

Spotlight on crizotinib in the first-line treatment of ALK-positive advanced non-small-cell lung cancer: patients selection and perspectives

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Abstract: Around 4% of advanced non-small-cell lung cancers (NSCLCs) have an *ALK* rearrangement at the time of diagnosis. This molecular feature is more frequent in young patients, with no/light smoking habit and with adenocarcinoma pathological subtype. Crizotinib is a tyrosine kinase inhibitor, targeting ALK, ROS1, RON, and MET. The preclinical efficacy results led to a fast-track clinical development. The US Food and Drug Administration (FDA) approval was achieved after the Phase I clinical trial in 2011 in ALK-rearranged advanced NSCLC progressing after a first-line treatment. In 2013, the randomized Phase III trial PROFILE-1007 confirmed the efficacy of crizotinib in ALK-rearranged NSCLC, compared to cytotoxic chemotherapy, in second-line setting or more. In 2014, the PROFILE-1014 trial showed the superiority of crizotinib in the first-line setting compared to the pemetrexed platinum doublet chemotherapy. The response rate was 74%, and the progression-free survival was 10.9 months with crizotinib. Based on these results, crizotinib received approval from the FDA and European Medicines Agency for first-line treatment of ALK-rearranged NSCLC. The various molecular mechanisms at the time of the progression (ALK mutations or amplification, ALK-independent mechanisms) encourage performing re-biopsy at the time of progression under crizotinib. The best treatment strategy at the progression (crizotinib continuation beyond progression, switch to second-generation tyrosine kinase inhibitors, or cytotoxic chemotherapy) depends on the phenotype of the progression, the molecular status, and the physical condition of the patient.

Keywords: ALK rearrangement, crizotinib, non-small-cell lung carcinoma

Introduction

The majority of non-small-cell lung cancers (NSCLCs) are diagnosed at an advanced stage, with a poor prognosis. Until the early 2000s, the first-line treatment was a platinum-based doublet chemotherapy, which was initiated based on the global condition of the patient but without any pathological or molecular selection. The overall survival was poor, ~8 months, whatever may be the type of cytotoxic chemotherapy given.¹ In 2008, Scagliotti et al demonstrated that a pathology-based selection led to a differential choice of cytotoxic chemotherapy: non-squamous carcinoma was treated more effectively by a platinum–pemetrexed doublet, whereas squamous cell carcinoma was not.² Since several years, extensive molecular characterization of NSCLC is ongoing. Several oncogenic addiction mechanisms have been described. The presence of mutation of the *EGFR* gene, occurring in 10%–15% of NSCLCs, induces tumor sensitivity to targeted therapies, that is, EGFR tyrosine kinase inhibitors (TKIs), such as erlotinib, gefitinib, and afatinib.³ These drugs are currently authorized

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for first-line treatment of EGFR-mutated advanced-stage NSCLC. Among the other oncogenic additions, ~4% of NSCLCs harbor an *ALK* rearrangement.³ Specific ALK TKIs have been developed. Crizotinib is a multi-targeted (ALK, ROS, MET, RON) TKI that shows efficacy in the treatment of ALK-rearranged advanced-stage NSCLC. In this review, we describe the molecular characteristics and the phenotype of ALK-rearranged NSCLC, the mechanism of action of crizotinib, and the results of the early development steps and of the clinical trials that led to approval by the US Food and Drug Administration (FDA) and European Medicines Agency (EMA) of crizotinib for first-line treatment of ALK-rearranged advanced-stage NSCLC. We also discuss about the patient selection and the molecular mechanisms of tumor progression with crizotinib.

ALK rearrangement in NSCLC

ALK protein is a membranous receptor with an intracellular tyrosine kinase domain. *ALK* rearrangements involve the exon 20 coding for the tyrosine domain, inducing the formation of a fusion gene and the constitutive activation of ALK with stimulation of downstream pathways (PI3K, STAT3, JAK2, and Ras pathways).⁴ The companion gene for the rearrangement is most of the time *EML4*. Other genes have also been involved, such as *TFG*, *KIF5B*, and *KLC1*. In NSCLC, *ALK* rearrangement occurs preferentially in young patients, with no/light smoking habit and lung adenocarcinoma.^{5,6} Tumors with *ALK* rearrangement tend to have lymph node involvement and brain tropism.⁶ Adenocarcinomas with *ALK* rearrangement have most of the time a solid or acinar pattern, with cribriform architecture and ring cells, expressing TTF1.⁵ Until recently, *ALK* rearrangement was supposed to be mutually exclusive with other oncogene addiction genes (*EGFR*, *Kras*). However, recent reports using large-scale techniques (such as next-generation sequencing) have described NSCLC harboring both *ALK* rearrangement with other oncogenes, as *EGFR* mutations.⁷ The diagnosis of *ALK* rearrangement is made by fluorescence in situ hybridization (FISH). The presence of a split signal in ≥15% of tumor cells (based on an analysis of at least 100 nuclei) is consistent with an *ALK* translocation. Other screening assays have been recently developed, such as immunohistochemistry (IHC) assay. ALK IHC seems to have a good negative predictive value.⁸ In Europe, the EMA approval does not precise the technique to be used to prove *ALK* rearrangement. In a routine practice, ALK IHC is used for ALK screening at diagnosis, and positive IHC assays are confirmed by FISH. Besides, negative IHC in patients with high clinicopathological probability of

ALK rearrangement also requires a confirmation by FISH. In US, the FDA approval requires a positive FISH assay, based on the use of a Vysis ALK Break Apart FISH Probe Kit (Abbott Molecular, Lake Bluff, IL, USA). Other techniques, such as reverse transcriptase polymerase chain reaction, also allow the diagnosis of *ALK* rearrangement, with interesting sensitivity and specificity, and could be considered alternatively for *ALK* rearrangement diagnosis.⁹

Preclinical activity of crizotinib

Crizotinib is a multi-targeted TKI that shows activity against not only ALK but also ROS1, RON, and MET. In vitro studies have shown antitumor activity with crizotinib. A large panel of human tumor-derived cell lines (n=602, with 134 NSCLC cell lines) was tested with various experimental antitumor compounds.¹⁰ Crizotinib had antitumor activity in a subset of cell lines, corresponding to anaplastic large cell lymphoma, NSCLC, and neuroblastoma cell lines. Among the 134 tested NSCLC cell lines, three cell lines had drug sensitivity and harbored an *ALK* rearrangement confirmed by FISH.

Phase I and II trials: PROFILE-1001 and PROFILE-1005

Kwak et al conducted a Phase I trial (PROFILE-1001), testing crizotinib in advanced-stage NSCLC with *ALK* rearrangement determined by FISH (n=82).¹¹ Performance status (PS) score was 0–2. Most of the patients received crizotinib after at least two lines of treatment. These patients were young (mean age: 52 years), Caucasian (56%), never smoker (76%), and had an adenocarcinoma (96%). The first period of the trial was an escalation-dose phase, with crizotinib given from 50 mg once daily to 300 mg twice daily. The maximum-tolerated dose was 250 mg twice daily (limitation due to fatigue). The second period was an expansion phase, where patients received crizotinib at the maximum-tolerated dose (250 mg twice daily). Overall response rate was 57%, and the estimated 6-month progression-free survival (PFS) was 72%.

A retrospective analysis of the patients enrolled in this Phase I trial was performed to evaluate the overall survival (OS).¹² These patients treated with crizotinib were compared with 36 patients with *ALK*-rearranged NSCLC who were not given crizotinib, 67 patients without *ALK* rearrangement but positive for *EGFR* mutation, and 253 wild-type patients lacking either *ALK* rearrangement or *EGFR* mutation. Median OS of patients treated with crizotinib was not reached, with 1-year OS at 74% and 2-year OS at 54%. For patients treated with crizotinib in second- or third line (n=30), median OS was not reached versus 6 months for patients with *ALK*-rearranged

Table 1 Efficacy data of crizotinib in clinical trials

	PROFILE-1001 ¹³	PROFILE-1005 ¹⁴	PROFILE-1007 ¹⁵	PROFILE-1014 ¹⁶
	n=143	n=135	n=173	n=172
Line of treatment	≥2	≥2	≥2	1
Response rate	60.8%	59.8%	65.0%	74.0%
Duration of response (median) (weeks)	49.1	45.6	32.1	48.4
PFS (median) (months)	9.7	8.1	7.7	10.9
OS				
Median (months)	NR	NA	20.3	NR
1-Year (%)	74.8	NA	NA	84.0

Abbreviations: PFS, progression-free survival; OS, overall survival; NR, not reached; NA, not available.

NSCLC with other second- or third-line treatment (hazard ratio [HR] =0.36, 95% confidence interval [CI] 0.17–0.75, $P=0.004$). Median OS for patients receiving crizotinib was similar to that of *EGFR*-mutated patients (24 months, $P=0.786$). Median OS for patients with *ALK*-rearranged crizotinib-naïve NSCLC was similar to that of wild-type patients (20 versus 15 months, $P=0.244$).

Updated results of this Phase I trial were published in 2012, based on 143 patients with evaluable tumor response.¹³ Response rate was 60.8%. Median duration of response was 49.1 weeks. Median PFS was 9.7 months, and estimated OS at 6 and 12 months was 87.9% and 74.8%, respectively (Table 1).

The PROFILE-1005 trial was a multicenter, single-arm, open-label Phase II trial, testing crizotinib in patients with *ALK*-rearranged advanced-stage NSCLC who progressed after one or more treatment lines ($n=136$).¹⁴ PS was 0–3. Patients with brain metastases were eligible if asymptomatic, or if treated, neurologically stable for at least 2 weeks. One-hundred and thirty-five patients were evaluable for response, with a response rate (primary end point) at 53%. Median duration of response was 43 weeks, and median PFS was 8.5 months (Table 1).

Phase III trial PROFILE-1007: crizotinib in second or third line

PROFILE-1007 was a randomized, open-label, Phase III trial, comparing the efficacy of crizotinib to standard chemotherapy in advanced NSCLC, after failure of a platinum-based chemotherapy, with *ALK* rearrangement (determined by FISH).¹⁵ Three hundred and forty-seven patients were randomized between crizotinib (250 mg twice daily) and either pemetrexed (500 mg/m² intravenously [IV], d1d21) or docetaxel (75 mg/m² IV, d1d21). PS score was 0–2. Patients with stable brain metastases who had been treated previously or were untreated and asymptomatic were eligible.

Crossover was allowed after progression. Primary end point was PFS. PFS (median) was 7.7 months with crizotinib and 3.0 months with chemotherapy (HR =0.49, 95% CI 0.37–0.64, $P<0.0001$). PFS (median) with pemetrexed was 4.2 months (HR =0.59, 95% CI 0.43–0.80, $P<0.001$ in favor of crizotinib). PFS with docetaxel was 2.6 months (HR =0.30, 95% CI 0.21–0.43, $P<0.001$ in favor of crizotinib). Response rate was 65% with crizotinib and 20% with chemotherapy ($P<0.001$). OS was similar between the two arms (HR =1.02, 95% CI 0.67–1.54, $P=0.54$) (Table 1). These results allowed the FDA approval in 2011 and the EMA approval in 2012 of crizotinib (250 mg twice daily) for second-line treatment of advanced NSCLC with *ALK* rearrangement.

Phase III trial PROFILE-1014: crizotinib in first line

Solomon et al conducted a randomized, open-label, Phase III trial (PROFILE-1014), testing crizotinib in first-line treatment of *ALK*-rearranged advanced NSCLC.¹⁶ Patients ($n=343$) with *ALK*-rearranged advanced non-squamous NSCLC (determined by FISH) were randomized between a crizotinib arm (250 mg twice daily, $n=172$) and a chemotherapy arm (cisplatin 75 mg/m² or carboplatin area under the curve (AUC) 5 or 6, plus pemetrexed 500 mg/m², IV, d1d21, up to six cycles, $n=171$). PS score was 0–2. Patients with treated brain metastases were eligible if the metastases were neurologically stable for at least 2 weeks before enrollment and if they had no ongoing requirement for glucocorticoids. Crossover was allowed after progression. Primary end point was PFS. PFS (median) was 10.9 months with crizotinib and 7.0 months with chemotherapy (HR =0.45, 95% CI 0.35–0.60, $P<0.001$) (Table 1; Figure 1). All prespecified subgroups of patients benefited from crizotinib. Response rate was 74% with crizotinib and 45% with chemotherapy ($P<0.001$). Time to response (median) was 1.4 (crizotinib) and 2.8 months (chemotherapy). Duration of response

(median) was 11.3 (crizotinib) and 5.3 months (crizotinib). In 109 patients with brain metastases (52 patients in crizotinib arm and 57 patients in chemotherapy arm), the disease control rate at 12 weeks for brain metastases was 65% with crizotinib versus 46% with chemotherapy. One-year OS was equivalent in both arms (84% in crizotinib arm, 79% in chemotherapy arm), probably due to the crossover. Quality of life was better in the crizotinib arm compared to

chemotherapy arm. At data-cutoff, 70% of patients from the chemotherapy arm crossed over to crizotinib arm, and 12% of the patients from the crizotinib arm subsequently received platinum-based chemotherapy. The very low HR for PFS with crizotinib suggests that the benefit of crizotinib in first line is real and clinically meaningful despite a nonoptimal control arm (no bevacizumab, no maintenance treatment with pemetrexed).

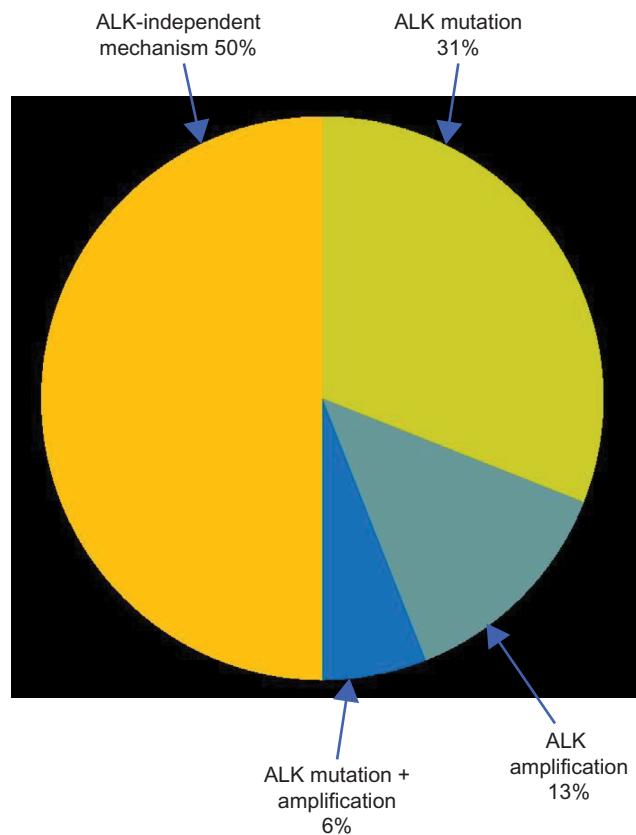


Figure 1 Mechanisms of acquired resistance to crizotinib.

Safety and drug interaction

Safety results were consistent in all clinical trials (Table 2). Crizotinib was overall well tolerated. In PROFILE-1014, the most frequent side effects were eye disorders (71%), diarrhea (61%), nausea (56%), peripheral edema (49%), vomiting (46%), and constipation (43%).¹⁶ Most frequent grade 3–4 side effects were elevated aminotransferases (14%) and neutropenia (11%). Grade 3–4 electrocardiogram prolonged QT interval was observed in 2% of the patients in the crizotinib arm. Fatal pneumonitis occurred in one patient treated with crizotinib (after crossover from chemotherapy arm). Rate of early treatment discontinuation due to side effects was equivalent in crizotinib arm and in chemotherapy arm (12% and 14%, respectively). Interstitial lung disease, a rare potential severe adverse event, was also reported in the literature.¹⁷

Administration of crizotinib and inhibitors of the CYP3A induces an augmentation of the plasmatic concentrations of crizotinib. Drugs such as protease inhibitors (atazanavir, indinavir, nelfinavir, ritonavir, saquinavir), some azole-class antifungals (itraconazole, ketoconazole, voriconazole), and some macrolides (clarithromycin, telithromycin, troleandomycin) should be avoided during the treatment with crizotinib.

Table 2 Main adverse events with crizotinib in clinical trials

	PROFILE-1001 ¹¹		PROFILE-1005 ¹⁴		PROFILE-1007 ¹⁵		PROFILE-1014 ¹⁶	
	Any grade	Grade 3–4	Any grade	Grade 3–4	Any grade	Grade 3–4	Any grade	Grade 3–4
Vision disorder	41	0	52	0	60	0	71	1
Diarrhea	48	0	41	1	60	0	61	2
Nausea	54	0	47	1	55	1	56	1
Vomiting	44	0	39	1	47	1	46	2
Constipation	24	0	28	0	42	2	43	2
Elevated ALAT/ASAT	11	1	28	5	38	16	36	14
Edema	16	0	23	0	31	0	49	1
Fatigue	10	0	18	2	27	2	29	3
Dysgeusia	15	0	17	0	26	0	26	0
Dizziness	NA	NA	11	0	22	1	18	0
Dyspnea	NA	NA	1	1	13	4	18	3
Neutropenia	1	0	9	6	NA	NA	21	11
Decrease in appetite	13	0	19	0	NA	NA	30	2

Notes: Adverse events (%) listed if they were reported in at least 10% (PROFILE-1001 and PROFILE-1005) or 15% (PROFILE-1007 and PROFILE-1014) of the patients.

Abbreviations: ALAT/ASAT, alanine aminotransferase/aspartate aminotransferase; NA, not available.

Consumption of grapefruit and grapefruit juice should also be avoided. Inducers of the CYP3A, such as carbamazepin, phenobarbital, phenytoin, rifabutin, rifampicin, and St John's wort, should also be avoided, as they decrease the plasmatic concentration of crizotinib.

Treatment strategy with crizotinib

Crizotinib received the FDA approval for first-line treatment of *ALK*-rearranged advanced NSCLC in 2013, and the EMA approval for the same indication in November 2015. The question of the best moment to use crizotinib in *ALK*-rearranged NSCLC is relevant. We learned from *EGFR*-mutated NSCLC that first-generation *EGFR* TKIs (erlotinib, gefitinib) have the same efficacy in first- or second-line treatment.¹⁸ However, results from the PROFILE trials showed that crizotinib could be more efficient when given in first line compared to subsequent lines. Indeed, response rate was 74% in PROFILE-1014 (first-line setting) versus 59.8% in PROFILE-1005 and 65% in PROFILE-1007 (second-line setting or more). PFS was 10.9 months in PROFILE-1014 (first-line setting) versus 7.7 months in PROFILE-1007 (second-line setting or more). Even if the comparison between different trials is subject to caution, these differences encourage to screen advanced NSCLC for *ALK* status at the diagnosis, and to use crizotinib in first-line treatment, when it is possible.

Mechanisms of resistance to crizotinib

Despite great response rate, *ALK*-rearranged NSCLC progresses under crizotinib after 8–12 months. The tumor progression occurs notably in the brain. Crizotinib is a substrate of the Pgp, which is a membranous transporter overexpressed in the hemato-encephalic barrier and responsible for the efflux of the drug. This pharmacological specificity could explain that around half of the patients treated with crizotinib will have a cerebral progression.

Several cellular and molecular resistance mechanisms have been described so far to explain secondary resistance to crizotinib (Figure 2).¹⁹ In half of the cases, the resistance mechanism is *ALK* dependent (*ALK* amplification and/or *ALK* mutation). Known *ALK* mutations that cause resistance to crizotinib are L1196M, C1156Y, F1174L, G1269A, I151Tins, L1152R, S1206Y, I1171T, V1180L, D1203N, and G1202R.²⁰ The other half of the resistance cases concern *ALK*-independent mechanisms, such as *EGFR* or *Kras* pathway activation. Among eleven patients with *ALK*-rearranged NSCLC treated with crizotinib, one patient (9%) harbored an *EGFR* mutation, and two patients, a *Kras* mutation (18%)

at the time of progression.²¹ *HER2* activation has also been involved in acquired resistance to crizotinib.¹⁹ An analysis of nine cases of crizotinib progression showed the apparition of a phosphorylation of *HER2* in four cases (44%). Other mechanisms, such as *Kit* amplification¹⁹ or *IGF1R* pathway activation,²² have also been described. However, except acquired *EGFR* mutation that opens the possibility of targeted *EGFR* TKI treatment, the clinical implications of the other *ALK*-independent mechanisms of crizotinib resistance need to be clarified. It is also important to notice that all these mechanisms have been described in a very limited number of patients. Larger cohorts of patients with documented molecular status at the time of progression are therefore needed.

Treatment strategies beyond progression

Recently, new *ALK* TKIs have been developed to overcome resistance to crizotinib, such as ceritinib, alectinib, and lorlatinib. After failure of crizotinib, response rates are high, 58% with ceritinib²³ and 50% with alectinib,²⁴ including efficacy in brain metastases. As discussed, acquired *ALK* mutations can be responsible for crizotinib progression. Interestingly, some of these mutations seem to induce different sensitivity to second-generation *ALK* inhibitors. For example, I1171T mutation, associated with crizotinib resistance, is also associated with resistance to alectinib. C1156Y and L1152R mutations also induce resistance to ceritinib. G1202R mutation seems to induce resistance to crizotinib, alectinib, and ceritinib. A recent report also described a patient with an acquired resistance mutation with crizotinib (C1156Y), who developed another *ALK* mutation under lorlatinib (L1198F) in addition to the C1156Y mutation.²⁵ L1198F mutation is associated with resistance to lorlatinib but confers sensitivity to crizotinib. This patient received again crizotinib with a good tumor response. New molecular screening at the time of progression under crizotinib, through re-biopsy or circulating plasmatic DNA analysis, is therefore advised to guide the best choice of second-generation *ALK* inhibitor.²⁶ Several questions remain concerning the best treatment sequence. Is there an interest for second-generation *ALK* inhibitors in first-line treatment? The potential addition of PFS with crizotinib and then ceritinib or alectinib could argue for a prescription of these new drugs after failure of crizotinib. However, clinical trials with specific design are needed to answer this question. An ongoing randomized Phase III trial (ALEX study, NCT02075840), comparing alectinib and crizotinib in treatment-naïve *ALK*-positive advanced NSCLC, may

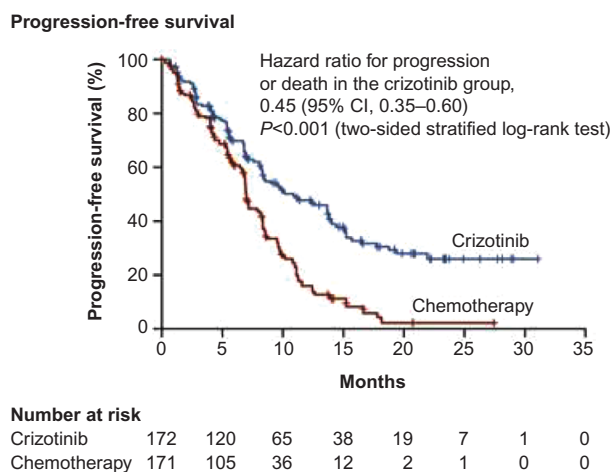


Figure 2 Progression-free survival results of PROFILE-1014.

partially answer this question. Moreover, the conventional chemotherapy plays a major role in *ALK*-rearranged NSCLCs as these tumors have a high sensitivity to pemetrexed.²⁷

The best treatment strategy at the time of progression under crizotinib depends on the profile of progression. A retrospective analysis of patients included in crizotinib-based clinical trials who had tumor progression according to RECIST (n=194) showed that, in patients who continued crizotinib beyond progression because of clinical benefit, OS was longer than in patients who stopped crizotinib (median 29.6 versus 10.8 months; HR =0.30, 95% CI: 0.19–0.46, $P < 0.0001$).²⁸ PS was better (0/1) in 96% versus 82% of patients ($P = 0.02$), respectively. This retrospective non-randomized analysis does not allow definitive conclusions on the best management at the time of progression under crizotinib but suggests that some patients could benefit from the continuation of crizotinib beyond progression. More likely, patients with slow, oligometastatic progression could be subjected to localized treatment on progression sites, with continuation of crizotinib. Especially, brain progression occurs in around half of the patients under crizotinib, mainly because of poor brain penetration of crizotinib. For low number of symptomatic brain metastases, without extra-brain progression, a local treatment (stereotactic radiotherapy, surgery) could be proposed, with treatment with ALK TKIs (continuation with crizotinib or switch to a second-generation ALK inhibitor).²⁹ Whole-brain radiotherapy is another option for the treatment of brain metastases, but results in neurocognitive impairment, and should be reserved for extensive symptomatic brain metastases. Small asymptomatic brain metastases should be followed up, or a switch to a second-generation ALK inhibitor should be proposed. For extensive poly-visceral progression under crizotinib, a switch to a second-generation

ALK inhibitor or systemic pemetrexed-based chemotherapy should be proposed.

Overall, patients with *ALK*-rearranged advanced NSCLC, who can access sequential crizotinib and second-generation ALK inhibitor treatment, have a prolonged OS, exceeding 4 years.³⁰ This long survival, unusual in advanced NSCLC, should be a key component in the treatment decisions for *ALK*-rearranged NSCLC, with priority given to local treatments on progression sites when possible, and favoring strategies with low-grade side effects.

Conclusion

Although *ALK* rearrangement is a rare molecular feature in advanced NSCLC, the possibility to use high-efficiency targeted therapies, such as crizotinib, is a major advance and a real improvement in the management of this kind of tumor. The presence of an *ALK* rearrangement fulfills all the criteria for an oncogenic addiction, notably with a high response rate and durable tumor response with crizotinib. Based on the different results of the PROFILE trials, the best strategy is to use crizotinib in first-line setting, with probably a higher efficiency compared to subsequent lines. The best option at the time of the progression remains undefined, and the choice between crizotinib beyond progression, local treatment on the progression site, switch to a next-generation ALK TKI, or systemic pemetrexed-based chemotherapy is based on the phenotype of the tumor progression, on the molecular profile of the tumor at the time of the progression (re-biopsy), and on the physical condition of the patient at the progression. Further clinical trials are warranted to answer these questions.

Disclosure

The authors report no conflicts of interest in this work.

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