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High-Intensity Exercise Acutely Increases Substantia Nigra and Prefrontal Brain Activity in Parkinson's Disease

Stu Data Statistic Data Inte Manuscript F Literat	Contribution: udy Design A collection B cal Analysis C erpretation D Preparation E ture Search F Collection G	DEF 2,3 ACDEF 2,3 BE 4 AE 4 CE 5 EG 4	Neil A. Kelly* Kimberly H. Wood* Jane B. Allendorfer Matthew P. Ford C. Scott Bickel Jon Marstrander Amy W. Amara	 Department of Cell, Developmental, and Integrative Biology, University of Alabama at Birmingham, Birmingham, AL, U.S.A. UAB Center for Exercise Medicine, University of Alabama at Birmingham, Birmingham, AL, U.S.A. Department Neurology, University of Alabama at Birmingham, Birmingham, AL, U.S.A. Department of Physical Therapy, Samford University, Birmingham, AL, U.S.A. Department of Engineering, University of Alabama at Birmingham, Birminghan, AL, U.S.A. Geriatric Research, Education, and Clinical Center, Birmingham VA Medical 	
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		-	Pathologic alterations in resting-state brain activity patterns exist among individuals with Parkinson's disease (PD). Since physical exercise alters resting-state brain activity in non-PD populations and improves PD symp- toms, we assessed the acute effect of exercise on resting-state brain activity in exercise-trained individuals with PD. Resting-state functional magnetic resonance imaging (fMRI) was collected twice for 17 PD participants at the conclusion of an exercise intervention. The acute effect of exercise was examined for PD participants using the amplitude of low frequency fluctuation (ALFF) before and after a single bout of exercise. Correlations of clin- ical variables (i.e., PDQ-39 quality of life and MDS-UPDRS) with ALFF values were examined for the exercise-		
		Results:		ased ALFF signal within the right ventromedial prefrontal hin the substantia nigra (SN). Quality of life was positive-	
Conclusions: MeSH Keywords: Full-text PDF:		onclusions:	ly correlated with ALFF values within the vmPFC and vlPFC. Given the role of the SN and PFC in motor and non-motor symptoms in PD, the acute increases in brain activi- ty within these regions, if repeated frequently over time (i.e., exercise training), may serve as a potential mech- anism underlying exercise-induced PD-specific clinical benefits. Functional Neuroimaging • Parkinson Disease • Resistance Training		
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Background

Parkinson's disease (PD) is a multisystem disorder that results in progressive deterioration of multiple structures in the brain/nervous system [1,2]. As a result, PD presents clinically with both motor and non-motor deficits. Although symptomatic progression is largely monitored using the Movement Disorders Society – Unified Parkinson's Disease Rating Scale (MDS-UPDRS), currently there are no reliable tests to monitor biological disease progression. However, recent advances in neuroimaging, specifically resting-state functional magnetic resonance imaging (rs-fMRI), offer promise by revealing PD-induced changes in regionally specific brain activity [3,4].

Utilization of rs-fMRI to measure the amplitude of low-frequency fluctuation (ALFF), which serves as an index of spontaneous brain activity [5–7], has provided insight into how altered resting-state activity patterns in certain brain regions may be associated with distinct motor and non-motor symptoms [6] or with disease progression [8] in PD. A handful of rs-fMRI studies have also investigated changes in brain activity associated with common PD medications (i.e., levodopa) [3,4,9,10]. However, despite the substantial literature base supporting voluntary physical exercise as an effective adjuvant therapy for PD [11–19], the impact of exercise on resting-state activity patterns has yet to be evaluated in this patient population.

Studies investigating the short- and long-term impacts of exercise on resting-state brain activity in non-PD populations are sparse. Only two studies have evaluated the effect of exercise alone on adults [20,21] with just one assessing individuals with a recognized dysregulation of resting-state brain activity [20]. Results show that a single session of aerobic exercise alters resting-state brain activity in young healthy adults [21] while six months of aerobic exercise training exerts a normalizing effect on resting-state brain activity in overweight/obese individuals [20]. Taken together, these findings suggest that exercise induces immediate changes in intrinsic brain activity and may also exhibit a cumulative restorative effect on dysregulated brain regions in a pathological context.

In this study, we hypothesized that an acute bout of exercise will alter whole-brain activity in exercise-trained individuals with PD. Given the role of the substantia nigra (SN) in PD, and small volume of this brain region, we anatomically defined this area for analysis. Resting-state brain activity was correlated with clinical measures that evaluated symptom severity in exercise-trained individuals with PD.

Table 1. Demographics and clinical characteristics.

	PD	
Age, y, mean (SD)	66.6	(5.8)
Sex	14 M, 3 F	
Years since diagnosis, mean (SD)	4.5	(4.4)
Hoehn and Yahr stage, n (2, 3)	11, 6	
LED, mg/d, mean (SD)	494.4 (370.3)	
MDS-UPDRS, mean (SD)		
Part I	9.0	(5.0)
Part II	10.8	(5.2)
Part III	32.9	(9.7)
Part IV	1.4	(2.2)
Total	54.2	(11.9)
PDQ-39, mean (SD)		
Mobility	15.3	(13.3)
Activities of daily living	15.9	(9.6)
Emotional wellbeing	20.8	(19.2)
Stigma	18.8	(15.8)
Social support	14.7	(11.6)
Cognitive impairment	25.7	(15.7)
Communication	24.5	(16.5)
Bodily discomfort	28.4	(17.4)
Index score	20.5	(11.4)

PD – Parkinson's disease; LED – Levodopa equivalent dose; MDS-UPDRS – Movement Disorder Society – Unified Parkinson's Disease Rating Scale; PDQ-39 – Parkinson's Disease Questionnaire – 39. Reported clinical assessments were conducted after 16 weeks of exercise training and before fMRI acquisition.

Material and Methods

Participants

Eighteen patients with idiopathic PD were recruited from the Movement Disorders Clinic at the University of Alabama at Birmingham (UAB) Department of Neurology (detailed eligibility criteria are published elsewhere [13]). One participant was excluded due to motion artifact, therefore participant demographic and clinical characteristics for n=17 are presented in Table 1. This study was approved by the UAB Institutional Review Board and each participant provided written, informed consent before participation.

Exercise intervention

Prior to the current imaging study, the PD participants completed 16 weeks of supervised high-intensity exercise training three days per week. Detailed methods describing the exercise intervention and functional and clinical outcomes due to the intervention were published previously [13]. Briefly, the acute bout of exercise consisted of a five minute warm up followed by five resistance training (RT) exercises (leg press, knee extension, chest press, overhead press, lat pull down) performed for three sets of 8–12 repetitions each. Instead of traditional rest periods between sets, participants completed bodyweight exercises or treadmill walking/stationary cycling for 60 seconds after each set of two back-to-back RT exercises to maintain an aerobic stimulus, averaging >60% heart rate reserve (HRR), throughout the entire 35–45 minute workout.

Clinical assessments

PD participants completed a battery of clinical questionnaires before and after the 16 week exercise intervention, as reported previously [13]. All PD participants were evaluated by a trained clinician during completion of the MDS-UPDRS and Parkinson's Disease Questionnaire - 39 (PDQ-39) [14]. The MDS-UPDRS evaluates symptom severity during the past week using a scale 0-4 where higher scores indicate greater symptom severity. The MDS-UPDRS includes patient self-report and a motor examination administered by a trained clinician. This clinical tool is comprised of four sections: Part I is mentation, mood, behavior; Part II is non-motor symptoms; Part III is motor examination; Part IV is motor complications, and total score. The PDQ-39 is a quality of life measure that consists of 39 items in which patients use a scale 1-5 (never to always) to rate how often they have experienced PDrelated symptoms and difficulties over the last month where higher scores indicate greater symptom severity. The PDQ-39 evaluates domains of mobility, activities of daily living (ADL), emotional disturbances, stigma, lack of social support, cognitive impairment, bodily discomfort, and an index score is calculated. Data was collected for these two measures and used to examine the relationship between neuronal brain activity and symptom severity in exercise-trained PD participants.

Image acquisition and processing

After the 16 week exercise intervention, the PD participants underwent a scanning session immediately before (Trained ALFF) and after (Acute ALFF) (within one hour) a single bout of exercise. Structural and functional imaging was completed on a 3.0 Tesla Siemens Magnetom Allegra scanner. Highresolution structural images were obtained in the sagittal plane using a T1-weighted series to serve as an anatomical reference (TR=2,200 ms, TE=2.6 ms, flip angle=12°, FOV=25.6 cm, matrix=256×256, slice thickness=1 mm, 0.5 mm gap). Blood oxygen level-dependent resting-state fMRI of the entire brain was conducted using a gradient-echo echoplanar pulse sequence in an oblique-axial orientation (TR=2,000 ms, TE=30 ms, flip angle=70°, FOV=19.2 cm, matrix=192×192, slice thickness=4 mm, no gap). Initial fMRI included 208 time points (including initial signal). The first five time points were excluded from analysis, leaving 203 time points (approximately 6.8 minutes) for analysis. Analysis of Functional NeuroImages (AFNI) software package [22] was used to correct for slice timing offset, motion correction, manual alignment in native space with the structural image, and spatial normalization to a standard Montreal Neurological Institute (MNI) axis.

Resting-state fMRI data were analyzed at the individual subject level to adjust for movement and artifacts, following suggestions made by Power et al. [23]. Specifically, time points concerning for artifact or motion were censored from the analysis as described previously [24]. While BOLD signal values at censored time points were censored, the time-stamp was retained to retain frequency information. An average of 0.6% of the time points were censored in the sample. Head motion parameters were also included as regressors and the remaining residual was used to evaluate the ALFF signal, using a gamma variate function to model the effect of motion. This study uses an individual subject repeated measure design.

Determining ALFF

Based on prior work showing significant differences in a clinical population, we empirically restricted our analyses of the ALFF signal to the frequency band of 0.01–0.08 Hz [25]. The ALFF signal was obtained using a bandpass filter to remove the effects of very-low frequency drift and high frequency noise [5,26], and ALFF was computed in accordance with methodology published by Biswal et al. [5].

Statistical analyses

Based on *a priori* hypotheses, group level analyses were restricted to cortical grey matter and the SN and paired *t*-tests were performed to determine the acute effect of exercise. A voxel-wise threshold of p<0.05 (corrected) was employed by using an uncorrected threshold of p<0.01 and a cluster volume larger than 472 mm³ (13 voxels of $3.0 \times 3.0 \times 4.0$ mm dimension). Cluster size within the cortical grey matter was determined by Monte Carlo simulations to correct for multiple comparisons [27,28]. Finally, Pearson correlations were conducted to assess the relationship between resting-state brain activity (ALFF signal) and symptom severity (MDS-UPDRS and PDQ-39) upon completion of a 16 week exercise training intervention. Recent work has generated debate regarding the effectiveness of cluster-wise inference in fMRI research [29],



Figure 1. Neural response to a single bout of exercise within trained PD patients. (A) Resting-state brain activity (ALFF signal) was increased within the left ventrolateral PFC (volume mm³=32,220, x=38.0, y=-54, z=1) and right ventromedial PFC (volume mm³=20,556, x=-7, y=-53, z=-12). (B) ALFF signal was increased within the left and right substantia nigra; * p<0.05, values are mean ± SEM ALFF signal of all voxels within the volumes of activation.</p>

resulting in updates to statistical algorithms [30]. In this work, we used the most recent statistical algorithms from AFNI. Our group has formally evaluated methods to clarify reliability of MRI findings in brain imaging data [6,31], and reliability of regions defined in the full brain analyses was analyzed using leave-one-out cross-validation. As our nigral analysis was hypothesis focused and not subject to cluster-thresholding, a similar cluster-reliability analysis was not required. Instead, paired *t*-tests were performed on the mean ALFF signal extracted from this region using a WFU Pickatlas [32,33] anatomical mask.

Results

Acute effect of exercise on trained PD resting-state activity

The voxel-wise paired *t*-test revealed significant differences in ALFF signal following a single bout of exercise within two regions of the prefrontal cortex (PFC) (Figure 1A). Increased resting-state brain activity was observed within the right ventromedial (vmPFC) and left ventrolateral PFC (vlPFC) (t[16]>2.92; p<0.05 corrected). Location, volumes, and coordinates are from the MNI axis for the peak voxel of activation. Paired *t*-tests



Figure 2. Regions that showed a relationship with chronic exercise and the PDQ-39. Resting-state brain activity (ALFF signal) within the left ventrolateral PFC was positively correlated with (A) activities of daily living (ADL) and (B) lack of social support. Similar relationships were observed for right ventromedial PFC resting-state activity and (C) emotional disturbances and (D) a lack of social support.

on the mean ALFF signal within the SN also revealed a significant increase in resting-state brain activity following a single bout of exercise within the left (t[16]=-2.15, p<0.05) and right (t[16]=-2.17, p<0.05) SN (Figure 1B).

Relating clinical measures to resting-state activity in exercise-trained PD participants

Pearson correlations showed a positive relationship between the trained PDQ-39 ratings (i.e., after 16 weeks of exercise) and the Trained ALFF signal within the vIPFC and vmPFC. Specifically, the Trained ALFF signal within the vIPFC was correlated with ADL (Figure 2A; r=0.50, p<0.042) and social support (Figure 2B; r=0.61, p=0.009). Positive relationships were also observed between the Trained ALFF signal within the vmPFC and emotional disturbance (Figure 2C; r=0.49, p<0.047) and social support (Figure 2D; r=0.58, p=0.015). No relationships were revealed between Trained ALFF signal and the MDS-UPDRS.

Robustness of whole brain analysis results under cross-validation

In a serial leave-one-out analysis, using a voxel-wise threshold of p<0.01 the right vmPFC in all cases met cluster thresholding criteria of 472 voxels (regional size=1,002±420 voxels, range 511–2,195 voxels). The left vlPFC met cluster thresholding criteria in 14 of 17 analyses (regional size=702±252 voxels, range 283–1,121) (Figure 3A). In a separate analysis using a voxel-wise threshold of p<0.05, both clusters met criteria in all repeated analyses. In all cases, mean signal in the left-out subject was increased in a region derived the 16 subjects in the analysis (right vmPFC, increase in ALFF signal power=5.23±3.45, range 1.63–13.43; left vlPFC increase in ALFF power=4.40±3.68, range 1.12–12.65) (Figure 3B). The cross-validation results indicate that ALFF is reliably increased in the detected regions within the sample, and that the finding is not due to an outlier effect.



Figure 3. Reliability finding in repeated analysis. (A) The right vmPFC met cluster thresholding criteria in all cases, whereas the left vlPFC met cluster thresholding criteria in 14 of 17 analyses. (B) In all cases, signal change in the left out subject was increased in a region derived from 16 subjects in the analysis.

Discussion

This pilot trial is the first to report acute effects of exercise on neuronal resting-state brain activity in exercise-trained individuals with PD. We demonstrate exercise-induced increases in resting-state activity within the SN, right vmPFC, and left vlPFC. Our results are robust under cross-validation. Among PD patients in the chronically trained state quality of life (i.e., PDQ-39) was positively correlated with right vmPFC and left vlPFC resting-state brain activity. It is important to take into account the small sample size when considering the implications of our study; however, these pilot findings are relevant considering the well characterized neurodegeneration of the SN, deterioration of vlPFC-related executive functions [34] and disturbances within the vlPFC [35] and vmPFC [36] in PD. Finally, these findings may suggest a potential mechanism underlying exercise-induced non-motor improvements in individuals with PD.

Dopaminergic neurons within the SN play an integral role in signaling movement initiation and motor learning. Current evidence indicates that SN dopaminergic neurons are more susceptible to cytotoxic stimuli in PD [37] and depend on depolarizing stimuli (such as generated by physical activity) for survival; especially under cytotoxic conditions [38]. In fact, several studies have shown that exercise training preserves SN dopaminergic neuron viability and reduces motor disruption in animal models of cytotoxin-induced PD [19,39]. This study provides preliminary evidence that exercise increases SN dopaminergic neuronal activity, suggesting a mechanism for the effect of exercise on PD motor function. In this context, our current finding of acutely increased resting-state SN activity provides a hypothetical mechanism for our previously reported findings [13] of chronic motor improvement (i.e., MDS-UPDRS part III) upon completion of 16 weeks of high-intensity exercise training. Based on our findings, evaluating the impact of repeated bouts of transient increases in SN activity over time (i.e., through chronic exercise training) on disease progression in PD is a viable and potentially fruitful future research direction.

In addition to the commonly described motor symptoms associated with PD, there are a host of non-motor symptoms that manifest simultaneously. For example, executive dysfunction and emotional disturbances (e.g., depression) are non-motor symptoms that have been associated with perturbations in vlPFC and vmPFC function, respectively [6,38,40]. Similar to the SN, decreased event-related fMRI response within the left and right vIPFC is associated with impaired performance on an executive function task in unmedicated PD patients [34]. Yet, increased ALFF within the right vIPFC is positively associated with disease duration as well as levodopa dose [41] suggesting greater neuronal activity at rest within this brain region is associated with symptom severity. In contrast, activity within the vmPFC is reduced due to dopaminergic medication usage and this reduction has been associated with depression among individuals with PD [38]. Therefore, brief exercise-induced increases in vIPFC and vmPFC activity may promote executive function, as well as counteract medication-induced emotional disturbances in PD patients. The current study is the first to demonstrate that a trained exercise-induced decrease in neuronal activity within the prefrontal cortex is associated with improved non-motor symptoms (i.e., emotional disturbance) in PD. Our small sample size should be considered in the interpretation of these findings and that a larger randomized controlled trial is warranted to confirm the observed effects. However, taken together these data provide a possible link between frequent, repeated increases in vIPFC/vmPFC activity and cognitive/emotional wellbeing among PD patients.

Conclusions

The present study demonstrates that an acute bout of highintensity exercise increases resting-state brain activity within the bilateral SN, right vmPFC, and left vlPFC in exercise trained individuals with PD. Importantly, prior work has shown that decreased neural activity within these brain areas may be associated with increased severity of some motor and non-motor symptoms in PD [6,36-38,40]. Upon completion of a 16 week exercise intervention, positive relationships were observed between our cortical brain areas and some measures of quality of life. One limitation of the current study is the lack of comparison between pre- and post-intervention resting-state brain activity. Further, the study would have been strengthened by comparison to a group of PD patients who did not exercise and by assessment of clinical measures before and immediately following the acute exercise bout. Finally, without a healthy control group, we cannot comment on whether our observed effects are limited to PD or are a general effect of exercise, although in either case an intervention that both improves motor

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function measurably in PD, and increases regional blood flow in regions of known importance, would appear to be generally of value. This pilot study is therefore only a first step toward establishing a better understanding of the underlying neural mechanisms that support exercise-induced changes in PD. Future studies are needed to assess chronic changes in regional brain activity due to long-term exercise training within the same participants and to link observed changes in brain activity to clinical and behavioral outcomes in PD to examine differences pre- and post-intervention.

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Conflict of interest

None.

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