BMJ Open Parenting for Autism, Language, And Communication Evaluation Study (PALACES): protocol for a pilot randomised controlled trial

Margiad Elen Williams,¹ Richard Hastings,² Joanna Mary Charles,³ Sue Evans,⁴ Judy Hutchings¹

ABSTRACT

To cite: Williams ME, Hastings R, Charles JM, *et al.* Parenting for Autism, Language, And Communication Evaluation Study (PALACES): protocol for a pilot randomised controlled trial. *BMJ Open* 2017;**7**:e014524. doi:10.1136/bmjopen-2016-014524

Prepublication history for this paper is available online. To view these files please visit the journal online (http://dx.doi.org/10.1136/ bmjopen-2016-014524).

Received 29 September 2016 Revised 26 November 2016 Accepted 17 January 2017



For numbered affiliations see end of article.

Correspondence to Professor Judy Hutchings; j.hutchings@bangor.ac.uk **Introduction:** Children with autistic spectrum disorder (ASD) often have associated behavioural difficulties that can present a challenge for parents and parenting. There are several effective social learning theory-based parenting programmes for dealing with behavioural difficulties, including the Incredible Years (IY) parent programmes. However, these programmes typically do not specifically target parents of children with ASD. Recently, a new addition to the IY suite of programmes known as the IY Autistic Spectrum and Language Delays (IY-ASLD) parent programme was developed. The main aims of the present study are to examine the feasibility of delivering this programme within child health services and to provide initial evidence for effectiveness and economic costs.

Methods and analysis: The Parenting for Autism. Language, And Communication Evaluation Study (PALACES) trial is a pragmatic, multicentre, pilot randomised controlled trial comparing the IY-ASLD programme with a wait-list control condition. 72 parents of children with ASD (aged 3-8 years) will be randomly allocated to either the intervention or control condition. Data will be collected prior to randomisation and 6 months postrandomisation for all families. Families in the intervention condition only will also be followed up at 12 and 18 months postrandomisation. This study will provide initial evidence of effectiveness for the newly developed IY-ASLD parenting programme. It will also add to the limited economic evidence for an intervention targeting parents of children with ASD and provide longer term data, an important component for evaluations of parenting programmes.

Ethics and dissemination: Approval for the study was granted by the Research Ethics Committee at the School of Psychology, Bangor University (reference number: 2016–15768) and the North Wales Research Ethics Committee, UK (reference number: 16/WA/0224). The findings will be disseminated through research conferences and peer-reviewed journals. **Trial registration number:** ISRCTN57070414:

Pre-results.

INTRODUCTION

The past 20 years has seen a steady increase in the estimated prevalence of autism

Strengths and limitations of this study

- This is a randomised controlled trial with a range of child, parent and family outcomes.
- The trial will provide the first rigorous evidence for the effectiveness of a newly developed parenting programme for parents of children with autism spectrum disorder, including initial cost-effectiveness.
- The trial includes a longer term follow-up for families in the intervention condition.
- Because of the use of a wait-list control, families in the control condition will not be followed up long term.

spectrum disorder (ASD) in childhood and a recent UK estimate is 1.7% with many preschool children receiving early diagnoses.¹ Children with ASD often have associated difficulties including hyperactivity, anxiety, hypersensitivity to sounds and materials, sleeping difficulties, and emotional dysregulation.² These behavioural problems present challenges for parents. Problems with communication, specifically non-verbal cognitive ability, are a strong predictor of externalising behaviour problems.³ Children with ASD exhibit more severe internalising and externalising behaviours than non-ASD children, as well as a high prevalence of aggressive behaviour.³ These behavioural challenges can often cause caregivers more distress and mental health problems than the core ASD symptoms.^{4 5} Increased child behaviour problems and parental (especially maternal) psychological distress compared with children without autism is established early in life-by the time that children are aged 5 years.⁶ These co-occurring, behaviour problems are of concern in early childhood because of the importance of these early years for longer term child developmental outcomes.⁴

BMJ

PARENTING PROGRAMMES

Parenting programmes are recommended by the National Institute for Health and Care Excellence (NICE) as evidence-based interventions for several child psychological problems including for parents of children with ASD⁸ and children with intellectual disability.⁹ Group-based parent programmes can be effective in reducing behavioural problems in children with ASD,¹⁰ improving dysfunctional parenting styles,10 increasing parents' ability to facilitate their children's development of communication skills¹¹¹² and increasing children's vocabulary.¹¹ Group parent programmes also have the added benefit of providing social support for the parents.¹³ This is especially important given that parents of children with ASD are more likely to experience depression and stress, particularly parents of young children and of children with high levels of behavioural problems.⁴⁻⁶ Therefore, group interventions show promise as a valuable resource to help parents.

The Incredible Years programmes

The Incredible Years (IV) programmes are well established, rigorously evaluated, NICE-recommended, evidence-based set of interventions that are primarily focused on strengthening parent-child interaction and parenting competencies in order to reduce children's behavioural problems. They offer a range of developmentally appropriate interventions for parents of babies, toddlers, preschool and school age children, and complementary interventions for children in the 3-8 years range, and their teachers. The IV parent programmes have been subject to rigorous research, generating international evidence of effectiveness as treatment and preventive interventions.^{14–18} One of the most rigorously researched is the IV basic parent programme,¹⁹ a groupbased programme for parents of children aged 3-6 years. It encourages positive relationships between the parents and children through group-based, weekly sessions.¹⁹ The programme teaches parents how to play with their children in a child directed way but with a specific focus on strategies that encourage these children to engage with other people; to develop their children's social and emotional regulation skills; and how to use positive discipline to set limits and handle misbehaviour. The IY basic parent programme has been used, with adaptations, with parents whose children had a diagnosis of ASD and/or developmental delay, along with significant behaviour problems, with promising results¹³ ²⁰ including when evaluated using a randomised controlled trial (RCT) design.²¹

Dababnah and Parish¹³ conducted a feasibility study in which they tailored the basic IY programme for parents of children with ASD. The tailored programme included: a supplemental ASD parent advocate introductory meeting; additional time to cover the unique play behaviours of children with ASD; extensive use of visual resources and simple language; additional time to discuss videos; additional time for emotion coaching; additional time for calming down and self-regulation skills; additional time to discuss stress and burden experienced by families of children with ASD; and emphasis on the importance of social support outside the group. Parents responded positively to the programme although they felt that the videos were not applicable due to being dated and not depicting children with ASD.²⁰ Some data were collected as part of the study and showed decreases in parental stress and high acceptability of the content and delivery of the programme.¹³

The IY Autism Spectrum and Language Delays programme

Recently, a new IY programme²² has been developed specifically targeting the needs and concerns of parents of children with autism or related social communication difficulties. The IY Autism Spectrum and Language Delays (IY-ASLD) programme uses the same proven IY core components, collaborative group discussion, watching video material to identify key parenting principles and role-play practice of activities to be undertaken at home. In addition, the new programme is designed for use as initial support following diagnosis, with young children, and targets the parent-child relationship and broad developmental outcomes including communication, social and other adaptive skills, as well as behavioural problems.²² The programme manual and accredited training addresses how the principles of the programme can be used to support children with ASD across the developmental spectrum.

The new programme was piloted in Wales in the Summer term of 2015,²³ and by mid-2016 has yet to be delivered elsewhere, other than in Seattle by the developer Professor Webster-Stratton. The pilot study²³ recruited nine parents of children with autism aged 3–5 years. Attendance was high and the programme was very well received. Postgroup measures showed an improvement in children's emotional symptoms and peer problems.²³

AIMS AND OBJECTIVES

Following the initial pilot study,²³ the aims of the present study are to explore further feasibility questions and examine initial evidence for effectiveness. In relation to feasibility, a multicentre, pragmatic RCT will be conducted to determine whether:

- I. It is possible to recruit and randomise to IV parent training versus a waiting list condition;
- II. Group facilitators are able to deliver the programme with fidelity to the manual;
- III. Parents engage with the programme at the intended level (attending at least 8 of the 12 sessions);
- IV. Retention remains high at all data collection points;
- V. Parents report good levels of satisfaction with the programme.

The trial will also allow exploratory analyses of the effectiveness of the IX-ASLD programme compared with

the control condition as well as the economic costs, including intervention set-up, delivery costs, participant health and social care service use, and parental health-related quality of life.

METHODS AND ANALYSIS

A pragmatic, multicentre, pilot RCT will be conducted to examine the feasibility of delivering the IY-ASLD programme within real-world specialist children's service settings. Exploratory analysis of effectiveness, costconsequences and microcosting will also be examined. Eligible participants will be randomly allocated to receive the IY-ASLD programme or to the wait-list control condition on a 1:1 ratio. The control group will be treated as a care as usual group for the time between baseline and the 6-month follow-up when they will subsequently be offered the intervention. Participants will be identified and recruited from real-world settings in five specialist children's services/child and adolescent mental health services across Wales.

Eligibility criteria

Inclusion criteria

Inclusion criteria are: (1) parent/caregiver of a child with a recent diagnosis of ASD or a strongly suspected diagnosis (based on information from a clinician within the service, eg, score on the Autism Diagnostic Observation Schedule²⁴); (2) child aged between 3 and 8 years at baseline; (3) parent/caregiver is a first language English or Welsh speaker or has a good understanding of English; (4) parent/caregiver consents to take part in research.

Exclusion criteria

Exclusion criteria are: (1) attending another parenting programme during the intervention phase of the research; (2) refusal of consent to take part in the research; (3) family is in crisis (eg, child is at risk of residential placement); (4) child is in foster care without a long-term plan in that placement; (5) child is on the child protection register.

Recruitment

See figure 1 for participant flow diagram. Recruitment will take place between October 2016 and December 2016. Parents/caregivers will be recruited through integrated specialist children's services and/or child and adolescent mental health services. A checklist will be provided to aid staff in recruiting families setting out the criteria for the identification of eligible parents/caregivers. Once an eligible parent/caregiver has been identified, staff will describe the study to the parent and ask whether they would be interested to learn more about it. If the parent responds positively, they will be asked to complete a note of interest form that gives permission to the research team to contact the family to discuss the project further. This form will be sent by the clinician making the referral to the research team. The study is funded for recruitment of 72 families who will then be

randomly allocated within each centre to either the intervention or wait-list control condition. However, with the involvement of five centres it may be possible to recruit more than this number.

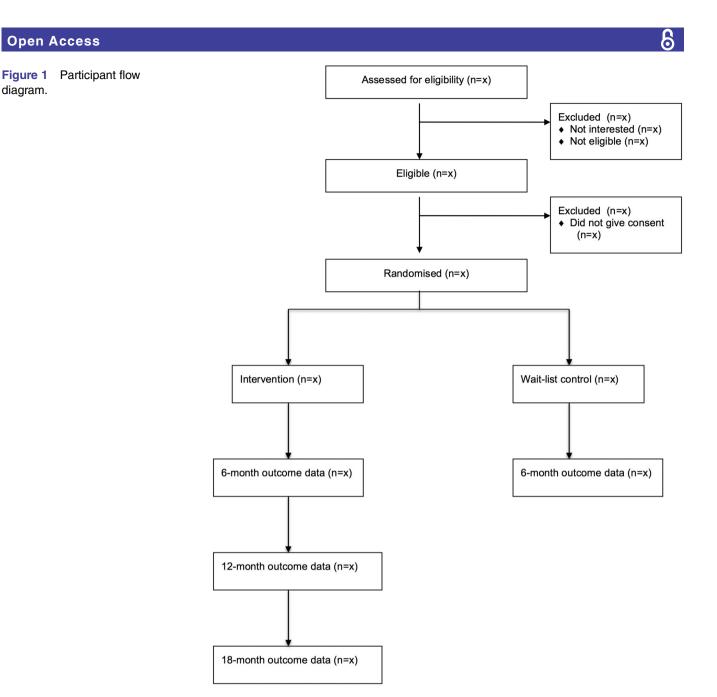
On receipt of the note of interest, a member of the research team will contact the family to arrange a home visit to discuss the project further. The researcher will ensure that the parent/caregiver has read the information sheet and answer any questions the parent/caregiver may have. If the parent/caregiver is happy to continue, the researcher will obtain written informed consent from the parent to participate in the study. Only when this consent has been obtained will the researcher administer baseline measures.

Intervention

The IV-ASLD programme is a group-based intervention consisting of 12 sessions although the developer points out that it may take longer than this to complete the programme.²² It is offered to parents of children (aged 2-8) on the autism spectrum or with language delays. The aim is to promote children's emotional regulation, social competence, language skills, school readiness and relationships with others. The collaborative techniques used to help parents acquire new skills are facilitator-led group discussion about why topics are important for parenting, observation of videotape examples of parents and children interacting to identify key learning principles, role play and rehearsal of the taught techniques within groups and homework tasks. The programme uses ASD-specific video vignettes of parents and children, including reflections by parents of children with ASD, to demonstrate parents using particular skills and to prompt discussion. The group provides an opportunity to match parents according to the developmental level of their child for within-group practice and discussion. This will occur after randomisation and will be arranged by the group leaders. It also has ASD-specific handouts that have also been translated into Welsh, and a focus on developing children's communication and self-regulation skills. Owing to the pragmatic nature of the RCT, the programme will be delivered as it would be expected in usual care. As part of programme delivery, parents receive weekly telephone calls to encourage them to practice the skills at home and also, where possible, parents who miss a session receive visits from a facilitator to deliver the session on an one-to-one basis. The programme is delivered in weekly, 2-hour sessions in local specialist children's service clinics. Primary carers' partners or an alternative carer will also be invited to attend the group. To ensure consistency of delivery across sites, the RCT will use the 12-session protocol set out in the programme manual.

Fidelity

Strategies for ensuring fidelity of implementation are incorporated into all of the IV programmes including the IV-ASLD programme. These include: training,



monitoring and supervision of group facilitators. Twelve members of staff from the five participating centres will be trained to deliver the IV-ASLD programme. All group facilitators must have first attended the 3-day IV basic parent programme leader training followed by a 2-day training in the delivery of the IV-ASLD programme.

An accredited IY trainer will conduct all the training. During the intervention delivery phase, all sessions will be videotaped and facilitators will attend fortnightly supervision meetings at which the tapes will be reviewed to enable delivery support and ensure implementation fidelity. Facilitators will also complete checklists at the end of every group session on which they report on which session components were delivered during the session.

Other fidelity measures incorporated into the IY-ASLD programme include: weekly parent evaluations that cover items regarding helpfulness of video vignettes, the group facilitator's teaching, the group discussions, the use of practice exercises and the home activities. Parents in the intervention condition will also be asked to complete an end-of-programme satisfaction questionnaire. This questionnaire covers five areas: (1) the overall programme; (2) usefulness of teaching format; (3) usefulness of specific teaching strategies; (4) evaluation of the group facilitators and (5) the parent group.

Study outcomes

Feasibility outcomes

To answer the feasibility questions, information regarding participant engagement and retention will be collected. Participant satisfaction and implementation fidelity will be measured using tools incorporated into the IV-ASLD programme (see Fidelity section above).

Table 1 Measures collected at each time point					
	Time point*			•	
Measure	1	2	34	4	Additional information
Family demographic	\checkmark				Covers a range of characteristics including age (parent/child), gender (parent/ child), date and nature of child diagnosis, housing, income, education level and other demographic information using the Personal Development and Health Questionnaire ³⁹
Child Behaviour Checklist	\checkmark	√	√ 、	\checkmark	A validated 99-item inventory that addresses specific externalising and internalising behaviour. Scores are summed to form a total score as well as externalising and internalising scores. Higher scores indicate more problem behaviours and it has shown good internal consistency. ^{40 41}
Parenting Stress Index-Short Form	\checkmark	\checkmark	√ 、	\checkmark	A validated 36-item inventory assessing the stress experienced by parents of children up to the age of 12 years. Higher scores indicate more stress and it has shown good internal consistency. ⁴²
Arnold-O'Leary Parenting Scale	\checkmark	√	√ 、	\checkmark	A validated 30-item inventory assessing dysfunctional parenting practices. Scores are summed to form three subscales: laxness, over-reactivity and verbosity. Higher scores represent more dysfunctional parenting and it has shown adequate internal consistency. ²⁸
Beck Depression Inventory	\checkmark	\checkmark	√ 、	\checkmark	A validated 21-item inventory designed to assess the severity of characteristic symptoms and attitudes associated with depression. Higher scores represent more depressive symptoms and it has shown good internal consistency. ²⁹
Social Communication Questionnaire	√	√	√ 、	√	A validated parent report measure consisting of 40 items based on the Autism Diagnostic Interview-Revised ⁴³ . There are two versions, one that assesses lifetime behaviour and another that assesses current behaviours. The latter will be used in this study. Higher scores indicate more severe symptoms and it has shown good internal consistency. ⁴⁴
Vineland Adaptive Behaviour Scales	\checkmark		√ 、	\checkmark	A validated semistructured interview administered to parents/caregivers. It assesses adaptive functioning in communication, socialisation, daily living skills and motor skills. It has shown good internal consistency. ⁴⁵
Family APGAR Scale	\checkmark	\checkmark	√ 、	\checkmark	A 5-item measure that assesses a family member's perception of family functioning by examining parents' satisfaction with family relationships. Higher scores indicate more satisfaction with family life.
Strengths and Difficulties Questionnaire	\checkmark	~	√ 、	√	A validated 25-item behavioural screening measure to assess the occurrence of behaviours associated with conduct problems. It has five subscales: emotional problems, conduct problems, hyperactivity, peer problems and prosocial behaviour. To be completed by parents about a sibling in the family closest in age to the child with autism. Higher scores indicate more problems (lower for prosocial) and it has shown good internal consistency. ⁴⁶
Parent-child observation	\checkmark	\checkmark		\checkmark	A 10 min observation of parent-child interaction based on the DPICS. The DPICS has shown good levels of reliability. ³⁴
Parent satisfaction		\checkmark			Parents in the intervention condition will complete an end of course satisfaction questionnaire which is included in the IY-ASLD programme materials.
Client Service Receipt	\checkmark	\checkmark	\checkmark	\checkmark	A service usage questionnaire exploring the health and social care service use
Inventory EQ-5D	\checkmark	√	√ 、	\checkmark	of participants (parents and children) during the project A brief health-related quality of life questionnaire exploring any changes in parent's quality of life
Cost diary		\checkmark			A diary to record costs associated with programme set-up and delivery
*Time 1=baseline; time 2=6 months postrandomisation; time 3=12 months; time 4=18 months.					

DPICS, Dyadic Parent-child Interaction Coding System; EQ-5D, EuroQol 5 Dimensions Survey; IY-ASLD, Incredible Years Autistic Spectrum and Language Delays.

Primary outcome (effectiveness)

The primary outcome will be change at 6 months postrandomisation in parent-reported child behaviour problems using the Child Behaviour Checklist (CBCL) externalising scale.²⁵ There are two versions, one for children aged 1.5–5 years and the other for 6–18 years old. The CBCL has been used as an outcome measure in many studies including several studies with parents of young children with ASD.²⁶

Secondary outcomes (effectiveness)

The total and internalising scores on the CBCL²⁵ will be reported as secondary outcomes. Parents will also complete a range of measures including Parenting Stress Index,²⁷ Arnold-O'Leary Parenting Scale,²⁸ Beck Depression Inventory,²⁹ Social Communication Questionnaire for child autism symptoms,³⁰ Vineland Adaptive Behaviour Scales,³¹ Family APGAR Scale,³² parent-reported sibling problems using the Strengths and Difficulties Questionnaire³³ and an observation of parent–child interaction.³⁴ These measures have all been previously used with families of children with ASD^{26} and/or in evaluations of the IV parent programmes.^{10 18} See table 1 for more details.

Microcosting and cost-consequences

Economic outcomes will be explored using microcosting and cost-consequence techniques. A cost diary will be completed by group leaders to determine set-up and delivery costs of the intervention. Information on the costs of training and any equipment (eg, manuals, videos and copies of vignettes) will be sought from the centre providing training for the group leaders delivering the programme as part of the RCT. The feasibility of gathering health and social care use and parental health-related quality of life will be explored using a bespoke Client Service Receipt Inventory (CSRI)³⁵ and the EuroQol 5 Dimensions 5 Level Survey (EQ-5D-5L).³⁶ These measures have been previously used in costeffectiveness studies of the IY parent programmes.^{37 38}

Non-outcome measures

Family demographic information covering a range of characteristics will be used at baseline. At follow-up, parents will be asked whether the child's diagnostic status has been updated.

Data collection

Data collection time points will be baseline and 6 months postrandomisation for all participating families, with the addition of a 12 and 18 months postrandomisation visit for families in the intervention arm of the trial only (to examine longer term feasibility and outcome). Families will be telephoned to arrange home visits at each time point. The primary carer (who spends the most time with the index child) will be asked to complete the parent report measures and the parentchild observation. Parent measures will be collected during home visits by the research team, including videotaping the parent-child interaction during a free play situation. Each parent will receive payment (£10) on completion of measures at each time point. Research staff will be trained in using the observational tool until 80% inter-rater reliability is achieved on all categories. Coding of the videotaped observations will take place after each data collection phase. At least 20% of observations at each time point will be coded by two trained coders to examine inter-rater reliability.

Sample size

No formal sample size calculation has been conducted to inform this study. The sample size is based on guidance from National Institute for Health Research funding schemes suggesting the pilot trials include a sample for two-arm studies of ~70. This guidance is pragmatic. This sample size is thought to be sufficient to test out all key aspects of a trial (recruitment, intervention delivery, data collection) and provide a large enough sample to inform a sample size estimate for a definitive trial.⁴⁷ This size of sample is sufficient to answer the feasibility and piloting questions, including initial evidence of effectiveness. Effect sizes for treatment effects will be calculated from the trial, and will provide preliminary information about effectiveness in addition to informing sample size calculations for a definitive trial.

Randomisation

On completion of baseline data collection in each centre, parents will be randomised to either an intervention or a wait-list control condition on a 1:1 ratio. A computer-generated partial-list randomisation procedure, generated prior to enrolment of participants, by independent researchers at the North Wales Organisation for Randomised Trials in Health and Social Care will be used. The process will be stratified for child sex and age (3–5 and 6–8 years) and will be conducted within each centre. None of the researchers responsible for data collection will have access to the randomisation list. Parents in the wait-list control condition will be offered the intervention following completion of the 6 months postrandomisation visits.

Blinding

Owing to the nature of the study, it is not possible to have a completely blinded design. Parents will know to which condition they have been allocated. Group facilitators will also know who has been allocated to the intervention condition. However, the research team undertaking the data collection will be blind to participant group allocation for the baseline and 6-month follow-up visits. Baseline measures will be collected prior to randomisation and parents will be asked not to reveal their condition allocation to the research team at follow-up. The research team will not be involved in the randomisation process or intervention delivery. Blinding will not be possible for the 12-month and 18-month follow-up visits due to the fact that only the intervention families will be visited. Standard operating procedures from the local clinical trials unit will be followed by the researchers to protect for blinding as far as possible, including noting any potential for unblinding.

Data analysis plan

All analyses will be completed using SPSS V.22.0 statistical package. All data will be scored and inputted into SPSS by a researcher and double-checked by a second researcher to ensure accurate data entry. Baseline characteristics of the sample will be analysed and checked for differences (if any) between intervention and control conditions. Any differences will be recorded and controlled for in the main analyses.

To answer the feasibility questions, the mean or median number of sessions attended by participants along with the proportion attending at least 8 of the 12 sessions will be reported. Attrition rates at all three follow-up data collection points will also be reported to assess the level of retention in the study as well as the rates of participant satisfaction with the programme. Intervention fidelity will be based on the percentage of

content delivered. Any missing data from individual questions on questionnaires will be dealt with based on instructions stipulated in the questionnaire's manual (eg, prorating). Multiple imputation⁴⁸ for complete case missing data will be considered where appropriate. The threshold for when data must remain missing will depend on the stability of the multiple imputation models and will be explored if appropriate.

The analyses focusing on initial evidence of effectiveness will be performed using the intention-to-treat principle. The primary analytic model will focus on differences between intervention and wait-list control conditions at the primary end point (6 month postrandomisation) using analysis of covariance models (controlling for baseline scores). Study site will also be controlled for in the analyses to assess any effects of clustering.

Parents in the intervention condition will provide data for 12 and 18 months postrandomisation follow-up data points. Exploratory, paired sample t-tests will be used to test for change in outcomes between 6 and 12 months, and between 12 and 18 months. Although this analysis will not be fully controlled due to the small sample size, it will provide initial evidence in relation to potential maintenance of any gains from intervention.

Other analyses will focus on the remaining project objectives: recruitment rates and willingness to be randomised, group facilitator fidelity to the intervention manual, parent ratings of intervention acceptability, number of intervention sessions completed by participants, and retention of parents at the 6, 12 and 18 months postrandomisation data collection points.

Economic analyses

Microcosting analysis will be performed to assess the resources required to set up and deliver the new programme.³⁸ Using information gathered by the CSRI costconsequence analysis will be conducted to assess whether there have been any changes in participant health and social care service use in intervention or control families between baseline and first follow-up (from before to after programme participation), including service shifts. Service shifts refer to a change in participant use rather than a reduction, for example, participants accessing general practitioner appointments at baseline may use more nurse consultants at follow-up. The cost-consequence analysis will assess whether parental health-related quality of life has changed in the intervention and control groups or between baseline and first follow-up (6 months postrandomisation). The long-term economic outcomes of health and social care service use and health-related quality of life will be assessed for the

intervention group only at the 12 and 18 months postrandomisation data collection points.

ETHICS AND DISSEMINATION

The study received ethical approval from the Ethics Committee at the School of Psychology, Bangor University (4 July 2016; 2016–15768) and the National Health Service (NHS) Wales Research Ethics Committee 5 (26 July 2016; 16/WA/0224). The study is sponsored by Bangor University. Both the sponsor and funders will have no active role in the study.

Protocols are in place to identify and follow-up participants whose scores on standardised mental health assessments indicate elevated psychological distress. The trial data set will be stored on Bangor University encrypted laptops. Only named investigators and a PhD student will have access to the data. A data monitoring committee is considered non-essential because the trial is not conducted with a high-risk population. Any potential harmful effects of the intervention are assessed as unlikely.

The aim of the study is to examine the feasibility of delivering the IY-ASLD programme in community and specialist child disability health service settings. It will provide initial evidence of effectiveness for the newly developed IY-ASLD parenting programme for parents of children with ASD in terms of changes in child behaviour, parental mental health, parenting skill, family outcomes and change in ASD symptoms. This study will also add to the limited economic evidence for an intervention targeting parents of children with ASD and provide some long-term data in relation to programme outcomes, an important component in evaluations of parenting programmes.⁴⁹

A summary of results of the study in non-technical language will be mailed to all consenting participants and programme facilitators. The results will be described in a final report to the funder as well as published in peerreviewed journals. The results will also be presented to professional audiences at national conferences. The results will be used to inform a proposal for a larger, definitive trial in the UK assuming trial success.

Author affiliations

¹Centre for Evidence Based Early Intervention, Bangor University, Wales, UK ²Centre for Educational Development, Appraisal and Research, University of Warwick, Warwick, UK

³Centre for Health Economics and Medicines Evaluation, Bangor University, Wales, UK

⁴Powys Teaching Health Board, Park Street Clinic, Newtown, Powys, UK

Twitter Follow Richard Hastings @ProfRHastings

Contributors JH and RH conceived the study. JH, RH and JC secured the funding. JC developed the economic component of the study. MEW will be the trial manager and drafted the manuscript. SE will deliver accredited training and supervision. All authors reviewed the manuscript for intellectual content and have approved the final version.

Funding This work was supported by a competitive research grant from an autism research charity Autistica (grant number 7240). Funding for a

Open Access

Knowledge Economy Skills Scholarship PhD project to run alongside the study has also been obtained (application number BUK288) in partnership with Early Intervention Wales Training and Bangor University.

Competing interests JH is a part-time trainer in the IY parenting programmes for the Children's Early Intervention Trust registered charity.

Patient consent Obtained.

Ethics approval Betsi Cadawaladr University Health Board and Bangor University.

Provenance and peer review Not commissioned; externally peer reviewed.

Open Access This is an Open Access article distributed in accordance with the Creative Commons Attribution Non Commercial (CC BY-NC 4.0) license, which permits others to distribute, remix, adapt, build upon this work non-commercially, and license their derivative works on different terms, provided the original work is properly cited and the use is non-commercial. See: http:// creativecommons.org/licenses/by-nc/4.0/

REFERENCES

- Russell G, Rodgers LR, Ukoumunne OC, et al. Prevalence of parent-reported ASD and ADHD in the UK: findings from the Millennium Cohort Study. J Autism Dev Disord 2014;44:31–40.
- National Autistic Society. Autism facts and history. www.autism.org. uk/aboutautism/myths-facts-and-statistics/statistics-how-manypeople-have-autism-spectrum-disorders.aspx (accessed Jul 2016).
- Hartley SL, Sikora DM, McCoy R. Prevalence and risk factors of maladaptive behaviour in young children with autistic disorder. *J Intellect Disabil Res* 2008;52:819–29.
- Hastings RP, Kovshoff H, Ward NJ, et al. Systems analysis of stress and positive perceptions in mothers and fathers of pre-school children with autism. J Autism Dev Disord 2005;35:635–42.
- Herring S, Gray K, Taffe B, *et al.* Behaviour and emotional problems in toddlers with pervasive developmental disorders and developmental delay: associations with parental mental health and family functioning. *J Intellect Disabil Res* 2006;50:874–82.
- Totsika V, Hastings RP, Emerson E, et al. Behavior problems at five years of age and maternal mental health in autism and intellectual disability. J Abnorm Child Psych 2011;39:1137–47.
- 7. Allen G. Early intervention: the Next Steps—an independent report to Her Majesty's Government. London: HM Government, 2011.
- National Institute for Health and Care Excellence. Autism spectrum disorder in under 19s: support and management. http://www.nice. org.uk/guidance/cg170 (accessed Jul 2016).
- National Institute for Health and Care Excellence. Challenging behaviour and learning disabilities: prevention and interventions for people with learning disabilities whose behaviour challenges. http:// www.nice.org.uk/guidance/ng11 (accessed Jul 2016).
- Whittingham K, Sofronoff K, Sheffield J, et al. Stepping stones triple P: an RCT of a parenting program with parents of a child diagnosed with an autism spectrum disorder. J Abnorm Child Psychol 2009;37:469–80.
- McConachie H, Randle V, Hammal D, *et al.* A controlled trial of a training course for parents of children with suspected autism spectrum disorder. *J Pediatr* 2005;147:335–40.
- Wetherby AM, Guthrie W, Woods J, et al. Parent-implemented social intervention for toddlers with autism: an RCT. *Pediatrics* 2014;134: 1–10.
- Dababnah S, Parish SL. Incredible Years program tailored to parents of preschoolers with autism: pilot results. *Res Soc Work Pract* 2014;26:372–85.
- Leijten P, Raaijmakers MA, Orobio de Castro B, *et al*. Effectiveness of the incredible years parenting program for families with socioeconomically disadvantaged and ethnic minority backgrounds. *J Clin Child Adolesc Psychol* 2017;46:59–73.
- Azevedo AF, Seabra-Santos MJ, Gaspar MF, et al. The Incredible Years basic parent training for Portuguese preschoolers with AD/HD behaviors: does it make a difference? *Child Youth Care Forum* 2013;42:403–24.
- Axberg U, Broberg AG. Evaluation of "The Incredible Years" in Sweden: the transferability of an American parent-training program to Sweden. *Scand J Psychol* 2012;53:224–32.
- 17. McGilloway S, Mhaille GN, Bywater T, et al. A parenting intervention for childhood behavioral problems: a randomized controlled trial in

disadvantaged community-based settings. *J Consult Clin Psychol* 2012;80:116–27.

- Hutchings J, Bywater T, Daley D, *et al.* Parenting intervention in Sure Start services for children at risk of developing conduct disorder: pragmatic randomised controlled trial. *BMJ* 2007;334:678–85.
- 19. Webster-Stratton C. *The Incredible Years parents series*. Seattle, Washington: Incredible Years Inc, 2011.
- Dababnah S, Parish SL. Feasibility of an empirically based program for parents of preschoolers with autism spectrum disorder. *Autism* 2016;20:85–95.
- McIntyre LL. Parent training for young children with developmental disabilities: randomized controlled trial. *Am J Ment Retard* 2008;113:356–68.
- 22. Webster-Stratton C. Manual for the Incredible Years Autism Spectrum and Language Delays programme for parents with preschool children. Seattle, USA: Incredible Years Inc, 2015.
- Hutchings J, Pearson-Blunt R, Pasteur M, et al. A pilot trial of the Incredible Years Autism Spectrum and Language Delays programme. Good Autism Practice 2016;17:15–22.
- Lord C, Rutter M, DiLavore P, et al. Autism diagnostic observation schedule (ADOS) manual. Los Angeles, CA: Western Psychological Services, 1999.
- 25. Achenbach T, Rescorla L. *Child Behavior Checklist.* Burlington, VT: ASEBA, 2000.
- McConachie H, Parr JR, Glod M, et al. Systematic review of tools to measure outcomes for young children with autism spectrum disorder. *Health Technol Assess* 2015;19:1–538.
- 27. Abidin RR. Parenting stress index. 4th edn. Lutz, FL: PAR, 2012.
- Arnold PS, O'Leary SG, Wolff LS, *et al.* The parenting scale. a measure of dysfunctional parenting in discipline situations. *Psychol Assess* 1993;5:137–44.
- 29. Beck AT, Steer RA, Brown GK. *Manual for the Beck Depression Inventory II.* San Antonio, TX: Psychological Corporation, 1996.
- Berument SK, Rutter M, Lord C, *et al.* Autism screening questionnaire: diagnostic validity. *Br J Psychiatry* 1999;175: 444–51.
- Sparrow SS, Balla DA, Cicchetti DV, et al. Vineland adaptive behavior scales: survey form manual. American Guidance Service, 1984.
- Smilkstein G. The Family APGAR: a proposal for a family function test and its use by physicians. *J Family Pract* 1978;6:1231–9.
- Goodman R. The strengths and difficulties questionnaire: a research note. J Child Psychol Psychiatry 1997;38:581–6.
- Eyberg SM, Robinson EA. Dyadic parent-child interaction coding system. Seattle, WA: Parenting Clinic, University of Washington, 1981.
- Patel A, Rendu A, Moran P, *et al.* A comparison of two methods of collecting economic data in primary care. *Family Pract* 2005;22:323–7.
- Herdman M, Gudex C, Lloyd A, *et al.* Development and preliminary testing of the new five-level version of EQ-5D (EQ-5D-5L). *Qual Life Res* 2011;20:1727–36.
- Edwards RT, Ó Céilleachair A, Bywater T, *et al.* Parenting programme for parents of children at risk of developing conduct disorder: cost-effective analysis. *BMJ* 2007;334:682–7.
- Charles JM, Edwards RT, Bywater T, et al. Micro-costing in Public Health Economics: steps towards a standardised framework, using the Incredible Years Toddler Parenting Programme as a worked example. *Prev Sci* 2013;14:377–89.
- Hutchings J. The personal and parental characteristics of preschool children referred to a child and family mental health service and their relation to treatment outcome [PhD thesis]. Bangor, UK: University of Wales, 1996.
- Pandolfi V, Magyar CI, Dill CA. Confirmatory factor analysis of the Child Behavior Checklist 1.5-5 in a sample of children with Autism Spectrum Disorders. *J Autism Dev Disord* 2009;39: 986–95.
- Pandolfi V, Magyar CI, Dill CA. An initial psychometric evaluation of the CBCL 6-18 in a sample of youth with autism spectrum disorders. *Res Autism Spec Disord* 2012;6:96–108.
- Zaidman-Zait A, Mirenda P, Zumbo BD, et al. An item response theory analysis of the Parenting Stress Index-Short Form with parents of children with autism spectrum disorders. J Child Psychol Psychiatry 2010;51:1269–77.
- Le Couteur A, Lord C, Rutter M. *The autism diagnostic interview-revised (ADI-R)*. Los Angeles, CA: Western Psychological Services, 2003.

6

- Snow AV, Lecavalier L. Sensitivity and specificity of the Modified Checklist for Autism in Toddlers and the Social Communication Questionnaire in preschoolers suspected of having pervasive developmental disorders. *Autism* 2008;12:627–44.
- Paul R, Miles S, Cicchetti D, *et al.* Adaptive behavior in autism and pervasive developmental disorder-not otherwise specified: microanalysis of scores on the Vineland Adaptive Behavior Scales. *J Autism Dev Disord* 2004;34:223–8.
- Stone LL, Otten R, Engels RCME, *et al.* Psychometric properties of the parent and teacher versions of the Strengths and Difficulties

Questionnaire for 4- to 12-year-olds: a review. *Clin Child Fam Psychol Rev* 2010;13:245–74.

- 47. Teare M, Hayman A, Dimairo M, *et al.* Sample size requirements for pilot randomised controlled trials with continuous outcomes: a simulation study. *Trials* 2013;14(Suppl 1):P46.
- a simulation study. *Trials* 2013;14(Suppl 1):P46.
 48. Shrive FM, Stuart H, Quan H, *et al.* Dealing with missing data in a multi-question depression scale: a comparison of imputation methods. *BMC Med Res Methodol* 2006;6:57–66.
- Flay B, Biglan A, Boruch R, *et al.* Standards of evidence: criteria for efficacy, effectiveness and dissemination. *Prev Sci* 2005;6:151–75.