

Plasma neuronal specific enolase: a potential stage diagnostic marker in human African trypanosomiasis

Jeremy M. Sternberg* and Julia A. Mitchell

Institute of Biological and Environmental Sciences, University Of Aberdeen, Aberdeen AB24 2TZ, UK

*Corresponding author: Tel: +44 1224 272272; E-mail: j.sternberg@abdn.ac.uk

Received 3 February 2014; revised 18 March 2014; accepted 20 March 2014

Background: This study was carried out to determine the potential of neuronal specific enolase (NSE) as a stage diagnostic marker in human African trypanosomiasis.

Methods: Plasma and cerebrospinal fluid were obtained from a cohort of *Trypanosoma brucei rhodesiense*-infected patients and non-infected controls. Neuronal specific enolase concentrations were measured by ELISA and analysed in relation to diagnosis and disease-stage data.

Results: Plasma NSE concentration was significantly increased in late-stage patients (median 21 ng/ml), compared to the control (median 11 ng/ml), but not in early-stage patients (median 5.3 ng/ml). Cerebrospinal fluid NSE concentration did not vary between stages.

Conclusion: Plasma NSE is a potential stage diagnostic in this cohort and merits further investigation.

Keywords: African trypanosomiasis, Neuronal specific enolase, Stage

Introduction

Human African trypanosomiasis (HAT) is caused by African trypanosomes of the sub-species *Trypanosoma brucei rhodesiense* and *Trypanosoma brucei gambiense*. In both sub-species, infections progress through two stages, the early or haemolympathic stage and the late or meningoencephalitic stage where parasites invade the central nervous system (CNS).¹ In both clinical cases and experimental models the late stage of disease is associated with neuro-inflammatory pathology, including astrogliosis and neuronal degeneration.^{2–4} The detection of CNS invasion by trypanosomes is of enormous clinical diagnostic importance, as chemotherapy for late-stage HAT carries increased toxicity risks than that for early-stage. In *T.b. rhodesiense* infections, late-stage disease is treated with the arsenical melarsoprol, which has a drug-induced fatality rate that may be as high as 5%.⁵ In *T.b. gambiense* infection, the introduction of the nifurtimox-eflornothine combination treatment has reduced toxic side-effects, but there remains a significant logistic challenge to making this treatment available on a large scale.⁶ Therefore, prompt and correct staging of diagnosed HAT cases is of vital clinical importance.

Currently, staging depends entirely on the analysis of cerebrospinal fluid (CSF), and all patients with a positive HAT diagnosis undergo lumbar puncture. The current late-stage diagnostic criteria are the presence of trypanosomes in the CSF or a CSF

leucocytosis of greater than 5 cells/ μ l.⁷ Various other CSF markers of neuroinflammation have also been shown to have stage diagnostic potential, either singly⁶ or in multi-analyte panel assays.⁸ However, these methods all continue to rely on the invasive procedure of lumbar puncture to obtain CSF. Given that the initial diagnosis of HAT is made using a blood sample, it would be ideal to also obtain disease-stage data from serum or plasma.⁹

In this study, the CSF and plasma concentrations of the enzyme neuronal specific enolase (NSE) were studied retrospectively in patients infected with *T.b. rhodesiense*. Neuronal specific enolase is an isoenzyme ($\gamma\gamma$ isoform) of enolase that is found in high concentrations in neurons and neuroendocrine cells, and is a sensitive marker for neuronal damage.¹⁰ It is able to diffuse rapidly across the blood-brain barrier,¹¹ and would therefore be expected to be a sensitive plasma marker for CNS trauma. Plasma NSE has also been shown to be a useful marker for neuronal injury both in clinical cases¹¹ and in experimental models.¹³ There have been no previous studies of this marker in HAT infections.

Methods

The 143 plasma and CSF samples used in this study were obtained from the LIRI Clinic, Tororo and Serere Health Centre in eastern Uganda. These samples were from a larger epidemiological

study and recruitment and the associated clinical and pathophysiological data collection have been described elsewhere.¹⁴ Thirty-seven control plasma samples were obtained from patients at the clinic who were suspected of having HAT, but later diagnosed as non-infected. Diagnosis of HAT was made by microscopic detection of trypanosomes. Stage was determined using the WHO criteria in which patients with trypanosomes in the CSF and/or a cell count of >5 cells/mm³ were classified as late-stage.¹⁵ Early-stage infection was treated with suramin and late-stage infection with melarsoprol (as described in MacLean et al.¹⁶), with post-treatment samples obtained at 36–42 days post-admission. Individual written informed consent was obtained from all study participants. Individuals with malarial parasitaemia and microfilaraemia were excluded from the study.

Blood samples obtained before treatment commenced were collected into K-EDTA Vacutainers (Vacuette, Greiner, Stroud, UK) and centrifuged for 10 min at 3000 g. Platelet-depleted plasma were aliquoted and frozen within 1 h of collection in liquid nitrogen. Cerebrospinal fluid samples, obtained as part of normal stage diagnosis, were also frozen and stored in liquid nitrogen. All samples were kept in liquid nitrogen for the duration of the field study (6–18 months), after which they were shipped to the UK, thawed once, aliquoted and stored at -80°C until analysis.

Neuronal specific enolase concentrations were analysed using a sandwich ELISA specific for the γ subunit (Kit 0050, Alpha Diagnostics, San Antonio, TX, USA) according to the manufacturer's instructions. Samples were analysed in triplicate aliquots of 25 μl . Concentrations of NSE below the detection limit of the assay were scored as 0.5 x limit of detection for analysis.¹⁷ Haemoglobin concentrations in plasma samples were measured in triplicate using the alkaline hematin detergent method.¹⁸ Data analysis was carried out using JMP10.0 (SAS Institute Inc., Cary, NC, USA).

Results and Discussion

The study analysed plasma and CSF from 143 *T.b. rhodesiense* HAT-patients and 37 non-infected controls. Of the 143 HAT

patients, 109 cases were diagnosed as late-stage and 34 as early-stage. The demographic details of the patient group are provided in the [Supplementary data](#). There were no recorded relapses over a one-year follow-up period, indicating the accuracy of the early-stage diagnosis.

Patients in the late-stage showed significantly elevated levels of plasma NSE (median 21 ng/ml) compared to patients in the early-stage (median 5.3 ng/ml; $p<0.001$; Mann-Whitney test) and control individuals (median 11.0 ng/ml; $p<0.01$) (Figure 1A). No relationship was detected between plasma NSE concentration and patient age or gender (standard least squares model), nor was any relationship detected with recorded thick-film parasitaemia data (Spearman $\rho -0.07$). Control plasma NSE concentrations were consistent with previous reports.^{12,19} The increased concentration levels of plasma NSE in late-stage cases was similar to levels detected in patients with traumatic brain injury¹⁰ or acute ischaemic stroke.¹² As haemolytic plasma may contain red blood cell $\gamma\alpha$ isoforms of enolase,²⁰ plasma haemoglobin concentrations were determined. Haemoglobin levels in the study samples were low (median 6 mg/dl; IQR 5–9 mg/dl) and showed no relationship with the detected NSE concentrations (Spearman $\rho -0.12$).

Neuronal specific enolase concentrations were also measured in plasma prepared from patient blood samples at the time of discharge from treatment (36–42 days post-admission). In these samples, there was no longer any significant difference between early-stage (median 6.1 ng/ml) and late-stage (median 8.2 ng/ml) NSE concentrations, which were also not significantly different to control concentrations (11 ng/ml). When plasma NSE concentrations of individual patients were compared, for early-stage patients there were no significant change in plasma NSE concentration after treatment, whereas there was a significant reduction in NSE concentration in the late-stage patients (Wilcoxon Signed Rank test; $p<0.001$).

Plasma NSE was predictive of disease stage (OR per unit increase in NSE was 1.04 [95% CI 1.02–1.08; $p<0.0001$]), but the large number of outliers in both stages resulted in limited diagnostic potential. The area under the receiver operator curve

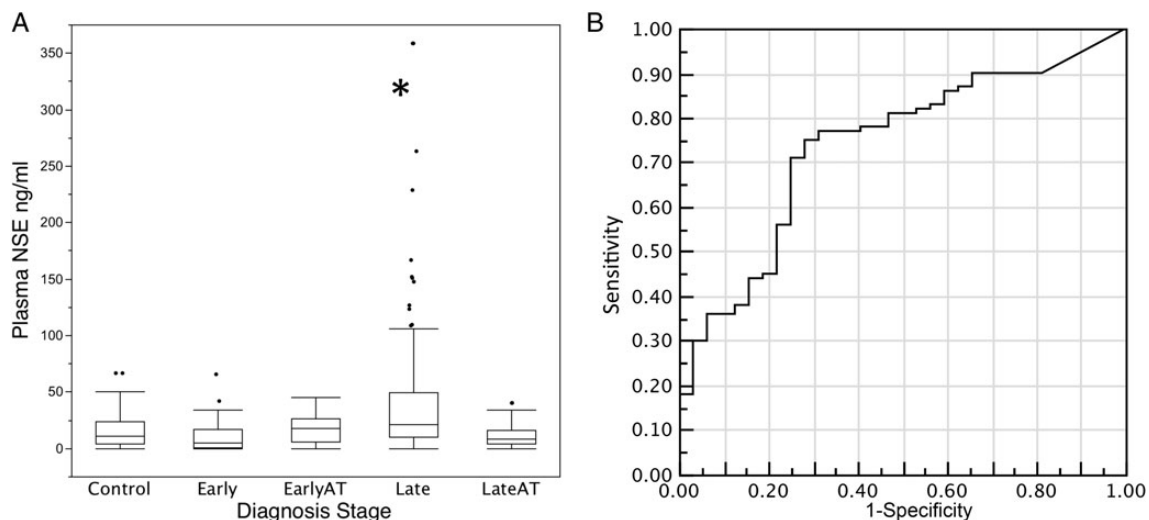


Figure 1. Neuronal specific enolase (NSE) concentrations in *Trypanosoma brucei rhodesiense* HAT patients on admission and after a chemotherapeutic treatment (AT). (A) Plasma NSE concentration in control ($n=37$), early ($n=34$) and late-stage ($n=109$) are shown. Boxes are median and IQR, whiskers 10th and 90th percentiles and dots represent outliers. * indicates a significant increase over the control ($p<0.01$). (B) Receiver operating characteristic (ROC) plot of sensitivity and specificity of plasma NSE concentration as a diagnostic for late-stage HAT.

(ROC) was 0.73 (Figure 1B), and at the optimal diagnostic cut-off value for late-stage of 10.4 ng/ml, NSE sensitivity was 75% and specificity was 72%. While this is not adequately discriminating to be used in a clinical diagnosis, it does represent the first demonstration of a late-stage specific marker in blood.

While the plasma NSE concentrations showed a stage-specific increase in HAT patients, in the CSF samples no effect was observed. Cerebrospinal fluid concentrations of NSE were mostly low (early-stage 1.2 ng/ml [IQR 1.2–1.2 ng/ml] and late-stage 1.2 ng/ml [IQR 1.2–7.6 ng/ml]) and consistent with previously reported control values.^{21,22} Interestingly, high levels NSE have been reported after traumatic brain injury (>100 ng/ml) in ventricular CSF, but no data is available for lumbar CSF. In neurological diseases, NSE concentrations in lumbar CSF are often low (<10 ng/ml).²³ Given the low levels of NSE detected in the CSF, it is possible that the source of NSE in the plasma samples was outside the CNS. Although peripheral neuronal degeneration has not been investigated in HAT, there is good evidence for CNS neuronal degeneration in experimental trypanosomiasis.²⁴ This requires further investigation in experimental models of infection.

Conclusion

In *T.b. rhodesiense* HAT patients, plasma NSE levels are significantly increased compared to the control in late-stage, but not early-stage patients. As such plasma NSE represents a potential stage diagnostic, particularly if complementary markers can be identified to increase its diagnostic power. Furthermore, the normalisation of plasma NSE after treatment of late-stage cases merits further investigation as a potential treatment efficacy marker. Model infection studies are now required to identify the source of NSE and pathophysiological mechanisms underlying its release.

Supplementary data

Supplementary data are available at *Transactions Online* (<http://trstmh.oxfordjournals.org/>).

Authors' contributions: JMS developed the idea for the study and provided access to the clinical sample set; JAM and JMS carried out the laboratory and data analyses; JMS wrote the initial manuscript. Both authors read and approved the final manuscript. JMS is the guarantor of the paper.

Funding: This work was supported through grants from the Wellcome Trust [082786] and Foundation for Innovative New Diagnostics.

Competing interests: None declared.

Ethical approval: All procedures were approved by the Grampian Research Ethics Committee (Aberdeen, UK) and the Ministry of Health (Uganda).

References

- Sternberg JM, Maclean L. A spectrum of disease in human African trypanosomiasis: the host and parasite genetics of virulence. *Parasitology* 2010;137:2007–15.
- Lejon V, Rosengren LE, Buscher P et al. Detection of light subunit neurofilament and glial fibrillary acidic protein in cerebrospinal fluid of *Trypanosoma brucei gambiense*-infected patients. *Am J Trop Med Hyg* 1999;60:94–8.
- Lejon V, Legros D, Rosengren L et al. Biological data and clinical symptoms as predictors of astrogliosis and neurodegeneration in patients with second-stage *Trypanosoma brucei gambiense* sleeping sickness. *Am J Trop Med Hyg* 2001;65:931–5.
- Sternberg JM, Rodgers J, Bradley B et al. Meningoencephalitic African trypanosomiasis: Brain IL-10 and IL-6 are associated with protection from neuro-inflammatory pathology. *J Neuroimmunol* 2005;167:81–9.
- Kennedy PG. Diagnostic and neuropathogenesis issues in human African trypanosomiasis. *Int J Parasitol* 2006;36:505–12.
- Bacchi CJ. Chemotherapy of human African trypanosomiasis. *Interdiscip Perspect Infect Dis* 2009;19:5040.
- Lejon V, Buscher P. Review article: cerebrospinal fluid in human African trypanosomiasis: a key to diagnosis, therapeutic decision and post-treatment follow-up. *Trop Med Int Health* 2005;10:395–403.
- Hainard A, Tiberti N, Robin X et al. A combined CXCL10, CXCL8 and H-FABP panel for the staging of human African trypanosomiasis patients. *PLoS Negl Trop Dis* 2009;3:e459.
- Simarro PP, Jannin J, Cattand P. Eliminating human African trypanosomiasis: where do we stand and what comes next? *PLoS Med* 2008;5:e55.
- Guzel A, Er U, Tatli M. Serum neuron-specific enolase as a predictor of short-term outcome and its correlation with Glasgow Coma Scale in traumatic brain injury. *Neurosurg Rev* 2008;31:439–44; discussion 444–35.
- Reiber H. Proteins in cerebrospinal fluid and blood: barriers, CSF flow rate and source-related dynamics. *Restor Neurol Neurosci* 2003;21:79–96.
- Wunderlich MT, Lins H, Skalej M et al. Neuron-specific enolase and tau protein as neurobiochemical markers of neuronal damage are related to early clinical course and long-term outcome in acute ischemic stroke. *Clin Neurol Neurosurg* 2006;108:558–63.
- Gelderblom M, Daehn T, Schattling B et al. Plasma levels of neuron specific enolase quantify the extent of neuronal injury in murine models of ischemic stroke and multiple sclerosis. *Neurobiol Dis* 2013;59:177–82.
- MacLean LM, Odiit M, Chisi JE et al. Focus-specific clinical profiles in human African trypanosomiasis caused by *Trypanosoma brucei rhodesiense*. *PLoS Negl Trop Dis* 2010;4:e906.
- WHO. Control and surveillance of African trypanosomiasis. Geneva: World Health Organization Tech Rep Ser 1998;881:1–113.
- MacLean L, Odiit M, Okitoi D, Sternberg JM. Plasma nitrate and interferon-gamma in *Trypanosoma brucei rhodesiense* infections: evidence that nitric oxide production is induced during both early blood-stage and late meningoencephalitic-stage infections. *Trans R Soc Trop Med Hyg* 1999;93:169–70.
- Westgard JO. *Basic Method Validation*. Madison: Westgard Publications; 2003.
- Frenchik MD, McFaul SJ, Tsonev LI. A microplate assay for the determination of hemoglobin concentration. *Clin Chim Acta* 2004;339:199–201.
- Koch M, Mostert J, Heersema D et al. Plasma S100beta and NSE levels and progression in multiple sclerosis. *J Neurol Sci* 2007;252:154–8.
- Ramont L, Thoannes H, Volondati A et al. Effects of hemolysis and storage condition on neuron-specific enolase (NSE) in cerebrospinal fluid and serum: implications in clinical practice. *Clin Chem Lab Med* 2005;43:1215–17.

- 21 Royds JA, Davies-Jones GA, Lewtas NA et al. Enolase isoenzymes in the cerebrospinal fluid of patients with diseases of the nervous system. *J Neurol Neurosurg Psychiatry* 1983;46:1031-6.
- 22 Cunningham RT, Young IS, Winder J et al. Serum neurone specific enolase (NSE) levels as an indicator of neuronal damage in patients with cerebral infarction. *Eur J Clin Invest* 1991;21:497-500.
- 23 Mokuno K, Kato K, Kawai K et al. Neuron-specific enolase and S-100 protein levels in cerebrospinal fluid of patients with various neurological diseases. *J Neurol Sci* 1983;60:443-51.
- 24 Quan N, Mhlanga JD, Whiteside MB et al. Chronic overexpression of proinflammatory cytokines and histopathology in the brains of rats infected with *Trypanosoma brucei*. *J Comp Neurol* 1999;414:114-30.