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Programming the magnitude and persistence of antibody responses with innate immunity

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Abstract

Many successful vaccines induce persistent antibody responses that can last a lifetime. The mechanisms by which they do so remain unclear, but emerging evidence suggests that they

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Author Contributions: S.P.K and B.P designed the study, planned the experiments, analyzed the data. B.P wrote the manuscript. S.P.K, I.S and B.P designed and performed the H1N1 vaccine studies in mice and primates. D.K assisted with the H1N1 vaccine studies in mice and primates. R.A.A, A.G.S and J.S designed and performed the neutralization assays and challenge experiments with H5N1 vaccine studies in mice. T.H and R.R assisted with experiments. H.N performed the microarray analysis. S.S and M.A designed and carried out the SPR based avidity experiments. M.K assisted with design and execution of mice and NHP experiments. N.M assisted with design of formulations. J.J assisted with immunohistochemistry and design of experiments. R.J.H expressed and purified the recombinant H5HA protein. R.W.C helped plan and design H1N1 vaccine study in mice and primates.

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activate dendritic cells (DCs) via Toll-like receptors (TLRs)^{1,2}. For example, the yellow fever vaccine YF-17D, one of the most successful empiric vaccines ever developed³, activates DCs via multiple TLRs to stimulate pro-inflammatory cytokines^{4,5}. Triggering specific combinations of TLRs in DCs can induce synergistic production of cytokines⁶, which results in enhanced T cell responses, but its impact on antibody responses remain unknown. Learning the critical parameters of innate immunity that programs such antibody responses remains a major challenge in vaccinology. Here we demonstrate that immunization of mice with synthetic nanoparticles containing antigens plus Toll-like receptor (TLR) ligands 4 + 7 induces synergistic increases in antigen-specific, neutralizing antibodies compared to immunization with a single TLR ligand. Consistent with this there was enhanced persistence of germinal centers (GCs), and of plasma cell responses, which persisted in the lymph nodes for >1.5 years. Surprisingly, there was no enhancement of the early short-lived plasma cell response, relative to that observed with single TLR ligands. Molecular profiling of activated B cells, isolated 7 days after immunization, indicated early programming towards B cell memory. Antibody responses were dependent on direct triggering of both TLRs on B cells and dendritic cells (DCs), as well as on T-cell help. Immunization protected completely against lethal avian and swine influenza virus strains in mice, and induced robust immunity against pandemic H1N1 influenza in rhesus macaques.

We designed a nanoparticle based vaccine, similar to a virus in size and composition. A biodegradable synthetic polymer poly(D,L-lactic-co-glycolic acid), (PLGA)⁷, was used to synthesize ~300nm sized nanoparticles containing the TLR ligands MPL (TLR4), R837 (TLR7), or both ligands, together with an antigen (Supplementary Fig. 1). Immunization of mice with nanoparticles containing MPL+R837 (PLGA(MPL+R837)) plus antigen induced enhanced antibody and T cell responses, compared to immunization with soluble antigen plus MPL+R837 (data not shown). Consistent with recent observations^{8,9}, delivery of antigen and TLR ligands in separate nanoparticles induced a stronger antibody response, than delivery of both in the same nanoparticle (Supplementary Fig. 2). Initially, cohorts of C57BL/6 mice were immunized with nanoparticles containing chicken ovalbumin (OVA) (PLGA(OVA)) alone, or together with PLGA(MPL), PLGA(R837), or (PLGA(MPL+R837)). OVA emulsified in alum, was used as a control. Immunization with PLGA(MPL) or PLGA(R837) plus nanoparticles containing 50µg or 10µg (Supplementary Fig 3a&4a), induced enhanced OVA-specific antibody titers after immunization. Strikingly, there was a synergistic enhancement of the antibody titers in mice that received PLGA(MPL+R837) (Supplementary Figs 3a&4a). Secondary immunization with the same immunogen 5 weeks later markedly increased titers in all groups, with the synergy effect with PLGA(MPL +R837) still evident, especially at the lower 10µg dose (Supplementary Figs. 3b&4b). Thus in all following experiments we used 10µg of antigen. In addition to OVA, we also used other antigens including the protective antigen (PA) from *Bacillus anthracis*¹⁰ (Supplementary Fig. 5), and hemagglutinin (HA) from avian influenza H5N1 virus¹¹(Fig. 1). As observed with OVA, there was a synergistic enhancement in the antigen-specific antibody responses after primary and secondary immunization of mice with PLGA(MPL +R837) plus PLGA(PA) (Supplementary Fig. 5 a, b), or PLGA(HA) (Fig. 1a, b).

The avidity of antigen-antibody binding is one index of the quality of the antibody response¹². We used a surface plasmon resonance (SPR) assay to assess avidity. Sera from

animals immunized with PLGA(MPL+R837) plus PLGA(HA) gave the highest binding response (Fig. 1c). The slower dissociation and higher association rates indicate that immunization with PLGA(MPL+R837) plus PLGA(HA) induced enhanced high affinity antibody response than that induced by PLGA(MPL) or PLGA(R837) plus PLGA(HA). A similar trend was also observed with PA as an antigen (Supplementary Fig. 5c). Consistent with the effects on ELISA titers and avidity, mice immunized with PLGA(MPL+R837) plus PLGA(HA) had the greatest neutralization antibody titers (Fig. 1d).

We next assessed the mechanism by which PLGA(MPL+R837) induced synergistic responses. PLGA(MPL+R837) enhanced the secretion of the pro-inflammatory cytokines by DCs *in vitro*, compared to PLGA(MPL) or PLGA(R837) (Supplementary Fig. 6a). Furthermore, *in vivo* depletion of DCs in the CD11c-DTR mice¹³, or Langerhans cells using the Langerin-DTR mice¹⁴, resulted in diminished antibody titers (Supplementary Fig. 6b,c). These data demonstrate a critical role for DCs in mediating the antibody response to immunization with PLGA(MPL+R837). Signaling via TLR 4 and 7 is dependent on the adaptor proteins MyD88 or TRIF; MPL is reported to signal predominantly via TRIF¹⁵. Both MyD88 and TRIF were required for antibody responses stimulated by PLGA(MPL+R837) plus PLGA(OVA) (Supplementary Fig. 7).

B cells express and respond to TLRs^{16,17}. Thus, we determined whether direct triggering of TLRs on B cells was essential for antibody responses. *In vitro* stimulation naïve splenic B cells with PLGA(MPL+R837) synergistically enhanced B cell proliferation, relative to stimulation with PLGA(MPL) or PLGA(R837) (data not shown). To assess this effect *in vivo*, mice lacking B cells (μ MT mice) were reconstituted with B cells from wild type (WT) mice, or *MyD88*^{-/-} or *TRIF*^{-/-} mice, and then immunized with PLGA(MPL+R837) plus PLGA(OVA) (Fig. 2a). In μ MT mice reconstituted with “WT B cells,” immunization induced a synergistic enhancement of antibody responses (Fig. 2b). However, μ MT mice reconstituted with *MyD88*^{-/-} or *TRIF*^{-/-} B cells had diminished antibody titers (Fig. 2c), demonstrating that direct TLR triggering on B cells was required for stimulation of antibody responses. We then determined whether the synergy was dependent on co-expression of TLR4 and TLR7 on the same B cell, or whether there could be complementation between B cells lacking different TLRs. We thus transferred B cells from *TLR4*^{-/-} mice, or *TLR7*^{-/-} mice, or a 1:1 mixture of B cells from *TLR4*^{-/-} and *TLR7*^{-/-} mice into μ MT mice. Immunization with PLGA(MPL+R837) and PLGA(OVA) demonstrated a requirement for co-expression of both TLRs on the same B cell (Fig. 2d).

Finally, TLR activation of DCs is known to stimulate antigen-specific CD4⁺ T helper cells, which are essential for induction of antibody responses¹⁸. Depletion of CD4⁺ T helper cells prior to immunization diminished antibody responses (Supplementary Fig. 8). Consistent with this, immunization with PLGA(MPL+R837) and PLGA(OVA) resulted in enhanced antigen-specific CD4⁺ T cell response (Fig. 2e). Interestingly, we observed (Fig. 2f), that OVA-specific CD4⁺ T helper responses was substantially reduced in μ MT mice transferred with *MyD88*^{-/-} or *TRIF*^{-/-} B cells, (in which the antibody responses were severely compromised, (Fig. 2c)), suggesting a requirement for activated B cells in mediating enhanced activation of CD4⁺ T-helper cells, as demonstrated previously¹⁹.

Antibody responses develop along two anatomically and functionally distinct pathways²⁰. The extrafollicular pathway rapidly generates short lived, antibody producing cells (plasma cells), and the GC pathway generates memory B cells and long lived plasma cells that secrete high affinity antibody²⁰. We determined whether immunization with nanoparticles containing different adjuvants differentially regulated the two pathways. Thus, mice immunized with the different adjuvants were sacrificed at day 7, lymph nodes isolated and the presence of antibody producing plasma cells evaluated by immunohistology. There was no apparent difference in the IgG+ plasma cells, at day 7, between mice immunized with various adjuvants (Supplementary Fig. 9). We also investigated the kinetics of GC formation following immunization. Strikingly, mice immunized with both TLR ligands had a greatly enhanced and sustained GC response compared to those immunized with a single TLR ligand, (Supplementary Fig. 9, Fig. 3a,b). At day 28 there were approximately 10–12 per GCs per lymph node and at day 42 there were about 6 GCs per lymph node, significantly higher than the numbers observed in lymph nodes of mice immunized with single TLR ligands (Fig. 3a & b). By 8 weeks post immunization the numbers of GCs was still substantially higher in the PLGA(MPL+R837) group than in the other groups (Fig. 3b). This demonstrates that PLGA(MPL+R837) preferentially enhances the GC pathway.

Furthermore, assessment of antigen-specific IgG secreting plasma cells by the ELISPOT assay, indicated no differences in the numbers of plasma cells at day 7 in the MPL alone versus MPL+R837 groups (Fig. 3c). At day 28 there were enhanced numbers of plasma cells in the PLGA(MPL+R837) group, relative to single TLR ligand groups. Secondary immunization with the same antigen + adjuvant, resulted in a profoundly enhanced and sustained memory response in the PLGA(MPL+R847) group (Fig. 3c). Strikingly, there was a persistent plasma cell response in the lymph node for >1.5 years. Interestingly, there was no corresponding enhancement in the numbers of antigen-specific plasma cells in the bone marrow, (a known destination for plasma cell²¹), in the PLGA(MPL+R837) group, relative to the single TLR ligand groups (data not shown). This demonstrates that immunization with PLGA(MPL+R837) preferentially enhances memory B cell generation. Consistent with this, FACS analysis²² revealed enhanced numbers of isotype switched, antigen-specific B cells in the PLGA(MPL+R837) group relative to the PLGA(MPL) or PLGA(R837) groups, during the memory phase after a secondary boost, but no such enhancement early during the primary response (Supplementary Fig. 10).

The effects of PLGA(MPL+R837) on the GC pathway might have occurred via early programming of antigen-specific B cells, or as a result of the continued presence of antigen and/or adjuvant. To determine whether there was any early programming of B cells, we isolated isotype switched, antigen-experienced B cells by FACS at 7 days post immunization with nanoparticles containing various adjuvants plus OVA, and performed microarray analyses to assess their molecular signatures. Strikingly, there was a great enrichment for genes expressed in memory B cells²³, in the cells isolated from mice immunized with PLGA(MPL+R837) and PLGA(OVA), relative to mice immunized with a single TLR ligand (Supplementary Fig. 11a–c). Such genes included *Bcl-2*, *Bcl11a*, *Tank*, several type I IFN related genes, *Plcg2* and *CD38*, which are known to play key roles in memory B cell formation and, several genes that regulate the survival, proliferation and differentiation of

GC B cells, such as, *IL-17ra*, *IL-18r1*, *Pax5*, *Ifngr2-*, *Bcor*, *Irf1* (Supplementary Fig. 11a,b). These data suggest that immunization with antigen and MPL+R837 stimulates early programming to the GC/memory pathway.

We next determined whether immunization also enhanced antigen-specific memory T cell responses. There was a synergistic enhancement of OVA-specific IFN γ -producing CD4+ T helper cell responses at 8 weeks post secondary immunization (Supplementary Fig. 12a,b), but not at days 7 or 14 post primary immunization (data not shown), indicating a preferential effect on the generation of memory T cells. Similar results were observed in mice immunized with HA and PA antigen, even 1.5 years post immunization (Supplementary Fig. 12c–f). PLGA(MPL+R837) immunization also enhanced the antigen specific CD8+ T cell responses. Although no synergistic enhancement was observed in the frequencies of IFN γ producing, OVA-specific CD8+ T cells at day 7 post primary immunization, there was an increase at day 7 post secondary immunization (Supplementary Fig. 13). Polyfunctional T cells secreting multiple cytokines such as IFN γ , TNF- α and IL-2 have been implicated in mediating enhanced protection²⁴. We also observed enhanced numbers of triple (IFN γ , TNF- α and IL-2) and double cytokine (IFN γ , IL-2) producing CD8+ T cells in mice immunized with PLGA(MPL+R837) (Supplementary Fig. 14a,b). Thus, PLGA(MPL+R837) enhances the magnitude and quality of the antigen specific memory CD4+ and CD8+ T cells. To assess the relevance of enhanced B and T cell responses for protective immunity, we evaluated efficacy of these vaccines in mediating protection in mice, against the 2009 pandemic H1N1 influenza A virus¹¹(Supplementary Fig. 15,16), and the H5N1 avian influenza virus 11,25 (Supplementary Fig. 17). In each case, there was enhanced antigen-specific humoral immunity and survival against lethal infection of mice (Supplementary Fig. 15–17).

Finally we assessed the immunogenicity of PLGA(MPL+R837) in non human primates. In humans and non human primates, unlike in mice, TLR7 is selectively expressed on plasmacytoid DCs, and not on myeloid DCs 2. Since multiple DC subsets appear to be involved in the stimulation of antibody responses by PLGA(MPL+R837) (Supplementary Fig 6b,c), we used R848, that signals through both TLR7 and TLR8 2,26. Furthermore, in humans although naïve B cells do not express TLRs 4, 7 or 8, activated B cells including plasma cells upregulate and respond to these TLRs 27. Current human monovalent vaccines against H1N1 influenza contain 15 μ g of HA (effectively 45 μ g of whole inactivated virus)²⁸. We therefore used 50 μ g of WIV (~16 μ g HA), as well as a 5-fold lower dose (~3 μ g HA), with and without adjuvant, to determine whether there was a dose sparing effect. 4 cohorts of 4 rhesus macaques per cohort were immunized subcutaneously with the indicated doses of WIV, with or without PLGA(MPL+R837) or PLGA(MPL+R848) (Fig. 4a). After a single immunization with 10 μ g or 50 μ g of WIV without any adjuvants, there was no detectable antibody response (Fig. 4b–d). In contrast, adjuvanting with PLGA(MPL+R848) induced robust antibody responses, as early as 2 weeks (Fig. 4b–d). Immunization with PLGA(MPL+R837) yielded enhanced binding antibodies, but had more modest effects on HAI and neutralization titers (Fig. 4b–d). Notably, the magnitude of HAI and neutralization titers 28 days after a single immunization with PLGA(MPL+R848) much greater than 1/40, considered the correlate of protection against influenza in humans. (Fig. 5c&d)²⁹. There

was at least a 5-fold “dose sparing” effect, since 10µg of antigen plus PLGA(MPL+R848) yielded a much greater response than that induced by 50µg of antigen alone (Fig. 5b–d). Secondary immunizations, enhanced the antibody responses in all of the groups, and although PLGA(MPL+R848) still induced the strongest response, PLGA(MPL+R837) also induced responses greater than that required for protection (Fig. 5c&d). Furthermore, the dose sparing effect was still evident, after secondary immunization (Fig. 5b–d).

Here we have described a nanoparticle based vaccine that resembles a virus in size and composition, and that recapitulates the immunogenicity of live viral vaccines⁵. A striking feature of the immune response stimulated by this vaccine is in the induction of long lived GCs and persistent antigen-specific B and T cell responses, similar to that seen with viral infections³⁰. Importantly, although all TLR ligands stimulate primary antibody responses, the combined TLR4+TLR7 stimulus enhances the GC pathway of memory B cell formation and long lived plasma cell responses, far more efficiently than stimulation with single TLR ligands (Supplementary Fig 18). The molecular signatures of antigen-activated B cells isolated early after immunization, suggests early programming towards a quasi memory state (Supplementary Fig 11), but it is also likely that persistent antigen/adjuvants, as evidenced by persistent immune complexes in GCs (Fig. 3) play a role. A curious aspect of our data is the requirement for the TLR ligands and antigens to be delivered on 2 separate particles, consistent with other studies^{8,9}. From a practical perspective, this offers flexibility in coupling a generic adjuvant containing particle, with another particle containing antigen from any pathogen. Furthermore, as each of the components of this vaccine (MPL, R837, PLGA) have been licensed for human use, this vaccine formulation may provide a universal platform for vaccine design against pandemics and emerging infections.

Methods Summary

Synthesis and characterization of nanoparticles

Antigens were encapsulated in PLGA nanoparticles using a water in oil in water (w/o/w) emulsion technique. MPL was encapsulated in PLGA formulations using an oil in water (o/w) single emulsion process as described before with slight modifications⁸. Sizing of the nanoparticles was conducted using a dynamic light scattering, and protein loading assessed described before³¹.

Immunization of mice and non human primates

8–12 week old BALB/c or C57BL/6 mice (Charles River) were immunized with 10µg of antigen encapsulated in nanoparticles suspended in 200µl of PBS subcutaneously at the base of the tail. 10–13 year old female Rhesus Macaques (7–10kg) were used were immunized subcutaneously in the right leg. All procedures were performed in accordance Emory School of Medicine IACUC guidelines.

BIACORE antigen antibody affinity binding analysis

SPR binding measurements were carried out on a BIAcore™ 3000 instrument (BIAcore/GE Healthcare).

H5N1 Microneutralization assays was performed as described earlier²⁵.

B cell ELISPOT

1×10^6 lymph node cells were serially diluted and cultured overnight in duplicate wells of ovalbumin coated nitrocellulose lined 96 well plates (Millipore). Cells were discarded and wells were treated with biotinylated goat anti mouse total IgG (Southern Biotech) in PBS/ 0.5% Tween 20 +1% FBS for 1.5 hours at room temperature. Wells were washed and treated with Streptavidin Alkaline phosphatase (Vector Labs) for another 1.5 hours at room temperature. Finally, NBT/BCIP colorimetric substrate for alkaline phosphatase was added to the wells and the reaction was stopped after visualization of purple colored spots.

Affymetrix Gene Chip Analysis

Total RNA from sorted B-cells was purified using Trizol® (Invitrogen). All RNA samples were checked for purity and for integrity, and amplified, processed through EXON Module, fragmented and labeled. Labeled targets hybridized to GeneChip® Mouse Gene 1.0ST arrays (Affymetrix).

Methods

Encapsulation of antigens and TLR ligands in nanoparticles

Antigens were encapsulated in poly (D,L-lactic-co-glycolic acid), PLGA nanoparticles using a water in oil in water (w/o/w) emulsion technique. Briefly, 200µl of protein solution (PBS + 0.5% polyvinyl alcohol (PVA) as an excipient (Sigma Aldrich, St Louis, MO)), ovalbumin grade VI (Sigma Aldrich, St Louis, MO) at 50mg/ml, *Bacillus anthracis* protective antigen (PA; List Laboratories, San Diego, CA) at 15mg/ml, and A/Vietnam/1203/2004 specific haemagglutinin protein (H5HA; affinity chromatography purified from 293 HEK cells) at 15mg/ml were homogenized with 10% wt/v PLGA (RG502H, Bohreinger Ingelheim, Germany) in dichloromethane (200mgs in 2ml) with the Powergen homogenizer (Fisher Scientific, PA) at speed 5, for 1.5 minutes. The water in oil emulsion (w/o) was then added to 15ml of 5% wt/v solution of PVA for the second emulsion step identical to the first emulsion process described above, at speed 5. The water in oil in water (w/o/w) double emulsion was then subjected to solvent evaporation for 4 hours at room temperature.

MPL was encapsulated in PLGA formulations using an oil in water (o/w) single emulsion process as described before with slight modifications⁸. MPL (detoxified lipid A, Avanti Lipids, Alabaster, AL) was dissolved in chloroform at 5mgs/ml and TLR7 ligand R837 (Invivogen, CA) was dissolved at 10–20mg/ml in DMSO with heating. R848 was dissolved at 12.5mg/ml in dichloromethane. MPL, 0.5ml at 5mg/ml was added to 200mgs of PLGA polymer dissolved in 2.0 ml of dichloromethane. For particles containing both MPL and R837, 0.5ml or 5mgs of R837 in DMSO was added to the mixture of PLGA and MPL. For particles containing both MPL and R848, 8mgs of R848 in dichloromethane was added to the mixture of PLGA and MPL. For particles used in non human primate studies, 0.1ml of 5mg/ml MPL, 0.5ml or 10mgs of R837 and 12.5mgs of R848 was added to 200mgs of PLGA polymer in 2.0ml of dichloromethane. The organic phase containing PLGA with MPL and/or R837 or R848 was homogenized with 15ml of a 5% wt/v PVA solution for 2

min using a speed setting 6 at room temperature. The oil in water emulsion (O/W) was then added to 85ml of a 5% wt/v solution of PVA surfactant to evaporate the organic solvent for 4 hours at room temperature. The nanoparticles formed were centrifuged at 3500×g for 20 minutes and washed with 50 ml of 0.2µm filter sterilized, deionized water 3 times. Nanoparticles were snap frozen in liquid nitrogen and lyophilized using a Freezone 2.5L benchtop lyophilizer (Labconco, MO, USA).

Nanoparticle Characterization

Sizing of the nanoparticles was conducted using a dynamic light scattering based sizer (90PLUS) from Brookhaven Instruments (Holtsville, NY). Sizes are represented as the mean diameter of the volume average size distribution ± standard deviation, of different batches. Protein encapsulation levels were estimated as described before, using a BCA assay (Pierce Biotechnology, Rockford, IL)³¹. UV-Vis scan using a Cary Win50 UV-Vis spectrophotometer yielded a peak absorbance for R837 at 325nm (327nm for R848) and encapsulation was estimated using a standard curve of R837 or R848 in DMSO and NaOH/SDS. MPL encapsulated in nanoparticles was used in splenic DC stimulation experiments with known concentrations of soluble MPL yielding identical cytokine production and estimated at 100% encapsulation efficiency.

Mouse DC culture

CD11c+ DCs were isolated from spleens of naïve C57BL/6 mice. Spleens were digested with collagenase type IV (Worthington Chemicals, NJ), and CD11+c cells were enriched by positive selection using anti mouse CD11c magnetic beads using manufacturer's instructions (Miltenyi Biotech, Auburn, CA). DCs (1×10^6 cells/ml) were cultured in 48 well culture plates with PLGA encapsulated TLR ligands for 24 hours.

Mice

IL-6^{-/-} and *TICAM-1^{ps2/ps2}* (Jackson labs, Bar Harbor, Maine). *IFNα/βR^{-/-}* (Dr. Samuel Speck, Emory University, Atlanta). *MyD88^{-/-}* and *TLR-7^{-/-}* (Dr. Shizuo Akira, Osaka). *TLR4^{-/-}* (Dr. Koichi Kobayashi, Dana Farber Cancer Institute, Boston, MA).

Immunization of mice and non human primates

8–12 week old BALB/c or C57BL/6 mice (Charles River Laboratories, Wilmington, MA) were immunized with 10µg of antigen in nanoparticles (suspended in 200µl of PBS), subcutaneously at the base of the tail. TLR ligands in were co-delivered with protein encapsulated nanoparticles. 3mgs of PLGA particles containing MPL, R837 or MPL+R837 containing 37.5µg of MPL and 60µg of R837 were used. Imject Alum (Pierce/ThermoScientific, Rockford, IL) was used to adsorb protein at a 1:1 volume ratio as per the manufacturer's instructions. Mice were bled via the lateral tail vein at regular intervals after primary and secondary immunizations and serum isolated for analysis of antibody responses by ELISA assays. CD11c+ DTR mice¹³ were immunized 24 hours post -DC depletion. Langerin-DTR mice¹⁴ were immunized 3 weeks post depletion, when there is replenishment of Langerin+ DCs in the dermis and lymph nodes, but not in the epidermis³². CD4+ T cells were depleted with an anti mouse CD4+ antibody (Clone GK1.5 kindly

provided by Dr. Robert Mittler, Emory) injected at 250µg/mouse as described³³. 10–13 year old female Rhesus Macaques (7–10kg) were used. Animals were immunized subcutaneously in the right leg. All animal procedures were performed in accordance with guidelines established by the Emory School of Medicine IACUC.

Antibody ELISA

96 well Nunc maxisorp plates (Nunc, Denmark) were coated with 100µl of 20µg/ml of ovalbumin, 1µg/ml of PA or 0.5µg of H5HA protein overnight at 4° C. Plates were washed 3 times with PBS/0.5% Tween 20 using a Biotek auto plate washer and blocked with 200µl of 4% non fat dry milk (Biorad, Hercules, CA) for 2 hours at room temperature. Serum samples from immunized mice at the indicated time points were serially diluted in 0.1% non fat dry milk in PBS/0.5% Tween 20 and incubated on blocked plates for 2 hours at room temperature. Detection antibodies (Southern Biotech, Birmingham, AL). Wells were washed and incubated with anti mouse IgG2c-horse radish peroxidase(HRP) conjugate (1:2000), anti mouse IgG2b biotin conjugate(1:2000), anti mouse IgG1-HRP conjugate(1:5000) and streptavidin-HRP conjugate (1:5000) in PBS/0.5% Tween 20 for 2 hours at room temperature. Plates were washed and developed using 100µl per well of tetramethylbenzidine (TMB) substrate (BD Biosciences, San Diego, CA) and stopped using 2N H₂SO₄. Plates were analyzed using a BioRad plate reading spectrophotometer at 450nm with correction at 595nm. Antibody titers were represented as serum reciprocal dilution yielding 0.1 O.D value at 450nm. Antibody levels (ng/ml) in H1N1 whole inactivated virus (WIV) immunized mice were assayed as previously described³⁴. To analyze WIV specific antibody levels in rhesus macaques, the assay was performed as described for mice. A capture rhesus IgG (Clone:SB108A) was used to establish a standard curve with the rhesus IgG standards (Cat#0135-01; Southern Biotech). 2µg/ml of WIV was used to coat NUNC maxisorp plates overnight and plates were blocked with 4% non fat dry milk. Rhesus plasma samples were used at appropriate dilutions for prime and boost and incubated for 2 hours at room temperature. Plates were washed 5× with PBS/0.5% Tween-20 using an automated plate washer and a goat anti rhesus HRP was used at 1:4000 dilution for 1 hour at room temperature, washed and developed with TMB substrate. Antibody concentrations were calculated from the IgG standard curves and represented as µg/ml.

BIAcore assay

Surface plasmon resonance (SPR) binding measurements were carried out on a BIAcore™ 3000 instrument (BIAcore/GE Healthcare, Pittsburgh, PA), as described earlier³⁵. Serum samples were injected at a 1:50 dilution in PBS for 5 minutes at a flow rate of 10 µL/min. Binding to the negative control rPA surface was subtracted from each sample curve and binding response was measured at 15 and 500 seconds after the end of the injection. As a measure of antigen specific antibody binding avidity, maximal response unit (Binding RU) and dissociation rates were measured. Maximal binding RU was measured after subtraction of non-specific signal on the control surface at 15 seconds post injection and normalized dissociation was calculated as a ratio of late to early binding responses over a dissociation phase of 500 seconds. Following each injection cycle, chip surfaces were regenerated with a short injection of 25 mM NaOH.

H5N1 Microneutralization assays

Serum samples from H5HA immunized mice were tested for their ability to neutralize a recombinant (6:2) A/PR/8/34 Influenza A virus expressing the A/Vietnam/1203/2004 H5HA and N1NA in cell culture in vitro as described earlier²⁵.

Histology and Immunofluorescence

Draining inguinal lymph nodes were isolated and snap frozen in molds containing OCT medium, dropped into 2-methyl butane cooled with liquid nitrogen. Frozen lymph nodes were sectioned at 5 μ m, fixed in ice cold acetone for 10 minutes, air dried and stored at -80 $^{\circ}$ C. Sections were fluorescently stained with Dylight488 labeled anti mouse total IgG (Jackson ImmunoResearch, West Grove, PA), Alexa647 labeled anti mouse B220 or GL-7 (Ebioscience, San Diego, CA), followed by Alexa555 conjugated Streptavidin (Invitrogen, Carlsbad, CA). Fluorescent images were captured using a 5 \times and 20 \times objectives on a Zeiss AxioScope (Carl Zeiss, Germany).

B cell ELISPOT

1 \times 10⁶ lymph node cells were serially diluted and cultured overnight in duplicate wells of ovalbumin coated nitrocellulose lined 96 well MAHA ELISPOT plates (Millipore, Bedford, MA). Cells were discarded and wells were treated with biotinylated goat anti mouse total IgG (Southern Biotech, Birmingham, AL) in assay buffer (PBS/0.5% Tween 20 +1% FBS) for 1.5 hours at room temperature. Wells were washed and treated with Streptavidin Alkaline phosphatase (Vector Labs) at 1:500 for 1.5 hours at room temperature. NBT/BCIP colorimetric substrate for alkaline phosphatase was added to the wells and the reaction was stopped after visualization of purple colored spots. # of ELISPOTS/well were counted using an ImmunoSpot ELISPOT reader and represented as # of ELISPOTS (Antibody secreting cells) per total 1 \times 10⁶ lymph node cells.

CD4+ T cell assays

1 \times 10⁶ lymph node cells were cultured in a 200 μ l volume with 100 μ g/ml of ovalbumin protein or 5 μ g/ml of PA or 5 μ g/ml of H5HA in 96 well round bottomed plates for 4 days. Cells were transferred to anti CD3 (10 μ g/ml) and anti CD28 (2 μ g/ml) coated flat bottomed 96 well plates for 6 hours in the presence of Golgi plug (1 μ g/ml) (BD Biosciences, San Diego, CA). Cells were stained for surface CD4 using Percp anti mouse CD4 (Clone; RM4-5) for 30 minutes at 4 $^{\circ}$ C. Cells were washed 3 \times with FACS buffer, fixed and permeabilized using BD Cytotfix/Cytoperm and stained for intracellular IFN- γ using APC conjugated anti mouse IFN- γ (Clone; XMG1.2) for 30 minutes in 1X BD perm/wash solution at 4 $^{\circ}$ C. Cells were washed with perm wash followed by FACS buffer and acquired on a FACS Caliber cytometer. FACS data was analyzed using the Flow Jo software (Tree Star Inc, OR).

CD8+ T cell assays

Primary and memory CD8+ T cell responses were evaluated at day 7 post primary and secondary immunizations. Briefly, peripheral blood mononuclear cells (PBMCs) were enriched using a sucrose density gradient separation (Histopaque, Sigma Aldrich, MO) and cultured with ovalbumin specific MHC class I restricted peptide at 1 μ g/ml (SIINFEKL) for

restimulation ex-vivo in the presence of brefeldin A (5µg/ml). Stimulated cells were stained for intracellular cytokines using established protocols from BD Biosciences as explained for CD4+ T cell staining experiments above. Cells were stained with Percp conjugated anti mouse CD8α (Ly-2) (Clone; 53-6.7), APC conjugated anti mouse IFN-γ (Clone; XMG1.2), FITC conjugated anti mouse TNF-α (Clone; MP6-XT22) and PE conjugated anti mouse IL-2 (Clone; JES6-5H4). Labeled cells were acquired on a FACS Caliber cytometer and FACS data was analyzed using Flow JO software (TreeStar Inc., OR).

B cell multi color flow cytometry

Antibodies used: PE labeled anti mouse IgG (Jackson Immunoresearch, West Grove, PA), Percp labeled anti mouse CD19 (Clone; 6D5, Biolegend, San Diego, CA), E-fluor 405 labeled anti mouse IgD (Ebioscience), Alexa430 succinimidyl ester for live/dead cell discrimination (Invitrogen), biotin conjugated anti mouse Ly77/GL7 (Clone; GL-7, Ebioscience), APC labeled anti mouse CD138 (clone;281-2, BD Biosciences), APC-Cy7 labeled anti mouse TCR-β (Clone;H57-597, Biolegend) and APC-Cy7 labeled anti mouse CD11b (Clone;M1/70, Biolegend). Ovalbumin was labeled with Alexa488 2,3,5,6 TFP ester (Invitrogen, Carlsbad, CA) as per the manufacturer's instructions. Unlabeled dye was separated using a 30K cut off membrane specified Amicon Ultra4 centrifugal filter (Millipore, Bedford, MA). Briefly, collagenase digested lymph nodes from immunized mice were counted for absolute cell numbers. Cells were first stained with Alexa430 succinimidyl ester for dead cell staining in PBS for 30 minutes at 4°C. Cells were washed 2× with FACS buffer (PBS with 5% FBS) and stained with PE labeled anti mouse total IgG for 30 minutes at 4°C. Cells were washed 2× and labeled with the all the above mentioned anti mouse surface proteins. Qdot655 labeled streptavidin was used to label the biotinylated anti mouse GL-7 antibodies for another 30 minutes at 4°C. Stained cell samples were fixed with BD Cytotfix (BD Biosciences) and acquired on a LSR-II cytometer (BD Biosciences). FACS data was analyzed on Flow JO software. For B cell sorting assays, cells were fluorescently labeled as described above and sorted on a FACS Aria cell sorter (BD Biosciences).

Affymetrix Gene Chip Analysis

Total RNA from sorted B-cells (1.8×10^4 to 1.2×10^6 cells) was purified using Trizol® (Invitrogen, Life Technologies Corporation). All RNA samples were checked for purity using a ND-1000 spectrophotometer (NanoDrop Technologies) and for integrity by electrophoresis on a 2100 BioAnalyzer (Agilent Technologies). The samples were amplified using the Nugen WT Pico Kit (NuGEN Technology) and the target reactions were run with 50ng of Total RNA. The amplification products were processed through the EXON Module (NuGEN Technology) which creates sense-strand cDNA targets. The sense strand cDNA Targets were then fragmented and labeled using NuGEN's FL-Ovation™ cDNA Biotin Module V2 (NuGEN Technology). Labeled targets were hybridized to GeneChip® Mouse Gene 1.0ST arrays (Affymetrix, Inc.), following Standard Nugen Protocols for target hybridization to the Affymetrix Gene Arrays. The hybridizations were run for 16 hours, 45°C, 60RPM in an Affymetrix Hybridization Oven 640. The Cartridge arrays were washed and stained using the Affymetrix Fluidics Stations 450, following Affymetrix protocols. Scanning was performed on an Affymetrix GeneChip 3000 7G scanner, and Affymetrix GCOS software was used to perform image analysis and generate raw intensity data. Two

independent sets of samples at day 7 post-treatment were used in our analyses. Each set is comprised by B-cells from mice treated with MPL+R837 or from those treated with either individual MPL or R837 alone. Probe sets of all 6 samples were normalized by RMA, which includes global background adjustment and quantile normalization. Each set of samples was subsequently normalized by z-score (number of standard deviation from mean) and treated as biological replicates. Affymetrix chip annotation of GeneChip® Mouse Gene 1.0ST platform was used to annotate and select probe sets that target a known gene (defined as having an Entrez gene ID). Different probe sets that target the same gene were collapsed by taking the probe set with the highest median expression value across all samples. Student's t-test ($p < 0.05$) was used to identify genes differentially expressed in mice receiving MPL +R837 treatment compared to those receiving individual MPL or R837 treatment. A meta-analysis was performed using publicly available microarray data of distinct B cell subsets (plasma, germinal center and memory B cells). Purification strategy, RNA processing method, and hybridization strategy can be found in the original publication 23. Raw microarray data (CEL files of samples GSM94747, GSM94762, GSM94763, GSM94764, GSM94765, GSM94766, GSM94767, GSM94768, GSM94769, GSM94771, GSM94772) were downloaded from NCBI GEO website (GSE4142), and processed by RMA normalization. Affymetrix chip annotation of GeneChip® Mouse Genome 430 2.0 platform was used to annotate and select probe sets that target a known gene (defined as having an Entrez gene ID). Different probe sets that target the same gene were collapsed by taking the probe set with the highest median expression value across all samples. Student's t-test ($p < 0.05$) was used to identify differentially expressed genes (DEGs) between any given 2 B cell subsets. Genes were classified as "plasma DEGs" if they were up-regulated in plasma compared to germinal center and also up-regulated in plasma compared to memory. A similar approach was used to identify "germinal center DEGs" and "memory DEGs".

Genes up- or down-regulated in treatment with MPL+R837 compared to single MPL or R837 treatment were cross-referenced to the genes highly expressed in specific B cell subsets. Fold enrichment was calculated using the formula ($\text{'common ZX'}/\text{'deg Z'}/(\text{'subset X'}/\text{'total'})$), where 'common ZX' = number of genes up-regulated in treatment Z (combination of MPL+R837 or individual MPL or R837) and also highly expressed in B cell subset X; 'deg Z' = number of all genes up-regulated in treatment Z; 'subset X' = number of all genes highly expressed in B cell subset X and 'total' = number of all genes in the chip common to both platforms.

H1N1 virus stock preparation

Madin-Darby canine kidney (MDCK) cells (ATCC CCL 34, American Type Culture Collection) were maintained in Dulbecco's modified essential medium (Mediatech Inc., Herndon, VA) containing 10% fetal bovine serum. Stocks of influenza virus strains were prepared by inoculation with H1N1 swine-origin A/California/04/09 strain in allantoic fluid, in 10- or 11-day old embryonated hen's eggs. Virus stocks were harvested from the allantoic fluid. The purity of the virus was determined by SDS polyacrylamide gel electrophoresis in combination with Coomassie blue stain and electron microscopy. The hemagglutination (HA) activity was determined using chicken RBC 0.5% w/v in phosphate buffered saline (PBS) pH 7.2 as previously described 36. The purified virus was inactivated with

formaldehyde at a final concentration of 0.01% (vol/vol), incubated for 72 hrs at 4°C, and then dialyzed against PBS buffer. Inactivation of virus was confirmed by inoculation of the virus into 10-day-old embryonated hen's eggs and plaque assay in MDCK cells 37. We generated mouse adapted A/California/04/09 virus by five serial passages in Balb/c mice. We then determined the LD₅₀ for this virus using the Reed-Muench formula 38. For the challenge and infection studies the mice were anesthetized with isoflurane and then infected with virus by intranasal instillation.

H1N1 hemagglutinin inhibition (HAI) assays

Determined as described previously 34.

H5N1 and H1N1 lethal challenge infections

Female Balb/C immunized with the indicated vaccine schedule were anesthetized by intraperitoneal injection of ketamine/xylazine and then intranasally infected with 1,000 LD₅₀ of recombinant A/Vietnam/1203/2004 within the enhanced BSL3 Emerging Pathogens Facility at Mount Sinai School of Medicine. To determine survival rates post challenge with mouse adapted A/California/04/09 H1N1 virus, 5 female Balb/C mice per treatment group were challenged 8 weeks post - immunization by intranasal instillation of 30 µl of - 20xLD₅₀ - live virus after anesthesia with Isoflurane and monitored for morbidity and mortality upto 14 days. - Animals were humanely euthanized and reported as dead if body weight loss achieved 25%. All H5N1 animal procedures were performed in accordance with guidelines established by the Mount Sinai School of Medicine Institutional Animal Care and Use Committee (IACUC) and National Institutes of Health for the care and use of laboratory animals.

H1N1 -neutralization assays with primate plasma

Sera from non-human primates immunized with swine-origin H1N1 2009 were serially diluted and mixed with 100 pfu of MDCK grown homologous virus for 1 h at room temperature. The mixture was further added to a MDCK cell monolayer and incubated for 45 min at room temperature. The inoculum was removed, wells were overlaid with DMEM agar and incubated for 2 days at 37°C in a 5% CO₂ humidified incubator. Then, plates were fixed with 0.25% glutaraldehyde and stained with 1% crystal violet in 20% ethanol, and plaques were counted. Neutralizing antibodies titers were determined as the reciprocal of the serum dilution that decreased by 50% the number of plaques formed by the live virus.

Supplementary Material

Refer to Web version on PubMed Central for supplementary material.

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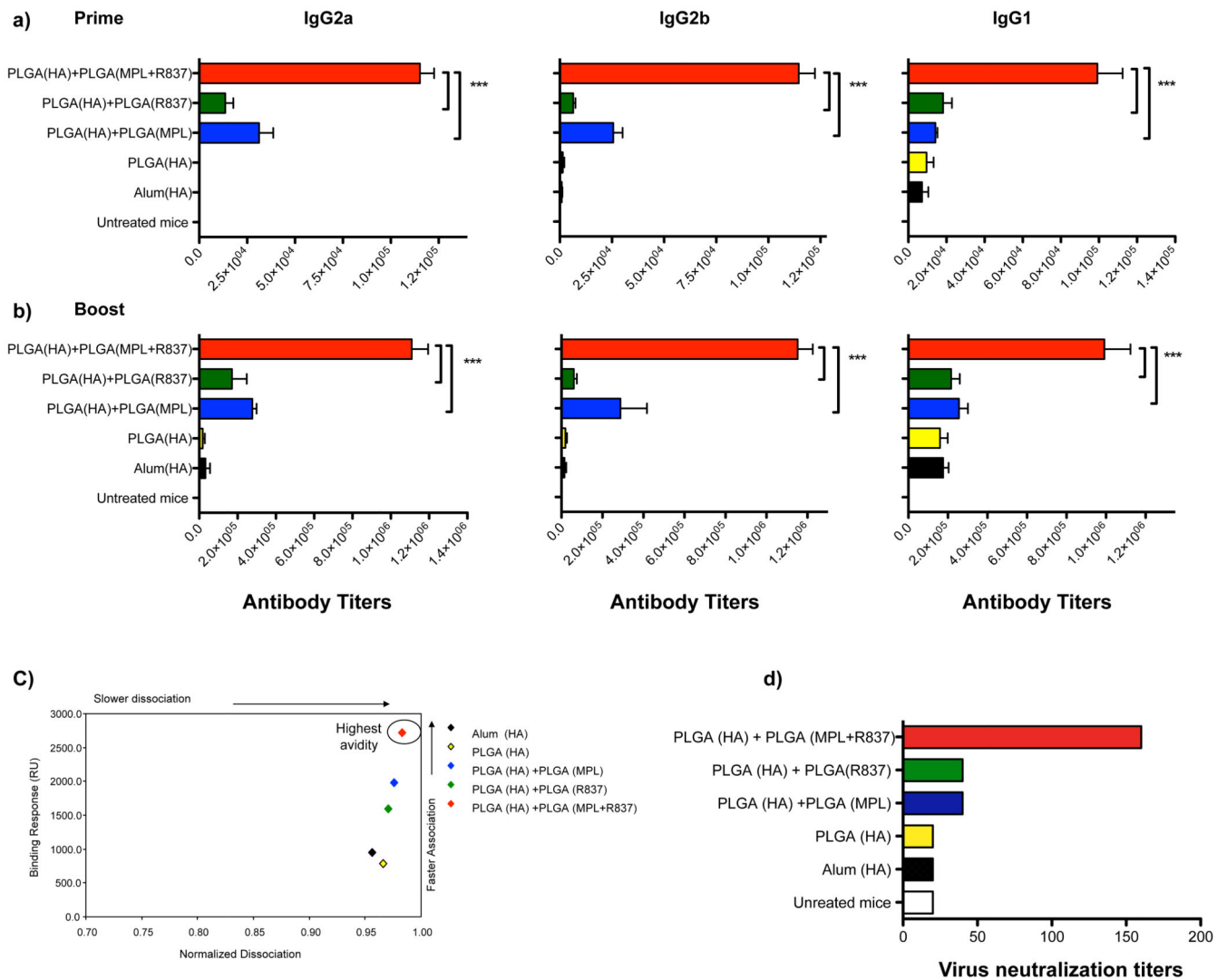


Figure 1. Combination of MPL+R837 in PLGA nanoparticles mediate synergistic enhancement of antibody responses against H5N1 influenza derived HA

a), b) Antibody titers at 4 weeks post primary and secondary immunization (mean + s.e.m of 4 independent experiments, with 4–5 mice /treatment group in each experiment) are shown for IgG2a, IgG2b and IgG1 isotypes. *** represents $p < 0.001$ and ** $p < 0.01$ (one way ANOVA with Bonferroni post hoc test). **c)** Pooled serum samples from HA immunized mice at D28 boost immunization were tested for their HA binding avidity using BIACORE surface plasmon resonance (SPR) based protein binding assay. Data is representative of plots from one of 2 independent experiments. **d)** Virus neutralization assay were performed with pooled serum samples from treatment groups assayed in duplicates. Results shown are representative titers from one of 2 independent experiments.

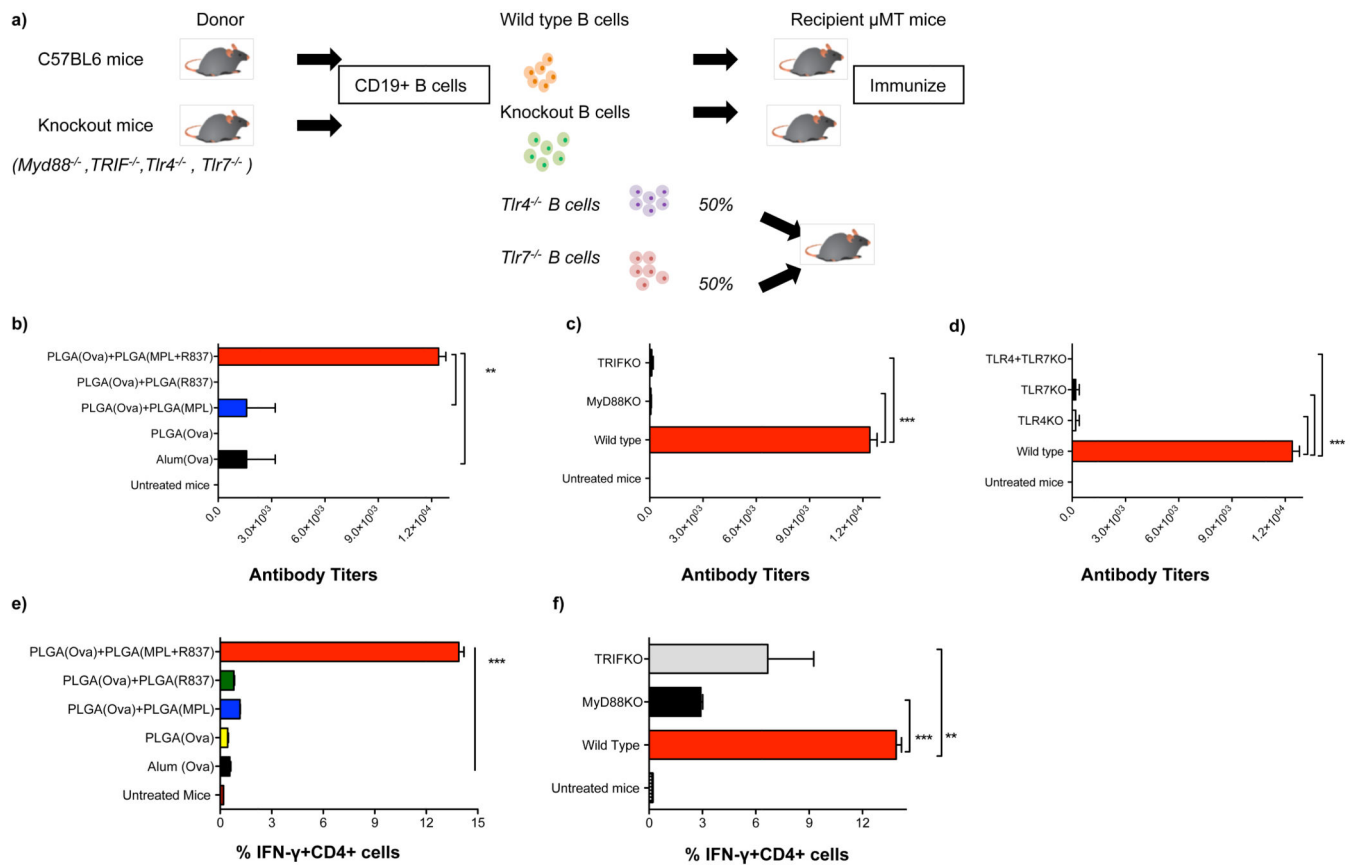


Figure 2. Synergistic enhancement of antibody responses is dependent on the presence of TLRs on B cells

a) B cell deficient mice (μ MT mice) were reconstituted with 40×10^6 B cells from C57BL/6 mice or from *MyD88^{-/-}*, *TRIF^{-/-}*, *TLR4^{-/-}* or *TLR7^{-/-}* mice, or equal numbers of *TLR4^{-/-}* and *TLR7^{-/-}* deficient cells to determine whether expression of TLRs and co-expression of TLR4 and TLR7 on the same B cell was necessary for enhancement of antibody responses. Mice were immunized with 10 μ g of OVA encapsulated in PLGA nanoparticles and adjuvants. **b),c),d)** Mice were bled at D28 post primary immunization and OVA-specific total IgG antibody responses were determined using ELISA. Antibody titers are shown (mean \pm s.e.m. of 2 independent experiments, with 3 mice /treatment group in each experiment). *** represents $p < 0.001$ and ** $p < 0.01$ (one way ANOVA with Bonferroni post hoc test). **e)** The magnitude of OVA-specific IFN- γ producing memory CD4+ T cells in the draining lymph nodes of μ MT mice is shown with representative FACS plots. **f)** The magnitude of OVA-specific IFN- γ producing memory CD4+ T cells in the draining lymph nodes is dependent on MyD88 and TRIF expression on B cells. Graphs represent mean frequencies \pm s.d. of triplicate cultures of pooled lymph node cells from one out of 2 independent experiments.

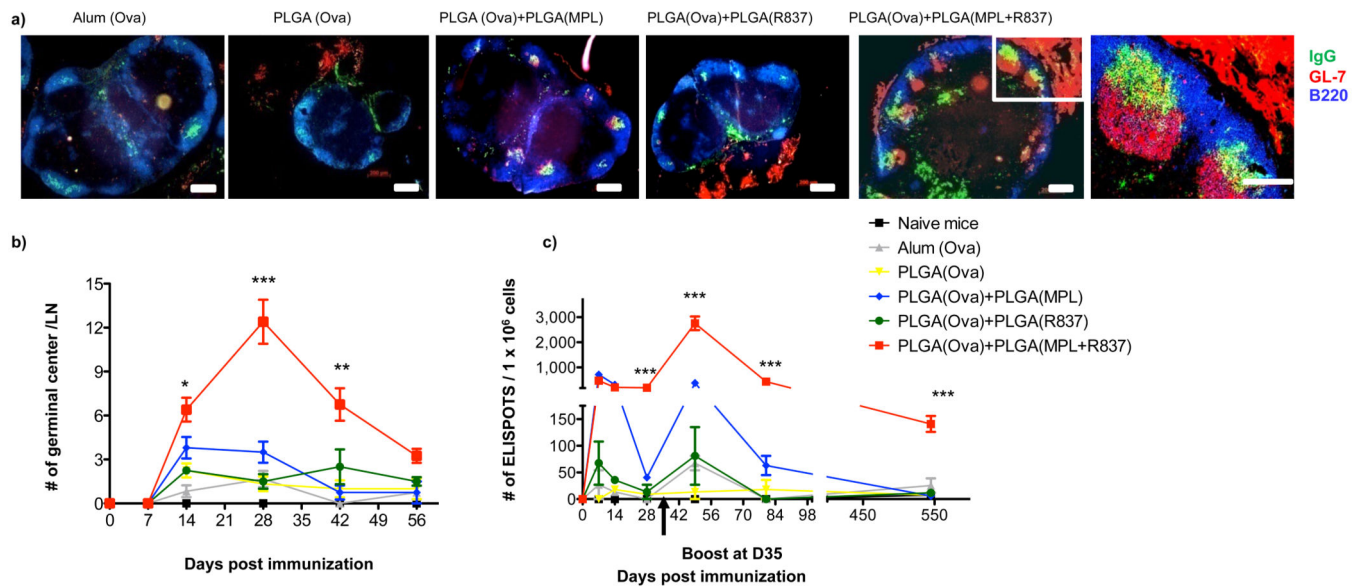


Figure 3. Immunization with nanoparticles containing MPL+R837 induces persistent GCs and long lived antibody forming cells in draining lymph nodes

a) C57BL/6 mice were immunized with OVA encapsulated in nanoparticles with MPL +R837 plus antigen. 4 weeks post primary immunization draining lymph nodes were excised, tissue sections prepared and stained for GCs (GL-7 red, B220 blue and IgG green). Images are representative of 2 independent experiments with draining lymph nodes obtained from at 2–3 mice per treatment condition per experiment. **b)** GCs were counted in LN sections at the time points indicated and represented as mean \pm s.e.m from 4–6 draining lymph nodes from $n=2-3$ mice/treatment group. **c)** ELISPOT assay. Combination of TLR4 and TLR7 ligands has no effect on the short lived ASCs, but stimulates long lived ASCs that persist for ~ 1.5 years. Graph represents average spots per 1×10^6 total lymph node cells \pm s.e.m from duplicate cultures per treatment group. Data is representative of at least 2–3 independent experiments per time point indicated.

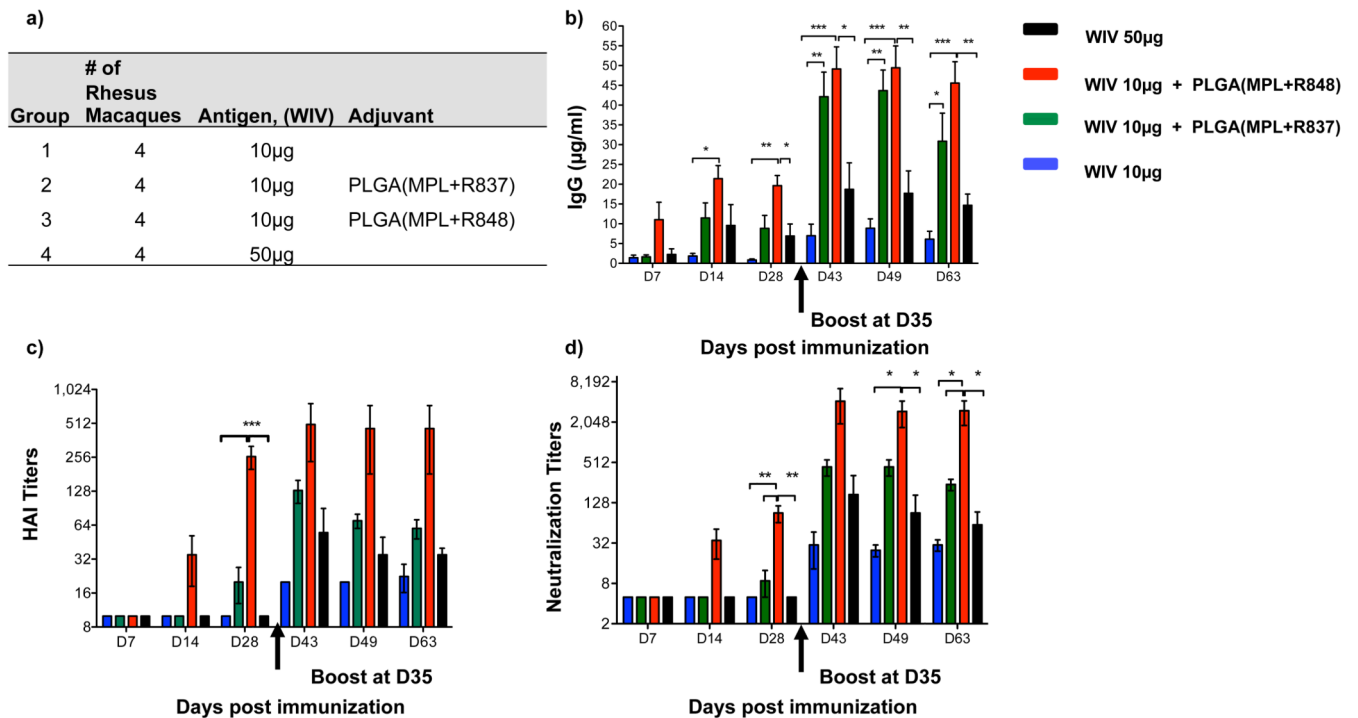


Figure 4. Immunization of Rhesus macaques with 2009 pandemic H1N1 influenza A, whole inactivated virus (WIV), plus nanoparticles containing MPL+R837 or MPL+R848 induces robust humoral immune responses

a) Rhesus macaques (n=4) were immunized with 10 μ g of H1N1 WIV with or without nanoparticle encapsulated MPL+R837 or MPL+R848. 50 μ g of MPL and 750 μ g of R837 and R848 encapsulated in nanoparticles was used per animal. One group of 4 animals was also immunized with 50 μ g of WIV to determine dose sparing effects mediated by adjuvants. **b)** Antibodies against WIV were analyzed as described in the materials and methods and results are represented as mean \pm s.e.m. **c)** HAI titers were assayed at indicated time points and are represented as mean \pm s.e.m. **d)** Neutralization titers as represented as the reciprocal of the plasma dilution that decreased the number of plaques formed by the live virus by 50%. Statistical significance was analyzed by ANOVA (Bonferroni post hoc test) and indicated on the figures wherever significant. *** represents $p < 0.001$, ** $p < 0.01$ and * $p < 0.05$.