

REVIEW

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Targeting the gut microbiota: a new strategy for colorectal cancer treatment

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Abstract

Background How to reduce the high incidence rate and mortality of colorectal cancer (CRC) effectively is the focus of current research. Endoscopic treatment of early-stage CRC and colorectal adenomas (CAC) has a high success rate, but although several treatments are available for advanced CRC, such as surgery, radiotherapy, chemotherapy, and immunotherapy, the 5-year survival rate remains low. In view of the high incidence rate and mortality of CRC, early rational drug prevention for high-risk groups and exploration of alternative treatment modalities are particularly warranted.

Summary Gut microbiota is the target of and interacts with probiotics, prebiotics, aspirin, metformin, and various Chinese herbal medicines (CHMs) for the prevention of CRC. In addition, the anti-cancer mechanisms of probiotics differ widely among bacterial strains, and both bacterial strains and their derivatives and metabolites have been found to have anti-cancer effects. Gut microbiota plays a significant role in early drug prevention of CRC and treatment of CRC in its middle and late stages, targeting gut microbiota may be a new strategy for colorectal cancer treatment.

Key message

This review covers current progress in the role of gut microbiota and drugs in CRC.

Keywords Gut microbiota, Colorectal cancer, Probiotics, Prebiotics, Medicinal

Introduction

Nowadays, colorectal cancer has a high incidence rate and fatality rate, ranking third and second among frequent malignant tumors, respectively [1, 2]. Most colorectal cancers follow an “adenoma-carcinoma” (CAC) pattern and are influenced by various environmental and genetic

influences, early prevention and screening of high-risk individuals with risk factors such as advanced age and obesity are the main measures to reduce the incidence of CRC [3]. One of the risk factors for colorectal cancer is dysbiosis of the gut microbiota, the stability of the intestinal microenvironment prevents colorectal carcinogenesis by preserving the functionality of the intestinal barrier and by mediating intestinal inflammation and immune responses [4]. The gut microbiota is closely related to the development of CRC. 16S rRNA gene sequencing revealed a decrease in bacterial diversity in fecal microbiota of CRC patients, accompanied by an increase in the abundance of pathogenic bacteria such as Gamma-proteobacteria, Enterobacteriales, and Fusobacteria [5]. Moreover, gut microbiota has already undergone changes

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in the early stage of colorectal cancer, i.e. the stage of colorectal adenoma [6].

Probiotics and prebiotics have been found to prevent colorectal cancer, the mechanism by which probiotics and prebiotics prevent colorectal cancer by regulating gut microbiota homeostasis is widely recognized, which will be further summarized in this review. The effective treatment measures for intermediate and advanced colorectal cancer mainly include surgical treatment, radiotherapy, and immunotherapy. Using probiotics to modulation of gut microbiota homeostasis can reduce postoperative adverse effects, improve radiotherapy and immunotherapy efficacy, reduce adverse drug reactions, and ultimately reduce mortality from advanced colorectal cancer. It is noteworthy that the mechanisms of prevention of CRC by aspirin, metformin, and Chinese herbal medicines are also associated with the gut microbiota, which will be discussed in detail in this review. The gut microbiota is central to the early prevention and treatment of the middle and late stages of CRC (Fig. 1). Morbidity and mortality of Reducing CRC by targeting gut microbiota may be a novel strategy for the treatment of colorectal cancer.

Probiotics

Dysbiosis of the gut microbiota is closely associated with CRC development and progression. Probiotics regulate gut homeostasis by acting directly with the gut [7]. Attention to the role of probiotics in the primary prevention of colorectal cancer is the current research hotspot. The majority of studies have focused on exploring the mechanism of single probiotics and their derivatives, and metabolites in colorectal cancer. The main probiotics that are currently receiving attention are *Lactobacillus*, *Clostridium butyricum*, *Akkermansia muciniphila*, and *Bifidobacterium*. Several animal and external cell experiments had proved that there was a difference in the anti-cancer mechanism of different genera and species (Table 1). β -Galactosidase, a key protein secreted by *Streptococcus thermophilus*, induces apoptosis in CRC cancer cells by activating the AMPK pathway and Inhibition of the "Warburg effect" phenomenon [8]. *Clostridium casei* exert anticancer effects by producing short-chain fatty acids and *Butyricoccus pullicaecorum* by upregulating short-chain fatty acid receptors (SLC5A8 and gpr43) [9–11]. Specific protein in *Akkermansia muciniphila*, Amuc_1434 * and Amuc_1100, has special anti-cancer properties. Amuc_1434 * can degrade CRC cell mucin 2 to promote CRC cell apoptosis [12, 13], and Amuc_1100 activates cytotoxic T lymphocytes in

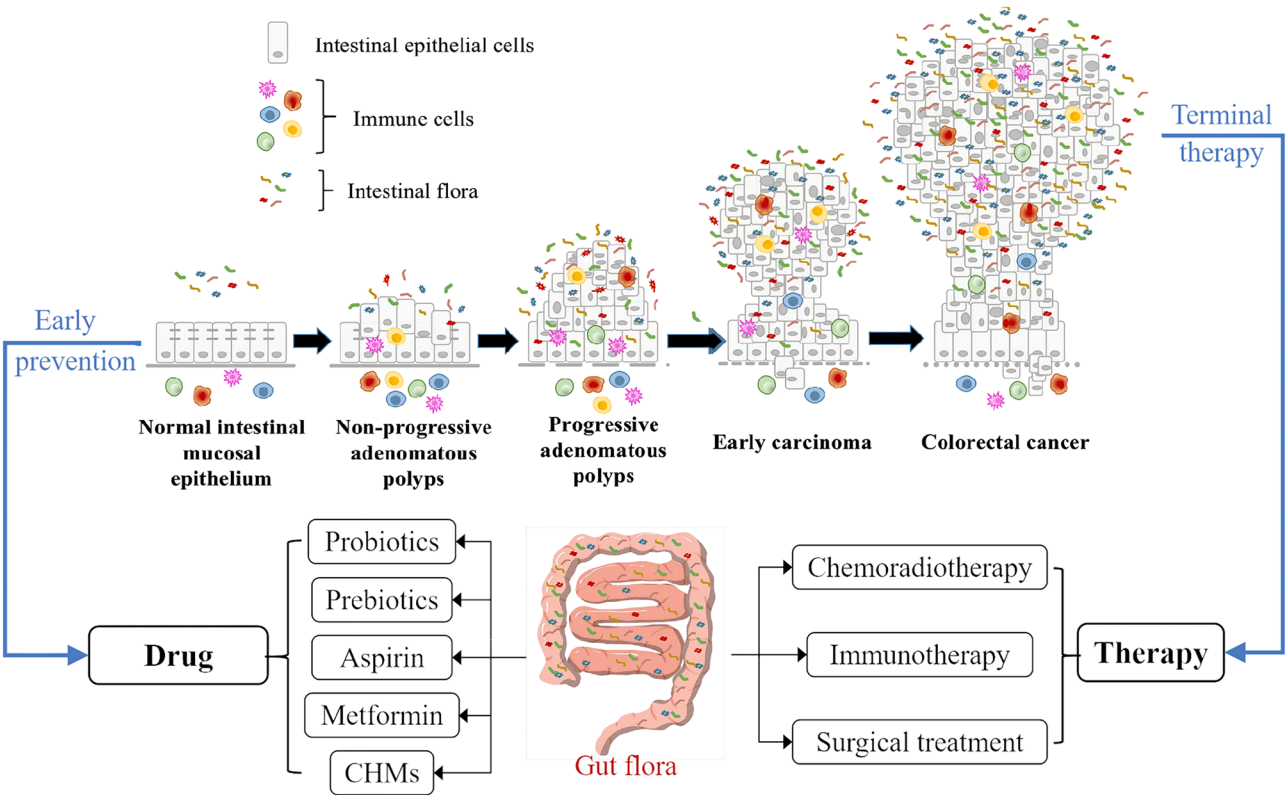


Fig. 1 The gut microbiota is central to the early prevention and treatment of the middle and late stages of CRC

Table 1 Main mechanisms of CRC prevention by probiotics in animal experiments and in vitro cell experiments

References	Probiotics	Cell/animal experiments	Treatments	Effects	Potential mechanisms
Zhang Y, et al. (2017)[16] Li Q, et al(2021)[8]	Lactobacillus casei Zhang	Animals: Male C57BL/6J mice AOM/DSS-induced CRC model; Standard sterile mouse chow.	The probiotic was mixed in the diet (4 × 10 ⁹ CFU/d), Once a day for 9 weeks.	①↓Number and size of tumors, ②↓Histological scores.	①Activated the anti-inflammatory CLCN3 signaling and anti-oncogenic TGF-β/CLIC4 signaling ②↑SCFAs in caecum contents; ③ Altered gut microbiota.
	Streptococcus thermophilus	Cells: CRC cell lines (HCT116, HT29, and Caco-2); Human normal colon epithelial cell line NCM460	①Supplemented with 10% (vol/vol) fetal bovine serum, and 1% penicillin/streptomycin in a humidified atmosphere containing 5% CO ₂ . ② pcDNA3.1 (+)/YAP1 and empty vector were transfected into HCT116 cells to get the YAP1-over-expressed cell line.	①↓cells vitality ②↓Cells proliferation	The Antitumor Effect of Streptococcus thermophilus Is Mediated by the Secretion of β-Galactosidase. Which inhibited both the Hippo signaling and the Warburg metabolic phenotype in CRC cells.
Liu M, et al. (2020)[11]	Clostridium butyricum	Animals: Male C57BL/6 wild-type mice (5 wks old) underwent 6 consecutive intraperitoneal injections of azoxymethane (AOM; 10 mg/kg) at 1-week intervals.	The probiotic was administered by intraperitoneal injection (1 × 10 ⁸ CFU)	↓Number and size of tumors.	
		Animals: Male C57BL/6 mice (6–8 wks old) AOM/DSS-induced CRC model; Standard sterile mouse chow.	The probiotic was administered by oral gavage (2 × 10 ⁸ CFU/0.2 mL physiological saline), 3 times a week for 11 weeks (78 days).	①↓Number and size of tumors. ②↓Histological scores.	① Inhibited activation of NF-κB signaling; ② Anti-inflammation through ↓TNF-α, IL-6 and COX-2 expression; ③ Did not alter gut microbiota.
Chen D, et al. (2020)[10]	Clostridium butyricum (ATCC 19398)	Cells: Human CRC cell lines (HCT116, Caco-2, and HCT8).	Treated with Clostridium butyricum supernatant at 37°C for 1 h.	①↓Cells proliferation, ②↑Cells apoptosis.	① Suppressed the Wnt/β-catenin signaling; ②↑GPR43 and GPR109A expression; ③↓DCA and LCA, ↑SCFAs in fecal contents; ④ Altered gut microbiota.
		Animals: Female BALB/c SPF Apcmin/+ mice (4 wks old); A diet rich in fat (60% fat, 20% protein, and 20% carbohydrates).	The probiotic was administered by oral gavage (2 × 10 ⁹ CFU/0.2 mL) after being given a 3-day antibiotic cocktail, 3 times a week for 12 weeks.	①↓Number and size of tumors. ②↓Intestinal tumor burden. ③↑Representative and histological appearance	

Table 1 (continued)

References	Probiotics	Cell/animal experiments	Treatments	Effects	Potential mechanisms
Chang SC, et al. (2020)[9]	Butyricicoccus pullicaecorum (A gut butyrate-producing bacterium)	Cells: SW480 colon cancer cell line and SW620 lymph node metastatic derivative cell line Animals: Male BALB/cByJNarl mice (4–6 wks old) DMH/DSS-induced CRC model; Standard sterile mouse chow	① Treated with Butyricicoccus pullicaecorum supernatant at 37 °C for 5 days; ② Treated or not treated with sodium butyrate (NaB, 5mM) The probiotic was administered by oral gavage (3.125 × 10 ⁷ CFU/0.1 mL), Once a week for 7 weeks	① Cells proliferation ① ACF and tumor incidence ② Tumor invasion depth ③ Survival rates ④ Body weight loss and anal bleeding ⑤ Serum CEA levels	Butyricicoccus pullicaecorum increased the expression of SLC5A8 and GPR43 by producing butyrate.
Wang L, et al. (2020)[14]	Akkermansia muciniphila (Amuc_1100: The membrane protein)	Cells: CT26 cells cocultured with CTLs (isolated from the spleen of normal mice) Animals: Male C57BL/6J mice (6–8 wks old) AOM/DSS-induced CRC model; Standard sterile mouse chow.	Treat with Amuc_1100 (10 µg/mL) at 37°C for 24 h. A. muciniphila (1.5 × 10 ⁸ CFU) or the Amuc_1100 (3 µg) was administered by oral from 2 weeks before AOM injection until sacrifice (Total 23 weeks).	① CT26 cells apoptosis ② Proportion of CTLs ③ Numbers and area of tumors ④ Splenomegaly ⑤ JDAI	① A. muciniphila and Amuc_1100 activated CTLs in the MLN and enhanced their cytotoxic effect, as indicated by TNF-α induction and PD-1 downregulation. ② Anti-inflammatory (↓TNF-α, IFN-γ, IL-1β/6/18/33 expression) ③ LDNA damage (γH2AX staining) and ↓cells proliferation (Ki67 staining)
Meng X, et al. (2019)[12]	Akkermansia muciniphila (Amuc_1434*)	Cells: LS174T colon cancer cell lines	Treat with five different volumes (0, 50, 100, 200, and 400 µL) of 50 µg/mL Amuc_1434* (50 µg/mL) at 37°C for 3 h.	Amuc_1434* Degradation of Muc2	① Amuc_1434* could associate with Muc2 and participate in its degradation ② Amuc_1434 was mainly distributed in the colon of BALB/c mice
Meng X, et al. (2020)[12]	Akkermansia muciniphila (Amuc_1434*)	Cells: LS174T cells	Treat with various concentrations (0, 8, and 64 µg/mL) of Amuc_1434* for 24 h	① Cells proliferation ② Cell cycle ③ Cell apoptosis	① Amuc_1434* Promoted the Change of Cellular Redox Status and Mitochondrial Dysfunction in LS174T Cells ② Amuc_1434* Activated the LS174T Cells' Apoptotic Pathway via TRAIL
Peng M, et al. (2020) [118]	Lactobacillus casei	Cells: HCT116 Animals: Gender-mixed BALB/c mice (3 wks old); Standard sterile mouse chow	0.1, 0.2, 0.3, 0.4, and 0.5 mM of the probiotic cell-free supernatant were added to cells at 37 °C for 24 h. The probiotic was mixed in the diet (1 × 10 ⁹ CFU/mL), Once a day for 7 days.	↓ Cells proliferation Prevention of CRC	① Anti-inflammatory (↓COX-2, PGE-2, IL-17/21/22/23, INF-γ and ↑IL-10, TGF-β expression). ② Anti-oxidative effects (↑RCA). ③ CDK1/2/6, PLK1, and SKP2 expression. ④ Altered gut microbiota.

Table 1 (continued)

References	Probiotics	Cell/animal experiments	Treatments	Effects	Potential mechanisms
Sugimura N, et al. (2021)[15]	Lactobacillus gallinarum	Cells: Two CRC cell lines (HCT116 and LoVo) Animals: ① Gender-mixed apc ^{min/+} mice (5–6 wks old) ② Gender-mixed C57BL/6 mice (6wks old) AOM/DSS-induced CRC model	Different concentrations of culture supernatant were used for culturing CRC cell lines (5%/10%/20%) for 5 days. The probiotic was mixed in the PBS (1 × 10 ⁸ CFU/0.1mL) and administered by oral gavage. Once a day for 12 weeks.	①↓Cells viability ②↑Cells apoptosis ↓Number and size of tumors.	① Lactobacillus gallinarum produces and catabolizes L-tryptophan to release indole-3-lactic acid to protect against CRC. (High-throughput targeted L-tryptophan metabolic profiling using culture supernatant) ② Altered gut microbiota.
Li S.C, et al.(2019)[17]	Lactobacillus acidophilus	Animals: Male F344 rats (3 or 5 wks old)	Feeding containing 10% GBR, 2.5%, 5%, 10% FGBR 10 weeks	①↓Aberrant Crypt Foci (ACF) ②↓Mucin and Mucin-Depleted Foci (MDF) ③↓Serum Pro-Inflammatory Cytokines in Rats ④↑the Expression of Apoptosis-Related Proteins in the Colon of Rats	①GBR and FGBR reduced the primary ACF number and decreased TNF-α, IL-6, and IL-1β levels ②GBR and FGBR at the 2.5% level increased pro-apoptotic cleaved caspase-3 and decreased anti-apoptotic B-cell lymphoma 2 (Bcl-2) expressions. ③FGBR at the 2.5% level further reduced the number of sialomucin-producing ACF (SIM-ACF) and increased Bax expression.
Xu H, et al. (2021)[19]	Lactobacillus rhamnosus M9	Animals: Male C57BL/6NCRSlc mice (8 wks old) AOM/DSS-induced CRC model; Standard sterile mouse chow.	The probiotic was administered by oral (2 × 10 ⁹ CFU/d) on weeks 8 and 10, a total of 14 days.	①↓Number and size of tumors. ②↑Colon length	①↓Cells proliferation (PCNA and Ki67 staining) ②↓CD68 ⁺ /CD163 ⁺ expression ③↓p-Akt and p-STAT3 expression ④ Altered gut microbiota.
Wang T, et al. (2022)[120]	Lactobacillus co-ryniformis MXJ32	Animals: Male C57BL/6 mice (6 wks old) AOM/DSS-induced CRC model; Standard sterile mouse chow.	The probiotic was administered by oral (1 × 10 ⁹ CFU/0.2mL) from 4 weeks after AOM injection until sacrifice (Total 14 wks).	①↓DAI ②↓Thymus and spleen weight ③↓Number and size of tumors.	① Protected the intestinal barrier integrity (↑occludin, claudin-1, ZO 1, MUC-2/3 expression) ② Anti-inflammatory: (↓TNF-α, TNF-1β, IL-6, IL-γ, and IL-17a expression), (↓serum LPS and ↓TLR4, MyD88 and NF-κB mRNA expression) ③↑The level of SCFAs ④ Altered gut microbiota.
Shujie C, et al.(2023)[120]	Bifidobacterium adolescentis(B.a)	Cells: Cancer-associated fibroblasts(CAFs)	CAFs were incubated with B.a or Ecoli for 48 h, and co-cultured with HCT-116 cells	↓CRC cell proliferation	①CD143 CAFs was activated by B.a and then reshaped TME+ ②Wnt/β-catenin signaling was involved in the induction of CD143 CAFs by B.a+
Yifeng L, et al.(2023)[44]	Bifidobacterium adolescentis(B.a)	Animals: AOM/DSS-induced CRC model in C57BL/6 mice	Pretreated with streptomycin (2 mg/mL) for 7 days and administered with B.a, <i>Escherichia coli</i> (<i>E. coli</i>) or vehicle (PBS) control	①↓size of tumors ②↓marker Ki67 and marker CD31	①Badolescentis facilitated the infiltration of Decorin macrophages to suppress CRC+ ②The activation of TLR2 is essential for inducing DCN macrophages by B.a+ ③B.a regulated DCN macrophages through TLR2/YAP axis+

mesenteric lymph nodes and enhances their cytotoxicity to inhibit colitis-induced CRC [14].

In addition, the anti-cancer mechanisms of the same genera but different species of probiotics are different (Table 1). L-tryptophan and its metabolite indole-3-lactic acid, produced by *Lactobacillus galinarum*, were found to be a protective metabolite able to induce apoptosis of human CRC cells in vitro cell experiments [15]. *Lactobacillus casei* Zhang induces inflammation by activating the anti-inflammatory pathway CLCN3 signaling pathway and TGF- β Anti cancer signaling pathways [16]. *L. acidophilus* exerts anticancer effects by inhibiting pre-cancerous lesions, reducing proinflammatory cytokines, and increasing the expression of apoptosis-related proteins [17]. *Lactobacillus casei* Zhang, *Lactobacillus galinarum*, and *Lactobacillus acidophilus* are all grouped in the genus *Lactobacillus*, but they have different anti-cancer mechanisms. The anticancer mechanisms of probiotics are complex and diverse, and even if the same bacterial strain has been found to have multiple anticancer pathways, individualized studies of probiotics can identify superior anticancer bacterial strains.

Bacteriocins are peptides or precursor peptides synthesized by bacteria through ribosomes during metabolic processes, which can inhibit bacterial activity [18]. Currently, some studies suggest that bacteriocins such as nisin, plantaricin A, and pyocins have anti-cancer capabilities [19], and many bacteriocins also play a role in the treatment of CRC [20, 21]. For example, Nisin is produced by *Lactococcus lactis* subsp. and can significantly inhibit the cell viability of colorectal cancer cells (SW480), which may be related to its ability to reduce the expression of cyclin D1 [22]. Nisin also has an inhibitory effect on the proliferation of colorectal cancer cells Cac2 and HT29 [23]. The study by Hesam S et al. found that Nisin can interfere with the expression of MMP2 and MMP9 genes related to cell metastasis, thereby reducing the metastasis and migration ability of CRC cells, demonstrating its potential to resist CRC progression and metastasis [24]. Caspase-3 is a key factor in executing cell apoptosis, and plantaginomycin BM-1 produced by *Lactobacillus plantarum* BM-1 can induce SW480 cell death through a caspase-dependent apoptotic pathway [25]. Azurin is secreted by *Pseudomonas aeruginosa* and its 28 amino acid fragments (P28) derived from Azurin have shown anti-tumor activity in a phase I clinical trial of short-term injection therapy in 15 patients with advanced solid tumors (including 4 cases of colorectal cancer). In addition, engineering probiotics expressing azurion reduced the tumor burden induced by AOM/DSS in mice and also improved intestinal microbiota dysbiosis in mice [26].

In clinical trials, probiotics have been found to have the potential to prevent CRC. Yogurt is produced by

the fermentation of lactobacilli and *Streptococcus thermophilus*, and it was proposed as early as 2004 that can inhibit CRC progression [27–30]. An epidemiological study of 120,000 people over 32 years found that taking yogurt regularly was associated with a lower risk of colorectal cancer proximally [30]. The population taking *Lactobacillus casei* has a lower risk of developing moderate to high-grade colorectal tumors compared to the normal group [31]. Hatakka K et al., found that daily dosing of *Lactobacillus rhamnosus* lc705 and *Propionibacterium Freudenreichii* SSP shermanii JS for 4 weeks was capable of reducing the activity of fecal β -Glucosidase activity in healthy male volunteers [32], which were found to promote CRC development by producing carcinogens [33]. Probiotics hold great promise in the prevention of colorectal cancer, and the anticancer mechanisms are diverse in animal and in vitro cell experiments, but the effective activity of probiotics decreases after they pass through the GI tract, and how to increase their colonization in the gut needs to be further addressed in clinical trials.

Gut microbes modulate the response of CRC patients to chemo- and immunotherapeutic drugs [34]. High-quality evidence indicates that the gut microbiota is closely associated with chemotherapeutic agents such as 5-uf [35], oxaliplatin [35], cyclophosphamide [36] and immunotherapeutic agents such as PD-1 inhibitors [37, 38], and clta-4 inhibitors [37, 39]. Multiple studies have found significant differences in gut microbiota between treatment-responsive and non-treatment-responsive patients, and between patients with no adverse effects and mild or severe adverse effects [40–43], mainly including probiotics such as *Bifidobacterium* spp., *Lactobacillus* spp., and *Bacteroides* spp., which increase efficacy. 16S rRNA sequencing of stool samples from patients with advanced rectal cancer undergoing neoadjuvant chemoradiotherapy revealed that the relative abundance of butyrate-producing bacterial strains, such as *Roseburia*., *Dorea*., and *Anaerostipes*., were significantly elevated in the treatment-responsive group. The regulation of immune therapy drug response by probiotics may be related to their own impact on immune cells. *Bifidobacterium* is a common anti-cancer probiotic. Studies have shown that after oral administration of *Bifidobacterium* to AOM/DSS mice, the tumor size is significantly reduced compared to the control group. This is because *Bifidobacterium* can promote the infiltration of Decorin macrophages into colorectal tumors by activating the TLR2/YAP axis, thereby exerting its anti-cancer effect [44]. Macrophages with different polarization states exhibit different immune responses, and M2 phenotype macrophages exhibit anti-inflammatory properties. Lactic acid can promote macrophage polarization towards the M2 phenotype [45], while brewing yeast

strain BY4741 is a probiotic that can produce lactic acid. It not only promotes macrophage polarization, but also inhibits macrophage necrosis, thereby alleviating colitis and edema symptoms in DSS induced mouse colitis models, significantly reducing histopathological scores [46]. A study published in *Nature*, in which 11 low-abundance rare bacterial strains were isolated from the feces of healthy volunteers, was able to significantly improve the efficacy of PD-1 inhibitors and reduce adverse effects [37], possibly through the enrichment of gut bacteria with product γ - Interferon - γ functions of CD8+T lymphocytes. Recently Zhang et al., similarly found that *Lactobacillus casei* increased the efficacy of PD-1 inhibitors through a CD8+T lymphocyte-dependent manner [38], suggesting that CD8+T-lymphocytes may be a key target for probiotics to improve the efficacy of immunotherapy.

Gut microbes are important in modulating the adverse effects of drugs. The main adverse effects caused by chemo - and immunotherapeutic drugs are intestinal mucositis, diarrhea, and weight loss, while gut microbes are closely related to intestinal immunity and inflammatory response. An animal study in which feces from healthy mice were transplanted into the intestines of CRC mice receiving chemotherapeutic agents found that the symptoms of diarrhea and intestinal mucositis were alleviated, and the expression of Toll-like receptor (TLR), MyD88 mRNA was inhibited and serum IL-6 concentrations were significantly decreased in the intestinal tissues of mice measured [47], whereas diarrheal symptoms were also significantly improved in chemotherapy-treated mice with a knockout of Toll-like receptor (TLR-4 / MyD88 NF) [48], which led to the speculation that the gut microbes regulated TLR-4 / MyD88 NF- κ B-il6 signaling alleviates intestinal inflammation in mice. Clinical experiments have further confirmed that adverse effects, such as intestinal mucositis and diarrhea, are associated with intestinal microbial disorders. 16 S rRNA sequencing of feces from CRC patients who developed diarrhea compared with those who did not, after completing 8 cycles (a total of 2 years) of capecitabine chemotherapy in stage III CRC patients, revealed that a total of 75 bacterial strains differed in abundance [40], while simultaneous oral administration of probiotics during chemotherapy significantly reduced the incidence and severity of diarrhea in CRC patients [49]. Simultaneous oral administration of probiotics during chemotherapy in CRC patients is a novel therapeutic strategy to alleviate adverse effects.

Surgical treatment is a common treatment for CRC. According to the British Cancer Treatment Center, 65.7% of colon cancer patients and 63.2% of rectal cancer patients receive surgical treatment [50], but the incidence rate of CRC surgery-related complications is as high as 23 – 34.6% [51, 52]. Being well-prepared preoperatively is one of the strategies to reduce the risk of postoperative

complications in CRC patients, and there are currently multiple studies suggesting that preoperative gut microbial disorders in CRC patients are associated with the risk of postoperative complications [53–55]. The postoperative complications of CRC patients mainly include early diarrhea, anastomotic leakage, intestinal obstruction, and postoperative infection, preoperative oral administration of probiotics can modulate the gut microbiota, thereby significantly reducing the risk of multiple postoperative complications [56, 57]. The administration of probiotics significantly decreased the incidence of a number of complications, such as postoperative incision infection, pneumonia, urinary tract infection, and anastomotic leak, according to a meta-analysis of 19 high-quality clinical controlled studies by Zeng et al., which included a total of 1975 CRC patients [56]. Furthermore, administration of probiotics significantly reduces postoperative serum IL-6 / 10 / 12 / 22 and other inflammatory factors in patients with CRC [58], and in a rat model of anastomotic leak, butyrate administered transrectally was found to promote intestinal anastomotic healing in rats [59, 60], suggesting a potential efficacy of probiotics in preventing postoperative CRC infections and related complications. Maintaining the homeostasis of the gut microbiota in preoperative patients is one of the keys to reducing postoperative complications, preoperative oral administration of probiotics is an effective way to maintain gut microbial homeostasis, but gut microbial homeostasis is also influenced by numerous factors, such as preoperative enteroscopy, antibiotics, preoperative neoadjuvant chemoradiotherapy, etc. Finding a balance point between probiotics and preoperative tests / therapeutic measures is a matter of concern for clinicians.

The gut microbiome is a biomarker for predicting chemotherapy and surgical complications. In recent years, with the development of metagenomics technology, researchers have found that gut derived microbiome also exists in the blood [61]. Yang et al. proposed that the diversity of blood microbiome is a promising indicator for predicting the clinical efficacy of DC-CIK combined chemotherapy. Compared with late stage CRC patients without treatment response, *Bifidobacterium*, *Lactobacillus*, *Enterococcus*, and *Pseudomonas* are more abundant in the blood of patients with DC-CIK combined chemotherapy efficacy [42]. However, in the monotherapy group (oxaliplatin and capecitabine), there was no significant difference in the pre-treatment blood microbiome between responders and non responders. The reason may be due to the different pharmacological effects of chemotherapy drugs and DC-CIK. The characteristics of preoperative gut microbiota are significantly correlated with postoperative complications. *Acinetobacter Iwoffii* and *Acinetobacter johnsonii* bacteria are significantly enriched in patients with anastomotic fistula [54],

and *Faecalibacterium* is significantly reduced in patients with postoperative intestinal obstruction in CRC. Jin et al. used *Faecalibacterium* as a biomarker to predict postoperative intestinal obstruction. The AUC values in the early onset intestinal obstruction group (occurring during the perioperative period after surgery) and the late onset intestinal obstruction group (occurring within 6 months after discharge) were 0.74 and 0.67. In the cohort of another 38 CRC patients, the AUC value was confirmed to be 0.79 [53]. Finding effective microbial biomarkers to construct screening models, accurately predicting chemotherapy efficacy and the risk of postoperative complications, and providing personalized prevention and treatment for patients in advance is another strategy to improve the prognosis of advanced CRC patients.

Prebiotics

Compared with probiotics, prebiotics are not affected by digestive enzymes and a strong acid environment in the upper digestive tract, providing conditions for the sustainable regulation of gut microbial homeostasis. In the body, prebiotics can promote the proliferation of beneficial bacteria by stimulating their metabolism and metabolism without being digested and absorbed. Functional oligosaccharides, polysaccharides, cereals, vegetables, and Chinese herbs in plants are among the common prebiotics. The preventive effect of prebiotics on CRC is mainly reflected in two aspects. On one hand, both beneficial and harmful bacteria are regulated by prebiotics to maintain gut microbial homeostasis [62–65]. In animal experiments, alisol B 23 acetate (ab23a) is a naturally occurring prebiotic, and ab23a is capable of reducing bacterial pathogens such as *Klebsiella*, and *Citrobacter* and increasing the abundance of beneficial bacteria such as *Bacteroides*, *Lactobacilli*, *Ilus* and *Alloprevotella* [66]. Triterpene saponins (ginsenoside-rb3 and ginsenoside rd) can promote the growth of probiotics such as *Bifidobacterium* spp., *Lactobacillus* spp., *Bacteroides acidifiles*, and *Bacteroides xylanisolvens* and reduce the abundance of CRC associated bacteria such as *Dysgonomonas* spp., and *Helicobacter* spp [67]. Some prebiotics have species specificity for the growth-promoting effects of probiotics. For example, fructooligosaccharides (FOS), xylooligosaccharides (XOS), and galactooligosaccharides (GOS) all enhance the growth butyrate-producing probiotics, but display different growth profiles [68, 69].

Prebiotics are not only able to modulate gut microbiota homeostasis as a whole but can also activate against key pathogenic bacteria [70, 71]. *Fusobacterium nucleatum* is a key pathogenic bacterium in the development of CRC and plays an important role in promoting CRC liver metastasis [72–74]. Coculture of L-fucose and *Fusobacterium nucleatum* with human CRC cell lines revealed

a significant decrease in the proliferation, migration, and invasion of CRC cells. The underlying mechanism is through inhibition of STAT3 signaling and epithelial-mesenchymal transition [70]. Another in vitro cell experiment also similarly found that derivatives of vanillin from vanilla have specific activity against *Fusobacterium nucleatum* [71]. L-fucose and vanillin derivatives have unique effects against *Fusobacterium nucleatum*. Deeply studying the mechanism of action of prebiotics on a specific strain may be a new treatment strategy for prebiotics to prevent CRC.

On the other hand, prebiotics are converted by certain special gut strains into metabolites with anticancer activity [75–77]. For example, nondigestible dietary fibers in food, functional oligosaccharides that are metabolized to short-chain fatty acids by intestinal probiotics, play an important role in improving human intestinal health and suppressing intestinal tumors [77, 78]. Ferulic acid, a well-known natural prebiotic, is abundant in Chinese herbs such as *Angelica Sinensis* and *cohoshi* and has medicinal value. Luo y et al. simulated the intestinal environment in vitro, metabolized ferulic acid to its metabolite (2-methoxy-4-vinyl phenol) with human intestinal microbes and commercial probiotics such as *Lactic acid bacteria*, *Bifidobacteria*, and *Streptococcus*, and found that 2-methoxy-4-vinyl phenol displayed more potent anticancer effects than ferulic acid [76]. Short chain fatty acids are currently widely known anticancer metabolites, which have anti-inflammatory, inhibitory effects on tumor proliferation, and anti-tumor cell immunity [79]. Dietary fiber and functional oligosaccharides that are not easily digestible in food can increase the content of short chain fatty acids in the intestine through fermentation by intestinal microorganisms [80], playing an important role in improving human intestinal health and inhibiting intestinal tumors.

Aspirin

Aspirin has antipyretic analgesic and antiplatelet aggregation functions and is a nonsteroidal anti-inflammatory and antiplatelet aggregation drug acting on cyclooxygenase. The evidence of high-quality research supports the benefits of taking aspirin regularly for reducing the risk of CAC and CRC [81–83], which led the US to recommend aspirin in 2016 for the primary prevention of CRC in most populations [84]. Although anti-inflammatory effects have received much attention as the most likely mechanism of aspirin's prevention of CRC, there is evidence that gut microbes are involved in aspirin's anti-cancer effects (Fig. 2) and that there is significant interaction between gut microbes and aspirin. Under the condition of regular administration of aspirin, antibiotic-depleted germ-free CRC mice have higher plasma aspirin concentrations than intestinal microbiota intact CRC

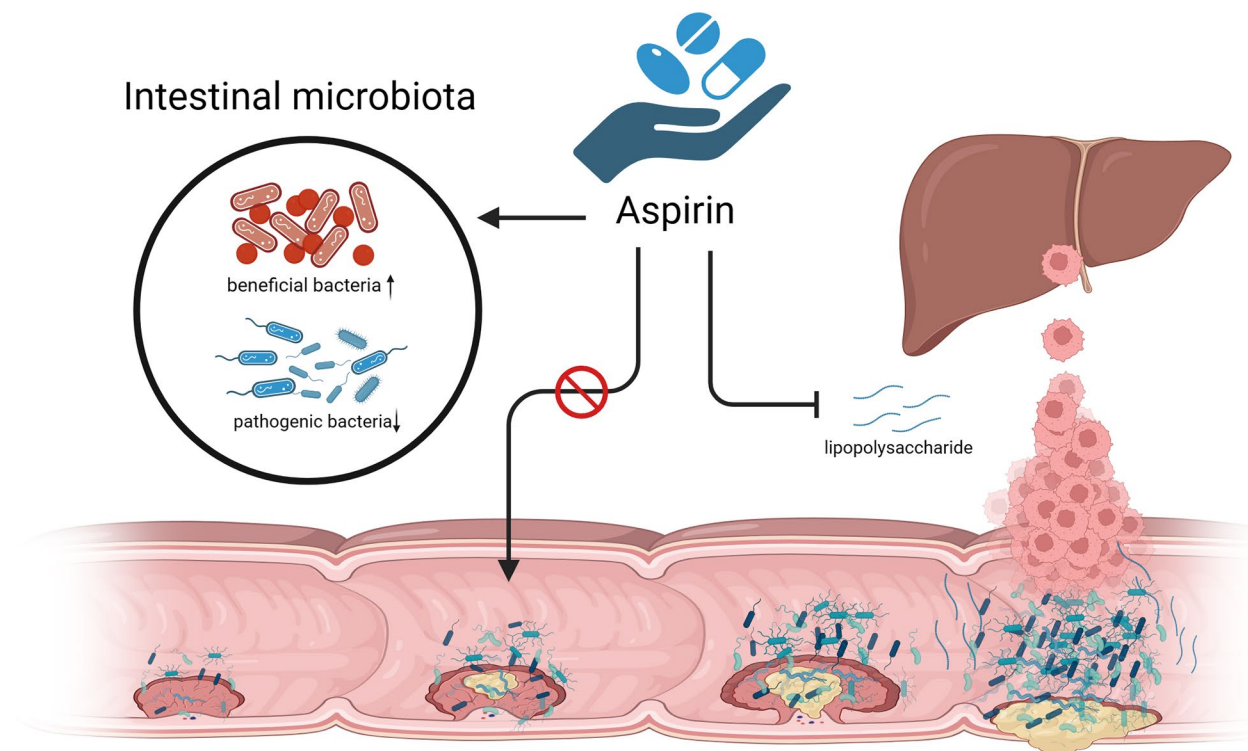


Fig. 2 The mechanism of aspirin in anti-CRC

mice, owing to the fact that certain intestinal aerobes degrade aspirin and reduce its circulating bioavailability [85].

Beyond the effects of gut microbes on the metabolism of aspirin, studies have found that aspirin affects the gut microbiota's composition, 16sRNA sequencing of intestinal tissue and fecal samples from rats given low-dose aspirin and from normal rats revealed significant differences in the gut microbiota [86]. Aspirin would increase intestinal beneficial bacteria and decrease CRC-related pathogenic bacteria [85, 87, 88]. A small clinical study randomized 50 healthy volunteers to aspirin (325 mg / D, $n=30$) or placebo ($n=20$) for 6 weeks confirmed that regular aspirin administration increased beneficial bacteria such as Akkermansia, Prevotella, and Ruminococcaceae and decreased the abundance of pathogenic bacteria such as Parabacteroides, Bacteroides, and Dorea by 16 S rRNA sequencing of fecal samples [87]. In vitro assays, aspirin was shown to effectively inhibit the proliferation of *Fusobacterium nucleatum* to counteract the carcinogenic effects [88], demonstrating the huge potential of aspirin in maintaining gut microbial homeostasis.

The liver is a common metastatic organ of CRC, and the inhibitory effect of aspirin on CRC liver metastasis is associated with gut microbes, with one study finding that lipopolysaccharide (LPS) derived from gut microbes can induce colon cancer cell metastasis in vitro and in vivo, whereas aspirin inhibits LPS induced CRC metastasis

by inhibiting TLR4 [89]. To sum up, aspirin may prevent CRC development and improve prognosis by maintaining intestinal microbial homeostasis, but drug absorption and metabolism are influenced by gut microbes, and there are serious adverse effects of the drugs, such as bleeding due to the antiplatelet effects of excess aspirin. Further studies on the balance between drug efficacy, circulating bioavailability, and adverse drug effects by gut microbiota are needed.

Metformin

Metformin is the first-line drug for the management of diabetes, which is believed to prevent several malignancies including CRC [90, 91]. Low-dose (250 mg / D) metformin reduces the incidence and number of metachronous adenomas or polyps in patients after polypectomy [90]. How metformin prevents CRC is incompletely explored, and currently the major research direction is the AMPK pathway-dependent anti-inflammatory effect [92]. It is noteworthy that some mechanisms of metformin and probiotics in preventing colorectal cancer are consistent, such as inhibiting NF- κ B, Wnt/ β -Catenin, and other carcinogenic signaling pathways [93, 94] (Fig. 3). High-quality evidence suggests that metformin exerts indirect non-AMPK pathway anti-CRC effects by directly regulating intestinal homeostasis [95, 96]. A clinical study of patients with new-onset diabetes found that the abundance of a total of 86 bacterial strains changed

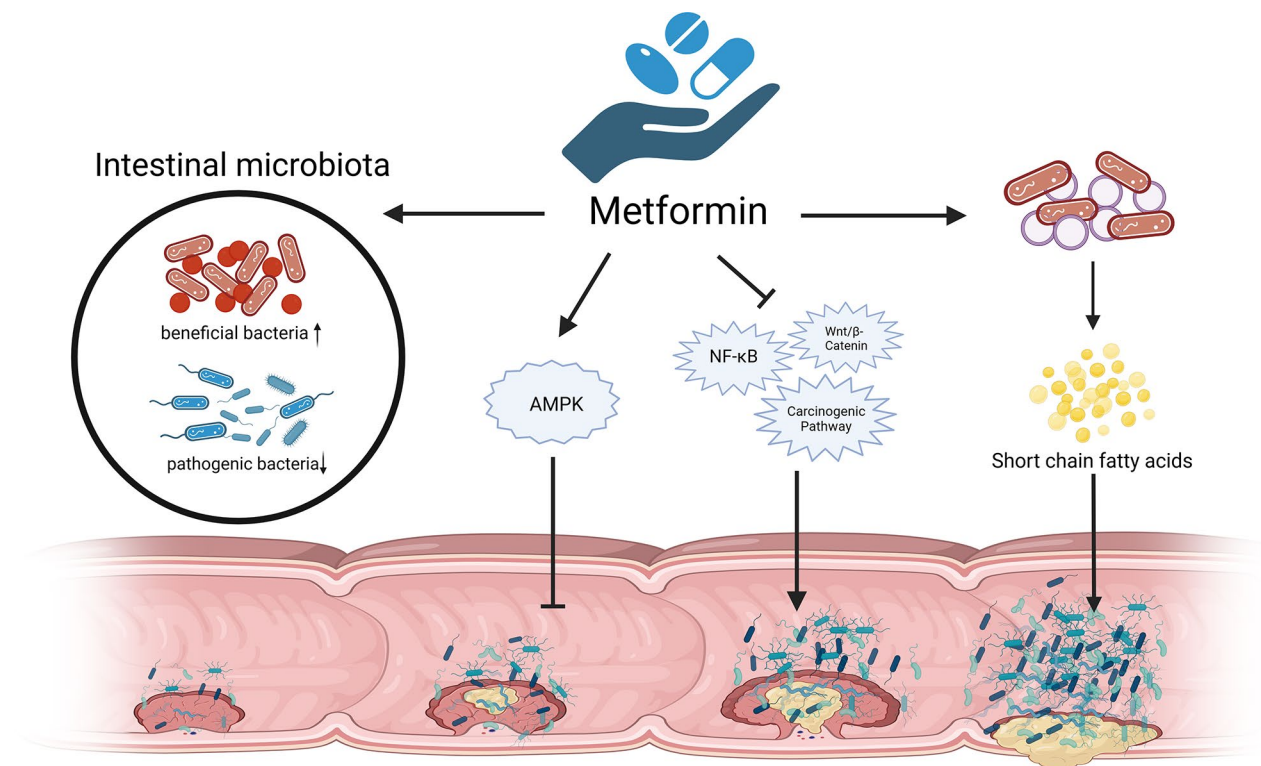


Fig. 3 The mechanism of metformin in anti-CRC

significantly after 4 months of metformin treatment compared with pretreatment, whereas only 1 bacterium changed in the placebo group [97]. Similarly performed 16 S rRNA sequencing of fecal samples, metformin led to changes in the gut microbiota of young non-diabetic men, mainly characterized by decreased abundance of *Fusobacterium* and *Enterobacter*, and increased abundance of *Escherichia* and *Shigella*, with the gut microbiota returning to pretreatment levels after discontinuing metformin [98]. Metformin has also been found to reverse *Fusobacterium nucleatum*-induced CRC development in animal experiments [99]. Perhaps metformin protects against CRC by affecting the gut microbial structure.

In addition, the gut microbiota mediates the hypoglycemic effects and adverse effects of metformin, including improvement of insulin resistance, regulation of glucose and energy metabolism, reduction of body mass index, and alleviation of gastrointestinal adverse effects such as diarrhea, bloating, and nausea [100]. Obesity is one of the risk factors for CRC, in an HFD-fed mouse model of colon adenoma, metformin inhibited tumor growth and increased the abundance of short-chain fatty acid-producing bacteria such as *Alistipes*, *Lachnospiraceae* and *Ruminococcaceae* [101], which may be achieved by restoring SGLT1 dependent glucose sensitive pathways in the small intestine [102]. Gut microbes are involved in the hypoglycemic effect of metformin and control risk

factors for CRC while being involved in the anti-CRC effect of metformin.

Chinese herbal medicines

Many Chinese herbs, such as berberine [103], Sidi Decoction [104], and Gegen Decoction [105], play a role in the prevention and treatment of CRC and are involved in regulating the proliferation, apoptosis, migration, and angiogenesis of CRC cells. Available evidence suggests that the gut microbiota is perhaps the key to many Chinese herbal medicines exerting anti-CRC effects. Administration of berberine 0.6 g daily was effective in reducing the recurrence risk of CAC in the high-risk group (or =0.77, 95% CI 0.66–0.91, $P < 0.001$) [103]. In animal experiments, Berberine itself is also able to delay the generation of colitis-associated cancer tumors by remodeling the composition of the gut microbiota in mice with CAC-producing short-chain fatty acids [106]. Mechanistically, CRC mice treated with berberine exhibited decreased inflammatory cell expression (IL-1 β , TNF- α , CCL1, CCL6, and CXCL9), and NF- κ B expression was greatly suppressed [107]. In addition, a clinical controlled study found that compared with pretreatment, oral administration of Gegen Decoction upregulated the abundance of *Bacteroides* spp., *Akkermansia* spp., and *Prevotella* spp., and downregulated the abundance of *Macromonas* spp., *Veillonella* spp., which was confirmed by measuring immune factors such as CD4+T, CD8+T and

inflammatory factors such as IL-2 and IL-6, and proved that Gegen Decoction improved the immunity and alleviated the inflammatory status of CRC patients by regulating gut microbes [105]. Similar effects of modulating gut microbiota composition were also demonstrated in Chinese herbs such as Sidi Decoction [104], Evodia [108], and San-Wu-Huang-Qin [109].

Paris polyphylla is a well-known herb with anticancer activity, the active components of Paris polyphylla were confirmed in vitro experiments to not only inhibits *Fusobacterium nucleatum* growth directly, but also reverse the promoting proliferation and migration of CRC cells by *Fusobacterium nucleatum* [110], Paris polyphylla has antibiotic like effects on *Fusobacterium nucleatum*. In animal experiments, berberine can reverse the luminal microbiota imbalance induced by *Fusobacterium nucleatum* and block the activation of tumorigenesis-related pathways [111]. Further exploration of the mechanism of Chinese herbal medicine on *Fusobacterium nucleatum* in vivo is a direction of concern. Additionally, whether some special intestinal microflora participate in the metabolism of Chinese herbal medicine, thus weakening or improving the anti-tumor activity of Chinese herbal medicine is also a problem that researchers need to pay attention to.

Conclusions

Gut microbes play an important role in early chemoprevention of colorectal cancer. Probiotics have been widely studied in vitro and animal experiments through direct anti-CRC effects, but the anticancer mechanisms of probiotics with different bacterial strains have significant characteristics (Table 1). It is worth mentioning that the gut microbiota is influenced by many factors in the clinic, such as gastrointestinal endoscopy, enema, application of antibiotics, and other examinations and treatment measures. Therefore, under the influence of these examinations and therapeutic measures, the effective activity of probiotics after passing through the gastrointestinal tract needs to be further confirmed in clinical studies. Mechanisms regarding the prevention of CRC by drugs are divided into two aspects, including gut microbe-dependent anticancer mechanisms and non-gut microbe-dependent anticancer mechanisms. On the one hand, healthy gut microbial homeostasis is able to prevent CRC, and these drugs prevent CRC by up-regulation of beneficial bacteria and down-regulation of harmful bacteria, and on the other hand, these drugs have activity against CRC. But certain intestinal microbes are involved in drug metabolism and absorption, thereby attenuating or enhancing the anti-CRC activity of drugs, thus, the triangular relationship between gut microbes, preventative drugs, and CRC requires further exploration.

Expectation

FMT has been widely demonstrated in animal experiments as a novel therapeutic modality to alleviate intestinal inflammation [112, 113]. Identifying strains with superior anticancer properties is perhaps the main direction of future research. Among patients with advanced CRC, surgical treatment, radiotherapy, and chemotherapy and immunotherapy are common treatment methods, but surgical complications, adverse drug reactions, and drug resistance can easily increase the mortality of CRC patients. Modulation of the gut microbiota by FMT or oral probiotics is effective in alleviating surgical complications and adverse drug reactions, furthermore, FMT was also effective in treating radiation enteritis, a common post-radiation complication [114, 115]. Therefore, identifying strains with superior anticancer properties to aid FMT applications may be a major direction for future research.

In colorectal cancer, mismatch repair-deficient (MMRd) tumors exhibit more anti-tumor immunity than mismatch repair-proficient (MMRp) tumors. MMRd colorectal cancer tumor tissues are infiltrated with a large number of cytotoxic T cells while responding to About 50% respond to immune checkpoint inhibitors, whereas MMRp hardly responds to immunotherapy [116]. However, whether gut microbiota composition differs between MMRd and MMRp colorectal cancer tissues, and whether the gut microbiota influences the phenomenon of differential antitumor immunity in MMRd and MMRp colorectal cancers is unknown, this could perhaps be a new area for future research.

Developing vaccines to target CRC-associated focused pathogenic bacteria is a new strategy to prevent colorectal cancer, *Fusobacterium nucleatum* acts as a "Star" bacterium to promote CRC development and progression, and studies have found that there are multiple antigenic virulence factors in extracellular vesicles secreted by gut tissue derived *Fusobacterium nucleatum*, such as Fada and fap2, etc., which may play important roles in the development and design of vaccines against *Fusobacterium nucleatum* [49]. It is worth mentioning that advanced CRC patients are more likely to translocate the gut microbiome into the blood due to the obstruction of the intestinal lumen by the tumor mass causing an elevated pressure in the intestinal lumen, suggesting that the blood microbiome might be a new biomarker to suggest the CRC progression situation. Similarly, 16 S RNA sequencing also revealed differences in gut microbiota composition at different stages of CRC [6], and tissue gut microbes exhibit differences between normal and cancer [117]. Gut microbes play an important role in CRC early prevention as well as in the treatment of middle and late-stage CRC, and targeting gut microbes could be a new strategy for treating colorectal cancer.

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Author contributions

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Declarations

Ethics approval and consent to participate

Not applicable.

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Competing interests

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