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# Drilling Condition Identification Based on Sound Pressure Signal in Anterior Cervical Discectomy Surgery

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Data Collection B  
Statistical Analysis C  
Data Interpretation D  
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**Background:** In anterior cervical discectomy and fusion (ACDF) surgery, drilling operation causes a high risk of tissue injury. This study aimed to present a novel feedback system based on sound pressure signals to identify drilling condition during ACDF.

**Material/Methods:** ACDF surgery was performed on the C4/5 segments of 6 porcine cervical specimens. The annulus fibrosus, endplate cartilage, sub-endplate cortical bone, and posterior longitudinal ligament (PLL) were drilled until penetration using a 2-mm high-speed burr. Sound pressure signals were collected using a microphone and dynamic signal analyzer. The recorded signals of different tissues were processed with lifting wavelet transform for extracting harmonic components. The frequencies of harmonic components are 1, 2, 3, 4, and 5 times higher than the motor frequency. The magnitude of harmonic components was calculated to identify different drilling conditions, along a broad spectrum of frequencies (1–5 kHz). For statistical analysis, one-way ANOVA (analysis of variance) and post hoc test (Dunnett's T3) were performed.

**Results:** Very good demarcation was found among the signal magnitudes of different drilling conditions. Different drilling conditions do not present the same rate of variation of frequency. Differences in magnitude among all drilling conditions were statistically significant at certain frequency points ( $p < 0.05$ ). In 3 cases, one tissue could not be identified with respect to another (annulus fibrosus and endplate cartilage at 2 kHz, PLL and penetration at 3 kHz, annulus fibrosus and sub-endplate cortical bone at 5 kHz,  $p > 0.05$ ).

**Conclusions:** Sound pressure signals may provide an auxiliary feedback system for enhancing drilling operation in ACDF surgery, especially in minimally invasive surgery.

**MeSH Keywords:** Cervical Vertebrae • Decompression • Intraoperative Complications • Sound • Spinal Cord Compression • Surgical Procedures, Minimally Invasive

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## Background

Anterior cervical discectomy and fusion (ACDF) surgery is a well-established surgical intervention for cervical spondylotic myelopathy, and a high success rate with excellent long-term outcomes has been reported [1–3]. More recently, with the increasing interest in minimally invasive surgery, the approach has been adapted for minimally invasive techniques [4–6].

For traditional and minimally invasive surgery, during ACDF surgery, the drilling process using a high-speed drill is a very common operation and must take place along the narrow intervertebral space to remove the herniated disc [7,8]. Given the limited working space and various important structures adjacent to the PLL, there is a high risk of the burr plunging into the spinal canal during the drilling operation. Failed detection of PLL penetration can cause irreparable damage to the dura mater, spinal cord, and nerve roots [9–12]. These complications may significantly decrease the well-being of patients who may need additional surgeries after the first operation. The drilling tools currently used in orthopedics do not include any feedback system for the detection of drilling conditions, and only radiographic control and the surgeon's manual skill are used to avoid penetration. Consequently, X-ray examinations are usually performed, which significantly increases the risk of patients and medical staff.

Thus, selecting an appropriate drilling condition detection method for anterior cervical decompression surgery, especially in minimally invasive surgery, is key to avoid the aforementioned issues, and special care should be taken during the drilling operation.

More recently, some studies have reported the application of force and torque feedback in bone drilling or milling operation for identifying the bone cutting status and decreasing potential injury to the surrounding organs after penetration [13–16]. However, these systems cannot be easily integrated with use of high-speed burr, and their feasibility of drilling condition monitoring in clinical research is still unclear.

During orthopedic surgery, drilling sound is closely related to the mechanical characteristic of underlying tissues and could be used to guide drilling motions. Praamsma et al. reported that drilling sound is important for expert orthopedic surgeons to determine bone density and judge drilling states [17]. Previously, studies have reported that bone milling and drilling status can be correctly determined by extracting and analyzing the sound features [18,19]. However, to the best of our knowledge, drilling condition identification based on sound pressure signals during ACDF surgery has never been studied.

Real-time drilling condition identification is critical to facilitate safe decompression during ACDF surgery. To address these challenges, in the present study, a sound pressure signal feedback system was proposed to discriminate between different tissues.

## Material and Methods

### Specimen preparation

Cervical spine (C2–C7 segments) specimens of 6 immature domestic pigs (mean weight: 37.8 kg, standard deviation: 2.1; 3 females, 3 males) were obtained from a slaughterhouse. The spine specimens were cleared of excess anterior muscle tissues, while all other soft tissues were left intact. Spine specimens were stored frozen at  $-20^{\circ}\text{C}$ . Before testing, the spine specimens were thawed at  $24^{\circ}\text{C}$  for 12 h. These 6 specimens were tested in a single session; while one specimen was tested, the other specimens were stored at  $4^{\circ}\text{C}$ . For extra fixation, the specimens were fixed on the operating table by chucking fixtures (Figure 1A, 1C). During preparation and testing, the specimens were kept moist by spraying with saline.

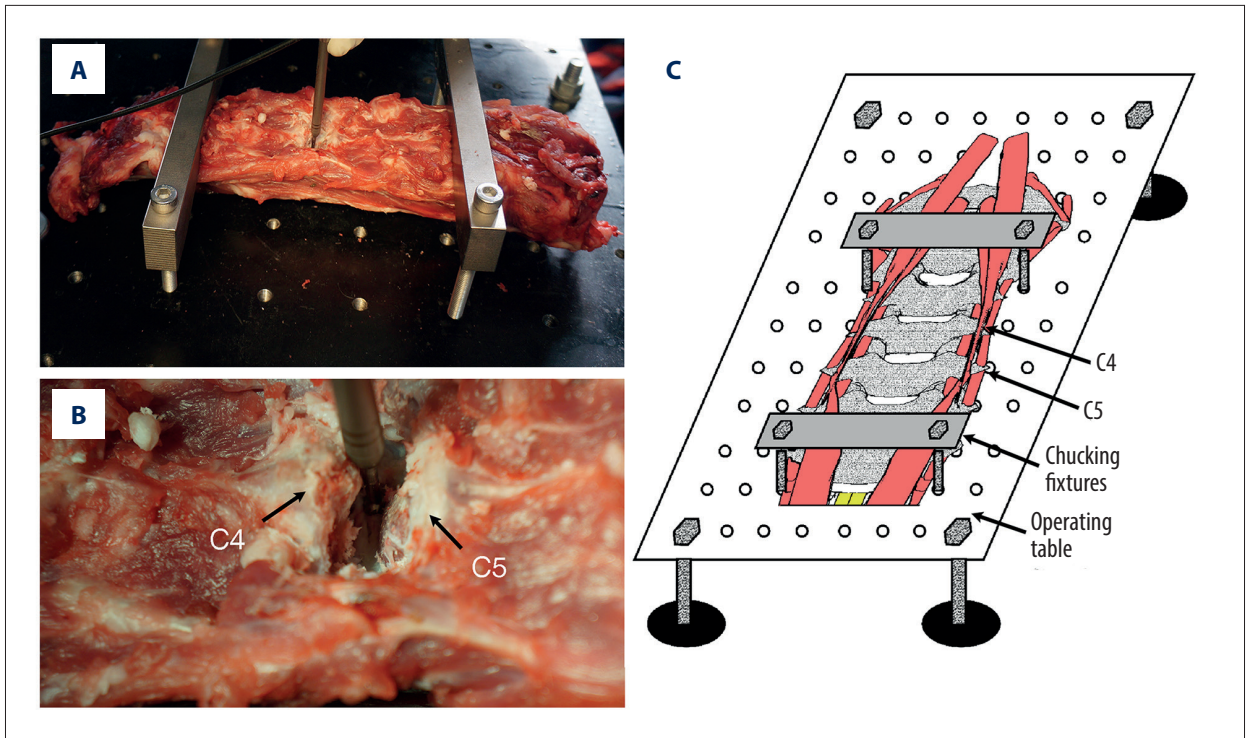
### Surgical procedures

In each specimen, ACDF surgery was performed on the C4/5 segment by an experienced surgeon. The disc was incised and then removed with pituitary rongeurs, bayonnetted Kerrisons, and curettes. A high-speed drill with a 2-mm melon burr was applied for drilling the remaining part of the annulus fibrosis, endplate cartilage, sub-endplate cortical bone, and PLL until penetration (Figure 1B). The feeding rate of the drill was controlled to  $<0.1$  mm/s on average. In addition, sufficient and constant cooling irrigation was provided during drilling, and the volume flow rate of the water ( $4^{\circ}\text{C}$ ) was approximately 20 mL/min.

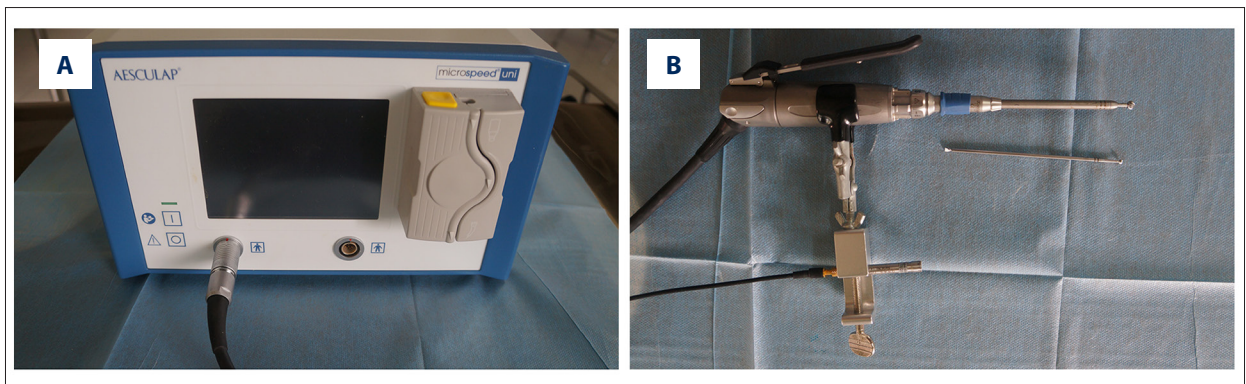
### Sound pressure signal measurement

The global behavior of the measurements on the 6 specimens were managed and analyzed. The experiment comprised measuring the sound pressure signal of different drilling conditions (*in vitro*) from pigs: annulus fibrosis, endplate cartilage, sub-endplate cortical bone, PLL, and penetration. We repeated the same experiment twice for each type of drilling condition in one specimen. During each drilling process, the burr was controlled to prevent twisting of soft tissues.

The AESCULAP GD676 (B. Braun Vet Care GmbH, Tuttlingen, Germany) high-speed operation power system was used in the experiments. The maximum and minimum rotation rate of the drill was 10 000 and 80 000 revolutions per minute (rpm). The speed of the motor was set at 60 000 rpm, with



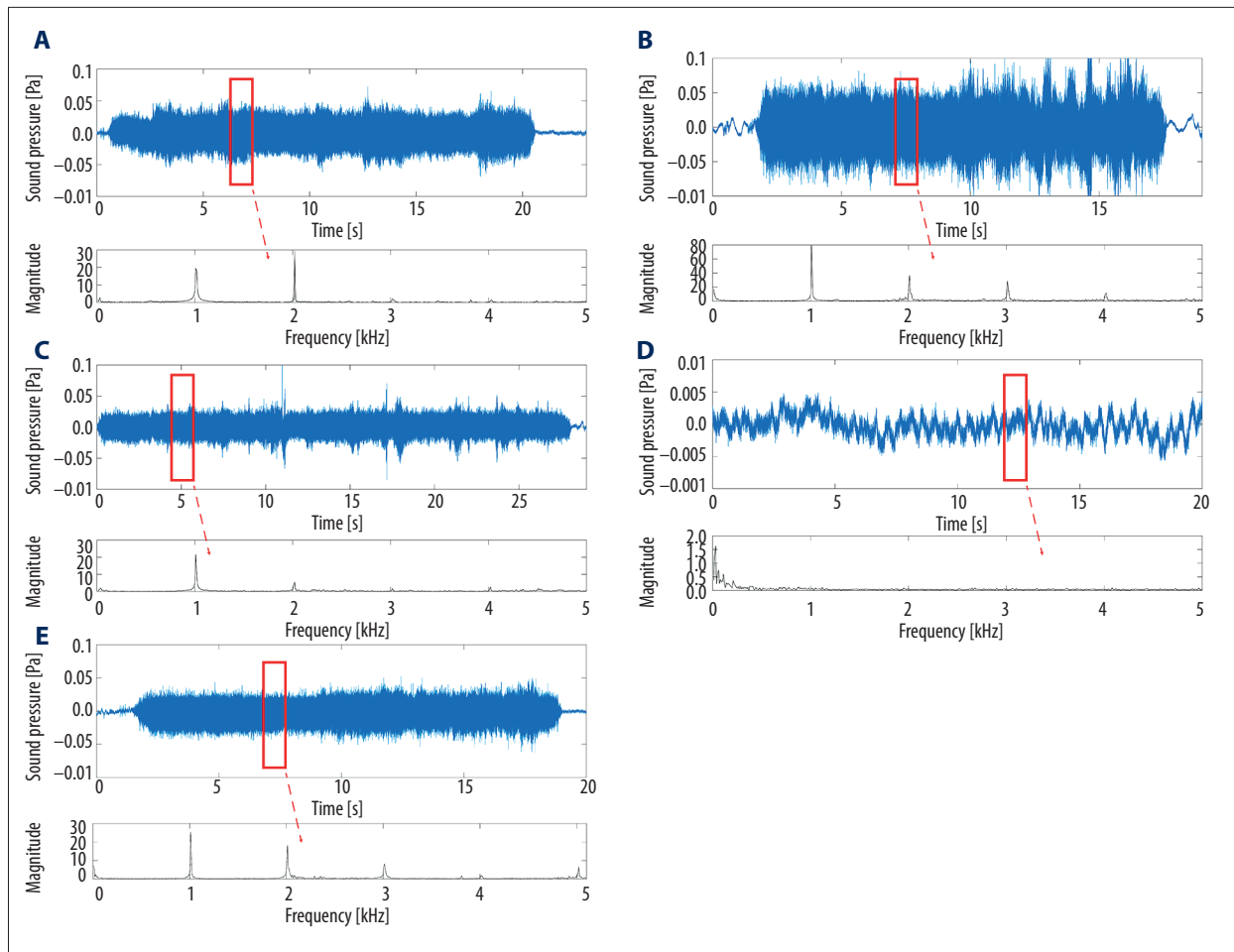
**Figure 1.** Preparation of porcine cervical spine specimens (A), the drilling operation during ACDF on the C4/C5 segment (B), schematic representation of the specimen preparation (C).



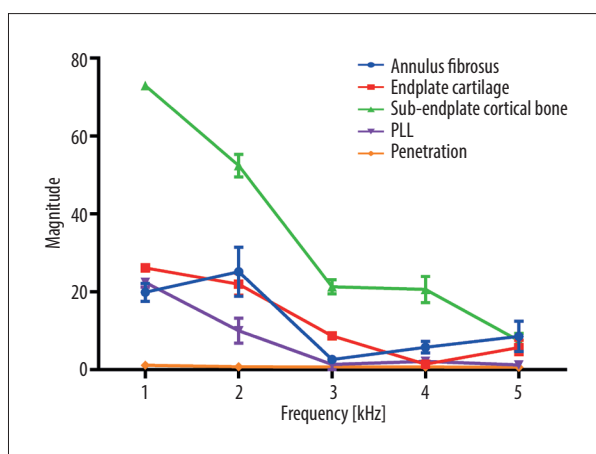
**Figure 2.** The sound pressure signal measurement system. The high-speed drill (A); the 2-mm melon burr and microphone (B).

motor frequency of 1 kHz. During the drilling process, a 46BE free-field microphone (GRAS, Holte, Denmark) and USB-4431 dynamic signal analyzer (National Instruments, Austin, USA) were used to record the sound pressure signals. The frequency range of the microphone is 0.01–40 kHz and the sensitivity is 4 mV/Pa. The resolution of the analyzer is 24-bit and the sampling frequency is 102.4 kHz, which allow accurate sound pressure signal measurement. The microphone was installed beside the handpiece of the high-speed drill device by a metal clip and was facing the burr. The distance between the microphone and drill bit was 200 mm, so it could maintain synchronous movement with the burr and an accurate sound pressure signal could be recorded (Figure 2).

Dai et al. demonstrate that some harmonic components exist in the drilling sound, and their frequencies are 1, 2, 3, 4, and 5 times higher than that of the motor frequency [18]. Therefore, the recorded signals were proceeded using MATLAB 2017a (MathWorks, Natick, MA). The harmonic components at the frequency points of 1, 2, 3, 4, and 5 kHz were extracted by lifting wavelet transform (Figure 3). Finally, for each measurement, at every frequency point, 10 consecutive values of magnitude were obtained for statistical analyses.



**Figure 3.** The process of lifting wavelet transforms to extract harmonic components from sound pressure signal files. Annulus fibrosus (A), sub-endplate cortical bone (B), posterior longitudinal ligament (C), penetration (D), endplate cartilage (E).



**Figure 4.** The magnitude of sound pressure signal of different drilling conditions along the entire frequency spectrum, expressed as mean  $\pm$  standard deviation.

### Statistical analysis

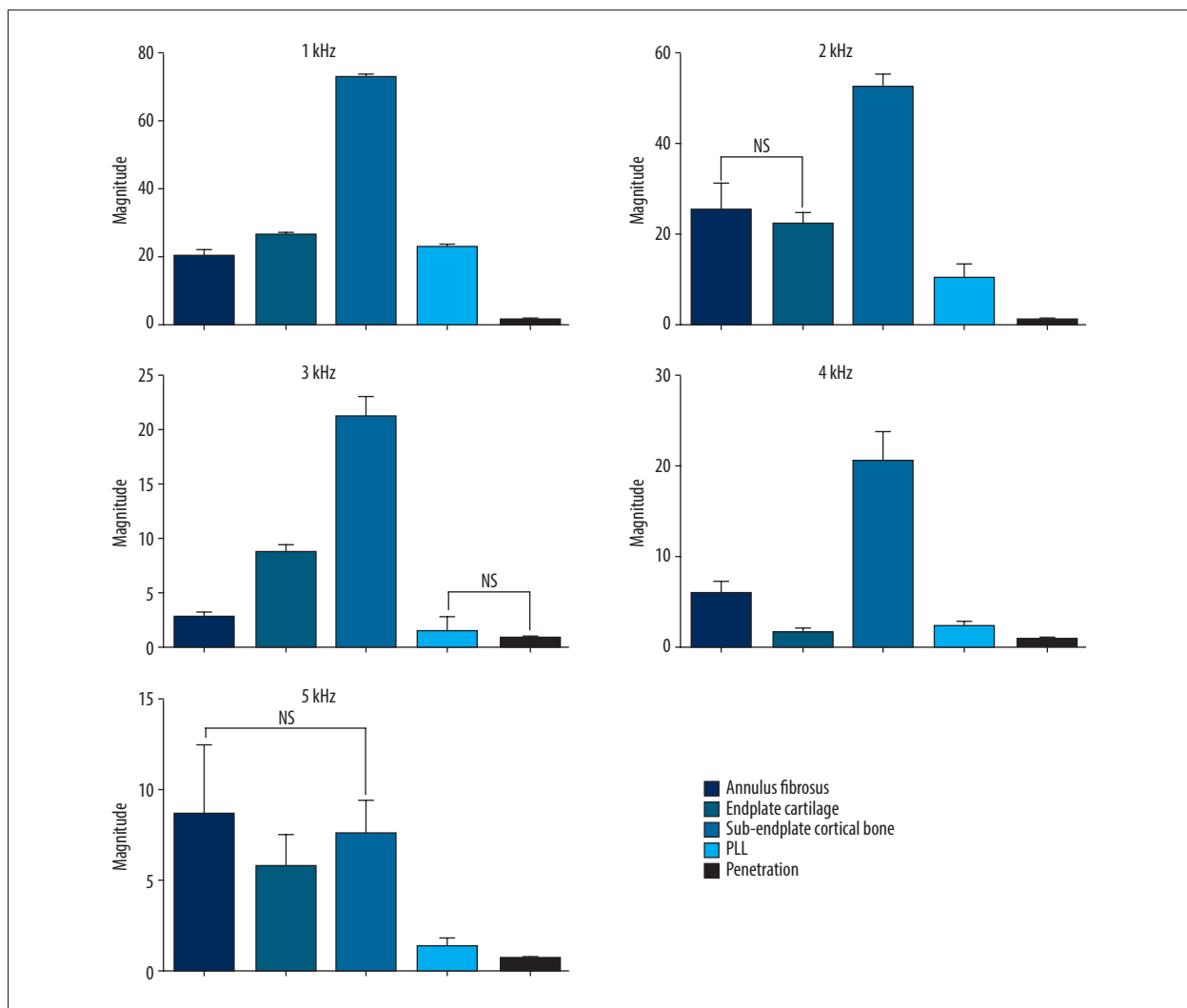
PASW statistics 22 (SPSS, Inc., Chicago, IL, USA) was used for statistical analyses. Values of magnitude are shown as mean  $\pm$  standard deviation. To investigate the significance of differences in sound pressure signals between any 2 drilling conditions, one-way ANOVA and post hoc test (Dunnett's T3) were used to compare magnitudes at every frequency point. Statistical significance was defined as  $p < 0.05$ .

### Results

Figure 4 shows the mean value of each drilling condition, with the respective standard deviation of the magnitude of sound pressure signal along the entire frequency spectrum. In general, a clear separation among the drilling conditions was detected. The magnitude values in different tissues did not present the same rate of variation with frequency. For example, the maximum and minimum magnitude values of the annulus

**Table 1.** The magnitude of sound pressure signal of different drilling conditions along the whole frequency spectrum (mean ± standard deviation).

Tissues	Frequency (kHz)				
	1	2	3	4	5
Annulus fibrosus	19.88±2.31	25.17±6.28	2.64±0.61	5.79±1.5	8.6±3.88
Endplate cartilage	26.19±0.8	21.96±2.82	8.68±0.86	1.43±0.51	5.72±1.82
Sub-endplate cortical bone	72.97±0.59	52.4±2.88	21.3±1.78	20.62±3.36	7.49±1.86
PLL	22.41±1.18	10.04±3.19	1.38±1.37	2.16±0.65	1.25±0.53
Penetration	1.15±0.36	0.75±0.16	0.68±0.15	0.7±0.18	0.61±0.12



**Figure 5.** Comparison between different drilling conditions along the entire frequency spectrum. NS indicates not statistically significant ( $p > 0.05$ ); no symbol indicates statistically significant ( $p < 0.05$ ).

fibrosis were recorded at 2 and 3 kHz, those of the endplate cartilage at 1 and 4 kHz, and those of the sub-endplate cortical bone, PLL, and penetration were recorded at 1 and 5 kHz,

respectively. The magnitude values in different tissues at every frequency point are shown in Table 1.

**Table 2.** The p values of pairwise comparison among different tissues along the whole frequency spectrum.

Tissue pairs	Frequency (kHz)				
	1	2	3	4	5
Annulus fibrosus vs. endplate cartilage	S	NS	S	S	S
Annulus fibrosus vs. sub-endplate cortical bone	S	S	S	S	NS
Annulus fibrosus vs. PLL	S	S	S	S	S
Annulus fibrosus vs. penetration	S	S	S	S	S
Endplate cartilage vs. sub-endplate cortical bone	S	S	S	S	S
Endplate cartilage vs. PLL	S	S	S	S	S
Endplate cartilage vs. penetration	S	S	S	S	S
Sub-endplate cortical bone vs. PLL	S	S	S	S	S
Sub-endplate cortical bone vs. penetration	S	S	S	S	S
PLL vs. penetration	S	S	NS	S	S

Deep blue boxes and NS indicate no statistically significant difference: annulus fibrosus vs. endplate cartilage at 2 kHz ( $p=0.132$ ), PLL vs. penetration at 3 kHz ( $p=0.082$ ), and annulus fibrosus vs. sub-endplate cortical bone at 5 kHz ( $p=0.816$ ). Blue boxes and S indicate a statistically significant difference. All the values in blue boxes are 0.000, except in 2 cases: annulus fibrosus vs. endplate cartilage at 5 kHz ( $p=0.007$ ) and endplate cartilage vs. sub-endplate cortical bone at 5 kHz ( $p=0.004$ ).

Pairwise post hoc comparisons (Dunnett's T3 test) indicated that differences between any 2 drilling conditions were statistically significant ( $p<0.05$ ) at certain frequency points, except in 3 cases: annulus fibrosus and endplate cartilage at 2 kHz, PLL and penetration at 3 kHz, and annulus fibrosus and sub-endplate cortical bone at 5 kHz ( $p>0.05$ ) (Figure 5). Table 2 presents p values of the pairwise comparisons at each frequency point. Generally, we could discriminate between any 2 drilling conditions at a certain frequency by using the sound pressure signal.

## Discussion

This study investigated the feasibility of applying sound pressure signals to distinguish between different tissues and to improve the success of medullary decompression by providing real-time feedback in ACDF surgery. Our results suggest that it is always possible to discriminate among tissues in terms of magnitude at a certain frequency point.

The most severe complication in ACDF surgery is spinal cord injury during decompression [12,20]. Localization of the motor burr is important for safe decompression and for the surgeon during robot-assisted surgery [21]. Nanda et al., in their series of 1576 patients, reported that the incidence of dural tear and cerebrospinal fluid leak during ACDF was 1.3% [22]. The spinal cord is at risk for injury throughout all phases of anterior cervical spine surgery, with the reported incidence of acute iatrogenic spinal cord injury ranging from 0.2% to 0.9% [23,24].

Clear identification of the annulus fibrosus and PLL helps prevent iatrogenic cord injury. In this study, we observed significant differences in sound pressure signals between the annulus fibrosus and PLL. Therefore, when the burr contacted with the PLL, the proposed feedback system could alert the surgeons to stop drilling in time to prevent injury.

In addition, we detected the sound pressure signal characteristics of PLL penetration. If the feeding rate is slow enough, the burr can be controlled by the feedback system, avoiding contact with the dura. However, a more sensitive multiparameter feedback system needs to be developed to prevent cord or nerve root injury.

Cage subsidence after ACDF surgery aggravates kyphosis, with decrease in the foraminal volume and recurrence of spinal canal stenosis [20,25]. A previous study found that interruption of the sub-endplate cortical bone often results in cage subsidence and kyphosis. Preserving the integrity of the sub-endplate cortical bone is crucial to maintain good mechanical condition of implants and to maintain intervertebral height [26,27]. Hence, the endplates should be carefully cleaned and not penetrated. Our study demonstrated a statistically significant difference in the sound pressure signals between the endplate cartilage and sub-endplate cortical bone at every frequency point, indicating that sound pressure detection can decrease the neurological damage during and after surgery.

Several limitations of this study should be mentioned. First, using a porcine model provides a homogeneous specimen

population and assists with controlling factors such as age, diet, weight, and level of activity, but limits the direct applicability of the results in humans. In the future, we will evaluate the feasibility of sound pressure signal in clinical or cadaver experiments. Second, we only explored the sound pressure signal for tissue identification in ACDF surgery. Other feedback systems combined with sound pressure signal should be studied to improve decompression safely. Finally, to realize the automation of the surgical drilling process, a computer-controlled system matched with sound pressure signal should be developed in the next step.

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## Conclusions

During the drilling process, differences in the sound pressure signals among annulus fibrosus, endplate cartilage, sub-endplate cortical bone, PLL, and penetration are detectable. Use of sound pressure signals may be a potential candidate for building a feedback system to facilitate safe decompression during ACDF surgery. In this study, the noncontact feature of sound pressure signal measurement makes it suitable for integration with use of a high-speed burr.

## Conflict of interest

None.