SCIENTIFIC REPORTS

Received: 10 May 2016 Accepted: 25 July 2016 Published: 30 August 2016

OPEN PEG-lipid micelles enable cholesterol efflux in Niemann-Pick Type C1 disease-based lysosomal storage disorder

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2-Hydroxy-propyl- β -cyclodextrin (HP β CD), a cholesterol scavenger, is currently undergoing Phase 2b/3 clinical trial for treatment of Niemann Pick Type C-1 (NPC1), a fatal neurodegenerative disorder that stems from abnormal cholesterol accumulation in the endo/lysosomes. Unfortunately, the extremely high doses of HP β CD required to prevent progressive neurodegeneration exacerbates ototoxicity, pulmonary toxicity and autophagy-based cellular defects. We present unexpected evidence that a poly (ethylene glycol) (PEG)-lipid conjugate enables cholesterol clearance from endo/lysosomes of Npc1 mutant (Npc1^{-/-}) cells. Herein, we show that distearyl-phosphatidylethanolamine-PEG (DSPE-PEG), which forms 12-nm micelles above the critical micelle concentration, accumulates heavily inside cholesterol-rich late endosomes in $Npc1^{-/-}$ cells. This potentially results in cholesterol solubilization and leakage from lysosomes. High-throughput screening revealed that DSPE-PEG, in combination with HP β CD, acts synergistically to efflux cholesterol without significantly aggravating autophagy defects. These well-known excipients can be used as admixtures to treat NPC1 disorder. Increasing PEG chain lengths from 350 Da-30 kDa in DSPE-PEG micelles, or increasing DSPE-PEG content in an array of liposomes packaged with HP β CD, improved cholesterol egress, while Pluronic block copolymers capable of micelle formation showed slight effects at high concentrations. We postulate that PEG-lipid based nanocarriers can serve as bioactive drug delivery systems for effective treatment of lysosomal storage disorders.

Niemann Pick Type C (NPC) is an inherited autosomal recessive lysosomal storage disorder that affects approximately 1:120,000 children globally and results in hepatomegaly, progressive neurodegeneration, and finally death^{1,2}. There are no current treatments available for children suffering from this devastating rare genetic disease. 95% of NPC cases result from defects in the NPC1 protein, a large glycoprotein with 13-membrane-spanning domains that resides on the surface of late endosomes/lysosomes, with a sterol-sensing domain in the lumen that binds to cholesterol and enables egress to a cytoplasmic location³. NPC1 deficiency causes cholesterol accumulation that manifests as enlargement of late endosomes/lysosomes, ultimately leading to neurodegeneration, especially loss of Purkinje fibers in the cerebellum, which leads to ataxia and cognitive loss^{4,5}. HP_βCD is a Food and Drug Administration (FDA) approved hydrophilic small molecule excipient that has a hydrophobic pocket capable of binding and solubilizing cholesterol⁶. It is capable of the efflux and redistribution of cholesterol in mammalian cells⁷; however, it does not readily cross the blood brain barrier (only 0.2% reaches the brain on

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systemic administration). In preclinical studies, a direct brain injection of HP β CD into an NPC mouse model slowed the progression of neuronal loss and improved its survival^{8–11}. Similar results were observed in a feline model of NPC disease, with an intra-cisternal administration used to decrease neurodegeneration and prolong survival¹². More recently, an intrathecal cyclodextrin injection in a single NPC1 patient led to an increase in cholesterol redistribution in the central nervous system and improved vertical gaze palsy, a clinical indicator of NPC-linked neurodegeneration¹³. Based on these results, HP β CD has entered NIH initiated Phase 2b/3 clinical trial, and the FDA has granted orphan drug status to further accelerate use of HP β CD for treating NPC disease. An impediment to the clinical translation of HP β CD is the exorbitant amount of drug required to clear cholesterol in order to elicit a significant response. In a single example, a biweekly administered dose of 200 mg, with a total of 27 injections, applied by lumbar puncture to a child with NPC, slowed neurodegeneration but resulted in high-frequency hearing loss¹³. In the feline model, a subcutaneous injection of 8000 mg/kg was necessary to halt the loss of Purkinje neurons, but resulted in significant pulmonary toxicity¹².

Liposomes are among the most advanced drug delivery systems for effective intracellular delivery, and thus an obvious carrier choice for delivery of $HP\beta CD$ to remove intracellular cholesterol aggregates¹⁴⁻¹⁶. Liposomal drug delivery vectors have several advantages, including prolonged circulation times, the potential to incorporate multiple drugs with different mechanisms of action and solubility, and surface decoration with a variety of targeting ligands (e.g. transferrin) that can enable transport across the blood brain barrier^{14,17,18}. Lipid nanoparticles (LNPs), a subset of liposomal vectors, have been very effective in the delivery of nucleic acids^{19,20}. LNPs are shown to enter cells through endocytic portals and are selectively recycled through NPC1-positive late endosomes; the absence of NPC1 showed a 10-15-fold retention of LNPs inside cells which translated to improved nucleic acid delivery²¹. Hydrogenated soy phosphatidylcholine (HSPC) based liposomes that incorporate water-soluble small molecules in the core had a similar pattern of retention in the endo/lysosomal system of NPC1 deficient cells²¹. Based on these studies, we hypothesized that simple liposomal formulations would enhance site-specific delivery of HP_βCD into the cholesterol rich endo/lysosomes in Npc1 deficient mouse embryonic fibroblasts (MEFs). We created a small set of two-component liposomes from HSPC and distearyl-phosphatidylethanolamine conjugated with polyethylene glycol (DSPE-PEG), a common PEG-lipid known to improve nanocarrier stability. Surprisingly, we found that increasing the molar ratio of DSPE-PEG in the liposomes improved HP3CD mediated cholesterol efflux, and ultimately deduced that DSPE-PEG on its own triggered cholesterol clearance. Most remarkably, we found that DSPE-PEG used in combination with HPBCD showed a substantial decline in the lysosomal cholesterol content in Npc1-deficient cells. These studies strongly suggest that a simple mixture of two well-characterized biocompatible excipients can have a significant impact in the treatment of NPC lysosomal storage disorder.

Results

A filipin-based assay was used to visualize accumulation of cholesterol in $Npc1^{-/-}$ MEFs. Filipin interacts with cholesterol to form a complex that is fluorescent at 405 nm. This assay has been utilized as a clinical diagnostic test for NPC disease and allows for rapid identification of molecules capable of sequestering cholesterol from intracellular membranes^{22,23}. Using this assay, we confirmed heavy accumulation of cholesterol in enlarged punctate structures inside $Npc1^{-/-}$ MEFs, relative to $Npc1^{+/+}$ MEFs (Fig. 1A). To characterize these structures, we transfected both cell lines with Rab7-GFP, a late-endosome marker. Fluorescence microscopy revealed enlarged late-endosomes in $Npc1^{-/-}$ cells, with a clear demarcation of Rab7-GFP on the lysosomal surface. Filipin-stained cholesterol was observed within the lumen of Rab7-positive late-endosomes (Fig. 1B). In contrast, $Npc1^{+/+}$ cells showed smaller Rab7-positive punctate structures, and the filipin stain appeared substantially lower and mark-edly more diffused in the cytoplasm (Fig. 1B), suggestive of normal cholesterol transport in these cells. These studies confirm abnormal accumulation of cholesterol in late endo/lysosomes of $Npc1^{-/-}$ MEFs cells.

We further utilized filipin imaging to demonstrate the well-documented use of HP β CD to decrease intracellular cholesterol from endo/lysosomes in $Npc1^{-/-}$ cells, by cholesterol solubilization, which perhaps enables efflux from these intracellular depots. $Npc1^{-/-}$ cells show a marked decrease in filipin fluorescence with increasing concentration of HP β CD treatment, up to 10 mM (Fig. 2A). Quantitative analysis of filipin staining shows that a 0.5 mM HP β CD treatment for 24 hours reduced endosomal cholesterol by 50%. A 10 mM HP β CD treatment further decreased cholesterol levels in $Npc1^{-/-}$ cells (ca. 75–80%), which is comparable to the levels in $Npc1^{+/+}$ MEFs (Fig. 2B). In addition, we used an amplex-red assay to quantify cholesterol in whole cell lysates. We confirm a dose-dependent decrease in the total cholesterol in $Npc1^{-/-}$ cells (Fig. 2C).

We initially set out to encapsulate HP β CD in the hydrophilic core of liposomes, formulated from HSPC and DSPE-PEG_{2k}, in order to site-specifically deliver HP β CD into the endo/lysosomal system. DSPE-PEG_{2k} is a known liposomal stabilizer, and we tested liposomes composed of different molar ratios of these two lipids in order to optimize the formulation. Liposomes were assembled by thin film rehydration in the presence of a concentrated HP β CD solution and dialyzed to remove free cyclodextrin. Liposomes were characterized for their size, shape, and encapsulation efficiency. We confirmed liposomal assembly using Transmission Electron Microscopy (TEM) imaging and measured their average hydrodynamic sizes using Dynamic light scattering (DLS). Both DLS and TEM showed that increasing PEG-lipid content decreased the size of the nanoparticles from 150 nm to 10–15 nm (Fig. S1A,B). However, in formulations containing both lipid components, DLS suggested the presence of a mixed population of liposomes and micelles. We measured the loading efficiency using LC/MS/MS and found a gradual decrease in encapsulation with increasing PEG-lipid, which may be due to the increasing hydrophobic microenvironment of the core along with the decreasing size of the carrier. Surprisingly, when testing these formulations on *Npc1^{-/-}* cells, the nanocarriers with higher molar ratios DPSE-PEG_{2k} were the most potent in terms of cholesterol clearance despite a relatively lower loading efficiency of HP β CD (Fig. S1C).

DSPE-PEG_{2k} forms spherical micelles above the critical micelle concentration (CMC), of 1μ M. DLS of assembled micelles showed a consistent and uniform 12 nm hydrodynamic size and TEM imaging showed a

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Figure 1. *Npc1* deficiency leads to cholesterol accumulation in enlarged late endosomes/lysosomes. (A) Filipin staining was performed to visualize subcellular cholesterol in $Npc1^{-/-}$ and $Npc1^{+/+}$ MEFs. A high throughput microscope was used to compare subcellular cholesterol (psuedo colored red) in both cell types. Nucleus was stained using HCS nuclear mask deep red stain. (psuedo colored blue) (B) $Npc1^{-/-}$ and $Npc1^{+/+}$ MEFs were transfected with to Rab-7 GFP (pseudo colored green) using a baculovirus. Cells were fixed 18 hours post transfection and stained with filipin (red). The cell outline is demarcated with dotted line; white arrow shows filipin and black arrow endosomal Rab7-GFP. All experiments were conducted in triplicates.

5 nm electron dense core (Fig. 3A). This data is consistent with published models of PEG-lipid micelles that self-assemble to form a core-shell structure, in which the DSPE tail aggregates into a hydrophobic core and PEG chains are exposed to the aqueous solvent (Fig. 3B). To our surprise, treatment with only DSPE-PEG_{2k} micelles resulted in a dose-dependent decrease in cholesterol levels in $Npc1^{-/-}$ cells (Fig. 3C,D). Treatments as low as 1 μ M DSPE-PEG_{2k} led to 13% reduction in cholesterol, which, when increased to 10 μ M, resulted in a ~40% reduction in cholesterol. The 40% reduction was the highest cholesterol egress achieved, even with concentrations up to 500 μ M. This decrease was significant, since a 75–80% reduction of cholesterol in $Npc1^{-/-}$ cells reduced cholesterol to normal cellular levels (Fig. 2B,C). Both filipin and amplex red cholesterol assays show similar results, which strongly suggests that these materials are capable of reducing intracellular cholesterol deposits and enabling cholesterol efflux from the endo/lysosomal system.

DSPE-PEG_{2k} is an essential component of LNPs that have been shown to preferentially accumulate in the late endosomes/lysosomes of $Npc1^{-/-}$ cells. Therefore, we hypothesized that DSPE-PEG_{2k} actively accumulates in these cells and subsequently leads to solubilization of cholesterol. To test this hypothesis, we evaluated the uptake kinetics of carboxy-fluorescein (CF) labeled DSPE-PEG_{2k} micelles at different time points and monitored their localization within filipin-positive late-endosomes using automated high-throughput imaging. The DSPE-PEG_{2k}-CF was detected by cellular internalization after approximately 2 hours, and saturated the endo/lysosomal system within 24 hours; the relatively slow rate of uptake is suggestive of macropinocytosis (Fig. 4A,B). We utilized filipin to measure localization of DSPE-PEG_{2k}-CF delivered to the cholesterol-rich late endosomes/lysosomes in $Npc1^{-/-}$ cells. At 2 hours, the labeled micelles show ~50% co-localization with filipin-stained





cholesterol deposits (Fig. 4C) but within 4–6 hours ~60% of the labeled micelles reached filipin-positive endosomes and by 24 hours we observed an 80% co-localization with late endosomes/lysosomes (Fig. 4C). These studies clearly show a substantial delivery of DSPE-PEG_{2k}-CF micelles into the cholesterol-laden endo/lysosomes of $Npc1^{-/-}$ cells. It is likely that DSPE-PEG interacts with large cholesterol deposits in the late endosomes, which leads to a degree of aggregate solubilization and potentially releases them from the lysosomal compartment. Interestingly, DSPE-PEG_{2k}-CF did not show enhanced accumulation in wild-type cells (data not shown), suggestive of enhanced accumulation in late-endosomes due to recycling defects present in $Npc1^{-/-}$ cells as previously observed²¹.

We set out to evaluate the synergy of combinatorial treatments with both DSPE-PEG and HP β CD to potentiate cholesterol removal. We created a matrix of treatment groups consisting of DSPE-PEG_{2k} micelles (0.05–500 µM) and HP β CD ranging from 0.01 mM–10 mM. *Npc1*^{-/-} cells were exposed to these treatments, in combination or alone, for 24 hours, prior to fixing, staining and imaging (Fig. 5A). Quantification of filipin fluorescence showed a decrease in cholesterol with individual treatments, and a synergistic effect when both treatments were administered together (Fig. 5B). We observed that a treatment of 0.05 mM HP β CD and 5 µM DSPE-PEG_{2k} showed a 30% cholesterol clearance, which was further reduced to 50% with 0.05 mM HP β CD and 10 µM–100 µM DSPE-PEG_{2k} treatment. This effect became more pronounced as the concentration of each component was increased. A 75% cholesterol reduction resulted from treatment of 0.25 mM of HP β CD combined with 10 µM DSPE-PEG_{2k}, making cholesterol levels comparable to wild-type cells. It has been observed that a dose of 1 mM HP β CD in *Npc1* mutant neuronal cultures or in plasma of human patients elicits a drug response that affects the disease phenotype^{8,24}. We showed that 1 mM HP β CD reduces cholesterol by ~50%, but a comparable cholesterol reduction can be achieved with 10 µM DSPE and a 4-fold lower concentration of HP β CD



Figure 3. DSPE-PEG_{2k} reduces cellular cholesterol in $Npc1^{-/-}$ cells with a dose dependent response. DSPE-PEG_{2k} micelles were assembled by thin film rehydration method. (A) TEM images of micelles show electron dense cores that are approximately 5 nm. (B) Schematic diagram of assembled DSPE-PEG_{2k} micelles has an electron dense hydrophobic core, with extended hydrophilic PEG head groups. (C) $Npc1^{-/-}$ cells were exposed to different concentrations of DSPE-PEG_{2k} (0–500 μ M), fixed and a dose dependent decrease in filipin fluorescence per cell was observed by high throuhgput screening. (D) Whole cell cholesterol (per mg protein), normalized to un-treated cells, also shows a dose dependent reduction in cholesterol when treated with DSPE-PEG_{2k}. Results were tested for statistical significance using one-way ANOVA with Tukey's multiple comparison test. *P < 0.05, **P < 0.01, ***P < 0.001 and NS = not significant.

(0.05 mM). We observed no toxicity at these concentrations, and only at an exceptionally high treatment combination i.e. 10 mM HP β CD and 500μ M DSPE-PEG_{2k}, there was a dramatic reduction in total cell number (Fig. S2).

PEG-lipids are commercially available with various PEG chain lengths, and we tested a diverse set that included DSPE-PEG₃₅₀, DSPE-PEG_{1k}, DSPE-PEG_{2k}, DSPE-PEG_{3k}, DSPE-PEG_{10k}, DSPE-PEG_{20k}, and DSPE-PEG_{30k} at 10µM both with and without 0.1 mM HPβCD (Fig. 6A). DSPE (unconjugated), DSPE-PEG₃₅₀ and DSPE-PEG_{1k} treatments did not decrease cellular cholesterol. On the other hand, DSPE-PEG_{2k}, DSPE-PEG_{5k}, DSPE-PEG_{10k}, DSPE-PEG_{2k}, and DSPE-PEG_{30k} showed cholesterol reduction where longer PEG chain lengths performed marginally better relative to shorter chain lengths. The co-treatment of low dose DSPE-PEGs with 0.1 mM HPβ CD further reduced cellular cholesterol in most treatments. Interestingly, treatment with PEG_{2k} or combination treatment of PEG_{2k} and DSPE (unconjugated) showed increased cholesterol accumulation in *Npc1^{-/-}* cells (Fig. 6A, S3). We observed that as the PEG chain length increases the size of the micelles also increase from 5 nm (DSPE-PEG₃₅₀) to 24 nm (DSPE-PEG_{10-30k}). Micelles with the hydrodynamic size of 16–24 nm, independent of PEG chain length, were capable of equivalent cholesterol efflux suggesting that this size range is important for access to lysosomal pathways (Fig. 6B). We further tested if other polymers with micelle forming capacity can cause cholesterol clearance. Pluronic block copolymers are composed of poly (ethylene oxide) (PEO) and poly(propylene oxide) (PPO) segments, that above CMC self assemble as micelles with hydrophobic PPO cores and hydrophilic PEO shell. These polymers have the ability to solubilize drugs for cellular internalization and



Figure 4. Labeled DSPE-PEG_{2k} is internalized and localized to filipin positive vesicles in $Npc1^{-/-}$ cells. Carboxy-Fluorescein (CF) labeled DSPE-PEG_{2k} assembled into micelles by thin film rehydration was exposed to cells at 10 µM concentration for different time points (2, 3, 4, 6, 24 hours), cells were washed, fixed and filipin stained. (A) High throughput imaging (16 images/well) was performed to monitor DSPE-PEG_{2k}-CF uptake and co-localization with endosomal cholesterol. (B) Image-J software was utilized to measure DSPE-PEG_{2k}-CF uptake and (C) Monitor co-localization by calculation of Pearson Coefficient. (n = 5). Results were tested for statistical significance using one-way ANOVA with Tukey's multiple comparison test relative to untreated cells (B) or 24 hour treated cells (C). *P < 0.05, **P < 0.01, ***P < 0.001 and NS = not significant.

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have been used extensively to deliver various drugs²⁵. Pluronic block copolymers interact with cholesterol subdomains within lipid rafts in cellular membranes and cause membrane fluidization²⁶. We tested whether a set of block copolymers including L64, P84, P103 and F127 containing 30, 39.7, 59 and 65.2 number of respective PPO blocks would affect cholesterol efflux. We observed effects on cholesterol clearance with F127 at 1.5 mg/ml, which is an exceptionally high dose. The other polymers had showed toxicity at a high dose in $Npc1^{-/-}$ cells (Fig. S4).





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Autophagy, a catabolic process that directs cytosolic components towards the lysosomes for degradation, has been suggested to be dysregulated in lysosomal storage disorders²⁷. It has been shown that $Npc1^{-/-}$ cells have a block in autophagic flux that leads to accumulation of toxic components within the cells²⁸. We evaluated autophagic activity using Npc1^{-/-} and Npc1^{+/+} MEFs that stably express a doxycycline-inducible firefly luciferase-p62 construct (p62-fluc). p62 acts as a marker for cellular cargo that is specifically degraded by autophagy. Therefore, higher luciferase expression corresponds to lower autophagic degradation. In $Npc1^{+/+}$ MEFs there was a little if any retardation in the degradation of p62-fluc with increasing concentrations of DSPE-PEG without any significant effect on cell viability when treated for 24 hours and 48 hours (Fig. 7A,C). We then analyzed the effect on autophagy in $Npc1^{-/-}$ MEFs treated with DSPE-PEG. While, we did not observe a change in p62 degradation in 24 hours post treatment (Fig. 7B) a dose-dependent decrease in p62-fluc degradation was seen with DSPE-PEG treatment after 48 hours, without any substantial effect on cell viability (Fig. 7D). We treated $Npc1^{-/-}$ MEFs for 24 hours with a combination of 0.25 mM or 1 mM HP β CD and between 0.1 and 100 μ M DSPE-PEG. We observed that the combinations at these doses did not substantially exacerbate autophagy (Fig. 7E,F). To further investigate the effect of DSPE-PEG and HP β CD treatment on the autophagic flux we used Npc1^{-/-} and Npc1^{+/+} MEFs that stably express a tandem fluorescent-tagged LC3 reporter, TF LC3 (mRFP-EGFP-LC3). LC3 is a specific marker of autophagosomes since it is present on autophagosomal membrane from their formation until degradation in Α.



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Lipid:	DSPE	DSPE- PEG ₃₅₀	DSPE- PEG _{1k}	DSPE- PEG _{2k}	DSPE- PEG₅k	DSPE- PEG _{10k}	DSPE- PEG _{20k}	DSPE- PEG _{30k}
Average Size (DLS)	955 nm	5 nm	10 nm	11 nm	16 nm	24 nm	24 nm	24 nm

Figure 6. PEG chain length in DSPE-PEG micelles affects cholesterol reduction in $Npc1^{-/-}$ cells. (A) $Npc1^{-/-}$ MEFs were treated with DSPE-PEG micelles (10µM) with increasing PEG chain length in the presence or absence of low dose HP β CD (0.1 mM). Cells were washed and stained for filipin. (A) Longer PEG chain lengths (>2,000 MW) show effective cholesterol reduction when treated with 10µM. Micelle treatment with 0.1 mM HPBCD additionally reduces cholesterol when administered with DSPE-PEG micelles. (B) DLS was used to calculate average diameter of DSPE-PEG micelles, data is reported as distributed by number. Results were tested for statistical significance using one-way ANOVA with Tukey's multiple comparison test. *P < 0.05, **P < 0.01, ***P < 0.001 and NS = not significant.

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autolysosomes²⁹. Coupling LC3 with mRFP and EGFP gives us the ability to distinguish between autophagosomes (mRFP⁺/EGFP⁺) and autolysosomes (mRFP⁺/EGFP⁻) as EGFP is acid-labile and therefore its signal is quenched in autolysosomes. We noted an increased number of autophagosomes and decreased number of autolysosomes in the $Npc1^{-/-}$ MEFs compared to $Npc1^{+/+}$ MEFs (Fig. 8A), suggestive of an impairment in autophagy in NPC1 disorder, as previously described²⁸. A combination of 0.25 mM HP β CD and 10 μ M DSPE-PEG, compared to 10 μ M DSPE-PEG alone had no effect on the percentage of autophagosomes and autolysosomes (Fig. 8B). However, there was a decrease in the overall number of autophagosomes and autolysosomes (Fig. 8C) upon exposure of these admixtures, although this difference did not translate into defects of p62 clearance (Fig. 7), which suggests that this combination may have an effect on the dynamics of autophagic vesicles without exacerbating defects in autophagic cargo clearance. Overall, these studies indicate that low dose combination treatment with these molecules to enable cholesterol efflux, do not worsen the defects in autophagy prevalent in $Npc1^{-/-}$ cells.

Discussion

PEG-conjugated lipids are used extensively in the field of drug delivery as excipients³⁰. DSPE-PEGs are biocompatible, biodegradable and have been a component of several nanoparticle platforms for drug or nucleic acid delivery that are undergoing clinical trials^{30–33}. The liposomal formulation Doxil contains DSPE-PEG as one of its constituents and has been clinically approved for use against ovarian cancer and AIDS related Kaposi sarcoma³⁴. DSPE anchors PEG to the surface of these liposomes, which forms a protective hydrophilic coating that maintains stability of the nanoparticles. PEGylation of nanoparticles mitigates binding to serum proteins, which provides certain stealth effects, as nanoparticles evade recognition and opsonization by macrophages and prolongs circulation time^{17,35,36}. Tuning PEG density at the surface of nanocarriers allows delivery across biological barriers, e.g. high PEG density assists in traversing mucosal barriers and can also enable widespread distribution in the CNS tissue once injected directly into the brain^{37,38}. PEG-lipids are amphiphilic by nature and at low concentrations exist as single-coil unimers; above the CMC PEG-lipids form micelles with a core-shell morphology. The hydrophobic core can solubilize hydrophobic drugs, while the shell interacts with the aqueous serum environment³⁹. The derivitization of PEG-lipids allows liposomal surface decoration of a repertoire of ligands for the



Figure 7. DSPE-PEG treatment slightly reduces autophagic degradation in $Npc1^{+/+}$ and $Npc^{-/-}$ MEFs (A,C) p62-fluc. $Npc1^{+/+}$ and, (B,D) p62-fluc. $Npc^{-/-}$ MEFs were induced to accumulate p62-fluc fusion protein with 1 µg/ml of Doxycycline for 24 hours. Doxycycline was washed from the cells and then they were treated with 0–100µM DSPE-PEG_{2k} for 24 (A and B upper panels) or 48 (C and D, upper panel) hours and autophagic degradation was measured using luciferase intensity. The cells were also analyzed for cell viability (lower panels). (E,F) $Npc1^{-/-}$ p62-fluc were also treated with a combination of HP β CD (0.25 mM or 1 mM, respectively) and DSPE-PEG (0–100µM) for 24 hours after being induced for 24 hours with 1 µg/ml of Doxycycline. Results were tested for statistical significance using one-way ANOVA with Tukey's multiple comparison test. *P < 0.05, **P < 0.01, ***P < 0.001 and NS = not significant.

purposes of tissue targeting. These conjugates have been even used to tether ligands on the surface of cells for *ex vivo* therapies⁴⁰. DSPE-PEG micelles have been explored as carriers for diagnostic imaging agents, and have been safely injected into mice with a detected circulation concentration of greater than 1 mM, three orders of magnitude above the CMC⁴¹. These studies, to the best of our knowledge, for the first time reveal that DSPE-PEG can have the ability to preferentially accumulate inside the late endosomes of $Npc1^{-/-}$ cells and remove cholesterol from the endo/lysosomal system.

The NPC1 protein is a cholesterol efflux transporter that shunts cholesterol from the late endosomes to the endoplasmic reticulum⁴². Functional absence of NPC1 results in lipid accumulation in late endosomes/lysosomes. We observed cholesterol aggregates sequestered in the lumen of Rab7 positive late endosomes. Cholesterol aggregation results in cytotoxicity of neurons and hepatocytes due to their high sensitivity towards lipid accumulation⁵. Furthermore, the absence of NPC1 was shown to downregulate caveolae/lipid raft-mediated endocytosis while other entry pathways, such as macropinocytosis and clathrin-mediated endocytosis, remained intact^{43,44}. Moreover, it was discovered that biodegradable nanoparticles that utilize caveolae/lipid-raft based micro-domains to enter cells fail to internalize and deliver DNA in $Npc1^{-/-}$ cells, while LNPs that enter cells using macropinocytosis show a high retention due to defects in their recycling in $Npc1^{-/-}$ cells that translate to effective siRNA delivery at very low doses²¹. Interestingly, this study shows similar retention of DSPE-PEG in late endosomes/



Figure 8. The effect on autophagic flux by DSPE-PEG and HP β CD combination treatment. TF.LC3.*Npc1*^{+/+} and TF.LC3.*Npc1*^{-/-} MEFs were treated for 24 hours with 10 μ M DSPE-PEG_{2k}, 0.25 mM HP β CD or the combination of the two chemicals. Cells were then fixed, counterstained with DAPI and imaged at 100x magnification. (A) Representative images showing EGFP signal (far-left panel), mRFP signal (mid-left panel), merged EGFP and mRFP (mid-right panel) and colocalization of EGFP and mRFP (far-right panel). Scale bar = 20 μ m. Images were quantified using ImageJ software. Quantification of microscope images showing the (B) number of autophagosomes and autolysosomes as a percentage or as (C) total number. Results were tested for statistical significance using two-tailed student's t-test. *P < 0.05, **P < 0.01, ***P < 0.001 and NS = not significant.

lysosomes through a striking co-localization with filipin, which suggests that these nanocarriers possibly interact with cholesterol in the closed microenvironment of the late-endosomes and promote cholesterol solubilization. We speculate that within the DSPE-PEG construct, the DSPE serves to integrate into the cell membrane to enter cells and further interacts with the hydrophobic sterol aggregates promoting solubilization while the PEG chains interact with the hydrophilic glycocalyx that surrounds the lumen of the lysosomes⁴⁵, enabling cholesterol efflux from these compartments. DSPE-PEG has been shown to stimulate cholesterol release from synthetic donor liposomes; perhaps such cholesterol release occurs in the multi-vesicular late endosome as well⁴⁶. It is possible that the presence of DSPE-PEG triggers the formation of liposomal structures *in situ* that disassembles cholesterol/lipid aggregates, with the PEG chain lining the surface of the liposome to promote transfer across the lysosomal luminal membrane. PEGs exist in brush or mushroom conformations dependent on chain length, when assembled onto a nanocarrier surface⁴⁷, and whether these conformations form within the confines of the endosomal microenvironment remains to be tested. It is also possible that among the different PEG-lipids, a particular size range may play an important role in targeting nanocarriers precisely to the endosomal compartment that requires cholesterol sequestration.

NPC is a devastating disease for which no treatment is available in the United States. There is a tremendous excitement among scientists for a solution for this disease as preclinical animal models and patients respond positively to HP β CD treatment. Yet, the relatively high dose required to achieve therapeutic response has been associated with hearing loss that occurs due to interaction of HP β CD with prestin, a protein located on outer-hair cells of the cochlea⁴⁸. To overcome these issues of efficacy, new polymers such as Pluronic/ β -cyclodextrin

polyrotaxanes have been synthesized to release multiple cyclodextrin molecules in the reducing endosomal environment^{49–51}. Unfortunately these new compounds will require extensive preclinical and clinical testing prior to translational development. HP β CD, at high doses, exacerbates autophagy defects; on the other hand, repeated administration of PEG has been associated with complement activation^{28,52} and perhaps by combining DSPE-PEG and HP β CD a synergistic response at low doses can promote cholesterol egress without causing toxicity. It has been observed in *Npc1^{-/-}* cats that a 120 mg of intra-cisternal dose of HP β CD increased survival from 22 weeks to 76 weeks to 4 years¹², and it is therefore, tempting to speculate that injecting admixtures of cyclodextrin with DSPE-PEG, directly into the brain will lower the dose necessary for survival and decrease the substantial side effects of HP β CD, otherwise observed in clinical and preclinical models of NPC disorder.

Liposomes with increasing amounts of PEG-lipids were developed for encapsulation and co-delivery of HP β CD, and we observed that the liposomal size decreased from roughly 100 nm to 10–20 nm as the PEG-lipid molar ratio increased. Nanoparticles transition from an aqueous core encapsulated in a lipid bilayer to a micelle with a hydrophobic core with increasing molar ratios of DSPE-PEG, thus decreasing the encapsulation efficiency of the hydrophilic drug. We observed that when treatments were normalized for HP β CD concentrations and constant lipid concentrations, the nanocarriers with higher DSPE-PEG content resulted in substantial cholesterol clearance, and DSPE-PEG micelles were the most potent. PEG-lipid micelles or liposomes with high amounts of DSPE-PEG might serve as bioactive drug delivery agents for treatment of NPC. We were further able to test whether other polymers have the ability to egress cholesterol. We tested a set of Pluronic block copolymers that have the capability to self-assemble as micelles. Pluronic micelles utilize clathrin-mediated endocytosis to access endo/lysosomes and modulate cholesterol fluidity to inhibit drug efflux transport, activate gene expression, and induce dose-dependent hyperlipidemia^{25,53}. Among the materials tested, Pluronic F127 showed an effect at very high concentrations, suggesting that some of these surfactants might cause non-specific cholesterol clearance.

There are several new strategies for potential therapeutics against NPC disease that may require nanocarrier based drug delivery systems. Vironosotat, a histone deacetylase inhibitor (HDACi) has been shown to clear cholesterol in human patient fibroblasts carrying the NPC1^{1106¹} mutation^{54,55}. HDACi inhibitors enhance transcriptional activity; overexpression partially compensated for the NPC1^{11061T} mutation and acts as a chaperone to untangle mis-folded protein. HPBCD has recently been used to solubilize the hydrophobic vironosat, and when administered subcutaneously to NPC1^{11061T} mutant mice, substantially improved life span⁵⁶. However, this formulation consists of high amounts of cyclodextrin and 5% DMSO, a toxic organic solvent that could limit clinical applicability⁵⁶. Carbamazepine an autophagy inducer used to overcome the autophagy blockade⁵⁷ and remove toxic cytosolic debris, will be an important ingredient in the formulation for treatment of NPC²⁸. PEG-lipid micelles can entrap hydrophobic drugs, such as vironostat or carbamazepine in the core, and when formulated with cyclodextrin could result in a potent drug combination against NPC. As new variants of cyclodextrin that have different degree of substitutions are identified for improved efficacy and reduced ototoxicity in NPC disease, these agents can be replaced in the aforementioned drug cocktail⁵⁸. Additionally genetic editing and gene delivery approaches have been used to correct mutated genes, including Npc1, which offers a precise treatment and potential cure for rare genetic disorders and can benefit from non-viral delivery technologies^{19,59}. Finally, traversing the blood brain barrier is required for successful translation of this technology, and we anticipate modification of these nanocarriers with multivalent targeting ligands for brain delivery⁶⁰. Potentially attaching new brain shuttle technologies that have shown to enhance brain penetration in different animal models, including non-human primates, or temporary opening of the blood- brain barrier will enable brain targeting^{61,62}. This information serves as a blueprint to further develop PEG-lipid based bioactive nanocarriers with brain targeting capability that can exploit trafficking defects to site-specifically deliver drugs or their combinations for effective treatment of NPC. Taken together, nanocarriers can serve as a platform that can effectively deliver small molecules, genes and perhaps imaging agents for treatment and diagnosis of a wide variety of other rare lysosomal storage disorders.

Materials and Methods

Assembly of Liposomes or Micelles. Liposomes were formed using the thin film rehydration method. Briefly HSPC (Avanti Polar Lipids), DSPE-PEG_{2k} (NOF America) or a combination, were dissolved in ethanol at 20 mM. To make thin films, 2 μ Mol of lipid was dried in 20 mL glass scintillation vial (approximately 1 in²) under vacuum and rehydrated by sonication for 20 mins at 40 °C in a bath sonicator. Liposomes were formed in 200 μ L of 400 mM HPβCD (ACROS Organics) in PBS. Clean DSPE-PEG_{2k} micelles were assembled in 200 μ L Opti-MEM and cooled on ice until further use. Liposomes were washed twice in PBS to remove unencapsulated HPβCD using a centrifugal filter concentrator (Amicon Ultra–15 10 k MWCO) for 10 minutes at 17 k × G. Assembled micelles (without HPβCD) were diluted as necessary to be used in cell treatment studies. Additional lipid micelles, including DSPE, DSPE-PEG₃₆₀, DSPE-PEG_{1k}, DSPE-PEG_{5k} or DSPE-PEG_{2k}-CF (Avanti-Polar lipids) and DSPE-PEG_{10k}, DSPE-PEG_{20k}, DSPE-PEG_{30k}, (Creative PEGWorks) were formed from lipid stocks stored in chloroform. Thin films (100 nmoles lipid) were formed as described above and hydrated in 100 μ L Opti MEM to make 1 mM stock solutions. For PEG_{2k} a 10 μ M solution was made from powder rehydrated in Opti MEM, and diluted to desired concentrations. Pluronics P84 (Sigma Aldrich), L64, F127 and P103 (BASF SE) were diluted from stock solutions (150 mg/mL) in Opti MEM for cell treatments as described above.

Materials Analysis. Liposomes or micelles were confirmed using dynamic light scattering (Malvern Zetasizer) in PBS and average size is reported based on a number distribution. Transmission Electron Microscopy (TEM) was performed on an FEI Tecnai F-20 TEM operating at 200 kV. Samples of liposomes or micelles were prepared by drop casting onto a carbon coated copper grid (Ted Pella), and allowed to air dry. HP β CD was measured using an adapted LC-ESI-MS/MS method⁶³. Briefly, washed liposomes were digested in methanol and subjected to high-performance liquid chromatography (HPLC)-tandem mass spectrometry using a 75 × 2 mm C18 3 µm particle LC column (Phenomenex Torrance, CA) coupled to a SCIEX 4000 QTRAP LC/MS/MS equipped

with a electrospray ionization (ESI) source operating in the positive mode. The HPLC column temperature was kept at 40 °C. Analyte and internal standard (methyl- β -cyclodextrin) were resolved using a gradient method with solvents A (methanol at 0.1% formic acid) and B (100 mM ammonium formate) at a flow rate of 0.5 ml/min changed as follows: 5% to 95% B over 1 minute, held at 95% B for 1.5 minutes and equilibrated at 5% B for 2.5 minutes. The ESI ion source parameters were as follows: 48 V collision energy, 30 units curtain gas, 5000 V ion spray, 450 C temperature, 40 units gas 1 and 50.00 gas 2. Multiple reaction monitoring mass transitions scanned for 100 msec were m/z 1307 \rightarrow 367.4 for methyl- β -cyclodextrin, and m/z 1385 \rightarrow 383.4 and 1443 \rightarrow 383.4 for HP β CD. HP β CD and internal standard had a retention time of approximately 1.7 minutes. Calibration curves for quantification were created using HP β CD standard across the concentration range 0.05–500 µg/ml with methyl- β -cyclodextrin at 25µg/mL. The area ratio of analyte to internal standard was plotted against the nominal concentration for calibrators and a quadratic regression analysis was used to calculate the concentration in unknown samples.

Imaging. $Npc1^{-/-}$ and $Npc1^{+/+}$ immortalized mouse embryonic fibroblasts (MEFs) (kind gifts from the Langer Lab at MIT) were cultured in DMEM/10% FBS at 37 °C. Cells were plated into a 96 well plate (4 k cell/well with 100 µL media) and allowed to settle for 24 hours. Intracellular staining of cholesterol in these cells was performed using filipin staining of paraformaldehyde fixed cells. Cells were washed in PBS and 2 mM Glycine in PBS for 10 minutes, prior to staining with 0.05 mg/mL, filipin complex (Sigma Aldrich) in 10% FBS for 2 hours. Cells were then washed in PBS three times and stored in 100 µL PBS with 1x HCS NuclearMask Deep Red Stain (Life Technologies) at 4 °C for further analysis. $Npc1^{-/-}$ cells were treated with drug (HP β CD), PEG-lipid or a combination of drug with PEG-lipid, dissolved in Opti-MEM (Thermo Fisher). After a 24-hour incubation, media was removed, cells were washed in PBS and fixed in 4% paraformaldehyde at room temperature for 20 minutes, followed by filipin staining. Reduction of filipin staining from the lysosomal stores of $Npc1^{-/-}$ cells is referred as cholesterol efflux. For Rab7-GFP (marker of late endosomes) experiments, $Npc1^{+/+}$ or $Npc1^{-/-}$ cells were each transfected with Rab7-GFP using a baculovirus (Bacmam 2.0) for 18 hours, fixed and filipin stained as described, and imaged. For uptake and localizationstudies,1,2-distearoyl-sn-glycero-3-phosphoethanolamine-N-[poly(ethylene glycol)-2000-N'-carboxyfluorescein] (Avanti Polar Lipids) (DSPE-PEG-CF) were assembled into micelles (described below) and incubated with cells for specified time points prior to fixing and filipin staining. High throughput imaging was performed using either an automated high-throughput fluorescence microscope (Olympus scanR) at 20X with at least 16 images/well were analyzed using the Olympus scanR analysis software. In select cases, EVOS FL Auto Cell imaging system (Invitrogen) 20X or 40X was utilized. All experiments were performed in triplicates and errors are reported as S.E.M.

Total cellular cholesterol from cell lysates. Amplex Red cholesterol assay (Amplex Red Cholesterol Assay Kit, ThermoFisher) was performed according to manufacturers instructions and used to quantify total cellular cholesterol from cell lysates, which was normalized to total protein content using the Bradford Assay. Briefly, after drug treatments, cells were washed with PBS and lysed with Cholesterol Mammalian Protein Extraction Reagent (ThermoFisher Cat # 78501). The cells were frozen for 10 mins at −80 °C and plates were thawed for 30 mins at 37 °C. The cell lysates were treated with Amplex red reagent (prepared using Manufacturers protocol) in presence of Halt Protease inhibitor (Halt[™] Protease and Phosphatase Inhibitor Cocktail, EDTA-free (100X), ThermoFisher Cat # 78445). Cell lysates were incubates at room temperature for 1 hr and fluorescence (Ex/Em = 540/590) was measured using Tecan Microplate reader (Tecan). The cell lysates were treated with Bradford reagent (Pierce[™] Coomassie (Bradford) Protein Assay Kit, (ThermoFisher) and their absorbance (595 nm) was measured. Standard curves were plotted using known concentrations of Cholesterol (Amplex Red assay) and Bovine Serum Albumin (Bradford) to interpolate cell lysates based data. All experiments were performed in triplicates and errors are reported as S.E.M.

Cloning and generation of stable cell lines. p62-Lucifearse expressing Npc1 stable cell lines. $Npc1^{+/+}$ and $Npc1^{-/-}$ MEFs were transduced with a doxycycline inducible p62-firefly luciferase (p62-fluc) construct that also had a puromycin resistance gene were maintained in 1 µg/ml puromycin (Sigma-Aldrich P8833). Briefly, the doxycycline inducible pCW57.1 backbone was purchased from Addgene (41393). Gibson assembly was used to insert firefly luciferase (Fluc) and p62 into the pCW57.1 backbone. Fluc, p62 and pCW57.1 were PCR amplified using pfu polymerase (Life technologies) and Gibson assembly primers with 20 bp overhangs. PCR products were separated by agarose gel electrophoreses, and fragments excised and purified before use in DNA assembly reaction with the NEBuilder HiFi DNA Assembly kit (New England Biolabs) according to the manufacturer's instructions. After the assembly, the reaction mix was transformed into NEB3040 NEB Stable Competent *E. coli* (New England Biolabs). After 24 h growth at 31 °C the plasmid was extracted using QIAprep Spin Miniprep Kit (Qiagen). The plasmid was sequenced to confirm correct insertion of Fluc-p62. To generate $Npc1^{+/+}$ and $Npc1^{-/-}$ MEFs stably expressing doxycline inducible Fluc-p62, HEK293FT cells were transfected with Fluc-p62-pCW57.1 and the ViraPower Packaging Mix (Invitrogen) to produce lentivirus. $Npc1^{+/+}$ and $Npc1^{-/-}$ MEFs were transduced with the obtained lentiviral stock followed by selection with 1 µg/ml puromycin.

Gibson primers: pCW57.1FW-AGCATCCCCCGCCGTTGTGATTCTTGTACAAAGTGGTTTA GTAATGAACCGGTCCA pCW57.1 REV-ATGTTTTTGGCGTCTTCCATTGATGCTAGCCAAT TCTCCAGGCGATC firefly luciferaseFW-TGGAGAATTGGCTAGCATCAATGGAAGAC GCCAAAAACATAAAGAAAGG firefly luciferase REV-TTCACGGTGAGCGACGCCATCACGG CGATCTTTCCGCCCT p62 FWD-GAAAGATCGCCGTGATGGCGTCGCTCACCGTGAAGGCCTACCTTC p62 REV-TAAACCACTTTGTACAAGAATCACAACGGCGGGGGGATGCTTTGAATACTGGA. Tandem Fluorescent LC3 expressing Npc1 stable cell lines. Npc1^{+/+} and Npc^{-/-} MEFs were transduced with a tandem-fluorescent LC3 reporter (TF-LC3 (mRFP-EGFP-LC3)) which also contained a puromycin resistance gene. MEFs were selected with 1 μ g/ml of puromycin. After 1 week of selection the cells were plated as single cells in a 96 well plate to generate monoclonal TF-LC3 lines. pLenti-Synapsin-hChR2(H134R)-EYFP-WPRE (purchased from Addgene (20945)) was digested with PacI and EcoRI enzymes. TF-LC3 and IRES-PURO were amplified using PCR with Q5 HighFidelity DNA Polymerase (NEB) using Gibson Assembly primers, which contained 15bp overhangs. Purified amplicons were mixed and assembled using Gibson Assembly Master Mix (NEB). The assembled DNA was transformed into NEB[®] 5-alpha Competent *E. coli* (NEB). DNA was amplified in *E. coli* and sequenced to check for correct insertion of the TF-LC3 and the puromycin resistance gene. Then plasmid DNA was transfected into 293T cells with lentiviral packaging plasmids (tat, rev, gag/pol and vsv-g). Lentiviral particles were collected after 24 hours and used to transduce Npc1^{+/+} and Npc^{-/-} MEFs.

Gibson primers:TF-LC3-F – AGATCCAGTTTGGTTAATACCATGGCCTTCTCCGAG TF-LC3-R – CCATCTTCCTGTCACAAGCATGGCTC IRES-PURO-F – TGTGACAGGAAGATGGCGATTAGAGATCC IRES-PURO-R – CGATAAGCTTGATATCGGATTTAGGCACCGGGCTTGC.

Luciferase Assay. First, the p62-fluc.*Npc1*^{+/+} and p62-fluc.*Npc1*^{-/-} MEFs were plated into white 96 well plates (2 k cell/well with 100 μ L media) and allowed to settle for 24 hours. Next, the MEFs were treated with 1 μ g/ml doxycycline (Sigma-Aldrich 33429) for 24 hours before being washed off 3 times with PBS. Subsequently, the specified drug or a combination of drugs was dissolved in Opti-MEM (Thermo Fisher) and all of media was removed from cells and replaced with DSPE-PEG_{2k} containing media and incubated for 24 hours. MEFs to be treated for 48 hours with the micelles were aspirated after 24 hours and fresh DSPE-PEG_{2k} media was added for the final 24 hours. After 24 hours of drug treatment, MEFs were analyzed using ONE-GloTM + Tox Luciferase Reporter and Cell Viability Assay (Promega) by following the manufacturer's protocol.

TF.LC3 analysis. Analysis of autophagosome maturation using mRFP-EGFP-LC3 (TF-LC3) reporter was performed in the following way. TF-LC3.*Npc1*^{+/+} and TF-LC3.*Npc^{-/-}* MEFs were plated onto 9 mm glass coverslips coated with 0.2% gelatin (Sigma) at a density of 25000 per coverslip. The following day the cells were treated with for 24 hours with 10 μ M DSPE-PEG2k, 0.25 mM HP β CD or the combination of the two chemicals. After 24 hours the cells were washed with PBS and then fixed with 4% methanol free-paraformaldehyde (Thermo Fisher) for 15 minutes at room temperature. The formaldehyde was washed off twice with PBS and then the cells were quenched with 100 mM glycine (Sigma) for 10 minutes. Next, the cells were permeabilized with 0.5% Triton X-100 (Sigma) for 10 minutes. Subsequently, cells were washed twice with PBS and then the coverslips were mounted onto Prolong Diamond Anti-fade reagent (Life Technologies). Mounted slides were cured overnight and then imaged on a Carl Zeiss LSM 780 confocal microscope at 100x magnification. Images were processed using ImageJ software.

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Acknowledgements

This project was supported through OSU College of Pharmacy startup funding (G.S. and C.S.), OSU Venture Development Funds (G.S.). AACP New Investigator Award (G.S.), Birmingham Fellowship (S.S.) and Wellcome Trust Seed Award (S.S.). S.S. is also a Former Fellow at Hughes Hall, University of Cambridge, UK. We will like to thank Kelsey Kubiak for technical assistance and Dr. Andrea Debarber at OHSU for her assistance with the LC/MS/MS analysis and Dr. Adam Alani for access to Malvern Zetasizer, and the Portland State University Center for Electron Microscopy and Nanofabrication for access to electron microscopes. We thank Dr. Peter Lobel for providing Npc1 MEFs, Dr. T. Yoshimori and Addgene for mRFP-GFP-LC3 construct. G.S. will like to thank College of Pharmacy for Dean's funding for collaborative research with Oregon Translation Research And Development Institute (OTRADI). G.S., C.S. and L.H. thank the Murdock foundation for supporting the high throughput screening facility at Collaborative Life Science Building at OHSU.

Author Contributions

G.S. conceived the idea. A.B. performed all experiments except autophagy based assays and reported the data with S.P., A.L., A.D. A.E., L.H., A.B. and F.W. conducted high-throughput screens. S.S., V.K., C.W., M.O. and E.G.O. generated Npc1^{+/+} and Npc1^{-/-} cells with p62-Luc and TF-LC3 and conducted autophagy assays with DSPE-PEG_{2k} and cyclodextrin provided by the Sahay Lab (A.B.). G.S. and C.S. directed research on the cholesterol assays. G.S. and S.S. directed research on the autophagy based assay. G.S. S.S., C.W. and A.B. analyzed results. All authors provided feedback on the research. G.S. managed the overall project and wrote the paper.

Additional Information

Supplementary information accompanies this paper at http://www.nature.com/srep

Competing financial interests: G.S., A.B. and C.S. have filed invention disclosure under OSU disc. no. 15–43 for nanoparticle based formulations for NPC disorder. Remaining authors declare no other competing financial interests.

How to cite this article: Brown, A. *et al.* PEG-lipid micelles enable cholesterol efflux in Niemann-Pick Type C1 disease-based lysosomal storage disorder. *Sci. Rep.* **6**, 31750; doi: 10.1038/srep31750 (2016).

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