1 Trivalent NDV-HXP-S vaccine protects against phylogenetically

2 distant SARS-CoV-2 variants of concern in mice

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28 Abstract

29 Equitable access to vaccines is necessary to limit the global impact of the coronavirus disease 30 2019 (COVID-19) pandemic and the emergence of new severe acute respiratory syndrome 31 coronavirus 2 (SARS-CoV-2) variants. In previous studies, we described the development of a 32 low-cost vaccine based on a Newcastle Disease virus (NDV) expressing the prefusion stabilized 33 spike protein from SARS-CoV-2, named NDV-HXP-S. Here, we present the development of 34 next-generation NDV-HXP-S variant vaccines, which express the stabilized spike protein of the 35 Beta, Gamma and Delta variants of concerns (VOC). Combinations of variant vaccines in 36 bivalent, trivalent and tetravalent formulations were tested for immunogenicity and protection in 37 mice. We show that the trivalent preparation, composed of the ancestral Wuhan, Beta and Delta 38 vaccines, substantially increases the levels of protection and of cross-neutralizing antibodies 39 against mismatched, phylogenetically distant variants, including the currently circulating 40 Omicron variant. 41

42 **Keywords:** Cross-protection, pandemic preparedness, neutralizing antibodies, low-cost

43 vaccine platform, multivalent vaccine44

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47 Introduction

48 Severe acute respiratory syndrome coronavirus 2 (SARS-CoV-2) is the causative agent of the 49 current coronavirus disease 2019 (COVID-19). Since the beginning of the pandemic, the 50 emergence of new variants of concern (VOC) has threatened the protection conferred by vaccines based on the original strain (Figure 1) [1]. In December 2020, the Alpha variant 51 52 (B.1.1.7) and Beta variant (B.1.351) were declared VOC and spread over the world, followed by 53 the Gamma strain (P.1) that was declared a VOC in January 2021. Both Beta and Gamma 54 variants exhibited notable resistance to neutralizing antibodies raised against the original strain 55 in humans [1, 2]. In May 2021, a strong pandemic wave in India gave rise to a new VOC: the 56 Delta variant (B.1.617.2). This new VOC harbored different mutations in the spike that 57 significantly reduced its sensitivity to neutralizing antibodies, and increased transmissibility 58 quickly replacing the previous variants worldwide (Figure 1B) [1, 3]. In November 2021, a new 59 VOC named Omicron appeared in South Africa. Since then, Omicron has taken over worldwide, 60 replacing the Delta variant [4]. Compared to the previous VOC, Omicron presents the highest 61 number of mutations in the spike protein and has shown the highest drop-in neutralization 62 activity [5, 6]. Currently, the Omicron sub-lineage BA.2, seems to show even more immune 63 evasion and transmissibility [7-9].

64 Despite the unprecedented, rapid development of COVID-19 vaccines, only ~63% of the global population are fully vaccinated (as of 14th March 2022) [6]. Hence, there is still a need for 65 66 COVID-19 vaccines that can be produced locally in low- and middle-income countries (LMICs), 67 where the vaccination rates are the lowest worldwide [6]. In previous work, we developed a 68 vaccine candidate named NDV-HXP-S [10] that can be manufactured like influenza virus 69 vaccines at low cost in embryonated chicken eggs in facilities located globally [11]. This 70 vaccine is based on an avirulent Newcastle disease virus (NDV) strain which presents a SARS-71 CoV-2 spike protein stabilized in its prefusion-conformation by the introduction of six proline 72 mutations (HexaPro, HXP-S) [4, 12] and elimination of the furin cleavage site. NDV-HXP-S 73 can be used as live vaccine [11, 13, 14] or as an inactivated vaccine [11, 15]. Clinical trials with 74 a live version are ongoing in Mexico (NCT04871737) and the US (NCT05181709), while the 75 inactivated vaccine is being tested in Vietnam (NCT04830800), Thailand (NCT04764422) and 76 Brazil (NCT04993209). Interim results from the initial Phase I/II trials have demonstrated that 77 the vaccine was safe and immunogenic [15-17].

Here, we present the development of NDV-HXP-S variant vaccines displaying the VOC spike proteins of Beta, Gamma and Delta. We tested the immunogenicity and protection induced by vaccination with inactivated NDV-HXP-S variants in mice. We observed that variant-specific vaccines induced the strongest antibody responses towards the homologous SARS-CoV-2 VOC.
Furthermore, we found that a combination of multivalent NDV-HXP-S with the Wuhan, Beta

and Delta provided a broader protection against a panel of VOC than the monovalentformulations.

85

86 **Results**

87 Design and production of NDV-HXP-S variant vaccines

88 NDV-HXP-S variant vaccines based on three VOC, Beta (B.1.351), Gamma (P.1) and Delta 89 (B.1.617.2), were rescued using reverse genetics in mammalian cell cultures and further 90 amplified in specific-pathogen free (SPF) embryonated chicken eggs as previously described 91 (Figure 2) [11]. The nucleotide sequence of the constructs was codon-optimized for mammalian 92 host expression. The HXP-S sequence was inserted between the P and M genes of the NDV genome. We removed the polybasic cleavage site (⁶⁸²RRAR⁶⁸⁵) and replaced the transmembrane 93 94 domain (TM) and cytoplasmic tail (CT) of the spike with those from the fusion (F) protein of La 95 Sota NDV. The HXP mutations were introduced into the spike S2 region of all three variant S 96 proteins to improve their stability as reported previously (Figure 2A & 2B) [12]. In addition, we 97 found that a completely cleaved Delta spike was observed when the Delta specific S-P681R 98 mutation was included. Therefore, we kept the 681 as proline to ensure the homogeneity of 99 prefusion conformation (S0) (Figure 2C).

Research-grade beta-propiolactone (BPL) inactivated NDV-HXP-S variant vaccine preparations were produced. Virus was concentrated from the harvested allantoic fluid through a sucrose cushion and resolved on a sodium dodecyl–sulfate polyacrylamide gel (SDS–PAGE) with Coomassie Blue staining to evaluate the presence of all NDV-HXP-S proteins. NDV-HXP-S variants showed an extra band between 160 kDa and 260 kDa below the L protein of the NDV that corresponds to the size of the uncleaved spike (S0, Figure 2D) [11].

106

107 NDV-HXP-S variant vaccines protect against homologous challenge in mouse models

108 The immunogenicity and in vivo protection of the new NDV-HXP-S Beta and Gamma vaccine 109 candidates was first evaluated in BALB/c mice transduced with a non-replicating human 110 adenovirus 5 expressing human angiotensin-converting enzyme 2 (Ad5-hACE2) (Figure 3A). A 111 two-dose intramuscular (IM) vaccination regimen with a total protein content of 1 up per mouse 112 of purified NDV-HXP-S vaccine candidate with a 3-week period between doses was followed. 113 Three weeks after the boost, BALB/c mice were transduced by intranasal (IN) administration of 114 the Ad5-hACE2 and five days later mice were challenged with SARS-CoV-2 viruses via the IN 115 route, as previously described [18]. Two days after challenge, viral titers in the lung 116 homogenates were quantified by plaque assays. Vaccination with wild type NDV was used as 117 negative control (Figure 3B).

A viral titer reduction of 2,237-fold, 2,015-fold and 285-fold was obtained in the homologous
challenge using monovalent vaccination regimens with Wuhan, Beta and Gamma NDV-HXP-S

120 vaccines compared to the negative control, respectively (*p.value* <0.05 versus the neg.control, 121 Figure 3C). Heterologous protection with Wuhan NDV-HXP-S against Beta and Gamma 122 challenge was observed with a viral titer reduction of 51-fold and 120-fold compared to the 123 negative control, respectively, in agreement with previous studies [11]. Beta NDV-HXP-S 124 vaccine showed cross-protection against the Gamma variant challenge, and vice versa. However, 125 an asymmetric weaker protection of Beta and Gamma vaccines was observed against the Wuhan 126 challenge.

127 Together with the *in vivo* challenge study, mice were bled 3-weeks after boost to measure the 128 spike-specific IgG levels. Antibody titers after vaccination against Wuhan, Beta and Gamma 129 spikes were compared in Figures 3D-F, respectively. Wuhan spike-specific IgG serum antibody 130 titers were higher after the vaccination with the original construct compared to Beta and Gamma 131 NDV-HXP-S vaccinations (Figure 3D). Similar Beta and Gamma spike-specific antibody titers 132 were obtained with all three vaccination regimens (Figure 3E-F). These results correlate with 133 the challenge against the three viruses, where a similar cross-protection was obtained against 134 Beta and Gamma challenges with all three vaccination regimens. As the Delta variant emerged, 135 we also measured post-boost serum antibodies against the Delta spike (Figure 3G). Compared to 136 the other spike-specific titers, the levels of cross-reactive antibodies against the Delta spike were 137 reduced in all three vaccination groups, suggesting a higher drop in protection against this 138 variant with the current constructs tested.

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140 Trivalent and tetravalent NDV-HXP-S variant vaccinations increase protection *in vivo*141 against phylogenetically distant SARS-CoV-2 variants

142 Following the characterizations of Beta and Gamma NDV-HXP-S vaccines, the Delta VOC 143 appeared in India and rapidly replaced the other variants (Figure 1B). When the Delta vaccine 144 construct was generated, a second mouse immunization and challenge study was performed 145 testing vaccine formulations containing the Delta component (Figure 4A). Based on the 146 previous results, the following groups were evaluated: monovalent Wuhan, monovalent Delta, 147 bivalent Wuhan and Delta, sequential vaccination with a first dose of Wuhan followed by Delta, 148 trivalent (Wuhan, Delta and Beta) and tetravalent (Wuhan, Delta, Beta and Gamma). In all cases, 149 the vaccination dose was 1 µg of total protein (Figure 4B).

In this study, we wanted to test not only the protection against homologous challenge, but also against a phylogenetically distant SARS-CoV-2 variant, which is unmatched to any vaccine component. To do so, animals in each group were divided into 3 subgroups and challenged with Wuhan, Delta and Mu variants (Figure 4C). As expected, Wuhan and Delta showed protection against its homologous virus challenge. Of note, bivalent, trivalent and tetravalent vaccines showed comparable levels of protection as the homologous monovalent vaccines against Washington 1 (Wuhan-like) and Delta challenges. Viral titers were reduced in trivalent 157 condition 21,845-fold and 3,119-fold compared to the negative control in Wuhan and Delta 158 challenges, respectively. However, sequential vaccination regimen was shown to be less 159 protective, with only a 54-fold mean titer reduction in Delta challenge. In the case of the Mu 160 challenge, trivalent formulation was proven to be the best with a titer reduction of 594-fold 161 (Figure 4C, p.value <0.0001 compared to the neg. control). This reduction was 2.4 times higher 162 than any of the other vaccination strategies tested including that of the tetravalent preparation 163 (for discussion see below). 164 Considering the new emergence of the Omicron variant, we decided to compare the neutralizing 165 activity of post-boost serum antibodies against the Wuhan, Delta, Beta and Omicron variants in

- 166 authentic virus neutralization assays (Figure 4D). A similar 50% inhibitory dilution (ID₅₀) was 167 obtained against Wuhan in all vaccinated groups except for Delta (0.4-fold compared to Wuhan). 168 In the case of Delta microneutralization, the neutralizing activity was increased in the trivalent 169 and tetravalent (2.0-fold and 2.5-fold compared to the ancestral strain, respectively), whereas 170 Wuhan alone and sequential showed a smaller neutralizing activity . A similar ID_{50} was 171 obtained against Beta with monovalent, bivalent, and sequential strategies, whereas it was 172 increased 2.5-fold and 2.7-fold in the trivalent and tetravalent groups, respectively. Little 173 neutralizing activity was found against Omicron in the Wuhan vaccination, whereas the Delta 174 vaccine showed a 5.6-fold increase compared to the vaccination with the monovalent Wuhan 175 NDV-HXP-S. The neutralization titer against the Omicron was further increased by the trivalent 176 and tetravalent vaccines (9.3-fold and 11.0-fold compared to the monovalent ancestral NDV-177 HXP-S vaccine group, respectively).
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179 Trivalent and tetravalent NDV-HXP-S vaccinations increase antibody binding to the spike 180 of SARS-CoV-2 variants

181 In the attempt to elucidate the mechanism of cross-protection induced by the multivalent 182 vaccine formulations, antibody-binding profiles were measured against a panel of SARS-CoV-2 183 spike proteins, including the Wuhan, Delta, Alpha, Beta, Gamma and Omicron (Figure 5A-B). 184 Wuhan and Delta spike-specific IgG levels were comparable among all vaccination groups. In 185 the case of Beta, an increase of 2.9-fold and 1.9-fold were observed in the trivalent and 186 tetravalent vaccination compared to monovalent Wuhan group, respectively. An increase was 187 also observed against Alpha (1.7-fold) and Gamma spikes (1.5-fold) in the trivalent condition 188 (Figure 5A). Finally, vaccinated mice sera were also tested against Omicron spike. Omicron 189 presents almost 40 different amino acid changes and deletions. A general reduction in antibody 190 titers against Omicron spike was found in all groups compared to the other spikes tested (Figure 191 5A, see Y axes). Despite this drop, the addition of Delta and Beta increased spike-specific IgG 192 titers against Omicron: 2.4-fold in the Delta vaccinated group, 5.7-fold with trivalent and 3.0 193 times with the tetravalent vaccinated group compared to the Wuhan vaccinated group, which

correlates with the neutralization titers against Omicron (Figure 4D). Overall, the trivalent
immunization regimen induced the highest titers of spike-specific antibody levels across all the
variants tested (Figure 5B).

197

198 Discussion

The COVID-19 pandemic has seen an unprecedented race in the development of next 199 200 generation vaccines. Compared to the traditionally slow vaccine development, novel vaccine 201 platforms such as Moderna and Pfizer/BioNTech's mRNA vaccines or Janssen and 202 AstraZeneca's adenovirus-based vaccines have been authorized for emergency use in one year 203 [6, 19]. Despite this tremendous effort, only ~63% of the global population has been fully 204 vaccinated, largely due to unequal vaccine distribution (as of 14th of March 2022) [6]. This fact, 205 together with the continuing emergence of VOC, which present a higher transmissibility and 206 resistance to vaccine-mediated protection, has caused thousands of deaths per day since the 207 beginning of the pandemic. In the last few months, the situation has worsened with the arrival of 208 Omicron, where the world has experienced the highest number of daily cases to date [6].

209 With the NDV-based vaccine platform, we successfully constructed variant-specific vaccines 210 for Beta, Gamma, and Delta variants. In mice, variant-specific vaccines effectively conferred 211 protection against homologous viruses. To test if the co-administration of prior variants could 212 potentially induce cross-protective immune responses against unmatched and antigenically 213 distinct lineages, we examined bivalent, sequential, trivalent, and tetravalent formulations of 214 inactivated NDV-HXP-S variant vaccines. Bivalent vaccination with Wuhan and Delta showed 215 an improved immunogenicity compared to the monovalent vaccinations, whereas the sequential 216 strategy, where the same Wuhan and Delta candidates were given in consecutive doses, showed 217 no improvement compared to the monovalent Wuhan NDV-HXP-S group. Comparable levels 218 of protection by a sequential administration of variant-like vaccines versus a booster dose of the 219 original vaccine candidate have been observed in other preclinical studies [20, 21], however the 220 long-term benefits of these heterologous boosters are still to be investigated.

221 Trivalent and tetravalent formulations presented synergistic responses in terms of the protection 222 to the unmatched Mu VOC in challenge studies in mice (Figure 4C). Similarly, in vitro 223 microneutralization assays have always demonstrated an increase in cross-neutralizing 224 antibodies against all viruses tested, including against the Omicron variant (Figure 4D). 225 Phylogenetically, Wuhan, Beta, Gamma and Delta are distinct from each other. A multivalent 226 vaccination may induce several repertoires of cross-neutralizing antibodies that might target 227 some common epitopes with other unmatched variants. There are several explanations to this 228 phenomenon. One possibility is that each variant contributes with unique neutralizing epitope(s) 229 that expand the repertoire of neutralization responses. It is also possible that conserved 230 neutralizing epitopes shared by all the variants are more immunodominant in one variant than

the other due to conformational halosteric changes resulting from spike mutations. Therefore, one variant may have induced more cross-neutralizing antibodies than the other. When trivalent and tetravalent vaccination regimens are compared between with each other, no advantage of the tetravalent regimen compared to trivalent was observed in the *in vivo* challenge experiment in mice. The dose reduction from 0.33 μ g to 0.25 μ g per variant or the lower immunogenicity of the Gamma vaccine might explain these results.

The NDV-HXP-S vaccine has proven its safety and immunogenicity in several preclinical and clinical studies. As an egg-based vaccine, NDV-HXP-S could be produced using the egg-based influenza virus vaccine manufacturing capacities located worldwide. Sparrow and colleagues estimated that influenza virus vaccine manufacturing capacities on its own can produce billions of doses annually [10]. Here, vaccination with a trivalent formulation in mice was performed by maintaining the total dose as that of the monovalent vaccine (1µg of total protein). Hence, the manufacturing capabilities required to produce this new formulation will remain the same.

- 244 In summary, we present the development of novel NDV-HXP-S variant vaccines and test their 245 combination in a trivalent formulation to extend the generation of neutralizing antibodies 246 against unmatched VOCs. This formulation exceeds the breadth of protection of the other 247 formulations we have tested so far. This is of special interest because Omicron (B.1.1.529 or 248 BA.1) has quickly diverged into different sub-lineages (BA.1.1, BA.2 and BA.3) and has gained 249 prevalence globally (www.nextstrain.org/ncov). A variant-specific vaccine of any platform 250 comes with an intrinsic disadvantage. It can only be used after the new vaccine candidate is 251 produced and authorized by the health authorities. We believe that a more thorough selection 252 and testing of several variant vaccines in multivalent formulations might be an effective strategy 253 for vaccination against future SARS-CoV-2 variants.
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255 Data availability

256 Data is available upon request.

257

258 Ethics Statement

- All animal procedures in this study were performed in accordance with the animal protocol that
- 260 was reviewed and approved by the Icahn School of Medicine at Mount Sinai Institutional
- Animal Care and Use Committee (IACUC).
- 262

263 Authors Contribution

264 Conceptualization and design: IGD, WS, PP; NDV rescue and characterization: JLMG, SS, NL;

- vaccine preparation and animal experiments; IGD, YL, WS; serology: IGD, TYL, SM; protein
- and virus reagents; GS(a), GS(b), MS, IM, LC, AG-S; microneutralization assay: JMC, FK; data

analysis: IGD, WS, PP; first draft: IGD, WS, PP; manuscript was reviewed and approved by allauthors.

269

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280 **Competing interests**

281 The Icahn School of Medicine at Mount Sinai has filed patent applications entitled 282 "RECOMBINANT NEWCASTLE DISEASE VIRUS EXPRESSING SARS-COV-2 SPIKE 283 PROTEIN AND USES THEREOF" which names P.P., F.K., AG-S., and W.S. as inventors. The 284 AG-S laboratory has received research support from Pfizer, Senhwa Biosciences, Kenall 285 Manufacturing, Avimex, Johnson & Johnson, Dynavax, 7Hills Pharma, Pharmamar, 286 ImmunityBio, Accurius, Hexamer, N-fold LLC, Model Medicines, Atea Pharma, Merck and 287 Nanocomposix, and AG-S has consulting agreements for the following companies involving 288 cash and/or stock: Vivaldi Biosciences, Contrafect, 7Hills Pharma, Avimex, Vaxalto, Pagoda, 289 Accurius, Esperovax, Farmak, Applied Biological Laboratories, Pharmamar, Paratus, CureLab 290 Oncology, CureLab Veterinary, Synairgen and Pfizer. The Icahn School of Medicine at Mount 291 Sinai has filed patent applications relating to SARS-CoV-2 serological assays (U.S. Provisional 292 Application Numbers: 62/994,252, 63/018,457, 63/020,503 and 63/024,436) which list Florian 293 Krammer as co-inventor. Mount Sinai has spun out a company, Kantaro, to market serological 294 tests for SARS-CoV-2. Florian Krammer has consulted for Merck and Pfizer (before 2020), and 295 is currently consulting for Pfizer, Third Rock Ventures, Seqirus and Avimex. The Krammer 296 laboratory is also collaborating with Pfizer on animal models of SARS-CoV-2. All other authors 297 declared no competing interests.

298

299 Materials and methods

300 Cells

301 DF-1, (ATCC® CRL-12203), BSRT7 [22], and VERO-E6 (ATCC, CRL-1586) were
 302 maintained in Dulbecco's Modified Eagle's Medium (DMEM; Gibco, MA, USA) containing 10%

303 (vol/vol) fetal bovine serum (FBS), 100 unit/mL of penicillin, 100 μ g/mL of streptomycin (P/S; 304 Gibco) and 10 mM 4-(2-hydroxyethyl)-1-piperazineethanesulfonic acid (HEPES) at 37°C with 305 5% CO₂. VERO-TMPRSS2 cells (BPS Biosciences, #78081) were maintained in DMEM 306 (Gibco) containing 10% (vol/vol) FBS, 100 unit/mL of penicillin, 100 μ g/mL of streptomycin 307 (P/S; Gibco), 5 mL of Nonessential Amino Acid Solution (NEAA, CorningTM MEM, NY, USA), 308 3 μ g/mL puromycin (Invivogen, CA, USA) and 0.1 mg/mL Normocin (Invivogen) at 37°C with 309 5% CO₂.

310

311 Plasmids

312 Spike variant mutations were introduced into the HXP-S sequence in silico and the new 313 constructs were obtained as synthetic double-stranded DNA fragments from Integrated DNA 314 Technologies, using the gBlocks® Gene Fragments service and PCR [11]. Briefly, the variant 315 HXP-S were inserted into the pNDV LS/L289A rescue plasmid (between P and M genes) by 316 in-Fusion cloning (Clontech, CA, USA). The recombinant product was transformed into MAX EfficiencyTM Stbl2TM Competent Cells (Thermo Fisher Scientific, MA, USA) to generate the 317 pNDV-HXP-S rescue plasmid. The plasmid was purified using PureLinkTM HiPure Plasmid 318 319 Maxiprep Kit (Thermo Fisher Scientific).

320

321 Rescue of the NDV-HXP-S

322 As described in our previous studies [23], BSRT7 cells stably expressing the T7 polymerase were seeded onto 6-well plates at 3 x 10^5 cell per well in duplicate. The next day, 323 324 cells were transfected with 2 µg of pNDV-HXP-S, 1 µg of pTM1-NP, 0.5 µg of pTM1-P, 0.5 µg 325 of pTM1-L and 1 µg of pCI-T7opt and were re-suspended in 250 µl of a modified Eagle's 326 Minimum Essential Medium (Opti-MEM; Gibco). The plasmid cocktail was then gently 327 mixed with 15 µL of TransIT LT1 transfection reagent (Mirus, GA, USA). The growth media 328 was replaced with Opti-MEM during transfection. To increase rescue efficiency, BSRT7-DF-1 329 co-culture was established the next day as described previously [24]. Specifically, transfected 330 BSRT7 and DF-1 cells were washed with warm PBS and trypsinized. Trypsinized cells were 331 neutralized with excessive amount of growth media. BSRT7 cells were mixed with DF-1 cells 332 (~1: 2.5) in a 10-cm dish. The co-culture was incubated at 37° C overnight. The next day, the 333 media was removed, and cells were gently washed with warm PBS, Opti-MEM supplemented 334 with 1% P/S and 0.1 µg/ml of (tosyl phenylalanyl chloromethyl ketone) TPCK- treated trypsin 335 was added. The co-cultures were incubated for 2 or 3 days before inoculation into 8- or 9-day-336 old specific pathogen free (SPF) embryonated chicken eggs (Charles River Laboratories, CT, 337 USA). To inoculate eggs, cells and supernatants were harvested and homogenized by several 338 syringe strokes. One or two hundred microliters of the mixture were injected into each egg. 339 Eggs were incubated at 37 °C for 3-5 days and cooled at 4°C overnight. Allantoic fluids (AF)

were harvested from cooled eggs and the rescue of the viruses was determined by hemagglutination (HA) assays. RNA of the rescued virus was extracted, and RT-PCR was performed to amplify the cDNA segments of the viral genome. The cDNA segments were then sequenced by Sanger sequencing (Psomagen, MA, USA). The genetic stability of the recombinant viruses was evaluated across multiples passages in 10 days old-SPF embryonated chicken eggs.

346

347 Virus titration by EID₅₀ assays

Fifty percent of (embryonated) egg infectious dose (EID₅₀) assay was performed in 9 to 11-day old chicken embryonated eggs. Virus in allantoic fluid was 10-fold serially diluted in PBS, resulting in 10^{-5} to 10^{-10} dilutions of the virus. One hundred microliters of each dilution were injected into each egg for a total of 5-10 egg per dilution. The eggs were incubated at 37 °C for 3 days and then cooled at 4°C overnight, allantoic fluids were collected and analyzed by HA assay. The EID₅₀ titer of the NDV, determined by the number of HA-positive and HAnegative eggs in each dilution, was calculated using the Reed and Muench method.

355

356 **Preparation of inactivated concentrated virus**

357 The viruses in the allantoic fluid were first inactivated using 0.05% beta-propiolactone 358 (BPL) as described previously [23]. To concentrate the viruses, allantoic fluids were clarified by 359 centrifugation at 4,000 rpm at 4°C for 30 min using a Sorvall Legend RT Plus Refrigerated 360 Benchtop Centrifuge (Thermo Fisher Scientific). Clarified allantoic fluids were laid on top of a 361 20% sucrose cushion in PBS (Gibco). Ultracentrifugation in a Beckman L7-65 ultracentrifuge at 362 25,000 rpm for 2 hours at 4°C using a Beckman SW28 rotor (Beckman Coulter, CA, USA) was 363 performed to pellet the viruses through the sucrose cushion while soluble egg proteins were 364 removed. The virus pellets were re-suspended in PBS (pH 7.4). The total protein content was 365 determined using the bicinchoninic acid (BCA) assay (Thermo Fisher Scientific).

366

367 SDS-PAGE and Western Blot

The concentrated NDV-HXP-S or WT NDV was mixed with NovexTM Tris-Glycine SDS Sample Buffer (2X) (Thermo Fisher Scientific), NuPAGETM Sample Reducing Agent (10 x) (Thermo Fisher Scientific) and PBS at appropriate amounts to reach a total protein content. The mixture was heated at 90 °C for 5 min. The samples were mixed by pipetting and loaded to a 4-20% 10-well Mini-PROTEAN TGXTM precast gel. Ten microliters of the NovexTM Sharp Prestained Protein standard (Thermo Fisher Scientific) was used as the ladder. The electrophoresis was run in Tris/Glycine SDS/Buffer (Bio-Rad).

For comassie blue staining, the gel was washed with distilled water at room temperature several times until the dye front in the gel was no longer visible. The gel was stained with 20 mL of

SimplyBlueTM SafeStain (Thermo Fisher Scientific) for a minimum of 1 h to overnight. The
SimplyBlueTM SafeStain was decanted and the gel was washed with distilled water several times
until the background was clear. Gels were imaged using the Bio-Rad Universal Hood
IiIMolecular imager (Bio-Rad) and processed by Image Lab Software (Bio-Rad).

381 For Western Blot, proteins were transferred onto polyvinylidene difluoride (PVDF) membrane 382 (GE Healthcare, IL, USA). The membrane was blocked with 5% dry milk in PBS containing 0.1% 383 v/v Tween 20 (PBST) for 1h at RT. The membrane was washed with PBST on a shaker 3 times 384 (10 min at RT each time) and incubated with primary antibodies diluted in PBST containing 1% 385 bovine serum albumin (BSA) overnight at 4°C. To detect the spike protein of SARS-CoV-2, a 386 mouse monoclonal antibody 2B3E5 recognizing the S1 kindly provided by Dr. Thomas Moran 387 at ISMMS was used. The HN protein was detected by a mouse monoclonal antibody 8H2 388 (MCA2822, Bio-Rad). The membranes were then washed with PBST on a shaker 3 times (10 389 min at RT each time) and incubated with sheep anti-mouse IgG linked with horseradish 390 peroxidase (HRP) diluted (1:2,000) in PBST containing 5% dry milk for 1h at RT. The 391 secondary antibody was discarded and the membranes were washed with PBST on a shaker 3 392 times (10 min at RT each time). Pierce[™] ECL Western Blotting Substrate (Thermo Fisher 393 Scientific) was added to the membrane, the blots were imaged using the Bio-Rad Universal 394 Hood II Molecular imager (Bio-Rad) and processed by Image Lab Software (Bio-Rad).

395

396 Animal experiments

397 All the animal experiments were performed in accordance with protocols approved by 398 the Icahn School of Medicine at Mount Sinai (ISMMS) Institutional Animal Care and Use 399 Committee (IACUC). All experiments with live SARS-CoV-2 were performed in the Centers 400 for Disease Control and Prevention (CDC)/US Department of Agriculture (USDA)-approved 401 biosafety level 3 (BSL-3) biocontainment facility of the Global Health and Emerging Pathogens 402 Institute at the Icahn School of Medicine at Mount Sinai, in accordance with institutional 403 biosafety requirements.

404

405 Mouse immunization and challenge studies

406 Female BALB/c mice were used in all studies. Intramuscular vaccination using 1 µg of 407 total protein of inactivated NDV-HXP-S vaccine or negative control WT NDV was prepared in 408 100 ul total volume. Two immunizations were performed for all the mice with a 21-day interval. 409 For SARS-CoV-2 infection, mice were intranasally infected with 2.5 x 10^8 plaque forming units (PFU) of Ad5-hACE2 5 days prior to being challenged with 1×10^5 PFU USA-WA1/2020 410 411 strain (Wuhan-like), 3.4×10^4 PFU of the hCoV-19/USA/MD-HP01542/2021 JHU strain (Beta, 412 kindly provided by Dr. Andrew Pekosz from Johns Hopkins Bloomberg School of Public Health), 6.3×10^4 PFU of the hCoV-19/Japan/TY7-503/2021 strain (Gamma), 1.6×10^5 PFU of 413

414 the hCoV-19/USA/NYMSHSPSP-PV29995/20212021 strain (Delta, obtained from Dr. Viviana Simon (Mount Sinai Pathogen Surveillance program) and 5.0×10^3 PFU of the hCoV-415 416 19/USA/WI-UW-4340/2021strain2021strain (Mu, kindly provided by Dr. Michael S. Diamond 417 from Washington University Medical School in St. Louis). Viral titers in the lung homogenates 418 of mice 2 days post-infection were used as the readout for protection. Briefly, the lung lobes 419 were harvested from a subset of animals per group and homogenized in 1 mL of sterile PBS. 420 Viral titers in the lung homogenates were measured by plaque assay on Vero-E6 or Vero-421 TMPRSS2 cells. Blood was collected by submandibular vein bleeding. Sera were isolated by 422 low-speed centrifugation and stored at -80°C before use.

423

424 **Recombinant proteins**

425 Recombinant WA1, Beta, Alpha and Omicron spike proteins and recombinant WA1, 426 Beta, Alpha, Gamma, Delta and Omicron RBDs were generated and expressed in Expi293F 427 cells (Life Technologies, Thermo Fisher Scientific) as previously described [25, 26]. Proteins 428 were then purified after transfections with each respective plasmid. Briefly, the 429 mammalian-cell codon-optimized nucleotide sequence of a soluble spike protein (amino acids 430 1-1,213) lacking the polybasic cleavage site, carrying two stabilizing mutations (K986P and 431 V987P), a signal peptide, and at the C-terminus a thrombin cleavage site, a T4 fold-on 432 trimerization domain, and a hexahistidine tag was cloned into the mammalian expression vector 433 pCAGGS. https://www.beiresources.org/).Protein was purified using gravity flow purification 434 with Ni-nitrilotriacetic acid (NTA) agarose (Oiagen, Germany) and concentrated and buffer 435 exchanged in Amicon centrifugal units (EMD Millipore, MA, USA). The purified recombinant 436 proteins were analyzed via reducing sodium dodecyl sulfate-polyacrylamide gel electrophoresis 437 (SDS-PAGE). The desired protein folding was confirmed through ELISAs using the Receptor 438 Binding Domain (RBD)-specific monoclonal antibody CR3022 [27]. Recombinant Gamma 439 (10795-CV-100) and Delta (10878-CV-100) spike proteins were purchased from R&D Systems 440 (R&D Systems, Bio-Techne, MN, USA).

441

442 Enzyme-linked immunosorbent assay (ELISA)

443 Spike-specific IgG in mice sera vaccinated with NDV-HXP-S was measured by ELISA 444 as described previously [23, 28]. Proteins were coated onto Immulon® 4 HBX 96-well 445 microtiter plates (Thermo Fisher Scientific) at 2 µg/mL in 1x coating buffer (SeraCare Life 446 Sciences Inc., MA, USA) at 50 µL/well overnight at 4°C. All plates were washed 3 times with 447 225 µL PBS containing 0.1% (vol/vol) Tween-20 (PBST) and 220 µL blocking solution (3% 448 goat serum, 0.5% non-fat dried milk powder, 96.5% PBST) was added to each well and 449 incubated for 1 hour at RT. Individual serum samples or pooled sera were serially diluted 3-fold 450 in blocking solution followed by a 2-hour incubation at RT at a starting dilution of 1:30. ELISA

451 plates were afterwards washed 3 times with PBST and 50 µL of anti-mouse IgG-horseradish peroxidase (HRP) conjugated antibody (Cytiva, GE Healthcare) was added at a dilution of 452 453 1:3,000 in blocking solution. After 1 hour, plates were washed 3 times with PBST and 454 developed using SigmaFast OPD (Sigma-Aldrich, MI, USA) for 10 minutes. Reactions were 455 stopped by adding 50 µL 3M hydrochloric acid and absorbance at 492 nm was determined on a 456 Synergy 4 plate reader (BioTek, Agilent Technologies inc., CA, USA) or similar. For each 457 ELISA plate, the blank average absorbance plus 3 standard deviations was used as a cutoff to 458 determine endpoint titers and the area under the curve (AUC) using GraphPad Prism.

459

460 Microneutralization assays using the authentic SARS-CoV-2 viruses

461 Microneutralization assays using the authentic SARS-CoV-2 viruses were performed as 462 described previously in Vero-TMPRSS2 [5]. All procedures were performed in a BSL-3 facility 463 at the Icahn School of Medicine at Mount Sinai following standard safety guidelines. Vero-464 TMPRSS2 cells were seeded in 96-well high binding cell culture plates (Costar, Corning) at a 465 density of 20,000 cells/well in complete Dulbecco's modified Eagle medium (cDMEM) one day 466 prior to the infection. Heat inactivated serum samples (56°C for 1 hour) were serially diluted (3-467 fold) in minimum essential media (MEM; Gibco) supplemented with 2 mM L-glutamine 468 (Gibco), 0.1% sodium bicarbonate (w/v, HyClone), 10 mM HEPES (Gibco), 100 U/ml 469 penicillin, 100 µg/ml streptomycin (P/S; Gibco) and 0.2% BSA (MP Biomedicals, CA, USA) 470 starting at 1:10. Remdesivir (Medkoo Bioscience inc., NC, USA) was included to monitor assay 471 variation. Serially diluted sera were incubated with 10,000 TCID50 per mL of Wuhan-like WT USA-WA1/2020 SARS-CoV-2, PV29995/2021 (B.1617.2, Delta), MSHSPSP-PV27007/2021 472 473 (B.1.351, Beta) and PV44488/2021 (B.1.1.529, Omicron) for one hour at RT, followed by the 474 transfer of 120 µl of the virus-sera mix to Vero-TMPRSS2 plates. Infection proceeded for one 475 hour at 37°C and inoculum was removed. 100 µl/well of the corresponding antibody dilutions 476 plus 100 µl/well of infection media supplemented with 2% FBS (Gibco) were added to the cells. 477 Plates were incubated for 48h at 37°C followed by fixation overnight at 4°C in 200 µl/well of a 478 10% formaldehyde solution. For staining of the nucleoprotein, formaldehyde solution was 479 removed, and cells were washed with PBS (pH 7.4) (Gibco) and permeabilized by adding 150 480 µl/well of PBS, 0.1% Triton X-100 (Fisher Bioreagents, MA, USA) for 15 min at RT. 481 Permeabilization solution was removed, plates were washed with 200 µl/well of PBS (Gibco) 482 twice and blocked with PBS, 3% BSA for 1 hour at RT. During this time the primary antibody 483 was biotinylated according to manufacturer protocol (Thermo Scientific EZ-Link NHS-PEG4-484 Biotin). Blocking solution was removed and 100 µl/well of biotinylated mAb 1C7C7, a mouse 485 anti-SARS nucleoprotein monoclonal antibody generated at the Center for Therapeutic 486 Antibody Development at The Icahn School of Medicine at Mount Sinai ISMMS (Millipore 487 Sigma) at a concentration of 1µg/ml in PBS, 1% BSA was added for 1 hour at RT. Cells were

488 washed with 200 µl/well of PBS twice and 100 µl/well of HRP-conjugated streptavidin (Thermo 489 Fisher Scientific) diluted in PBS, 1% BSA were added at a 1:2,000 dilution for 1 hour at RT. 490 Cells were washed twice with PBS, and 100 µl/well of Sigmafast OPD (Sigma-Aldrich) were 491 added for 10 min at RT, followed by addition of 50 µl/well of a 3 M HCl solution (Thermo 492 Fisher Scientific). Optical density (OD) was measured (490 nm) using a microplate reader 493 (Synergy H1; Biotek). Analysis was performed using GraphPad Prism 7 software. After 494 subtraction of background and calculation of the percentage of neutralization with respect to the 495 "virus only" control, a nonlinear regression curve fit analysis was performed to calculate the 496 50% inhibitory dilution (ID50), with top and bottom constraints set to 100% and 0% 497 respectively. All samples were analyzed in a blinded manner.

498

499 SARS-CoV-2 plaque assay

500 Plaque assays with SARS-Cov-2 viruses were performed in the BSL3 facility. Vero-E6 501 cells or Vero-TMPRSS2 were seeded onto 12-well plates in growth media at 1:5 and cultured 502 for two days. Tissue homogenates were 10-fold serially diluted in infection medium (DMEM 503 containing 2% FBS, 100 unit/mL of penicillin, 100 µg/mL of streptomycin (P/S; Gibco) and 10 504 mM HEPES). Two hundred microliters of each dilution were inoculated onto each well starting 505 with a 1:10 dilution of the sample. The plates were incubated at 37°C for 1 h with occasional 506 rocking every 10 min. The inoculum in each well was then removed and 1 mL of agar overlay 507 containing 0.7% of agar in 2 x MEM was placed onto each well. Once the agar was solidified, 508 the plates were incubated at 37 °C with 5% CO₂. Two days later, the plates were fixed with 5% 509 formaldehyde in PBS overnight before being taken out from BSL3 for subsequent staining 510 under BSL2 conditions. The plaques were immuno-stained with an anti-SARS-CoV-2 NP 511 primary mouse monoclonal antibody 1C7C7 kindly provided by Dr. Thomas Moran at ISMMS. 512 An HRP-conjugated goat anti-mouse secondary antibody was used at 1:2000 and the plaques were visualized using TrueBlueTM Peroxidase Substrate (SeraCare Life Sciences Inc.) 513

514

515 **Phylogenetic tree**

The phylogenetic tree was built from 3057 SARS-CoV-2 genomes samples between December 2019 and February 2022. Phylogenetic tree and frequency timelines were obtained from the Nextstrain/ncov Project within the GISAID initiative (<u>www.nextstrain.org/ncov</u>) under CC-BY[29, 30].

- 520
- 521 Statistics

A one-way ANOVA with Dunnet multiple comparisons test was used to compare the
plaque assay and ELISA biding titers. Statistical analyses were performed using Prism software
(GraphPad).

525526 Figure legends

527

528 Figure 1: Evolution of SARS-CoV-2 and appearance of variants of concern (VOC) (A) 529 Phylogenetic tree (15-Dec-2019 to 06-Feb-2022) with 3057 genomes showing the global 530 evolutionary relationships of SARS-CoV-2 viruses from the ongoing COVID-19 pandemic (B) 531 Timeline (15-Dec-2019 to 06-Feb-2022) graph showing the global frequencies by clade of the 532 different SARS-CoV-2 viruses. Graphics were adapted from the website 533 /nextstrain.org/ncov/gisaid/global (accessed 05-Feb-2022 CC-BY [29, 30])

534

535 Figure 2: Design, production, and characterization of NDV-HXP-S variant vaccines (A) 536 Structure and design of the NDV-HXP-S construct. The different SARS-CoV-2 spike sequences 537 were introduced between the P and M genes of LaSota L289A NDV strain. The ectodomain of 538 the spike was connected to the transmembrane domain and cytoplasmic tail (TM/CT) of the F 539 protein of the NDV. The original polybasic cleavage site was removed by mutating RRAR to A. 540 The HexaPro (F817P, A892P, A899P, A942P, K986P and V987P) stabilizing mutations were 541 introduced. The sequence was codon-optimized for mammalian host expression. Original 542 WuhanHXP-S sequence is aligned with the new variant constructs: Beta, Gamma and Delta. 543 Changes in the N-terminal domain (NTD), the Receptor Binding Domain (RBD) and in the 544 Spike 2 (S2) with respect to the original WuhanHXP-S sequence are highlighted in red, pink 545 and light purple, respectively. (B) NDV-HXP-S variants were rescued by reverse genetics as 546 previously described [24]. Cells were co-transfected with the expression plasmid required for 547 replication and transcription of the NDV viral genome (NP, P, and L), together with the full 548 length NDV cDNA. After 2 or 3 days, the tissue culture supernatants were inoculated into eight-549 or nine-day-old specific pathogen free (SPF) embryonated chicken eggs. Antigen identity was 550 confirmed by biochemical methods and sequencing. The genetic stability of the recombinant 551 viruses was evaluated across multiples passages on ten days old-SPF embryonated chicken eggs. 552 NDV-HXP-S vaccine was inactivated with BPL and purified by sucrose cushion 553 ultracentrifugation (C) Comparison of NDV-HXP-S Delta virus versus NDV-HXP-S Delta with 554 P681R mutation. The spike protein and NDV HN proteins were detected by western blot using 555 an anti-spike 2B3E5 mouse monoclonal antibody and an anti-HN 8H2 mouse monoclonal 556 antibody, respectively. (D) Protein staining of NDV-HXP-S variant vaccines resolved on 4-20% 557 SDS-PAGE. The viral proteins were visualized by Coomassie Blue staining (L, S0, HN, N, P 558 and M). The uncleaved S0 spike protein is highlighted in blue with an approximate size of 200 559 kDa. 560

561 Figure 3: NDV-HXP-S Beta and Gamma induce protective antibodies against homologous 562 infection. (A& B) Design of the study and groups. Eight- to ten-week-old female BALB/c mice 563 were used either vaccinated with 1 µg of total dose of inactivated NDV-HXP-S variant vaccines 564 or WT NDV (negative control). Two immunizations were performed via the intramuscular route 565 (IM) at D0 and D21. At D44, mice were treated with Ad5-hACE2. At D49, mice were 566 challenged with a Wuhan-like (USA-WA1/2020), Beta (B.1.351) or Gamma (P.1) strains and at 567 day two after challenge, lungs were harvested and homogenized in 1 mL PBS and titers were 568 measured by plaque assay on Vero E6 cells. Viral titers in lung homogenates after Wuhan, Beta 569 or Gamma challenge (n=5) (C). Viral titers were measured by plaque assay on Vero E6 cells 570 and plotted as Geometric mean titer (GMT) of PFU/mL (limit of detection equals to 50 PFU/mL; 571 a titer of 25 PFU/mL was assigned to negative samples). The error bars represent geometric 572 standard deviation. Geometric mean fold titers over the control are indicated in gray. Spike-573 specific serum IgG levels against Wuhan spike (n=10) (**D**), Beta spike (n=10) (**E**), Gamma 574 spike (n=10) (F) and Delta spike proteins (n=5) (G). Antibodies in post-boost (D43) sera 575 samples from the different immunization regimens were measured by ELISA. The GMT of the 576 area under the curve (AUC) were graphed. The error bars represent geometric standard 577 deviation. Statistical difference was analyzed by ordinary one-way ANOVA corrected for 578 Dunnett's multiple comparisons test in all figures (*p < 0.05; **p < 0.01; ***p < 0.001; ****p579 < 0.0001).

580

581 Figure 4: Trivalent and tetravalent NDV-HXP-S vaccination regimens induce protection 582 against phylogenetically distant SARS-CoV-2 variants. (A&B) Design of the study and 583 groups. Eight- to ten-week-old female BALB/c mice were used either vaccinated with 1 µg of 584 total dose of inactivated NDV-HXP-S variant vaccines or WT NDV (negative control). Two 585 immunizations were performed via the intramuscular route (IM) at D0 and D21. At D44, mice were treated with Ad5-hACE2. At D49, mice were challenged with Wuhan-like (USA-586 587 WA1/2020), Delta (B.1.617.2) or Mu (B.1.621) strains and at day two after challenge, lungs 588 were harvested and homogenized in 1 mL PBS and titers were measured by plaque assay. (C) 589 Viral titers after challenge (n=5). Viral titers were measured by plaque assay on Vero E6 cells 590 for Wuhan-like USA-WA1/2020 challenge and Vero-TMPRSS2 cells for Delta and Mu 591 challenges and plotted as GMT of PFU/mL (limit of detection equals to 50 PFU/mL; a titer of 592 25 PFU/mL was assigned to negative samples). The error bars represent geometric standard 593 deviation. Statistical differences were analyzed by ordinary one-way ANOVA corrected for 594 Dunnett's multiple comparisons test. The *p* values and geometric mean fold titers over the 595 control are indicated in blue and gray, respectively. (*p < 0.05; **p < 0.01; ***p < 0.001; ****p <596 < 0.0001) (D) Panel of neutralizing activity. Post-boost pooled sera were tested in 597 microneutralization (MNT) assays against Wuhan-like (USA-WA/2020) strain , Delta 598 (B.1.617.2) variant, Beta (B.1.351) variant and Omicron (B.1.1.529) variant in technical 599 duplicates. GMT serum dilutions inhibiting 50% of the infection (ID50) were plotted (limit of 600 detection equals to 10 and was assigned to negative samples). Geometric mean fold change is 601 added in blue.

602

Figure 5: Trivalent and tetravalent NDV-HXP-S vaccination regimens induce broad serum antibody titers against phylogenetically distant SARS-CoV-2 variants. Panel (A) and heatmap (B) of spike-specific serum IgG against spike variants (n=10). Wuhan, Delta, Alpha, Beta, Gamma and Omicron spikes were used to measure the antibody binding of the different immunization groups. Antibodies in post-boost (D43) sera were measured by ELISAs. GMT AUC is depicted. The error bars represent geometric SD. Geometric mean fold change is added in blue.

610 611

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707		







Figure 2





Groups	Prime Dose (µg)	Boost Dose (µg)
NDV-HXP-S (Wuhan)	1	1
NDV-HXP-S (<mark>Beta</mark>)	1	1
NDV-HXP-S (Gamma)	1	1
NDV WT (negative control)	1	1

D

Geometric mean (AUC)

Monovalent vaccination

Β

N

N

Wuhan challenge











Delta Spike

(serum IgG)



Groups	Prime Dose (µg)	Boost Dose (µg)
NDV-HXP-S (Wuhan)	1	1
NDV-HXP-S (Delta)	1	1
Bivalent NDV-HXP-S (Wuhan+Delta)	0.5 + 0.5	0.5 + 0.5
Sequential (Wuhan /Delta)	1	1
Trivalent NDV-HXP-S (Wuhan+Beta+Delta)	0.33 + 0.33 + 0.33	0.33 + 0.33 + 0.33
Tetravalent NDV-HXP-S (Wuhan+Beta+Gamma+Delta)	0.25 + 0.25 +0.25 + 0.25	0.25 + 0.25 +0.25 + 0.25
NDV WT (negative control)	1	1

Multivalent vaccination

Β



Immunization regimen

С

SARS-CoV-2 Neutralization

Immunization regimen



Immunization regimen

Figure 4

