# Lipoprotein Particle Size and Concentration by Nuclear Magnetic Resonance and Incident Type 2 Diabetes in Women

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**OBJECTIVE**—Diabetic dyslipoproteinemia is characterized by low HDL cholesterol and high triglycerides. We examined the association of lipoprotein particle size and concentration measured by nuclear magnetic resonance (NMR) spectroscopy with clinical type 2 diabetes.

**RESEARCH DESIGN AND METHODS**—This was a prospective study of 26,836 initially healthy women followed for 13 years for incident type 2 diabetes (n = 1,687). Baseline lipids were measured directly and lipoprotein size and concentration by NMR. Cox regression models included nonlipid risk factors (age, race, smoking, exercise, education, menopause, blood pressure, BMI, family history, A1C, and C-reactive protein). NMR lipoproteins were also examined after further adjusting for standard lipids.

**RESULTS**—Incident diabetes was significantly associated with baseline HDL cholesterol, triglycerides, and NMR-measured size and concentration of LDL, IDL, HDL, and VLDL particles. The associations of these particles differed substantially by size. Small LDL<sub>NMR</sub> and small HDL<sub>NMR</sub> were positively associated with diabetes (quintile 5 vs. 1 [adjusted hazard ratios and 95% CIs], 4.04 [3.21–5.09] and 1.84 [1.54–2.19], respectively). By contrast, large LDL<sub>NMR</sub> and large HDL<sub>NMR</sub> were inversely associated (quintile 1 vs. 5, 2.50 [2.12–2.95] and 4.51 [3.68–5.52], respectively). For VLDL<sub>NMR</sub>, large particles imparted higher risk than small particles (quintile 5 vs. 1, 3.11 [2.35–4.11] and 1.31 [1.10–1.55], respectively). Lipoprotein particle size remained significant after adjusting for standard lipids and nonlipid factors.

**CONCLUSIONS**—In this prospective study of women, NMR lipoprotein size and concentrations were associated with incident type 2 diabetes and remained significant after adjustment for established risk factors, including HDL cholesterol and triglycerides. *Diabetes* **59**:1153–1160, 2010

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he dyslipoproteinemia of insulin resistance and type 2 diabetes is characterized by low HDL cholesterol and high triglycerides, despite normal or near-normal LDL cholesterol levels (1). Under normal physiologic conditions, insulin results in decreased hepatic synthesis and secretion of VLDL particles. However, when hepatic insulin signaling is impaired in insulin-resistant patients, triglyceride-rich VLDL production and secretion are increased (2). This increase in VLDL is typically associated with reduction in HDL cholesterol levels, in part related to the transfer of cholestery ester from the triglyceride-rich lipoproteins to HDLs. In addition, when these triglyceride-rich VLDL particles are subjected to further lipolysis, they give rise to small, cholesterol-poor LDL particles and hence the association with low or nonelevated LDL cholesterol levels. It has been proposed that an abundance of these small dense LDL particles should be considered part of this dyslipoproteinemia. However, small LDL particles cluster metabolically with other risk factors, particularly high triglycerides and low HDL cholesterol (3), and it is unclear if small LDL contribute independent information for prediction of type 2 diabetes (4). Even less is known about the predictive value of particle size or subclass concentrations for HDLs or VLDLs.

One method to measure lipoprotein particle size and concentration is nuclear magnetic resonance (NMR) spectroscopy. This technique simultaneously quantifies the size and concentration ("number") of lipoprotein particles expressed each as an average particle size (in nanometers) or as lipoprotein particle concentration (in particle mol/l) (5-8). By contrast, standard lipid tests quantify the cholesterol or triglyceride content of lipoproteins, without providing size-specific lipoprotein particle information. NMR lipoproteins have been examined in individuals with insulin resistance or type 2 diabetes, with small LDLs, small HDLs, and large VLDLs associated positively and large HDLs associated inversely, with insulin resistance measured by the euglycemic clamp technique (9) or the frequently sampled intravenous glucose tolerance test (9,10).

Given this pathophysiological relationship between insulin resistance and lipoproteins, we hypothesized that NMR-measured lipoproteins would predict incident type 2 diabetes in a primary prevention setting. Therefore, we conducted this prospective study of initially healthy women to determine 1) whether NMR-measured lipoprotein particle size and concentrations are associated with incident type 2 diabetes, 2) how they compare with chemically measured HDL cholesterol and triglycerides,

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and 3) whether they provide additive risk information to established risk factors for diabetes.

# **RESEARCH DESIGN AND METHODS**

Study participants were drawn from the Women's Health Study (WHS), a completed randomized, double-blinded, placebo-controlled trial of low-dose aspirin and vitamin E in the primary prevention of cardiovascular disease (CVD) and cancer in women (11-13). WHS participants were apparently healthy female health care professionals, aged  $\geq 45$  years, who were free of self-reported CVD and cancer at study entry (1992-1995). At the time of enrollment, women gave written informed consent and completed questionnaires on demographics, anthropometrics, medical history, and lifestyle factors. They were also asked to provide a baseline blood sample; 28,345 women did so, and of these, 98.5% (n = 27,909) had NMR measurements. For this study, we excluded women missing other lipids (n = 33), those with self-reported baseline type 2 diabetes (n = 770), and those with baseline A1C  $\geq 6.5\%$  (n = 270), leaving 26,836 women for analysis. We also repeated the analyses after excluding 169 women with A1C  $\geq$ 6.0 and <6.5%. The study was approved by the institutional review board of the Brigham and Women's Hospital (Boston, MA).

Laboratory measurements. EDTA blood samples were obtained at the time of enrollment into the WHS and stored in vapor-phase liquid nitrogen  $(-170^{\circ}C)$ . In a laboratory certified by the National Heart, Lung, and Blood Institute/Centers for Disease Control and Prevention Lipid Standardization Program, baseline samples were thawed and analyzed for standard lipids. Direct determination of concentrations of total cholesterol, HDL cholesterol, LDL cholesterol, and triglycerides was simultaneously performed on the Hitachi 917 analyzer using reagents and calibrators from Roche Diagnostics (Indianapolis, IN). Total cholesterol was assayed enzymatically. LDL cholesterol was determined by a homogenous direct method from Roche Diagnostics. HDL cholesterol was determined using a direct enzymatic colorimetric assay. Triglycerides were measured enzymatically with correction for endogenous glycerol. Coefficients of variation (CVs) for these lipids were <3%.

Samples for lipoprotein particle analysis by proton NMR spectroscopy were thawed, aliquoted (200 µl), refrozen, and shipped on dry ice to LipoScience (Raleigh, NC). Particle concentrations of lipoproteins of different sizes were calculated from the measured amplitudes of their spectroscopically distinct lipid methyl group NMR signals (7,14). Weighted-average lipoprotein particle sizes are derived from the sum of the diameter of each subclass multiplied by its relative mass percentage based on the amplitude of its methyl NMR signal. The NMR lipoprotein variables that we examined are those that are provided when ordering an NMR lipoprotein profile for clinical use (7). CVs ranged from 0.4 to 7.1%, except for IDL<sub>NMR</sub> (13.1%) and medium HDL<sub>NMR</sub> particle concentration (<30%). AIC was measured with turbidimetric immunoinhibition using packed erythrocytes (Roche Diagnostics). High-sensitive C-reactive protein (hsCRP) was measured using a high-sensitivity immunoturbidimetric assay on the Hitachi 917 analyzer (Roche Diagnostics), using reagents and calibrators from Denka Seiken.

Ascertainment of type 2 diabetes. Incident clinical type 2 diabetes in WHS participants was ascertained by self-report on annual follow-up questionnaires through March 2008 as previously described (15,16). Confirmation of diabetes was conducted using American Diabetes Association diagnostic criteria (17). Self-reported cases were then further investigated either by telephone interview with a physician or by a previously validated self-administered supplemental questionnaire that inquired about symptoms, diagnostic diabetes testing, and use of diabetes medications. The response rate was high, with >90% response rate to either telephone interview or supplemental questionnaire by women who self-reported diabetes. Glucose screening rates in this population were similar to contemporaneous screening rates in the general population (18), with 68.2% of nondiabetic women having reported a screening fasting glucose performed in the prior 3 years.

**Statistical analysis.** Statistical analyses were performed using STATA version 10.1 (STATA, College Station, TX). Statistical comparisons were obtained from Student *t* tests for continuous variables expressed as means, from Wilcoxon rank-sum tests for variables expressed as medians, and  $\chi^2$  tests for categorical variables.

Following guidelines from the Department of Health and Human Services (19), lipids and lipoproteins were divided into quintiles based on the distribution among women not taking hormone replacement. Cox proportional hazard regression models were used to calculate the hazard ratios (HRs) and 95% CIs according to these quintiles. The proportional hazard assumption was tested using Schoenfeld residuals and the natural logarithm of follow-up time. Some of the variables did not satisfy the proportionality assumption; hence, we also divided the follow-up time into the first and second 6 years, finding no substantial differences within each 6-year period. Stronger associations were noted for NMR lipoproteins with diabetes during the first 6 years compared with the second 6 years of follow-up, but the relative magnitude of associations was generally similar within each 6-year period; therefore, we report the main results for the overall follow-up period unless otherwise specified.

We initially considered two levels of adjustment for 1) age, race, and randomized treatment assignment (minimally adjusted; model 1); and 2) covariates in model 1 plus smoking status, exercise, education, menopausal status, hormone use, blood pressure, BMI, family history of diabetes, A1C, and hsCRP (nonlipid risk factors; model 2). To determine the magnitude of association of NMR lipoproteins with diabetes independent of standard lipids, we additionally adjusted model 2 for triglycerides and HDL and LDL cholesterol and evaluated the association of NMR lipoproteins with diabetes using likelihood ratio  $\chi^2$  tests. Since lipoprotein particles are metabolically interrelated (7,20), NMR lipoproteins were also analyzed in a single model that included the nine NMR lipoprotein subclasses (two LDL<sub>NMR</sub>, one IDL<sub>NMR</sub>, three HDL<sub>NMR</sub>, and three VLDL<sub>NMR</sub> lipoprotein subclasses) in addition to the nonlipid risk factors, in order to estimate the independent associations of these correlated lipoproteins with diabetes.

Based on prior work from this cohort suggesting that nonfasting concentrations of certain lipids may be superior to fasting concentrations for risk prediction (21,22), we examined whether fasting status modified the association of NMR lipoproteins with diabetes. Statistical tests for interaction between fasting status and lipoproteins in relation to diabetes were obtained using likelihood ratio tests.

We repeated the analyses after excluding 169 women with A1C  $\geq$ 6.0 and <6.5%, with similar results. *P* value for linear trend was obtained using the quantile number as a predictor. All *P* values were two tailed.

#### RESULTS

During a median follow-up of 13.3 years (interquartile range 12.3–13.8), a total of 1,687 incident cases of clinical type 2 diabetes occurred. Table 1 shows the baseline characteristics of participants according to the development of diabetes during follow-up. In comparison with the small differences noted in LDL cholesterol between case subjects and noncase subjects, the NMR-measured concentration of total LDL<sub>NMR</sub> particles was much higher in case subjects. This resulted from case subjects having more small LDL<sub>NMR</sub> particles and IDL<sub>NMR</sub> particles but fewer large  $LDL_{NMR}$  particles. Case subjects also had significantly less  $HDL_{NMR}$  particles (total) due to having fewer large  $HDL_{NMR}$  particles, despite having more small  $HDL_{NMR}$  particles.  $VLDL_{NMR}$  particles were higher in case subjects (both large and small). In accordance with these results, average particle size in case versus control subjects was smaller for LDL<sub>NMR</sub> and HDL<sub>NMR</sub>, and larger for VLDL<sub>NMR</sub>.

**LDL measures.** HRs for diabetes according to quintiles of LDL cholesterol and LDL<sub>NMR</sub>particle concentration and size are shown in Table 2. In fully adjusted models, neither total cholesterol (data not shown) nor LDL cholesterol was associated with diabetes (*P* for trend 0.53 and 0.64, respectively), but other LDL measures, such as LDL<sub>NMR</sub> particle concentration and size, were significantly associated with diabetes (*P* for trend <0.001).

 $\rm LDL_{NMR}$  particles differed substantially in their association with diabetes according to their size. Large  $\rm LDL_{NMR}$ particles were inversely associated (adjusted HR 2.50 [95% CI 2.12–2.95]) for quintile 1 vs. 5, while small  $\rm LDL_{NMR}$ particles were positively associated with diabetes (4.04 [3.21–5.09]) for quintile 5 vs. 1. The concentration of IDL<sub>NMR</sub> particles, a subclass of LDL particles whose density and size are intermediate between small VLDL and large LDL, was positively associated with diabetes, similar in association to small VLDL<sub>NMR</sub> (shown in Table 4) but different from the inverse association of large LDL<sub>NMR</sub>. Total LDL<sub>NMR</sub> particle concentration (IDL<sub>NMR</sub> + large LDL<sub>NMR</sub> + small LDL<sub>NMR</sub>) was positively associated with diabetes, and the smaller the average LDL<sub>NMR</sub> particle size

TABLE 1

Baseline characteristics of participants according to incident type 2 diabetes

	No diabetes	No diabetes Diabetes	
n	25.149	1.687	
Age (years)	$54.6 \pm 7.10$	$54.6 \pm 6.55$	0.96
Current smoking (%)	11.5	13.2	0.04
Hypertension (%)	22.4	47.0	< 0.001
Postmenopausal status (%)	54.0	55.6	< 0.001
Postmenopausal hormone use (%)	44.3	40.4	0.002
Fasting (%)	75.8	78.6	0.01
BMI $(kg/m^2)$	$25.4 \pm 4.6$	$30.6 \pm 5.9$	< 0.001
A1C (%)	4.98 (4.83-5.15)	5.28 (5.07-5.53)	< 0.001
Family history of diabetes (%)	23.4	43.9	< 0.001
hsCRP (mg/l)	1.84(0.74 - 3.98)	4.42(2.26-7.34)	< 0.001
Lipid concentrations (mg/dl)			
Total cholesterol	208 (184–235)	213 (187-242)	< 0.001
LDL cholesterol	121(100-144)	126 (104–152)	< 0.001
HDL cholesterol	53 (44-63)	42 (36–50)	< 0.001
Triglycerides	115 (82–167)	175 (126-247)	< 0.001
NMR lipoprotein particle concentrations	( )		
$LDL_{MR}$ (nmol/l)			
Total	1,260(1,024-1,570)	1,587 (1,288–1,944)	< 0.001
Large	551 (414-692)	424 (268–589)	< 0.001
Small	632 (382–972)	1,075(714-1,502)	< 0.001
IDLNMP	32 (10-66)	51 (22–93)	< 0.001
HDL <sub>NMP</sub> (µmol/l)			
Total	35.1 (31.2-39.5)	34.2 (30.1-39.0)	< 0.001
Large	7.8 (5.3–10.5)	4.6 (3.0-6.8)	< 0.001
Medium	2.7(0.8-6.0)	2.7(0.8-5.8)	0.68
Small	23.6 (19.9-27.2)	25.5 (22.0-28.8)	< 0.001
VLDL <sub>NMP</sub> (nmol/l)			
Total	68.0 (48.9–90.1)	73.8 (55.1–94.6)	< 0.001
Large	1.3 (0.3–3.6)	3.0 (1.4–5.5)	< 0.001
Medium	20.8 (11.1-31.8)	20.9(11.9-32.6)	0.13
Small	44.5 (32.2–57.8)	48.2 (36.7–59.9)	< 0.001
NMR average particle size (nm)			
LDL <sub>NMR</sub> size	21.4 (20.9–21.9)	20.7 (20.1–21.3)	< 0.001
HDL <sub>NMR</sub> size	9.0 (8.7–9.4)	8.6 (8.4–8.9)	< 0.001
VLDL <sub>NMR</sub> size	46.3 (42.0-51.6)	51.1 (46.6-56.7)	< 0.001
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Data are median (interquartile range) or means  $\pm$  SD, unless otherwise indicated. \**P* values were obtained from Student *t* test for continuous variables expressed as means, from Wilcoxon rank-sum tests for variables expressed as medians, and  $\chi^2$  tests for categorical variables.

the higher the risk. Associations obtained from the minimally adjusted model 1 were generally stronger than model 2, which had further adjustment for other factors. HDL measures. HDL cholesterol was inversely associated with diabetes (Table 3), with quintile 1 vs. 5 associated with fourfold increased risk. While total  $HDL_{NMR}$  particle concentration was also inversely associated with diabetes (quintile 1 vs. 5, adjusted HR 1.20 [95% CI 1.03-1.40]), it was only large  $HDL_{NMR}$  particles that were inversely associated, with quintile 1 ( $\leq 4 \mu mol/l$ ) imparting 4.5-fold increased risk of diabetes compared with quintile 5. Interestingly, this inverse association noted for large  $HDL_{NMR}$ particles was not noted for smaller  $\mathrm{HDL}_{\mathrm{NMR}}$  particles. Instead, there was nearly twofold increased risk associated with the highest concentration of small  $HDL_{NMR}$ particles. This was also reflected in  $HDL_{NMR}$  average particle size, with smaller  $HDL_{NMR}$  size having 4.5-fold higher risk of diabetes.

**VLDL measures.** Higher concentrations of triglycerides and triglyceride-rich VLDL particles were associated with higher risk of diabetes (Table 4). Large VLDL<sub>NMR</sub> particles, which carry more triglycerides than smaller particles and correlate more with insulin resistance (9), had the strongest association of the VLDL particles with diabetes, with

more than threefold increased risk for quintile 5 vs. 1. Small VLDL<sub>NMR</sub> also showed positive association with diabetes but less than large particles. Thus, larger average VLDL<sub>NMR</sub> size correlated with higher risk of diabetes, although not to the same extent as smaller LDL<sub>NMR</sub> or HDL<sub>NMR</sub> size, both of which had higher absolute and relative risk.

Figure 1 summarizes the adjusted HRs and 95% CIs for incident diabetes associated with extreme quintiles of the NMR lipoproteins, standard lipids, and A1C, ranked according to the magnitude of the HRs.

**Other analyses.** When we repeated the analyses using continuous variables instead of quintiles, similar results were obtained. Similar results were also found after additionally excluding 169 women with A1C  $\geq$ 6.0%. A similar pattern of findings was noted when analyses were stratified by median follow-up time into the first and second 6 years. Overall, stronger associations were noted for A1C and NMR lipoproteins with diabetes during the first 6 years compared with the second 6 years of follow-up, but the relative magnitude of associations was generally similar and significant both early and late in follow-up. For example, the adjusted HRs (95% CIs) for extreme quintile values of LDL<sub>NMR</sub>, HDL<sub>NMR</sub>, and VLDL<sub>NMR</sub> size were 6.56

#### TABLE 2

Association of LDL measures with incident type 2 diabetes

						P for
	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	trend
LDL cholesterol (mg/dl)	≤97.3	97.4-115.2	115.3-131.9	132.0-153.7	>153.7	
Model 1	Referent	0.99 (0.85-1.16)	1.14 (0.98–1.33)	1.10 (0.94–1.29)	1.57 (1.35-1.82)	< 0.001
Model 2	Referent	0.94 (0.80-1.11)	0.94 (0.80-1.10)	0.85 (0.72-1.00)	1.08(0.93-1.26)	0.64
LDL <sub>NMR</sub> particle concentrations						
Total LDL <sub>NMR</sub> (nmol/l)	$\leq 957$	958 - 1,155	1,156-1,373	1,374 - 1,680	>1,680	
Model 1	Referent	1.31 (1.02–1.68)	2.29 (1.83-2.87)	3.78 (3.05-4.67)	6.49(5.29-7.96)	< 0.001
Model 2	Referent	1.07 (0.83-1.38)	1.48 (1.18–1.87)	1.83 (1.47-2.28)	2.53 (2.04-3.13)	< 0.001
Large LDL <sub>NMR</sub> (nmol/l)	$\leq 361$	362 - 476	477 - 577	578 - 698	>698	
Model 1	4.25 (3.64-4.97)	1.90 (1.59-2.26)	1.55 (1.29-1.86)	1.27 (1.05–1.53)	Referent	< 0.001
Model 2	2.50 (2.12-2.95)	1.44 (1.20-1.73)	1.37 (1.14-1.66)	1.27 (1.04–1.54)	Referent	< 0.001
Small LDL <sub>NMR</sub> (nmol/l)	$\leq 346$	347 - 553	554 - 774	775-1,134	>1,134	
Model 1	Referent	1.85 (1.42-2.40)	3.00 (2.35-3.83)	5.20 (4.13-6.55)	10.19 (8.17-12.72)	< 0.001
Model 2	Referent	1.54 (1.18-2.02)	2.09 (1.63-2.68)	2.62 (2.06-3.32)	4.04 (3.21-5.09)	< 0.001
IDL <sub>NMR</sub> (nmol/l)	$\leq 5$	6-20	21-39	40 - 72	>72	
Model 1	Referent	1.27 (1.04–1.56)	1.75 (1.45-2.12)	2.22 (1.85-2.66)	3.07(2.58 - 3.65)	< 0.001
Model 2	Referent	1.16 (0.94–1.42)	1.35 (1.11-1.65)	1.40 (1.16-1.68)	1.66(1.39 - 1.99)	< 0.001
LDL <sub>NMR</sub> average size (nm)	$\leq 20.5$	20.6 - 21.0	21.1 - 21.5	21.6 - 21.9	>21.9	
Model 1	9.99 (8.03-12.44)	5.54 (4.41-6.96)	3.07 (2.44-3.88)	1.83 (1.41-2.38)	Referent	< 0.001
Model 2	4.16 (3.30-5.24)	3.04 (2.40-3.86)	2.21 (1.74-2.81)	1.63 (1.25-2.13)	Referent	< 0.001

Data are HR (95% CI) and (ranges minimum–maximum) and are given for each quintile. *P* for trend obtained from using median quantile as a dependent variable in Cox regression models. Model 1: adjusted for age, race, and randomized treatment assignment. Model 2: adjusted for model 1 variables plus smoking, exercise, education, menopausal status, hormone use, blood pressure, BMI, family history of diabetes, A1C, and hsCRP.

(3.92–10.99), 7.48 (4.05–13.83), and 2.87 (1.93–4.26), respectively, early in follow-up; and 3.74 (2.88–4.85), 4.12 (3.07–5.53), and 2.90 (2.26–3.73), respectively, late in follow-up.

We also examined the effect of fasting status (Fig. 2), noting generally similar results for fasting and nonfasting lipoprotein measurements (all *P* for interaction with fasting status >0.05). However, there were several borderline significant interactions noted for fasting status with each of small LDL<sub>NMR</sub> particles, LDL<sub>NMR</sub> size, and HDL<sub>NMR</sub> size in relation to diabetes (*P* for interaction 0.08, 0.06, and 0.05, respectively). Moreover, while the *P* value for inter-

action was nonsignificant, nonfasting large VLDL $_{\rm NMR}$  particles carried much higher risk for diabetes than fasting measurements.

When we evaluated all nine NMR-measured lipoprotein particle concentrations in one model that also adjusted for nonlipid risk factors, we found that large and small  $\text{LDL}_{\text{NMR}}$ , large and small  $\text{HDL}_{\text{NMR}}$ , and large  $\text{VLDL}_{\text{NMR}}$  remained associated with diabetes. IDL<sub>NMR</sub> and small  $\text{VLDL}_{\text{NMR}}$  particles were no longer significant (P = 0.38 and 0.62, respectively). Medium  $\text{HDL}_{\text{NMR}}$  and medium  $\text{VLDL}_{\text{NMR}}$  particles now showed inverse associations with diabetes.

#### TABLE 3

Association of HDL measures with incident type 2 diabetes

						P for
	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	trend
HDL cholesterol (mg/dl)	≤39.8	39.9-46.3	46.4-52.8	52.9-61.8	>61.8	
Model 1	9.52 (7.89-11.48)	5.32 (4.37-6.48)	2.94 (2.38-3.63)	1.84 (1.47-2.30)	Referent	< 0.001
Model 2	4.01 (3.28-4.92)	3.14 (2.56-3.86)	2.05 (1.65-2.55)	1.54 (1.23–1.93)	Referent	< 0.001
HDL <sub>NMR</sub> particle concentrations						
Total HDL <sub>NMR</sub> (µmol/l)	$\leq 29.0$	29.1 - 31.9	32.0-34.3	34.4-37.3	>37.3	
Model 1	1.60(1.39 - 1.83)	1.21 (1.04-1.40)	1.07 (0.92–1.24)	1.01 (0.88–1.17)	Referent	< 0.001
Model 2	1.20 (1.03-1.40)	1.07(0.91 - 1.25)	0.91 (0.77-1.07)	0.88 (0.75-1.02)	Referent	0.008
Large HDL <sub>NMR</sub> (µmol/l)	$\leq 4$	4.1 - 5.8	5.9 - 7.7	7.8 - 10.0	>10.0	
Model 1	9.89 (8.20-11.93)	5.36(4.39-6.55)	3.31 (2.69-4.08)	1.84 (1.47-2.31)	Referent	< 0.001
Model 2	4.51 (3.68-5.52)	3.19(2.58 - 3.94)	2.54 (2.04-3.15)	1.72 (1.36-2.17)	Referent	< 0.001
Medium HDL <sub>NMR</sub> (µmol/l)	$\leq 0.2$	0.3 - 1.4	1.5 - 3.0	3.1 - 5.6	> 5.6	
Model 1	Referent	1.19 (1.01–1.41)	1.23 (1.04–1.46)	1.20 (1.01–1.41)	1.11 (0.95–1.30)	0.47
Model 2	Referent	1.14(0.96-1.35)	1.01 (0.84-1.20)	1.04 (0.87-1.23)	1.03 (0.87-1.21)	0.72
Small HDL <sub>NMR</sub> (µmol/l)	$\leq 18.8$	18.9 - 21.9	22.0-24.4	24.5 - 27.3	>27.3	
Model 1	Referent	1.46 (1.20-1.77)	1.69 (1.40-2.04)	2.21 (1.85-2.65)	2.68 (2.25-3.18)	< 0.001
Model 2	Referent	1.19(0.97 - 1.45)	1.36(1.12 - 1.65)	1.51 (1.25–1.81)	1.84(1.54-2.19)	< 0.001
HDL <sub>NMR</sub> average size (nm)	$\leq \! 8.5$	8.6 - 8.7	8.8-9.0	9.1 - 9.4	>9.4	
Model 1	12.01 (9.31-15.50)	9.04 (6.95-11.75)	4.78 (3.67-6.23)	2.10 (1.59-2.79)	Referent	< 0.001
Model 2	4.56 (3.50-5.93)	3.97 (3.03-5.21)	3.08 (2.35-4.03)	1.72 (1.29–2.29)	Referent	< 0.001

Data are adjusted HR (95% CI) and (ranges minimum-maximum) and are given for each quintile. See Table 2 legend for model adjustments.

TABLE 4	4
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Association of VLDL measures with incident type 2 diabetes

						P for
	Quintile 1	Quintile 2	Quintile 3	Quintile 4	Quintile 5	trend
Triglycerides (mg/dl)	$\leq 70$	71–95	96-125	126-178	>178	
Model 1	Referent	1.73 (1.30-2.31)	2.47 (1.88-3.25)	4.91 (3.81-6.33)	8.88 (6.94-11.37)	< 0.001
Model 2	Referent	1.36 (1.01-1.83)	1.57 (1.18-2.08)	2.57 (1.98-3.35)	3.71 (2.87-4.80)	< 0.001
VLDL <sub>NMR</sub> particle concentrations						
Total VLDL <sub>NMR</sub> (nmol/l)	$\leq 45.6$	45.7 - 61.8	61.9 - 77.2	77.3-96.8	>96.8	
Model 1	Referent	1.41 (1.19-1.67)	1.62 (1.38-1.91)	1.70 (1.44-2.00)	1.81 (1.54-2.13)	< 0.001
Model 2	Referent	1.18 (0.99-1.41)	1.16 (0.98-1.38)	1.25 (1.05-1.48)	1.26 (1.06-1.50)	0.01
Large VLDL <sub>NMR</sub> (nmol/l)	$\leq 0.1$	0.2-0.5	0.6-1.8	1.9-3.8	>3.8	
Model 1	Referent	1.58 (1.16-2.14)	3.57 (2.71-4.70)	5.41 (4.12-7.09)	6.66 (5.10-8.70)	< 0.001
Model 2	Referent	1.49 (1.09-2.05)	2.54(1.91 - 3.39)	2.98 (2.24-3.96)	3.11 (2.35-4.11)	< 0.001
Medium VLDL <sub>NMR</sub> (nmol/l)	$\leq 8.2$	8.3 - 15.9	16.0-23.8	23.9 - 34.1	>34.1	
Model 1	Referent	1.11 (0.95-1.30)	1.08 (0.92-1.27)	1.07 (0.91-1.26)	1.15 (0.98-1.35)	0.19
Model 2	Referent	1.03 (0.88-1.22)	0.99(0.84 - 1.18)	0.89(0.75 - 1.05)	1.04 (0.88-1.23)	0.73
Small VLDL <sub>NMR</sub> (nmol/l)	$\leq 31.5$	31.6-42.1	42.2-51.5	51.6-63.1	>63.1	
Model 1	Referent	1.50 (1.28-1.76)	1.69(1.44 - 1.98)	1.79 (1.53-2.11)	1.87 (1.59-2.20)	< 0.001
Model 2	Referent	1.13(0.96-1.34)	1.11 (0.94–1.31)	1.22 (1.03-1.44)	1.31(1.10 - 1.55)	0.001
VLDL <sub>NMR</sub> average size (nm)	$\leq 40.6$	40.7-43.8	43.9-47.3	47.4-52.0	>52.0	
Model 1	Referent	1.23(0.96 - 1.58)	1.96 (1.56-2.46)	3.31 (2.68-4.09)	4.93 (4.03-6.04)	< 0.001
Model 2	Referent	1.25 (0.97-1.62)	1.67 (1.32-2.10)	2.22 (1.78-2.76)	2.80 (2.27-3.46)	< 0.001

Data are adjusted HR (95% CI) and (ranges minimum-maximum) and are given for each quintile. See Table 2 legend for model adjustments.

Incremental value of NMR lipoproteins. Since NMR lipoproteins are correlated with standard lipids, in particular HDL cholesterol and triglycerides, we performed Cox models that adjusted for triglycerides and HDL and LDL cholesterol in addition to the nonlipid (model 2) risk factors. Although the associations were attenuated, smaller particle size for  $LDL_{NMR}$  and  $HDL_{NMR}$  remained significant (quintile 1 vs. 5, HR 1.79, [95% CI 1.37-2.33] and 2.39 [1.75–3.28], respectively; P for trend < 0.001 for both), as did larger VLDL<sub>NMR</sub> particle size (quintile 5 vs. 1, 2.04 [1.63-2.56]; P for trend <0.001). The change in the likelihood ratio  $\chi^2$  tests was significant for adding either  $\text{LDL}_{\text{NMR}}, \text{HDL}_{\text{NMR}}, \text{ or } \text{VLDL}_{\text{NMR}} \text{ particle size to models that}$ already included standard lipids and nonlipid risk factors (change in  $\chi^2$  24.53, 48.56, and 59.51, respectively; P <0.0001 for all three).

Finally, we identified 8,101 women (number of incident diabetes cases = 132) who had normal values of both triglycerides and HDL cholesterol using median values as cut points (triglycerides <117 mg/dl and HDL cholesterol >52 mg/dl). Compared with the rest of the cohort, these women were more likely to be hypertensive and hormone users. We then examined the association of small LDL<sub>NMR</sub> with incident diabetes in these women after adjusting for nonlipid risk factors (including hypertension and hormone use). Higher concentration of small LDL<sub>NMR</sub> particles was significantly associated (*P* for trend 0.003) with incident diabetes, despite that these women had normal levels of triglycerides and HDL cholesterol (fully adjusted HR for the top versus bottom quintile of small LDL<sub>NMR</sub> 3.95 [95% CI 1.63–9.55]).

# DISCUSSION

Consistent with prior studies in individuals with insulin resistance, we found that in initially healthy women followed prospectively for incident clinical type 2 diabetes, both triglycerides and HDL cholesterol were independently associated with diabetes but not LDL or total cholesterol. Furthermore, NMR-measured size and concentrations of LDL, HDL, and VLDL particles were also associated with diabetes, independent of triglycerides, HDL cholesterol, and other factors. The associations of lipoprotein particles differed markedly by size. Smaller average size of  $LDL_{NMR}$  and  $HDL_{NMR}$  particles, as well as the concentration of small  $LDL_{NMR}$  and  $HDL_{NMR}$  particles, was associated with increased risk, while the concentration of large  $LDL_{NMR}$  and  $HDL_{NMR}$  particles carried lower risk. Large  $VLDL_{NMR}$  particles carried lower risk. Large  $VLDL_{NMR}$  particles carried higher risk than small particles.  $LDL_{NMR}$ ,  $HDL_{NMR}$ , and  $VLDL_{NMR}$  particle size remained associated with diabetes in models that already included standard lipids and nonlipid risk factors, adding incremental risk information beyond that obtained from established risk factors.

A uniting feature of these lipoprotein alterations and their association with type 2 diabetes may be a state of insulin resistance. The associations we found in this study in relation to the NMR-measured lipoproteins have been previously linked to insulin resistance as measured by the euglycemic clamp (9). Garvey et al. (9) demonstrated a progressive increase in insulin resistance associated with larger  $\text{VLDL}_{\text{NMR}}$  size, smaller  $\text{LDL}_{\text{NMR}}$  size, and smaller  $HDL_{NMR}$  size, all of which are consistent with our findings in relation to predicting incident type 2 diabetes. In 830 subjects with insulin resistance followed in the Insulin Resistance Atherosclerosis Study over a 5-year period, NMR-measured larger  $VLDL_{NMR}$  size and smaller  $HDL_{NMR}$ particles were independently associated with increased risk of type 2 diabetes (14), while  $LDL_{NMR}$  size and  $LDL_{NMR}$ particles were not significant independent of other risk factors. Factor analysis revealed a single factor that correlated with insulin resistance accounted for nearly half the variance in these lipoprotein measures (10).

Our study, which was conducted in a large population of healthy women, found independent associations for incident diabetes with baseline  $LDL_{NMR}$  size and concentration, with larger  $LDL_{NMR}$  particles associated with lower risk and smaller  $LDL_{NMR}$  particles associated with higher risk. Moreover, small  $LDL_{NMR}$  imparted higher risk of diabetes even in women with normal triglyceride and HDL cholesterol levels. The inverse association of large  $LDL_{NMR}$  particles with type 2 diabetes contrasts with the



FIG. 1. Adjusted HRs and 95% CIs for quintile 5 vs. 1, unless otherwise noted, adjusted for nonlipid risk factors (age, race, randomized treatment assignment, smoking, exercise, education, menopausal status, hormone use, blood pressure, BMI, family history of diabetes, A1C, and hsCRP). A1C results were adjusted for age, race, randomized treatment assignment, smoking, exercise, education, menopausal status, hormone use, blood pressure, BMI, family history of diabetes, hsCRP, and standard lipids.

positive association noted previously in relation to incident CVD in this population of women (23).

Risk factors for type 2 diabetes may differ from those for CVD (24). For CVD risk, we reported that both small and large LDL<sub>NMR</sub> particles had similar increase in risk, which contrasts with the inverse association of large LDL<sub>NMR</sub>, and positive association of small LDL<sub>NMR</sub>, with risk of diabetes in the current study. For CVD events, NMR lipoprotein profiles in this cohort of women were comparable but not superior to standard lipids, as recently reported (23). This is in contrast to the current findings for type 2 diabetes, where NMR-measured lipoprotein classification by size provided additive and independent risk information to standard lipids and other risk factors.

For HDL particles, the inverse association of  $HDL_{NMR}$ size with risk of type 2 diabetes was also noted previously in relation to risk of CVD in this population of women (23). Of the  $HDL_{NMR}$  particles, only large particles were associated with lower risk of diabetes, to a magnitude similar to the association of HDL cholesterol with diabetes, while small particles carried higher risk. Furthermore, adjusting for HDL cholesterol and other risk factors attenuated the association, but larger  $HDL_{NMR}$  size remained associated with more than twofold increased risk. Previous studies have found strong inverse relationships between insulin resistance and the large  $\text{HDL}_{\text{NMR}}$  subclass as measured by NMR (9,10,14) or the corresponding  $\text{HDL}_2$  subclass as measured by ultracentrifugation (25).

For VLDL particles, large particles had a greater magnitude of association with diabetes compared with smaller particles, which we explain by large VLDL carrying more triglycerides than small VLDL and correlating more with the severity of insulin resistance (9). Hepatic overproduction of large VLDL particles is a key feature of the dyslipoproteinemia of insulin resistance and type 2 diabetes, with evidence for independent regulation of large and small VLDL particles (26).

In addition, our finding of similar lipoprotein associations with diabetes both early and late in follow-up suggests that these lipoprotein alternations may occur years before the onset of overt hyperglycemia and clinical diagnosis of diabetes, providing a potential opportunity for the early detection and prevention of type 2 diabetes and its complications.

This study has potential limitations. Several of the risk factors were assessed by self-report. Since our study is largely limited to Caucasian women, these data may not be generalizable to men or other patient groups. We studied an apparently healthy cohort at low overall risk for diabetes. While our study found incremental



FIG. 2. HRs and 95% CIs were adjusted similar to Fig. 1 and stratified according to fasting (black circles) or nonfasting (gray diamonds) status.

predictive information for NMR lipoproteins, further studies should be performed in the appropriate patient settings to determine whether a strategy using NMR lipoprotein testing is cost-effective for prevention of type 2 diabetes and related metabolic disorders. Undetected diabetes at study entry is unlikely to have biased our results, since we excluded women with baseline A1C levels  $\geq 6.5\%$  from our primary sample and found similar results when we excluded those with A1C  $\geq 6.0\%$ . In addition, similar results during the first 6 years of follow-up compared with the second 6 years.

We conclude that the size and concentration of NMRmeasured LDL, HDL, and VLDL particles were associated with clinical type 2 diabetes, independent of other risk factors, particularly chemically measured HDL cholesterol and triglycerides. The associations of  $LDL_{NMR}$ and  $HDL_{NMR}$  particles with diabetes differed according to size, with larger particles carrying lower risk and smaller particles carrying higher risk. For  $VLDL_{NMR}$ , large particles were associated with higher risk.  $LDL_{NMR}$ ,  $HDL_{NMR}$ , and  $VLDL_{NMR}$  particle size remained significant in models that already included standard lipids and risk factors, adding incremental risk information beyond that obtained from established risk factors for type  $2\,$  diabetes.

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