

# Role of cigarette smoking in the development of ischemic stroke and its subtypes: a Mendelian randomization study

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**Purpose:** Numerous studies have indicated that smokers have an increased risk of developing ischemic stroke. However, less is known about the causal relationship between cigarette smoking and ischemic stroke subtypes. In the present study, we aim to determine whether genetically predicted cigarette smoking was associated with subtypes of ischemic stroke using Mendelian randomization (MR).

**Patients and methods:** We used summary-level genetic association data from the MEGASTROKE consortium, including 438,847 individuals of European ancestry (34,217 cases of ischemic stroke and 404,630 controls). We used 176 single nucleotide polymorphisms as instrumental variables, which were previously identified to be associated with smoking in the Study of the Social Science Genetic Association Consortium (n=518,633). MR analyses were performed using inverse-variance-weighted method, weighted-median method, and MR-Egger regression.

**Results:** We found that genetically predicted smoking was associated with a higher risk of ischemic stroke (odds ratio (OR): 1.24, 95% CI: 1.10–1.39) and large artery ischemic stroke (OR: 1.52, 95% CI: 1.14–2.02), but not with risk of cardioembolic ischemic stroke or small vessel ischemic stroke. Sensitivity analyses using alternative MR approaches produced similar results.

**Conclusion:** Genetic predisposition toward smoking is causally associated with a higher incidence of large artery ischemic stroke. Further work is warranted to clarify the underlying mechanism of smoking in the development of large artery ischemic stroke.

**Keywords:** ischemic stroke, Mendelian randomization, polymorphism, single nucleotide, smoking

## Plain language summary

The relationship between smoking and the risk of ischemic stroke has been known about for quite some time. Traditionally, studies have only been able to explore correlations and not causality, due to the limitations of observational analysis. In this study, we used a new method called Mendelian randomization to explore a potential causal relationship between smoking and the risk of stroke. First, we looked for changes in a person's genome, called single nucleotide polymorphisms (SNPs), which were correlated with smoking. Because a person cannot alter their genotypes, this helps us avoid problems like reverse causation which can confound traditional analyses. We then explored whether presence of these smoking-related SNPs had any relationship to the risk of ischemic stroke by looking for the presence of these SNPs in a large stroke database. We found that for certain subtypes of ischemic stroke, there was a positive relationship between smoking-related SNPs and the risk

of ischemic stroke, providing support for a causal, unidirectional relationship between smoking and risk of ischemic stroke. This finding is important because it suggests that further studies are warranted to explore why this causal relationship may exist.

## Introduction

Stroke is the leading cause of death worldwide, with a mortality rate of 86.5 (83.3–89.9) per 100,000 population per year.<sup>1</sup> Large artery ischemic stroke (LV-IS), cardioembolic ischemic stroke (CE-IS) and small vessel ischemic stroke (SV-IS) are main subtypes of ischemic stroke (IS), accounting for 11%, 22% and 18% of all stroke, respectively.<sup>2</sup> Recently, a meta-analysis of genome-wide association studies (GWASs) suggested that the pathophysiological mechanisms are different among these IS subtypes.<sup>3</sup> Hence, the study of IS as a whole may not be adequate to assess the effects of risk factors under the influence of subtype distribution.<sup>4</sup> Therefore, determining differences in the risk factor profiles among IS subtypes can inform future research for more targeted solutions.

In the past few decades, epidemiological studies have demonstrated that current smokers have a higher risk of IS compared with never-smokers.<sup>5,6</sup> However, less is known about the relationship between smoking and IS subtypes. A recent case-control study proposed that smoking might increase the susceptibility of large artery atherosclerotic stroke,<sup>7</sup> while a population-based study suggested a negative relationship between smoking and CE-IS.<sup>8</sup> In addition, a cross-sectional study showed that current smoking was associated with the risk of small vessel disease.<sup>9</sup> However, as the nature of the above studies is observational, associations between smoking and IS subtypes from traditional epidemiological studies may be biased by confounding and reverse causation.

One way to circumvent the bias inherent in traditional observational studies is Mendelian randomization (MR).<sup>10</sup> Specifically, in this method, the exposure-related genetic variants are used as instrumental variables to investigate the potential causal relationship between exposure and disease.<sup>11</sup> As the genetic variants are not influenced by the onset of disease or confounding factors, by studying the instruments rather than exposure directly, the MR approach can overcome the potential impact of confounding and reverse causation on the exposure and the outcome.<sup>11</sup>

Therefore, in the current study, we adopted a MR approach to investigate the potential causal effect of smoking on the development of IS subtypes by using summary-level statistics from GWASs.

## Materials and methods

### Data sources

Stroke genotyping data were obtained from the MEGASTROKE consortium, which included 34,217 cases of ischemic stroke and 404,630 controls of European ancestry.<sup>12</sup> The details of phenotype definition for stroke and its subtypes have been reported elsewhere.<sup>12</sup> Briefly, cases with stroke were defined as the sudden developing signs of neurological deficit, lasting more than 24 hrs with a vascular origin. According to the clinical and imaging criteria, strokes were divided into IS and intracerebral hemorrhage. IS cases were further categorized using the Trial of Org 10172 in Acute Stroke Treatment criteria.<sup>13</sup> Specifically, there were 4373 cases of LV-IS, 5386 cases of SV-IS, and 7193 cases of CE-IS.

Studies participating in the MEGASTROKE consortium have received ethical approval from relevant institutional review boards. As we used publicly available summary-level data from the published genome-wide meta-analysis, no additional ethical approval was required.

### Selection of SNPs

The GWAS performed by the Social Science Genetic Association Consortium was used to identify association estimates between single-nucleotide polymorphisms (SNPs) and smoking (ever smoker vs never smoker).<sup>14</sup> The details of the study protocol were available elsewhere.<sup>14</sup> Briefly, data from the UK Biobank and the Tobacco and Genetics consortium were used in this study, which included 518,633 individuals. The phenotype of “ever smoker” was defined as whether one has ever smoked regularly. We used a total of 176 independent SNPs associated with smoking at the genome-wide significance threshold ( $P < 5 \times 10^{-8}$ ) as the instrumental variables for the following MR analysis,<sup>15</sup> which did not overlap with any known stroke risk loci.

### Statistical analysis

Statistical analyses were performed using the Mendelian Randomization package in R software v3.5.2 (<https://www.r-project.org/>).<sup>16</sup> The primary analysis assessing the causal associations of smoking and IS subtypes was performed using the inverse-variance-weighted (IVW) method. The method can estimate the causal effect of smoking on IS and its subtypes when each of the genetic variant is a valid instrumental variable.<sup>17</sup> Although the inclusion of multiple genetic variants in the MR analysis

can increase statistical power, it may contain some genetic variants that do not meet the assumption of an instrumental variable in the MR analysis.<sup>18</sup> Violation of this assumption is most likely due to the presence of pleiotropy (SNPs associated with multiple related traits),<sup>19</sup> which may bias the causal association.<sup>20</sup> Therefore, to improve the reliability of causal effect estimates, we alternatively used the weighted-median method which has a different assumption about the estimation of causal effects. This method can provide a more reliable estimate of the causal effect than the IVW method, when some SNPs were invalid instruments.<sup>17</sup>

To further assess the impact of potential pleiotropy on causal estimates, we performed sensitivity analyses using several other methods. First, we used the MR-Egger regression to assess the presence of average pleiotropy.<sup>21</sup> A statistically significant intercept term from the MR-Egger regression suggests the possibility that genetic variants may not affect the outcome via the exposure of interest.<sup>21</sup> Second, Cochran's Q test was used to explore the heterogeneity among the SNPs used in the primary analyses.<sup>22</sup> The presence of heterogeneity indicates that some genetic variants are invalid instruments.<sup>22</sup> Third, we excluded those SNPs associated with at least one secondary trait at the genome-wide significance levels ( $P < 5 \times 10^{-8}$ ) by searching the GWAS Catalog (<https://www.ebi.ac.uk/gwas>, accessed on March 31, 2019),<sup>23</sup> and repeated the MR analysis using the IVW, the MR-Egger regression, and the weighted-median methods.

## Patient and public involvement

We adopted a MR approach by using publicly accessible summary-level statistics of published GWASs from the MEGASTROKE consortium. Thus, no additional patients were involved.

## Results

### Primary MR analysis of smoking with the risk of IS subtypes

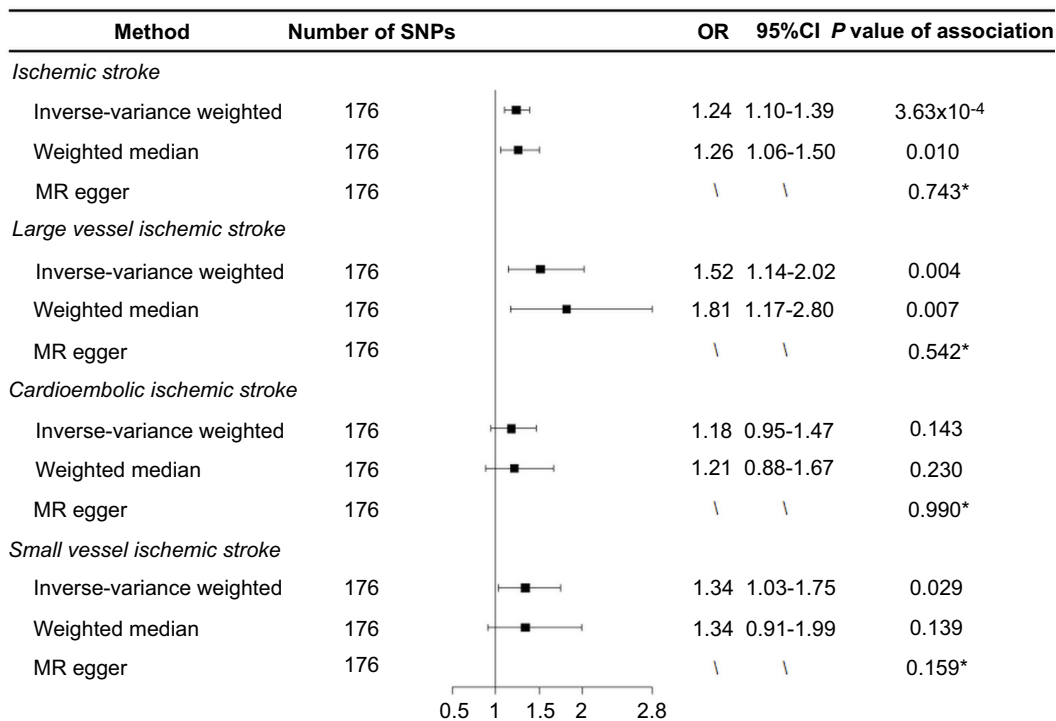
As listed in Table S1, 176 SNPs were used as the instrumental variables for smoking. We found that genetically predicted ever-smokers had a higher risk of developing IS (odds ratio (OR) 1.24, 95% CI 1.10–1.39,  $P = 3.63 \times 10^{-4}$ ) and LV-IS (OR 1.52, 95% CI 1.14–2.02,  $P = 0.004$ ) compared with never-smokers using the IVW method (Figure 1). Similar results were obtained from the weighted-median analyses, with an odds ratio of 1.26

(1.06–1.50) for IS and 1.81 (1.17–2.80) for LV-IS. In contrast, we did not find a statistically significant causal association of smoking with the risk of CE-IS using the IVW method (OR 1.18, 95% CI 0.95–1.47,  $P = 0.143$ ) or the weighted-median method (OR 1.21, 95% CI 0.88–1.67,  $P = 0.230$ ) (Figure 1).

### Sensitivity analysis of smoking with the risk of IS subtypes

To assess the robustness of the causal effect of smoking on IS and its subtypes, we performed MR-Egger regression and Cochran's Q test. The intercept term from the MR-Egger regression analyses did not suggest evidence of directional pleiotropy in the MR analysis of smoking with IS ( $P = 0.743$ ), LV-IS ( $P = 0.542$ ), or SV-IS ( $P = 0.159$ ), respectively. Cochran's Q test did not suggest evidence of potential heterogeneity for the causal association of smoking with LV-IS ( $P = 0.078$ ); however, potential heterogeneity for the associations of smoking with IS ( $P < 0.001$ ), CE-IS ( $P = 0.020$ ) and SV-IS ( $P = 0.002$ ) were observed, suggesting the possibility that the obtained effect estimates of these associations from the IVW method may be biased by outlier SNPs. As expected, after excluding those outlier SNPs that have strong effects on outcomes, we found that the effect estimates for the causal association of smoking with IS were attenuated but remained statistically significant (OR 1.19, 95% CI 1.06–1.34,  $P = 0.003$  for IS). We did not find a statistically significant association of smoking with CE-IS (OR 1.13, 95% CI 0.90–1.41,  $P = 0.289$ ) or SV-IS (OR 1.24, 95% CI 0.95–1.62,  $P = 0.115$ ) after excluding those outlier SNPs (Table S2).

We further assessed whether the smoking-associated SNPs used as instrumental variables were associated with secondary phenotypes by searching the GWAS Catalog. Details of the excluded SNPs and their secondary phenotypes are shown in Table S3. In total, we excluded 15 SNPs that were potentially associated with at least one secondary phenotype and repeated the MR analyses. As expected, the causal associations of smoking with IS (OR 1.20, 95% CI 1.06–1.35,  $P = 0.004$ ) and LV-IS (OR 1.42, 95% CI 1.05–1.91,  $P = 0.021$ ) remained statistically significant (Table 1). We did not detect evidence for causal associations of smoking with CE-IS (OR 1.14, 95% CI 0.90–1.43,  $P = 0.280$ ) or SV-IS (OR 1.26, 95% CI 0.96–1.66,  $P = 0.105$ ) using the IVW method or other MR approaches after excluding the potential pleiotropic SNPs.



**Figure 1** Forest plot of Mendelian randomization effect estimates for the associations of smoking and risk of ischemic stroke, large artery atherosclerotic ischemic stroke, cardioembolic ischemic stroke, and small vessel ischemic stroke. Effect estimates were derived from the inverse-variance-weighted method, weighted-median method, and MR-Egger regression. \*Indicates the P-values of the intercept from the MR-Egger regression.

**Abbreviations:** MR, Mendelian randomization; OR, odds ratio; SNP, single-nucleotide polymorphism.

**Table 1** MR analyses effect estimates for associations between smoking and stroke after excluding potential pleiotropic SNPs

Method	Number of SNPs	OR	95% CI	P-value
<i>Ischemic stroke</i>				
Inverse-variance-weighted	161	1.20	1.06–1.35	0.004
Weighted-median	161	1.26	1.05–1.52	0.013
MR-Egger	161	\	\	0.935*
<i>Large vessel ischemic stroke</i>				
Inverse-variance-weighted	161	1.42	1.05–1.91	0.021
Weighted-median	161	1.77	1.15–2.75	0.010
MR-Egger	161	\	\	0.888*
<i>Cardioembolic ischemic stroke</i>				
Inverse-variance-weighted	161	1.14	0.90–1.43	0.280
Weighted-median	161	1.21	0.86–1.70	0.264
MR-Egger	161	\	\	0.973*
<i>Small vessel ischemic stroke</i>				
Inverse-variance-weighted	161	1.26	0.96–1.66	0.105
Weighted-median	161	1.30	0.86–1.99	0.216
MR-Egger	161	\	\	0.100*

**Notes:** \*P-value of the intercept from the MR-Egger regression analysis.

**Abbreviations:** MR, Mendelian randomization; OR, odds ratio; SNP, single-nucleotide polymorphism.

## Discussion

This MR study provides evidence for a causal association of genetically predicted smoking with the risk of LV-IS. However, we did not find evidence of a causal association between smoking and the risk of SV-IS or CE-IS. The results were consistent in the sensitivity analyses, providing further support for the causal association between smoking and LV-IS.

Numerous studies have documented a link between smoking and the risk of IS. For instance, in a cohort study of 118,539 women aged from 30 to 55 years, the age-adjusted relative risk of IS was 2.2 (95% CI 1.5–3.3) for 1–14 cigarettes/day and 3.7 (95% CI 2.7–5.1) for 25 or more cigarettes per day.<sup>24</sup> Recently, a case–control study containing 615 IS cases and 530 controls indicated a dose–response relationship between the number of cigarettes smoked and risk of IS, ranging from 1.46 for individuals who smoke less than 11 cigarettes per day to 5.66 for those who smoke more than 40 cigarettes per day.<sup>5</sup> Our study suggested that genetically predicted smokers had a 1.24-fold increased risk of IS compared with nonsmokers, which was in line with these observational epidemiological studies.

In the present study, we found that genetic predisposition to smoking is associated with a 1.52-fold higher risk of LV-IS, which is consistent with some recent observational studies. For instance, a cohort study including 2656 patients with acute IS and 208 patients with transient ischemic attack found that smoking was significantly associated with the risk of extracranial atherosclerotic stenosis, which is one of the most common causes of LV-IS (OR 1.47, 95% CI 1.09–1.99).<sup>25</sup> However, the underlying mechanisms between smoking and LV-IS remain uncertain. One possible mechanism is that smoking may induce oxidative DNA damage, which further contributes to the susceptibility of LV-IS.<sup>7</sup> In addition, smoking may affect the development of atherosclerotic stenosis and thrombus generation in atherosclerotic arteries, leading to an increased risk of IS.<sup>25</sup> Further studies are needed to investigate the underlying mechanisms of smoking in the development of LV-IS.

In contrast, our analysis did not provide statistically significant evidence for the relationship between smoking and CE-IS. An epidemiological study including a larger sample size of CE-IS cases reported a finding that consistent with our results. This study combining the data of Oxford Vascular Study and Oxfordshire Community

Stroke Project found that smoking was not associated with CE-IS (OR 0.68, 95% CI 0.43–1.09).<sup>8</sup> Similarly, the relationship between smoking and SV-IS remains unclear. A population-based analysis involving about 196,000 people suggested that smoking was not associated with SV-IS (OR 1.16, 95% CI 0.75–1.79).<sup>8</sup> However, it has been proposed that tobacco smoke may increase the risk of SV-IS by inducing a strong inflammatory response.<sup>26</sup> In our primary MR analysis, the effect estimate from the IVW method suggested a causal association between smoking and risk of SV-IS. However, it was not statistically significant after correction for multiple comparison. Moreover, the weighted-median method did not provide evidence for this relationship, and no statistically significant association was observed after excluding the outlier SNPs. Due to the possibility of inappropriate selection of instrumental variables and insufficient statistical power, studies with larger sample size and prospective design are warranted to further assess the effect of smoking on the risk of SV-IS.

The main strength of our study was to overcome the potential impact of confounding and reverse causation on the associations between smoking and IS subtypes.<sup>27,28</sup> In addition, we used summary-level data from a large number of IS subtypes cases and controls, and used a large number of smoking-associated SNPs as instrumental variables reported from the most up-to-date GWAS of populations with European ancestry, which increased the statistical power of causal estimates. Finally, we used several alternative methods to assess possible pleiotropic biases, and obtained similar effect estimates, which indicated the robustness of our findings.

Our study has several limitations. First, the effect estimates of SNPs on smoking and stroke were obtained only from populations of European ancestry, which reduced the bias from population stratification. The reliability of these results may be reduced when extrapolating to other populations. Therefore, further studies are warranted to investigate the causal nature of smoking and IS in other populations of additional ethnic origins. Additionally, the data of smoking traits were collected through interviewer- or self-administered questionnaires, which may reduce the precision of causal estimation between smoking and the risk of IS subtypes. Due to the limited sample size of patients with IS subtypes such as SV-IS and CE-IS, the possibility of a weak causal association of smoking with SV-IS and CE-IS could not be totally excluded.

## Conclusion

Genetic predisposition toward smoking is causally associated with higher incidence of large artery ischemic stroke. Further work is warranted to clarify the underlying mechanism of smoking in the development of large artery ischemic stroke.

## Abbreviations

CE-IS, cardioembolic ischemic stroke; CI, confidence interval; GWAS, genome-wide association study; IS, ischemic stroke; LV-IS, large artery ischemic stroke; MR, Mendelian randomization; OR, odds ratio; SNP, single-nucleotide polymorphism; SV-IS, small vessel ischemic stroke.

## Ethics approval and informed consent

We adopted a MR approach by using publicly accessible summary-level statistics of published GWASs from the MEGASTROKE consortium. Thus, no additional ethical approval was required.

## Data sharing statement

Summary-level data for ischemic stroke used in the study is publicly available from the MEGASTROKE consortium (<http://megastroke.org/download.html>).

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## Author contributions

All authors contributed to data analysis, drafting or revising the article, gave final approval of the version to be published, and agree to be accountable for all aspects of the work.

## Disclosure

The authors report no conflicts of interest in this work.

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