

Case Report

The Considerations and Controversies in Using High-Flow Nasal Oxygen with Self-Prone Positioning in SARS-CoV-2 COVID-19 Disease

Kieran P. Nunn ^{1,2}, Murray J. Blackstock,^{1,2} Ryan Ellis,¹ Gauhar Sheikh,¹ Alastair Morgan,^{1,2} and Jonathan K. J. Rhodes^{1,2,3}

¹Intensive Care Unit, Western General Hospital, Crewe Road South, Edinburgh EH4 2XU, UK

²Intensive Care Unit, Royal Infirmary of Edinburgh, 51 Little France Crescent, Edinburgh EH16 4SA, UK

³Edinburgh Critical Care Research Group (ECCRG), The University of Edinburgh, The Royal Infirmary of Edinburgh, 51 Little France Cres., Edinburgh EH16 4SA, UK

Correspondence should be addressed to Kieran P. Nunn; kieran.nunn@doctors.org.uk

Received 17 January 2021; Accepted 4 May 2021; Published 24 May 2021

Academic Editor: Zsolt Molnár

Copyright © 2021 Kieran P. Nunn et al. This is an open access article distributed under the Creative Commons Attribution License, which permits unrestricted use, distribution, and reproduction in any medium, provided the original work is properly cited.

Evidence exists for the use of high-flow nasal oxygen (HFNO) in the general critical care population for acute hypoxemic respiratory failure. There is discord between guidelines for hypoxemia management in COVID-19. Both noninvasive management and intubation present risk to patients and staff and potentially overwhelm hospital mechanical ventilator capacity. The use of HFNO has been particularly controversial in the UK, with oxygen infrastructure failure. We discuss our experience of managing COVID-19 with HFNO and awake self-prone positioning. We focus upon the less-usual case of an eighteen-year-old female to illustrate the type of patient where HFNO may be used when perhaps earlier intubation once was. It is important to consider the wider implications of intubation. We have used HFNO as a bridge to intubation or as definitive management. As we await clinical trial evidence, HFNO with self-prone positioning has a role in COVID-19 for certain patients. Response parameters must be set and reviewed, oxygen infrastructure considered, and potential staff droplet exposure minimised.

1. Introduction

Pandemic SARS-CoV-2 infection's most immediately life-threatening feature is the novel interstitial lung disease (COVID-19) causing hypoxemic respiratory failure due to viral pneumonitis and ARDS (Acute Respiratory Distress Syndrome). Health systems are pressurised in preparing for anticipated surges in patient numbers requiring respiratory support. There were early concerns about ventilator availability. We may face this again. Patients present with variable, fluctuating hypoxemic respiratory failure, often in the absence of dyspnoea. The pathophysiology of dyspnoea is complex, involving multiple chemo- and mechanoreception afferents. So, the absence of dyspnoea does not necessarily mean that hypoxemia is benign. Significant uncertainty exists over the optimal therapeutic target when correcting hypoxemia in COVID-19 respiratory failure. Tolerable levels of

hypoxemia versus concern over pulmonary oxygen toxicity in acute lung injury must also be balanced against local logistical factors concerning the means to deliver different types of supplemental oxygen therapy safely and the infrastructure these require. In a trial stopped for safety after 205 patients were enrolled, it emerged that conservative oxygen targets in the early management phase of ARDS, PaO₂ 55 mmHg–70 mmHg (oxygen saturation (SpO₂) 88–92%), compared to liberal PaO₂ 90 mmHg–105 mmHg (SpO₂ > 95%), could be harmful [1]. The Surviving Sepsis group recommend targeting SpO₂ 92%–96% in adults with COVID-19 [2, 3].

The decision-making when treating hypoxemia is complex and controversial in COVID-19 for many reasons. Recently in the UK, we have seen hospital oxygen supply infrastructure failures with consequent Incident Reporting & Investigation Centre reports (IM/2020/005) [4] and National Patient Safety Alerts (NatPSA/2020/002/NHSPS)

TABLE 1: A comparison of major organisation recommendations for CPAP/NIV and HFNO.

Body	Noninvasive ventilation	High-flow nasal oxygen
SCCM (USA) [6]	Consider if HFNO failed	Yes
NIH (USA) [6]	Consider if HFNO unavailable	Yes
ANZICS (Australia & New Zealand) [8–10]	No	Yes
WHO (Switzerland) [7]	Yes	Yes
FICM, RCoA, ICS, & AAGBI (UK) [11]	Bridge to intubation	No
ESICM (Europe/UK) [2]	Consider if HFNO failed	Yes
Surviving Sepsis Campaign [2]	Consider if HFNO failed	Yes
NHS England [40]	Yes	No

SCCM: Society of Critical Care Medicine; NIH: National Institutes of Health; ANZICS: Australian and New Zealand Intensive Care Society; WHO: World Health Organisation; FICM: Faculty of Intensive Care Medicine; RCoA: Royal College of Anaesthetists; ICS: Intensive Care Society; AAGBI: Association of Anaesthetists of Great Britain and Ireland; ESICM: European Society of Intensive Care Medicine.

[5]. Noninvasive options for advanced supplemental oxygen delivery, including continuous positive airway pressure (CPAP) and high-flow nasal oxygen (HFNO), are inconsistently recommended. And there are concerns about both variable consumption and excessive use of oxygen in addition to the potential risk of cross-infection to other patients and staff. In the USA, the Society of Critical Care Medicine along with the National Institutes of Health (NIH) [6], the European Society of Intensive Care Medicine, the Australian and New Zealand Intensive Care Society, and the World Health Organisation recommends HFNO with effective infection control measures including personal protective equipment (PPE) when supplemental oxygen via nasal canulae or simple facemask systems are ineffective and immediate intubation is not required [2, 7–10]. In the UK, the Faculty of Intensive Care Medicine, the Intensive Care Society, the Association of Anaesthetists of Great Britain and Ireland, and the Royal College of Anaesthetists do not support the use of HFNO or similar devices [11]. A lot of this COVID-19-specific guidance is understandably based on early information and experience (Table 1). Because of this, these organisations have established web resources which are frequently updated.

We present an illustrative case of COVID-19 pneumonitis that was managed with HFNO and self-prone positioning. We summarise HFNO in the management of 16 COVID-19 patients admitted to our Intensive Care Unit (ICU) (Table 2). This was in line with our pre-COVID-19 treatment of some mild ARDS patients. A recent network meta-analysis of non-invasive oxygenation strategies and all-cause mortality in non-COVID-19 acute hypoxemic respiratory failure is reassuring of the use of these strategies pre-COVID-19. Yet even before COVID-19, the relative benefits of each strategy were not clear [12]. In considering our management, a systematic literature search was conducted through June 2020 to identify any publication of patients with COVID-19 treated with HFNO. The following electronic bibliographic databases were searched from 1974 until June 16, 2020, using a comprehensive search strategy developed by an information specialist: Embase, Medline, DynaMed, and The Right Decision. We separately searched society, professional body, and government guidelines as well as identified references. The full texts of all articles identified as relevant during title and abstract screening were obtained and reviewed. The compre-

hensive search strategy is described in the online supplement (available here). As anticipated, it is too early to have trial evidence. But it remains important to discuss experience whilst decisions on oxygen treatment are being made every day.

2. Case Presentation

An 18-year-old female presented in the early hours of the morning on day 1. Having previously consulted primary care services, she was confirmed SARS-CoV-2 COVID-19 positive 3 days prior to hospitalisation through community testing. She had followed Scottish COVID-19 lockdown guidance, but she did work directly with the public in a UK supermarket chain that was also taking distancing and protection precautions. She had become short of breath at rest: respiratory rate (RR) 48 breaths per minute and SpO₂ 85% on air. She described a productive cough and pleuritic chest pain. She also gave a history of intermittent fever, blocked nose and sore throat, bad taste, and reduced appetite. Seventeen hours later, she was admitted to ICU. Her weight had been stable (104 kg BMI 36). She had a history of chronic widespread pain, migraines, fibromyalgia, anxiety, and irritable bowel syndrome. Her medication history was medroxyprogesterone 10 mg, fluoxetine 20 mg, propranolol modified release 80 mg, almotriptan 12.5 mg, and nortriptyline 30 mg. She had never smoked and did not consume alcohol at all. She studied full time at university up until COVID-19 closure and lockdown.

Initial ward management included supplemental oxygen fraction (FiO₂) 35% by a fixed performance mask. Her observations at this stage were SpO₂ 96%, blood pressure (BP) 138/73 mmHg, heart rate (HR) 118/min, and temperature 38.4°C. She reported feeling better, but she looked unwell from the end of the bed, appearing pale, clammy, and tachypnoeic. Her peripheries were warm with normal heart sounds and no oedema; calves were soft and nontender. She remained unable to complete most sentences. Chest X-ray showed bilateral peripheral nodular opacification, most marked in the left mid zone, in keeping with consolidation (Figure 1). The arterial blood gas (ABG) confirmed hypoxaemia PaO₂ 75 mmHg (P:F 215 mmHg), PaCO₂ 29 mmHg, H⁺ 34 nmol/L, and base excess -2.8 mmol/L. A prompt referral to critical care was made, and when that consult occurred, SpO₂

TABLE 2: A case series of our patients treated with high-flow nasal oxygen during the first wave of the COVID-19 pandemic.

Age (years)	Gender	Comorbidities	ICU admission P:F (mmHg)	Initial HFNO P:F (mmHg)	Intubated	Preintubation P:F on HFNO (mmHg)	Proned (i = intubated)	Preprone P:F (mmHg)	Postprone P:F (mmHg)	Preextubation P:F (mmHg)	Outcome
63	M	HTN, BMI, DM	96	113 (FiO ₂ 0.6, flow 40 L/min)	Y	57 (FiO ₂ 1.0, flow 50 L/min)	Y (i)	98 (FiO ₂ 0.7)	222 (FiO ₂ 0.45)	Tracheostomy	I
44	M	BMI, DM	66	93 (FiO ₂ 1.0, flow 60 L/min)	Y	50 (FiO ₂ 1.0, flow 60 L/min)	Y (i)	101 (FiO ₂ 0.55)	140 (FiO ₂ 0.45)	187 (FiO ₂ 0.4)	D/C
60	M		61	71 (FiO ₂ 0.9, flow 65 L/min)	Y	60 (FiO ₂ 1.0, flow 60 L/min)	Y (i)	128 (FiO ₂ 0.5)	88 (FiO ₂ 0.75)	N/A	Died
68	M	HTN, BMI, PAF, CMT	183	165 (FiO ₂ 0.6, flow 60 L/min)	Y	135 (FiO ₂ 0.55, flow 50 L/min)	Y (i)	113 (FiO ₂ 0.9)	285 (FiO ₂ 0.55)	N/A	Died
66	M	TIA, HT	68	59 (FiO ₂ 1.0, flow 60 L/min)	Y	N/A (immediate progression)	Y (i)	N/A (immediate progression)	135 (FiO ₂ 0.55)	N/A	Died
66	M	DM, A	116	116 (FiO ₂ 0.5, flow 60 L/min)	N	N/A	Y	90 (FiO ₂ 0.5)	103 (FiO ₂ 0.6)	N/A	D/C
65	M	HTN, BMI, DM	105	144 (FiO ₂ 0.9, flow 50 L/min)	N	N/A	Y	105 (FiO ₂ 0.6)	104 (FiO ₂ 0.8)	N/A	D/C
67	M	NHL-R	112	116 (FiO ₂ 0.65, flow 40 L/min)	Y	143 (FiO ₂ 60%, flow 40 L/min)	N	N/A	N/A	N/A	Died
53	F	HTN, BMI, DM, S, RA-R	81	126 (FiO ₂ 0.60, flow 50 L/min)	N	N/A (immediate progression)	Y	Not available	Not available	187 (FiO ₂ 0.35)	D/C
47	M	DM, GPA-R	77	65 (FiO ₂ 0.8, flow 60 L/min)	Y	67 (FiO ₂ 0.8, flow 50 L/min)	Y (i)	11	83 (FiO ₂ 0.9)	Tracheostomy	D/C
53	M		99	117 (FiO ₂ 0.6, flow 50 L/min)	N	N/A	Y	Not available	Not available	N/A	D/C
45	M	BMI, G	90	104 (FiO ₂ 0.7, flow 50 L/min)	Y	62 (FiO ₂ 0.9, flow 60 L/min)	N	N/A	N/A	210 (FiO ₂ 0.35)	D/C
54	M	AML	54	HFNC applied for palliation	N	N/A	N	N/A	N/A	N/A	Died
30	M	BMI, A	150	101 (FiO ₂ 0.55, flow 50 L/min)	Y	49 (FiO ₂ 0.75, flow 45 L/min)	Y (i)	105 (FiO ₂ 0.5)	128 (FiO ₂ 0.55)	Tracheostomy	D/C
18	F	BMI, F, D	107	108 (FiO ₂ 0.6, flow 60 L/min)	N	N/A	Y	161 (FiO ₂ 0.7)	329 (FiO ₂ 0.4)	N/A	D/C
51	M	A	141	95 (FiO ₂ 0.8, flow 60 L/min)	N	N/A	Y	Not available	Not available	N/A	D/C

Legend: HTN: hypertension; BMI: BMI > 25; DM: type 2 diabetes; A: asthma; S: smoker; PAF: paroxysmal atrial fibrillation; CMT: Charcot-Marie-Tooth; TIA: transient ischaemic attack; HT: hypothyroid; NHL-R: non-Hodgkin lymphoma-taking rituximab; RA-R: rheumatoid arthritis-taking rituximab; GPA-R: granulomatosis with polyangiitis-taking rituximab; G: gout; AML: acute myeloid leukaemia; F: fibromyalgia; D: depression. Our preference and new admissions were treated with HFNO using the Optiflow+ setup detailed in the case report. If there was a demand on equipment or the patient was later in the phase of weaning or being extubated to HFNO, then we did also deliver HFNO by the Dräger Evita® Infinity® V500 ventilator. I = inpatient; D/C = discharged home; died = death in intensive care.

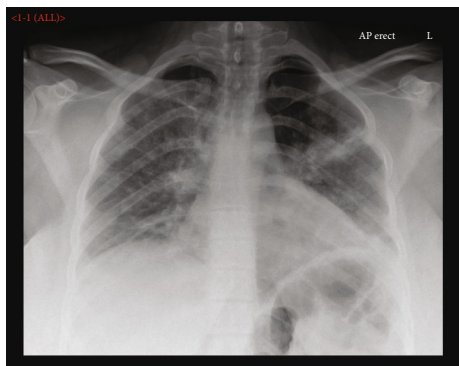


FIGURE 1: Chest X-ray on admission to the hospital prior to intubation.

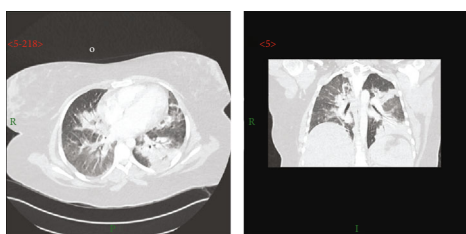


FIGURE 2: CTPA demonstrated COVID-19 pneumonitis throughout and left consolidation. Appearances in the left lung may suggest superadded bacterial consolidation on a background of bilateral COVID-19 pneumonitis. Appearances in the right lung are more suggestive of COVID-19 pneumonitis.

was maintained at 94-96% with FiO_2 40% and the temperature was 38.4°C. C-reactive protein (CRP) was 163 mg/L, and full blood count, liver function and urea, and electrolytes were normal. She had received intravenous amoxicillin. After initial improvement however, she was admitted to our mixed level 2/3 critical care having deteriorated to SpO_2 96% on FiO_2 60% by a fixed performance mask and RR 35/min unstressed but 38°C with mean arterial pressure (MAP) 60 mmHg. On route to the ICU, a CT pulmonary angiogram (CTPA) was performed (Figure 2). This excluded a pulmonary embolism and confirmed COVID-19 changes with left upper lobe consolidation. On admission into a single occupancy room, an arterial line was sited, intravenous crystalloid in targeted boluses used, HFNO started (Optiflow+ (Fisher & Paykel Healthcare), Courtaboeuf, France; heat and moisture exchanger (HME) filter; MR850 heater humidification device and MR290 autofill chamber (Fisher & Paykel Healthcare); and an air/oxygen blender capable of 100% O_2 and up to 70 L/min flow (Bio-Med Devices, Guilford, CT, USA)), and 0.1 mg/kg oral morphine given with encouragement to self-prone. PaO_2 was 45 mmHg on 15 L/min O_2 (mask) and improved over the first period in intensive care on 60% FiO_2 at 60 L/min HFNO with PaO_2 65 mmHg, PaCO_2 31 mmHg, SpO_2 94%, MAP 77 mmHg, and HR 120/min.

We aimed for $\text{SpO}_2 > 92\%$, PaO_2 equal or over 60 mmHg, and mean arterial pressure 65 mmHg. Self-prone positioning was encouraged when the P:F ratio was under 150 mmHg (20 kPa). Clarithromycin was added to amoxicillin. Prophylactic low-molecular weight heparin (LMWH) was pre-

scribed with LMWH (anti-Xa) assay monitoring, aiming for 0.1–0.4 $\mu\text{g}/\text{mL}$. We asked her to lie on her front for as long as possible. She was able to tolerate this most of the time, only turning supine for care, meals, and interventions. We did not stipulate a maximum prone time as the advantage of being awake is that patients can self-adjust pressure areas as well as engage more fully with physiotherapy and nursing intervention.

On day 2, the HFNO was titrated between 40 and 70 L/min. The trend in FiO_2 , SpO_2 , PaO_2 , and P:F ratio throughout her time in critical care shows improvement and fluctuation with time self-prone (Figure 3). Typically, her observations were stable at RR 34/min, SpO_2 95%, PaO_2 157 mmHg, and PaCO_2 35 mmHg with self-prone positioning and her PaO_2 was under 60 mmHg when supine. She would desaturate to a low SpO_2 80%-88% range on movement for nursing care. The use of the broad-spectrum antimicrobial cover was continued in line with daily microbiologist input. On day 3, the HFNO support was reduced to 40–50 L/min, FiO_2 40%, RR 22/min, and SpO_2 91%. Remaining prone (P:F ratio 140 mmHg, PaO_2 56 mmHg), she was comfortable, afebrile, RR 18-24/min, alert, and orientated. Her heart rate had settled to 67 bpm and BP 114/65. She continued to self-prone through day 4. Part of the success of this strategy was to ensure her comfort with measures such as extra pillows under pressure points of her pelvis. She remained in positive spirits, and overall, she found the patient interface of HFNO acceptable and did not like the idea of CPAP or invasive ventilation. On day 5, she completed co-amoxiclav, HFNO flow was reduced to a minimum, and FiO_2 was 40%; eventually, she was liberated from HFNO onto a 24-28% fixed performance oxygen mask. On day 6, she was discharged back to infectious diseases having not been intubated. She reported feeling physically much better but remained anxious and found it difficult to process the events of the last few days. She remained tired and lethargic but subjectively less short of breath, and all chest pain had resolved. By day 7, tiredness and the cough were the main complaints but the cough was now nonproductive. Assistance was required when walking to the toilet. Mobility gradually improved. Psychiatry was consulted and felt that she seemed to have coped well with being in the hospital, including ICU, but had raised concern about remembering any new detail that may become hard to process pertaining to this spell of hospitalisation and ill health. Strategies for managing this were provided. On day 9, with RR 19/min, SpO_2 98% on room air, BP 101/67 mmHg, HR 104/min, temperature 36.5°C, and feeling well, she was discharged home.

3. Discussion

We were initially deterred from our usual practice with HFNO in hypoxemic type 1 respiratory failure by the early rhetoric in COVID-19. Prior to COVID-19, in hypoxemic type 1 respiratory failure, we used HFNO for some patients as a bridge to intubation or as definitive treatment where response and sustained improvement was demonstrated. It remains important not to delay inevitable intubation. We intubated 75% of COVID-19 patients admitted to ITU which

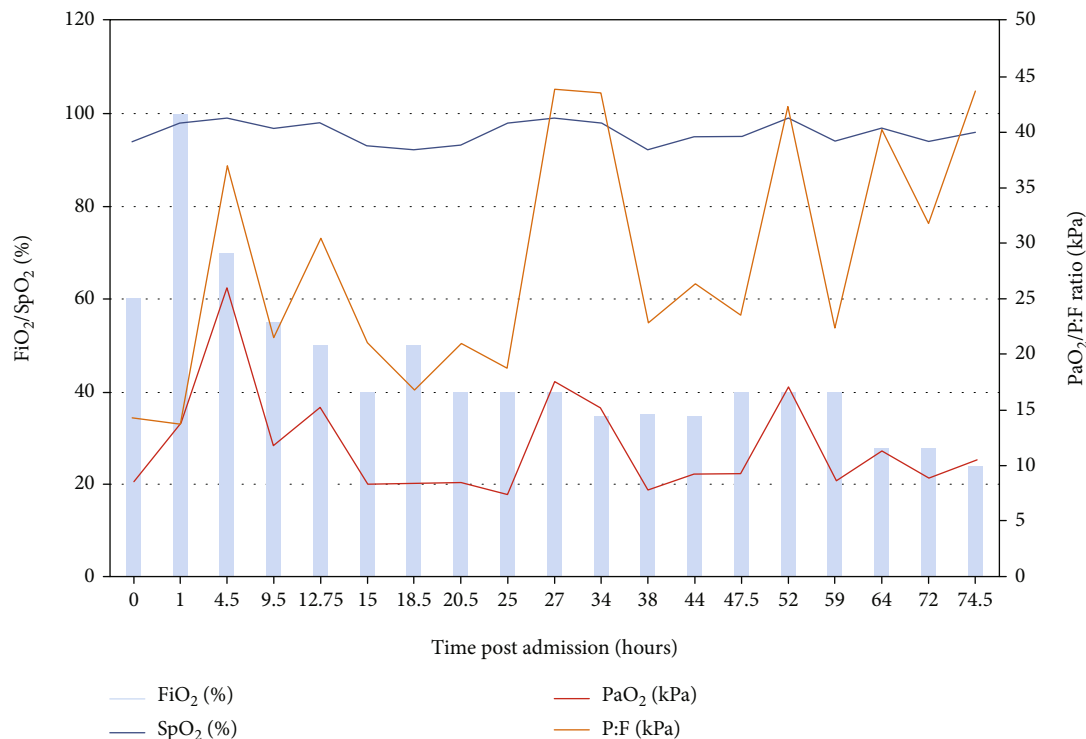


FIGURE 3: The patient trend in FiO_2 , SpO_2 , PaO_2 , and P:F ratio throughout her time in critical care (P:F 40 kPa = 300 mmHg, P:F 26.7 kPa = 200 mmHg, and P:F 13.3 kPa = 100 mmHg). Self-prone positioning was encouraged when the P:F ratio was under 150 mmHg (20 kPa). We did not stipulate a maximum prone time; this was determined by patient comfort, tolerance, and between-care episodes requiring the patient to be supine.

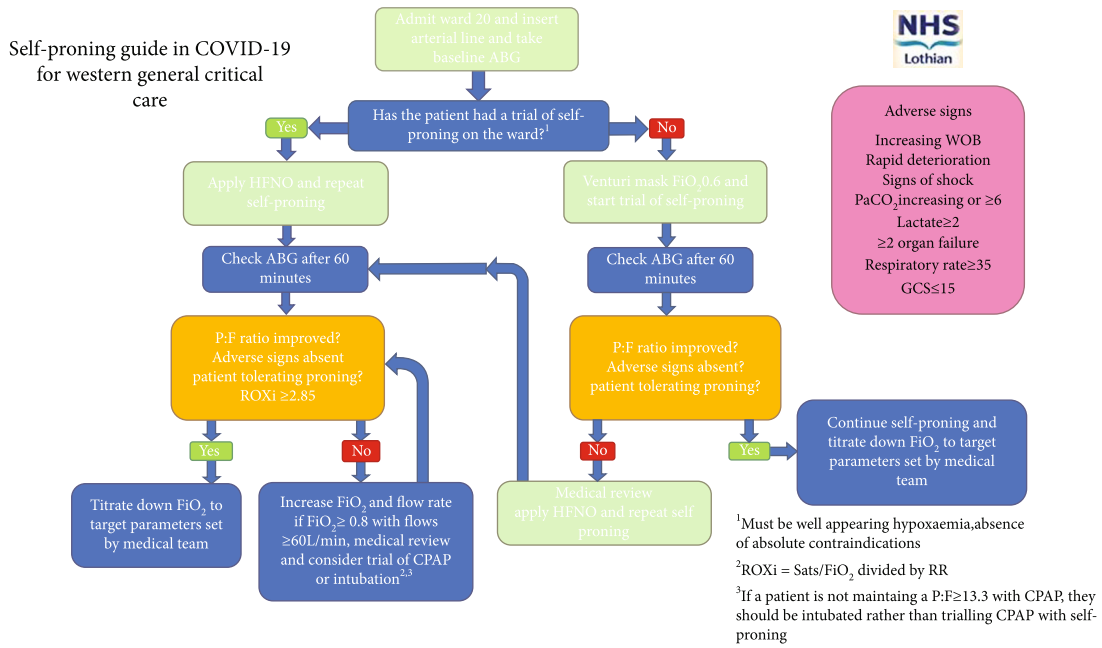
dropped to 57% when we started using HFNO. The relatively high COVID-19 mortality in our HFNO group (31%) compared to our overall COVID-19 mortality (20%) comes from a relatively small sample from which general inferences cannot be made. Yet, it is important to acknowledge that this mortality was made up from patients who went on to be intubated and then died or where an active decision not to intubate had been made.

The case discussed highlights the importance of accounting for patient, hospital, and staff safety factors and of considering patient demographics and history, including psychiatric history in the decision between NIV, including HFNO, and intubation. We know that the ITU psychological burden is especially high with intubation and sedation [13, 14]. We fortuitously have not had any staff testing COVID-19 positive, and we are deeply saddened by the morbidity and mortality amongst healthcare workers worldwide. Notwithstanding, this is in accord with the prior opinion that aerosolization from HFNO may be insignificant when infection control practice and PPE are optimal [15–18].

All currently available guidelines were considered for an individualised patient approach. In some cases, HFNO allowed time for the appropriateness of escalation to invasive ventilation to be determined as being in the patients' best interest. This is a crucial bridging role of HFNO. We have found that HFNO is a comfortable, tolerable patient interface in both the supine and prone positions and has not had compliance issues allowing constant usage. COVID-19 pneumonitis causes a heterogenous lung due to ventilation-perfusion

ratio (V/Q') mismatching, a significant shunt fraction, and contributing lung microthrombi causing impaired hypoxic pulmonary vasoconstriction [19]. Prone positioning is beneficial in invasively ventilated ARDS patients, and there is emerging evidence for awake self-prone positioning [19, 20]. When we have used CPAP by the mask interface, compliance has been an issue in some cases. This has previously been reported [21–23]. A mask limits head position in a self-prone strategy. HFNO device tolerance and thus, by implication, better compliance and reduced frustration-led patient interface disconnections are also likely to confer relative healthcare worker protection. Prior to COVID-19, it was not our usual practice to use CPAP in this setting and this may have influenced our perception. Similar cases have started to be reported [24–31]. HFNO cannot change the natural course of viral pneumonia, but neither can intubation, and CPAP/NIV has not previously been recommended [32]. In patients not responding to supplemental oxygen with improved PaO_2 , there is more likely to be a significant intrapulmonary shunt and invasive ventilation is probably required imminently, but in supplemental oxygen responders, the pathophysiology is predominantly ventilation-perfusion mismatching. Arterial oxygenation can be safely sustained with oxygen therapy in this latter group [33].

The early National Institute for Health and Care Excellence (NICE) COVID-19 rapid guideline advised that when an adult is admitted to hospital, an early assessment of frailty is made which can be either individualised or by the Clinical Frailty Scale score [34] as part of determining disposition.



Absolute contraindications

- PaO₂/FiO₂ ratio ≤ 13.3kPa
- RR ≥ 35
- Respiratory distress (using accessory muscles, excessive WOB, paradoxical breathing pattern)
- PaCO₂ ≥ 6
- Immediate need for intubation
- Agitation or altered mental status
- Unstable spine/thoracic injury/recent abdominal surgery
- Haemodynamic instability or arrhythmia

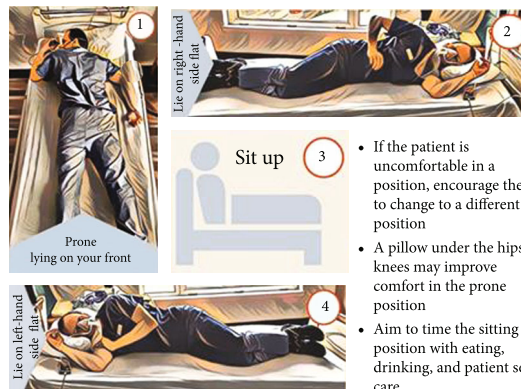
Relative contraindications

- Lactate ≥ 2
- Hyperinflammatory state (elevated CRP/LDH/ferritin)
- Facial injury
- Morbid obesity
- Pregnancy (2nd/3rd trimesters)
- Pressure sores
- Anticipated difficult intubation
- Presumed/actual 2° bacterial infection
- Subjective dyspnoea

Suggested HFNO therapy staircase

- FiO₂ 0.6, flow 40L/min
- FiO₂ 0.8, flow 60L/min
- FiO₂ 1.0, flow 60L/min

Target oxygen saturation 90-94%, PaO₂ ≥ 8 unless adjusted by medical team



Aim to keep the patient in each position for up to 2 hours
Move between the 4 different positions shown

- If the patient is uncomfortable in a position, encourage them to change to a different position
- A pillow under the hips or knees may improve comfort in the prone position
- Aim to time the sitting up position with eating, drinking, and patient self-care
- Stable patients should be sat out rather than being sat up in bed
- Some patients when lying on their front find having the bed tilted up by 15-20° more comfortable

References: ICS guidance for prone positioning of the conscious COVID patient 2020; Ding et al, critical care 2020; Roca et al, Am J Respir crit care med 2019.

FIGURE 4: Western General Hospital, Edinburgh, NHS Lothian, NHS Scotland. Awake self-prone guideline.

HFNO is established in critical care [12, 35, 36]. Combination therapy in ARDS with prone positioning is described [37]. FLORALI publication in 2015 made it clear that intubation should not be delayed [12, 38]. Persistence with noninvasive methods can result in patient self-inflicted lung injury [7, 19, 39]. A key question from the FLORALI papers was whether HFNO could prevent intubation. However, it is important to consider that most patients in this trial had pneumonia and did not all meet the current criteria for ARDS. Therefore, the results may not be generalisable to all patients with hypoxemic respiratory failure. Yet this study did suggest that HFNO is noninferior to other oxygen deliv-

ery methods including noninvasive ventilation (NIV/CPAP) in reducing the subsequent need for intubation. HFNO reduced ICU and 90-day mortality compared with other strategies. It also subjectively improved dyspnoea and respiratory discomfort, important for patient experience, at 1 hour compared with the other oxygen delivery devices [38].

The UK National Health Service (NHS) has published COVID-19 guidance [40, 41]. It is recommended that NIV (including HFNO) is delivered in a negative pressure room with air exchanges greater than 10 cycles per hour or in an air-cycled neutral pressure room or side room. In anticipation of the first COVID-19 patients being admitted, our unit

was sealed and modified for full droplet precaution. We use filtering facepiece 3 (FFP3) plus visor and fluid-resistant gown PPE and have greater than 50% of beds in single occupancy rooms.

Where there is no adequate response, where clinical decline continues, or where patient tolerance limits use, early intubation and mechanical ventilation should be pursued where appropriate. In COVID-19 with full FFP3 PPE, human factor challenges are compounded by staff training challenges (redeployment in the pandemic), fatigue, and the visual and hearing cue limitations associated with masks and visors. This amalgamates to make ICU intubation more difficult and possibly more hazardous than usual. Where intubation is not needed, it can be argued that consequently the clinician is protected from intubation risks and we can consider the resource-saving benefit to the broader population. The ROX index may play a predictive role in identifying failing HFNO and need for intubation. Whilst it lacks external validity, it utilises three bedside observations (SpO_2 , FiO_2 , and RR) to standardise the degree of hypoxic respiratory failure [42–44]. We consider this to be additionally helpful in our local guidance for trialling self-prone positioning in critical care (Figure 4), based on Intensive Care Society guidance [45].

We have not used HFNO outside of critical care. This helped both in the monitoring of total hospital oxygen usage and in the advocated approach of using it as a targeted, duration-limited therapy with a clear escalation or deescalation exit strategy based on both clinical judgement and understanding of oxygen infrastructure. HFNO should be confined to use where regular review and goal setting are possible. Consideration needs to be given to current patient numbers on oxygen in the hospital and the hospital infrastructure including the capacity of the Vacuum Insulated Evaporator (VIE) and cylinder manifolds as well as the hospital pipework flow capacity. In an audit of our own unit's oxygen consumption, we found marked differences between invasively ventilated patients and those on HFNO, FiO_2 0.43 (IQR 0.41–0.45) vs. 0.55 (IQR 0.48–0.60) ($p = 0.029$) for invasive ventilation vs. HFNO, respectively, and fresh oxygen flow rates of 3.0 (IQR 2.6–3.2) vs. 18.4 (IQR 14.4–25.9) L/min ($p = 0.029$) for invasive ventilation vs. HFNO, respectively. Clearly, the use of HFNO has significant resource implications and may not be deliverable in all infrastructures. Indeed, the latent heat of vaporisation of oxygen to convert from liquid to gas through the superheater takes atmospheric energy to change the oxygen phase, with the air-water vapour losing so much energy that it condenses and in excess freezes. Under normal operating conditions, the VIE utilises this latent heat to keep cool, but if usage is high, the temperature in the evaporator will fall too much so that the regulators governing the pipeline pressure will no longer function correctly. The excess demand due to overwhelming case numbers in the COVID-19 pandemic has led to reports of hospital oxygen systems freezing and failing [4, 46, 47].

Organised trials are now needed. RECOVERY-RS (ISRCTN16912075) will look at three different approaches to providing ventilatory support, including HFNO, to

patients suspected or confirmed COVID-19. Hopefully, many of the uncertainties of how best to provide noninvasive respiratory support will be answered in a relatively homogeneous population, at least compared with previous studies in ARDS.

4. Conclusions

A one-size-fits-all approach to hypoxemic respiratory failure is not possible. We provide data to show that HFNO has a role in selected units and patients alongside real-time oxygen infrastructure consideration. HFNO is possibly suited to and more efficacious with self-prone positioning. A clear plan must be in place to determine the threshold for the failure of HFNO and escalation to intubation and invasive mechanical ventilation, if appropriate. In deciding not to use HFNO due to potential aerosolization concerns, the clinician is committing to alternative therapies that have their own associated risks including aerosolization [48–50].

Data Availability

Data are available on request from the corresponding author.

Consent

Written consent for publication was obtained from the patient for the publication of the case report.

Conflicts of Interest

The authors declare that they have no competing interests.

Authors' Contributions

The contributions of the authors involved in this study are as follows: Kieran P Nunn: conceptualization, writing—original draft preparation, and verified data; Murray J Blackstock: writing—reviewing and editing; Ryan Ellis: visualization, investigation, writing, and verified data; Gauhar Sheikh: visualization, investigation, and verified data; Alastair Morgan: reviewing and wrote our self-prone critical care guideline; and Jonathan KJ Rhodes: writing—reviewing and editing, supervision, and verified data.

Acknowledgments

The authors acknowledge the following: evidence search: High Flow Nasal Oxygen in treating SARS-CoV-2 COVID-19 Disease (coronavirus); Amanda Wright (COVID19 Knowledge Service) (date search completed: 17.06.20), NHS Greater Glasgow & Clyde Library Network; and Dr. Alan Simms, Consultant Radiologist, Department of Radiology, Western General Hospital Edinburgh, advising and preparing anonymised images for publication.

Supplementary Materials

The electronic bibliographic database search strategy. (*Supplementary Materials*)

References

- [1] L. Barrot, P. Asfar, F. Mauny et al., "Liberal or conservative oxygen therapy for acute respiratory distress syndrome," *The New England Journal of Medicine*, vol. 382, no. 11, pp. 999–1008, 2020.
- [2] W. Alhazzani, M. H. Møller, Y. M. Arabi et al., "Surviving Sepsis Campaign: guidelines on the management of critically ill adults with coronavirus disease 2019 (COVID-19)," *Intensive Care Medicine*, vol. 46, no. 5, pp. 854–887, 2020.
- [3] S. R. Wilcox, "Management of respiratory failure due to COVID-19," *BMJ*, vol. 369, article m1786, 2020.
- [4] Incident Reporting & Investigation Centre (IRIC) NHS National Services Scotland, "Safety Action Notice. Protection of hospital oxygen pipeline systems through managed distribution of oxygen-dependent patients (COVID-19). SAN(SC)20/05," 2020. <http://www.nhsns.org>.
- [5] 2020, <https://www.google.com/url?sa=t&rc=t=j&q=&esrc=s&source=web&cd=4&cad=rja&uact=8&ved=2ahUKEwjBoAXzmrbpAhUNRhUIHV33BykQFjADegQIBB&url=https%3A%2F%2Fwww.england.nhs.uk%2Fwp-content%2Fuploads%2F2020%2F04%2FFINAL-NatPSA-Interruption-of-HFNO-during-transfer-April-2020-v3.pdf&usq=AOvVaw0U8M3Ncs18FR7aqtsHtvHk>.
- [6] COVID-19 Treatment Guidelines Panel, "Coronavirus disease 2019 (COVID-19) treatment guidelines. National Institutes of Health," 2020, <https://www.covid19treatmentguidelines.nih.gov/>.
- [7] World Health Organization, "Clinical management of severe acute respiratory infection (SARI) when COVID-19 disease is suspected," 2020, [http://www.who.int/publications-detail/clinical-management-of-severe-acute-respiratory-infection-when-novel-coronavirus-\(ncov\)-infection-is-suspected](http://www.who.int/publications-detail/clinical-management-of-severe-acute-respiratory-infection-when-novel-coronavirus-(ncov)-infection-is-suspected).
- [8] ANZICS COVID-19 Working Group, "The Australian and New Zealand Intensive Care Society COVID-19 guidelines (version 1)," 2020, <http://www.anzics.com.au/wp-content/uploads/2020/03/ANZICS-COVID-19-Guidelines-Version-1.pdf>.
- [9] ANZICS COVID-19 Working Group, "The Australian and New Zealand Intensive Care Society COVID-19 guidelines (version 2)," 2020, <http://www.anzics.com.au/wp-content/uploads/2020/03/ANZICS-COVID-19-Guidelines-Version-1.pdf>.
- [10] "2020 National COVID-19 Clinical Evidence Taskforce," 2020, <https://covid19evidence.net.au/>.
- [11] Faculty of Intensive Care Medicine, Intensive Care Society, Association of Anaesthetists and Royal College of Anaesthetists, "Critical care preparation and management in the COVID-19 pandemic," 2020, <http://www.icmanaesthaciacovid-19.org/critical-care-preparation-and-management-in-the-covid-19-pandemic>.
- [12] B. L. Ferreyro, F. Angriman, L. Munshi et al., "Association of noninvasive oxygenation strategies with all-cause mortality in adults with acute hypoxemic respiratory failure: a systematic review and meta-analysis," *JAMA*, vol. 324, no. 1, pp. 57–67, 2020.
- [13] K. A. Samuelson, D. Lundberg, and B. Fridlund, "Stressful memories and psychological distress in adult mechanically ventilated intensive care patients - a 2-month follow-up study," *Acta Anaesthesiologica Scandinavica*, vol. 51, no. 6, pp. 671–678, 2007.
- [14] H. Myhren, O. Ekeberg, K. Tøien, S. Karlsson, and O. Stokland, "Posttraumatic stress, anxiety and depression symptoms in patients during the first year post intensive care unit discharge," *Critical Care*, vol. 14, no. 1, article R14, 2010.
- [15] A. Agarwal, J. Basmaji, F. Muttalib et al., "High-flow nasal cannula for acute hypoxemic respiratory failure in patients with COVID-19: systematic reviews of effectiveness and its risks of aerosolization, dispersion, and infection transmission," *Canadian Journal of Anesthesia/Journal canadien d'anesthésie*, vol. 67, no. 9, pp. 1217–1248, 2020.
- [16] D. S. Hui, B. K. Chow, T. Lo et al., "Exhaled air dispersion during high-flow nasal cannula therapy versus CPAP via different masks," *European Respiratory Journal*, vol. 53, no. 4, article 1802339, 2019.
- [17] J. Li, J. B. Fink, and S. Ehrmann, "High-flow nasal cannula for COVID-19 patients: low risk of bio-aerosol dispersion," *European Respiratory Journal*, vol. 55, no. 5, article 2000892, 2020.
- [18] C. Lyons and M. Callaghan, "The use of high-flow nasal oxygen in COVID-19," *Anaesthesia*, vol. 75, no. 7, pp. 843–847, 2020.
- [19] I. Telias, B. H. Katira, and L. Brochard, "Is the prone position helpful during spontaneous breathing in patients with COVID-19?," *JAMA*, vol. 323, no. 22, pp. 2265–2267, 2020.
- [20] X. Elharrar, Y. Trigui, A. M. Dols et al., "Use of prone positioning in nonintubated patients with COVID-19 and hypoxemic acute respiratory failure," *JAMA*, vol. 323, no. 22, pp. 2336–2338, 2020.
- [21] P. G. Catcheside, "Predictors of continuous positive airway pressure adherence," *F1000 Medicine Reports*, vol. 2, 2010.
- [22] J. S. Virk and B. Kotecha, "When continuous positive airway pressure (CPAP) fails," *Journal of Thoracic Disease*, vol. 8, no. 10, pp. E1112–E1121, 2016.
- [23] British Thoracic Society Standards of Care Committee, "Non-invasive ventilation in acute respiratory failure," *Thorax*, vol. 57, no. 3, pp. 192–211, 2002.
- [24] A. J. Ciment and L. M. Ciment, "A 55-year-old COVID-19-positive man managed with self-regulation of high-flow oxygen by high-velocity nasal insufflation therapy," *Respir Case Rep.*, vol. 8, no. 5, article e00591, 2020.
- [25] C. Despres, Y. Brunin, F. Berthier, S. Pili-Floury, and G. Besch, "Prone positioning combined with high-flow nasal or conventional oxygen therapy in severe Covid-19 patients," *Critical Care*, vol. 24, no. 1, p. 256, 2020.
- [26] S. Geng, Q. Mei, C. Zhu et al., "High flow nasal cannula is a good treatment option for COVID-19," *Heart & Lung*, vol. 49, no. 5, pp. 444–445, 2020.
- [27] G. He, Y. Han, Q. Fang et al., "Clinical experience of high-flow nasal cannula oxygen therapy in severe COVID-19 patients," *Journal of Zhejiang University (Medical Science)*, vol. 49, no. 2, pp. 232–239, 2020.
- [28] V. Karamouzos, F. Fligou, C. Gogos, and D. Velissaris, "High flow nasal cannula oxygen therapy in adults with COVID-19 respiratory failure. A case report," *Monaldi Archives for Chest Disease*, vol. 90, no. 2, 2020.
- [29] A. S. Rali, K. R. Nunna, C. Howard, J. P. Herlihy, and K. K. Guntupalli, "High-flow nasal cannula oxygenation revisited in COVID-19," *Cardiac Failure Review*, vol. 6, 2020.

- [30] the Critical Care Western Research Group, M. Slessarev, J. Cheng, M. Ondrejicka, and R. Arntfield, "Patient self-proning with high-flow nasal cannula improves oxygenation in COVID-19 pneumonia," *Canadian Journal of Anesthesia/Journal canadien d'anesthésie*, vol. 67, no. 9, pp. 1288–1290, 2020.
- [31] Q. Xu, T. Wang, X. Qin, Y. Jie, L. Zha, and W. Lu, "Early awake prone position combined with high-flow nasal oxygen therapy in severe COVID-19: a case series," *Critical Care*, vol. 24, no. 1, p. 250, 2020.
- [32] S. A. Namendys-Silva, "Respiratory support for patients with COVID-19 infection," *The Lancet Respiratory Medicine*, vol. 8, no. 4, 2020.
- [33] M. J. Tobin, "Basing respiratory management of COVID-19 on physiological principles," *American Journal of Respiratory and Critical Care Medicine*, vol. 201, no. 11, pp. 1319–1320, 2020.
- [34] "National Institute for Health and Care Excellence (NICE) COVID-19 rapid guideline: critical care in adults," 2020, <https://www.nice.org.uk/guidance/ng159>.
- [35] B. K. Patel, J. P. Kress, and J. B. Hall, "Alternatives to invasive ventilation in the COVID-19 pandemic," *JAMA*, vol. 324, no. 1, pp. 43–44, 2020.
- [36] B. Rochweg, D. Granton, D. X. Wang et al., "High flow nasal cannula compared with conventional oxygen therapy for acute hypoxemic respiratory failure: a systematic review and meta-analysis," *Intensive Care Medicine*, vol. 45, pp. 563–572, 2019.
- [37] L. Ding, L. Wang, W. Ma, and H. He, "Efficacy and safety of early prone positioning combined with HFNC or NIV in moderate to severe ARDS: a multi-center prospective cohort study," *Critical Care*, vol. 24, no. 1, p. 28, 2020.
- [38] J. P. Frat, A. W. Thille, A. Mercat et al., "High-flow oxygen through nasal cannula in acute hypoxemic respiratory failure," *The New England Journal of Medicine*, vol. 372, no. 23, pp. 2185–2196, 2015.
- [39] L. Brochard, A. Slutsky, and A. Pesenti, "Mechanical ventilation to minimize progression of lung injury in acute respiratory failure," *American Journal of Respiratory and Critical Care Medicine*, vol. 195, no. 4, pp. 438–442, 2017.
- [40] NHS England and NHS Improvement, "Guidance for the role and use of non-invasive respiratory support in adult patients with coronavirus (confirmed or suspected) 26 March 2020 Version 2," 2020, https://www.england.nhs.uk/coronavirus/wp-content/uploads/sites/52/2020/03/CLEARED_Specialty-guide_-NIV-respiratory-support-and-coronavirus-v2-26-March-003.pdf.
- [41] NHS England, *Specialty guides for patient management during the coronavirus pandemic. Guidance for the role and use of non-invasive respiratory support in adult patients with COVID-19 (confirmed or suspected) 6 April 2020, Version 3*, <https://www.england.nhs.uk/coronavirus/secondary-care/other-resources/specialty-guides/>.
- [42] O. Roca, B. Caralt, J. Messika et al., "An index combining respiratory rate and oxygenation to predict outcome of nasal high-flow therapy," *American Journal of Respiratory and Critical Care Medicine*, vol. 199, no. 11, pp. 1368–1376, 2019.
- [43] O. Roca, J. Messika, B. Caralt et al., "Predicting success of high-flow nasal cannula in pneumonia patients with hypoxemic respiratory failure: the utility of the ROX index," *Journal of Critical Care*, vol. 35, pp. 200–205, 2016.
- [44] E. Spinelli, O. Roca, and T. Mauri, "Dynamic assessment of the ROX index during nasal high flow for early identification of non-responders," *Journal of Critical Care*, vol. 58, no. 19, pp. 130–131, 2020.
- [45] P. Bamford, A. Bentley, J. Dean, D. Whitmore, and N. Wilson-Baig, *ICS guidance for prone positioning of the conscious COVID patient 2020*, Intensive Care Society, London, 2020.
- [46] NHS England and NHS Improvement Estates & Facilities Patient Safety Alert 2020/001, "Use of hospital CPAP during coronavirus epidemic," 2020, <https://www.cas.mhra.gov.uk/ViewandAcknowledgment/ViewAlert.aspx?AlertID=103013>.
- [47] R. Moonesinghe, A. Menzies-Gow, and A. Eggleton, "Estates and Facilities Alert. NHSE/I – 2020/001," 2020, https://www.cas.mhra.gov.uk/ViewandAcknowledgment/ViewAttachment.aspx?Attachment_id=103492.
- [48] J. C. Winck and N. Ambrosino, "COVID-19 pandemic and non invasive respiratory management: every Goliath needs a David. An evidence based evaluation of problems," *Pulmonology*, vol. 26, no. 4, pp. 213–220, 2020.
- [49] R. Canelli, C. W. Connor, M. Gonzalez, A. Nozari, and R. Ortega, "Barrier enclosure during endotracheal intubation," *The New England Journal of Medicine*, vol. 382, no. 20, pp. 1957–1958, 2020.
- [50] K. El-Boghdady, D. J. N. Wong, R. Owen et al., "Risks to healthcare workers following tracheal intubation of patients with COVID-19: a prospective international multicentre cohort study," *Anaesthesia*, vol. 75, no. 11, pp. 1437–1447, 2020.