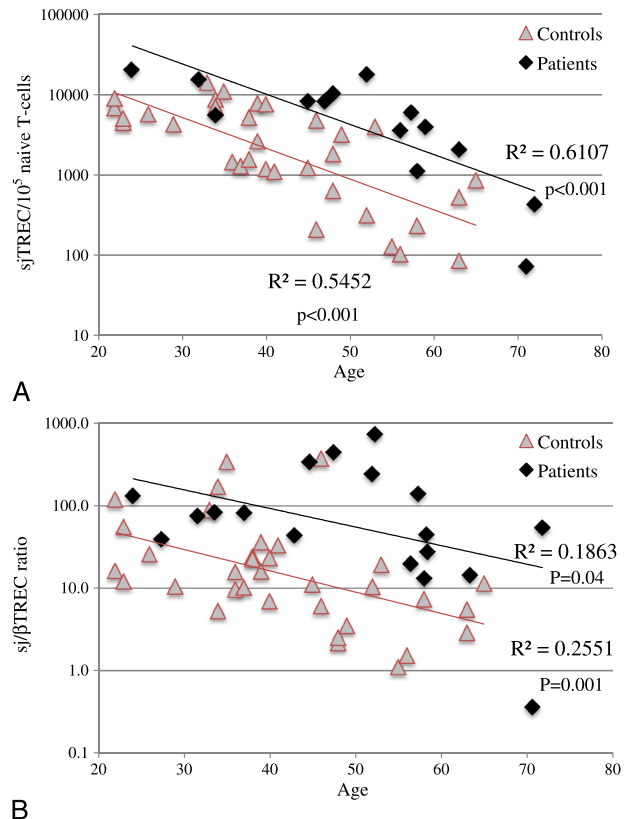


FIGURE 5. T-cell rearrangement excision circles (TRECs) in patients with ICL and healthy control individuals. Quantification of **(A)** sjTRECs/10⁵ naive T cells and **(B)** sj/βTREC ratio in patients with ICL and healthy controls. Abbreviations: R² = Spearman correlation, p = associated probability.

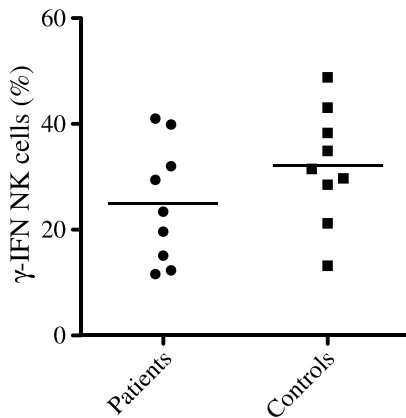


FIGURE 6. IFN- γ production by NK cells from patients with ICL and healthy control individuals. Peripheral blood mononuclear cells were collected from ICL patients and healthy donors to determine the proportion of IFN- γ -producing NK cells after overnight treatment with IL-12 and IL-18. Samples were gated on CD3-CD56+ NK cells. Horizontal bars indicate the mean.

During follow-up, 6 patients died (15%). The prognosis has substantially improved since the first report of 38.3% mortality by Smith et al in 1993.²⁴ In the current series, death was related to immunodeficiency in all patients. We identified initial CD4 T-cell count $\leq 150/\text{mm}^3$ and NK cell count $\leq 100/\text{mm}^3$ or a low NK cell count ($< 100/\text{mm}^3$) as prognostic markers of death. However, the function of NK cells, when investigated, was preserved in patients with ICL. HLA-DR expression in CD4 T cells was associated with poor prognosis in a study by Zonios et al,³³ probably because activation markers are associated with lymphocytopenia. Among our 40 patients, 4/25 with a CD8 count $\leq 180/\text{mm}^3$ and 2/15 with a CD8 count $> 180/\text{mm}^3$ died. Thus, we do not confirm that a CD8 count $\leq 180/\text{mm}^3$ can be considered a factor of poor prognosis.³³ However, in the study by Zonios et al, CD8 T-cell count was a predictor of opportunistic-related death and not all-cause death. Although not statistically significant, 4 of 5 patients who died had a negative LPA in the presence of antigens. Thus, LPA negativity may be a prognostic marker in ICL, although this result needs to be confirmed in larger studies. Of our 40 patients, 5 (12.5%) remained free of symptoms during a mean follow-up of 6.9 ± 6.7 years. Although fortuitous detection of asymptomatic patients with ICL has been reported,^{1,3,6} probably only a minority of patients remain asymptomatic. In addition, at the end of follow-up, all but 1 of the patients with available CD4 T-cell counts showed persistent CD4 T-cell counts, as was previously reported.^{33,34}

On univariate analysis, we identified a link between CD45RA+CD4+, CD45RA+CD8+, CD8, and NK cell counts and clinical manifestations. However, the level of significance was low, and the results need to be confirmed in further studies.

In the current study, 6 patients were treated with IL-2 with various outcomes. In a previous series of 6 patients with ICL and impaired expression of CXCR4 in CD4 T lymphocytes, 4 received recombinant IL-2 (including P1, P2, and P13 in the current study) and for 3 of these, the impaired CXCR4 expression was normalized. In our study, the only patient showing no response to IL-2 treatment in vivo did not show improved LPA results in the presence of IL-2 (P2), whereas other patients with response to IL-2 treatment in vivo showed improved LPA results in the presence of IL-2. Previously, 7 other patients were successfully treated with

recombinant IL-2 (\pm IFN- γ).^{5,22,26,27,29-31} Thus, patients with ICL and severe manifestations may benefit from this treatment, even though an increase in lymphocyte count is inconsistent.²² Further studies are needed to evaluate the efficacy of this treatment in patients with ICL.

In the present study, at the time of diagnosis, mean CD4 T-cell count was $127/\text{mm}^3$ (4-294), and 35/37 patients with available lymphocyte subpopulation counts had associated CD8, CD19, and/or NK cell deficiency. The association of deficiency in CD4 T and B lymphocytes or NK cells has been reported.³³ Our data confirm the data from the literature showing that in most cases, CD4 T-lymphocyte deficiency is not isolated in patients with ICL, and that probably the designation of this syndrome is not optimal. Thus, we propose to stratify patients according to associated cell deficiency even though larger studies are needed to better identify homogenous subgroups of patients and characterize the underlying molecular mechanism. Recent data reveal that mutations in nunc119,¹² MAGT1,¹⁸ and Rag¹⁶ and defects in CXCL12-CXCR4 signaling and trafficking could be responsible for ICL.²² Results of the current study support the idea that the mechanism(s) underlying ICL might also cause deficiencies in T cells, B cells, and/or NK cells. The results of TREC analysis suggest an increased proliferation of both intrathymic precursor T cells and peripheral naive T cells in ICL patients. These compensatory proliferations should lead to increased naive T-cell counts through both enhanced thymic output and naive T-cell cycling. This is, however, insufficient to maintain normal peripheral T-cell counts, suggesting an accelerated maturation of recent thymic emigrant with increased peripheral turn-over.

In conclusion, 35% of our patients with ICL had autoimmune manifestations. Opportunistic infections were common, with one-third exhibiting HPV-related clinical manifestations. In these patients, CD4 T lymphocytopenia was often associated with CD8, CD19, and/or NK cell deficiency. The outcome has improved since ICL was first described. We found that a CD4 T-cell count $< 150/\text{mm}^3$ and low NK cell count ($< 100/\text{mm}^3$) or a low NK cell count ($< 100/\text{mm}^3$) may represent a poor prognosis. Larger studies are needed to better identify the patients who might benefit from IL-2 therapy.

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