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### αΕβ7, α4β7 and α4β1 integrin contributions to T cell distribution in blood, cervix and rectal tissues: Potential implications for HIV transmission

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### Abstract

Cell surface expression of  $\alpha 4\beta7$ ,  $\alpha 4\beta1$  and  $\alpha E\beta7$  integrins play a key role in T cell distribution. Understanding the contribution of integrins to the density and ratios of CD4<sup>+</sup>: CD4<sup>neg</sup>T cell at the portals of entry for HIV is of fundamental importance for the advance of more effective HIV prevention strategies. We therefore set out to characterize and compare the expression of  $\alpha 4\beta7$ ,  $\alpha 4\beta1$  and  $\alpha E\beta7$  integrins on systemic, cervical and rectal CD4<sup>+</sup> and CD4<sup>neg</sup>T cells isolated from a cohort of healthy Kenyan women at low risk for sexually transmitted infections (STI) (n = 45). Here we show that blood and cervix were enriched in  $\alpha 4^+\beta 1^+CD4^+T$  cells and  $\alpha 4^+\beta 7^{hi}CD4^+T$  cells, whereas the rectum had an equal frequency of  $\alpha 4^+\beta 7^{hi}CD4^+T$  cells and  $\alpha E^+\beta 7^{hi}CD4^+T$  cells. Most cervical and rectal  $\alpha E^+\beta 7^{hi}CD4^+T$  cells expressed CCR5 as well as CD69. Interestingly,  $\alpha E\beta7$  was the predominant integrin expressed by CD4<sup>neg</sup>T cells in both mucosal sites, outnumbering  $\alpha E^+\beta 7^{hi}CD4^+T$  cells approximately 2-fold in the cervix and 7-fold in the rectum. The majority of  $\alpha E^+\beta 7^{hi}CD4^{neg}T$  cells expressed CD69 at the mucosa. Taken together, our results show unique tissue-specific patterns of integrin expression. These results can help in guiding vaccine design and also the use of therapeutically targeting integrin adhesion as a means to preventing HIV.

### Introduction

Most HIV transmission globally occurs through sexual intercourse. Scrutinizing the events associated with the influx of activated CCR5<sup>+</sup>CD4<sup>+</sup>T cells into the genital and gut mucosa and the maintenance of a pool of HIV-specific effector memory CD8<sup>+</sup>T cells at the portal of entry to HIV can inform HIV vaccine and therapy design. Integrins are  $\alpha\beta$  heterodimeric, transmembrane proteins that among other functions, direct cell trafficking and retention at various anatomical sites [1]. Among the 24  $\alpha\beta$  integrin pairs identified to date, three of them are



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especially important for T cell localization:  $\alpha 4\beta7$ ,  $\alpha E\beta7$  and  $\alpha 4\beta1$ .  $\alpha 4\beta7$  integrin binds predominantly to MAdCAM-1 (mucosal addressin cell adhesion molecule-1), a molecule expressed on endothelial cells of the gastrointestinal and genital tract, and it is well known as a gut-homing marker [2].  $\alpha E\beta7$  binds to E-cadherin and plays a role on T cell retention in epithelial tissues such as skin and gut [3, 4].  $\alpha 4\beta1$  integrin, also named VLA-4 (very late antigen-4), is expressed on monocytes and lymphocytes, but in contrast to the first two integrins is also expressed on many other cell types.  $\alpha 4\beta1$  binds to VCAM-1 (vascular cell adhesion protein-1) and can direct cell migration to a diverse set of sites, including the genital tract, gut, lungs and brain.

Studies have demonstrated that CD4<sup>+</sup>T cells expressing  $\alpha 4\beta7$  and  $\alpha 4\beta1$  are more susceptible to HIV infection. CD4<sup>+</sup>T cells harboring  $\alpha 4\beta7$  were preferentially targeted during HIV/SIV infection [5, 6]. High expression of  $\alpha 4\beta7$  in memory CD4<sup>+</sup>T cells has been shown to correlate with increased susceptibility to rectal SIV infection and are associated with higher viral loads in macaques [7, 8]. Increased availability of  $\alpha 4\beta7^+$ CD4<sup>+</sup>T cells in the vaginal tissue has been associated with an increased risk of SHIV acquisition [9]. In humans, the frequency of  $\alpha 4\beta7^+$ CD4<sup>+</sup>T cells in peripheral blood has been shown to be associated with increased rates of HIV infection and HIV clinical outcomes [10]. Additionally,  $\alpha 4\beta1$ -expressing CD4<sup>+</sup>T cells isolated from cervix were shown to be preferentially infected with HIV R5-pseudovirus in an *in vitro* assay [11].

The association of enhanced HIV susceptibility with  $\alpha 4\beta 7^+CD4^+T$  cells availability encouraged the investigation of targeting  $\alpha 4\beta 7$  with humanized anti- $\alpha 4\beta 7$  monoclonal antibodies (mAbs) on SIV/HIV infection. Anti- $\alpha 4\beta 7$  mAbs have been used in humans to treat ulcerative colitis and Crohn's disease [12, 13]. Administration of anti- $\alpha 4\beta 7$  mAb in a non-human primate (NHP) model challenged with SIV<sub>mac251</sub> intravaginally had a significant impact on decreasing SIV acquisition and delaying disease progression [14]. More recently Byrareddy et al (2016) showed that a regimen of anti-retroviral therapy (ART) combined with anti- $\alpha 4\beta 7$ mAb was able to suppress viral load in rhesus macaques infected with SIV<sub>mac239</sub> with no viral rebound observed even after both therapies were stopped [15]. The mechanisms by which anti- $\alpha 4\beta 7$  mAb have conferred protection remains elusive.

Conversely, there is growing evidence that the formation and maintenance of a pool of tissue resident memory T ( $T_{RM}$ ) cells can play a pivotal role in mounting rapid recall responses [16, 17] and generation of an antiviral state [18, 19]. Despite the absence of definitive markers of  $T_{RM}$  cells, there is an agreement about the importance of CD103 ( $\alpha$ E) expression in this population. Although most of the studies discuss  $T_{RM}$  as CD8<sup>+</sup>T cells, CD4<sup>+</sup>T cells also persist at the tissue as  $T_{RM}$  cells [20, 21]. The role of  $\alpha$ E $\beta$ 7 as an adhesion molecule in this context has been under-explored and invites further investigation especially in humans.

In this study, we characterized the frequency of CD4<sup>+</sup> and CD4<sup>neg</sup>T cells expressing  $\alpha E^+\beta 7^{hi}$ ,  $\alpha 4^+\beta 7^{hi}$ ,  $\alpha 4^{int}\beta 7^{int}$  and  $\alpha 4^+\beta 1^+$  in blood, cervix and rectum of healthy Kenyan women and also their co-expression with the early activation marker CD69. The frequency of integrin expressing-CD4<sup>+</sup>T cells co-expressing CCR5 in these sites were also a focus of analysis.

Our work reveals that cervical and rectal  $\alpha E^+\beta 7^{hi}CD4^+T$  cells displayed the highest expression of CCR5 and CD69 when compared to CD4<sup>+</sup>T cells expressing the other integrins or compared to  $\alpha 4^-\beta 7^-CD4^+T$  cells. Analysis of integrin expressions on CD4<sup>neg</sup>T cells revealed that  $\alpha E\beta 7$  is particularly important for the distribution of this cell type in mucosal sites potentially serving as a key integrin that determines and maintains a protective frontline pool of cells at the site of infection. We have defined and detailed the compartmentalization of integrin expression on T cell subsets in directly relevant human tissue i.e. the sites of HIV entry and believe this work will facilitate the development of optimized vaccines and therapeutics that take into account the diversities of mucosal tissues involved in HIV susceptibility and protection against infection.

### Material and methods

### **Clinical specimens**

Baseline samples collected from women enrolled at KAVI-VZV-001 trial (n = 45) were included in this study. The participants enrolled at the study aged 26 (21.5–30.5) years (median, IQR), were seronegative for HIV-1 and HIV-2 and determined non-pregnant. Written informed consent was obtained for all subjects participating in the trial. This study was approved by KNH/UON ERC (Reference Number KNH-ERC/A/352), University of Toronto REB (Protocol Number 31043) and by Kenyan Pharmacy and Poisons Board (Reference Number PPB/ECCT/15/01/02/2015). This study was conducted and the data generated recorded and reported in accordance with the ICH Guidelines for Good Clinical Practice, regulatory requirements and the Declaration of Helsinki.

## Isolation of Peripheral Blood Mononuclear Cells (PBMCs), cervical cells, and rectal cells

BD Vacultainer® sodium heparin tubes, cytobrushes (Digene®, Qiagen), and Sarrat disposable forceps (STE1500, Stericom) were used for the collection of blood, cervical cells and rectal cells respectively as previously described [22]. PBMCs were isolated by gradient using Histopaque<sup>®</sup> - 1077 Hybri-Max (Sigma-Aldrich). Cervical cells were mechanically isolated from the cytobrushes and rectal cells were isolated from 9 punch-biopsies using 2 cycles of digestion with collagenase type II (Sigma) under agitation at 37°C. All samples were analyzed fresh.

### Multicolor flow cytometric analysis

PBMCs, cervical cells and rectal cells were stained with pre-determined concentrations of antibodies directed against CD3 (clone SK7) (eBioscience), CD4 (clone SK3) (BD Horizon), CCR5 (clone 2D7) (BD Horizon), CD69 (clone FN50) (BD Pharmingen), CD49d (clone 9F10) (eBioscience), and  $\beta$ 7 (clone FIB504) (BD Pharmingen). Dead cells were marked using LIVE/ DEAD Far Red Cell Stain Kit (Invitrogen). Some samples were also stained with antibodies against CD103 (clone Ber-ACT8) (BioLegend). An LSRII flow cytometer driven by the DiVa software package (BD Biosciences) was used to acquire the samples. Analysis was performed on FlowJo v10.1 software (FlowJo, LLC, USA).

### Statistical analysis

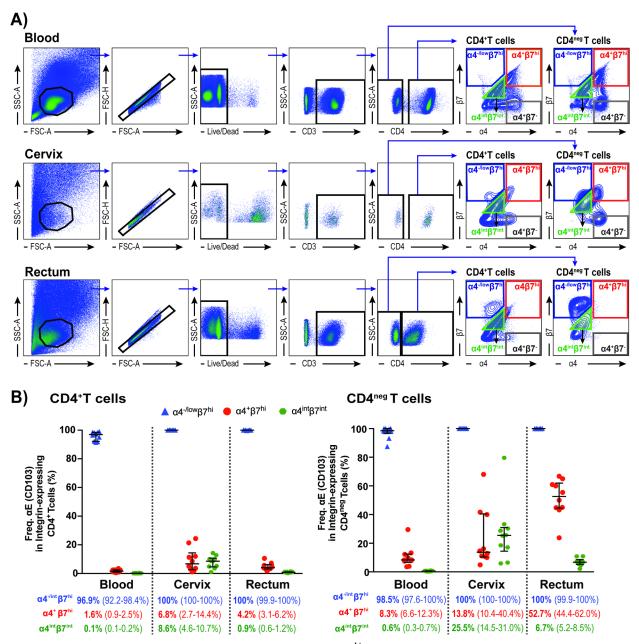
Spearman's correlation ( $r_s$ ) and Friedman test followed by Wilcoxon Signed Rank test were used to compare the variables.

P-values were adjusted for multiple-comparisons using a step-down procedure. Statistical analysis were performed using IBM<sup>®</sup>SPSS<sup>®</sup>Statistics. Graphs were generated using Prism 6 (GraphPad, USA) software. A P-value of <0.05 was considered to be statistically significant, throughout the manuscript \**P* < 0.05 \*\**P* < 0.01 \*\*\*\**P* < 0.001 \*\*\*\**P* < 0.0001 and relevant statistical tests are specified in each figure legend.

### Results

## Anti- $\alpha$ 4 and anti- $\beta$ 7 co-staining allows for the identification of $\alpha$ E $\beta$ 7 integrin populations within T cells

Peripheral blood, cervical cytobrushes and rectal biopsies were collected from healthy Kenyan women enrolled in the KAVI-VZV 001 study (ClinicalTrials.gov Identifier: NCT02514018) [22]. CD4<sup>+</sup>T cells and CD4<sup>neg</sup>T cells isolated from these tissues were analyzed for their



**Fig 1. Anti-***α***4 and anti-***β***7 co-staining as means to the identification of \alpha E^+ \beta 7^{hi} T cell population.** (A) Representative flow cytometry plots for the identification of  $\alpha 4^{-/neg} \beta 7^{hi}$ ,  $\alpha 4^{+h} \beta 7^{hi}$ ,  $\alpha 4^{int} \beta 7^{int}$  and  $\alpha 4^+ \beta 7^-$ T cell populations in blood, cervix and rectum; (B) Frequency of  $\alpha 4^{-/low} \beta 7^{hi}$ ,  $\alpha 4^{int} \beta 7^{int}$  and  $\alpha 4^+ \beta 7^-$ T cell populations in blood, cervix and rectum; (B) Frequency of  $\alpha 4^{-/low} \beta 7^{hi}$ ,  $\alpha 4^{int} \beta 7^{int}$  and  $\alpha 4^+ \beta 7^{hi}$  on CD4<sup>+</sup> and CD4<sup>neg</sup>T cells expressing  $\alpha E$ . Data from 10 female subjects presented as median and interquartile range (IQR).

expression of α4 and β7 integrins. Our gating strategy for this analysis is shown in Fig 1A. Mucosal T cells, especially rectal T cells, showed two distinct β7<sup>hi</sup> populations based on their level of α4 expression (Fig 1A). As the β7 chain can pair with α4 or αE, we tested these two distinct β7<sup>hi</sup> populations as well as the α4<sup>int</sup>β7<sup>int</sup> and α4<sup>+</sup>β7<sup>-</sup> populations for the expression of αE (CD103) (S1 Fig). Blood, cervical and rectal samples isolated from ten volunteers were stained with anti-αE (anti-CD103) antibody. We observed that both α4<sup>-/low</sup>β7<sup>hi</sup>CD4<sup>+</sup>T cells and α4<sup>-/</sup> l<sup>low</sup>β7<sup>hi</sup>CD4<sup>neg</sup>T cells were positive for αE in the three tissues analyzed (Fig 1C). We also observed that a subset of α4<sup>+</sup>β7<sup>hi</sup>T cells and of α4<sup>int</sup>β7<sup>int</sup>T cells co-expressed αE (Fig 1C) in agreement with previous reports [23, 24]. We also analyzed the expression of  $\alpha$ E in the population expressing intermediate levels of  $\alpha$ 4 and  $\beta$ 7 by dividing it into two subsets, I and II (Panel B in S1 Fig). Subset I, comprising the cells expressing higher levels of  $\beta$ 7, exhibited increased expression of  $\alpha$ E compared to subset II (Panel C in S1 Fig). We also observed a strong positive correlation between the mean fluorescence intensity (MFI), a measure of integrin density/cell, for  $\alpha$ E and  $\beta$ 7 on CD4<sup>+</sup>T cells in blood (r<sub>s</sub> = 0.74), cervix (r<sub>s</sub> = 0.79) and rectum (r<sub>s</sub> = 0.84), as well as on CD4<sup>neg</sup>T cells in blood (r<sub>s</sub> = 0.83) and rectum (r<sub>s</sub> = 0.96) (S2 Fig).

As it has been shown that  $\alpha 4^+\beta 7^-CD4^+T$  cells express  $\beta 1$  [11], by staining cells with antibodies against  $\alpha 4$  and  $\beta 7$  we could confidently identify three important integrins involved in migration and retention of T cell populations:  $\alpha 4\beta 7$ ,  $\alpha E\beta 7$  and  $\alpha 4\beta 1$ .

 $\beta$ 7<sup>hi</sup> has previously been used for the identification of  $\alpha$ 4 $\beta$ 7<sup>+</sup> in blood CD4+T cells [25] and has been shown to be a predictor of HIV outcome in humans [10]. Using our gating strategy for identification, we next determined the density of  $\beta7$  in the three integrin-expressing T cells that carried  $\beta$ 7 in its heterodimeric forms:  $\alpha E^+ \beta 7^{hi}$ ,  $\alpha 4^+ \beta 7^{hi}$ , and  $\alpha 4^{int} \beta 7^{int}$ . We observed that the density of  $\beta$ 7 was higher in  $\alpha 4^+\beta 7^{hi}CD4^+T$  cells isolated from blood and cervix and in  $\alpha E^{+}\beta 7^{hi}CD4^{+}T$  cells isolated from rectum than in the other integrin-expressing CD4<sup>+</sup>T cells (S3 Fig). With the exception of blood, in which  $\alpha 4^+\beta 7^{hi}$  and  $\alpha E^+\beta 7^{hi}$  showed equivalent  $\beta 7$  densities, a similar profile was observed in CD4<sup>neg</sup>T cells (<u>S3 Fig</u>). As shown in <u>S3 Fig</u>,  $\alpha 4^{int}\beta 7^{int}$ population exhibited lower  $\alpha 4$  and  $\alpha E$  densities when compared to  $\alpha 4^+\beta 7^{hi}$  and  $\alpha E^+\beta 7^{hi}$ , respectively (S3 Fig). The densities of  $\beta$ 7,  $\alpha$ 4, and  $\alpha$ E were also analyzed on  $\alpha$ 4 and/or  $\alpha$ E expressing T cells (S4 Fig). We observed that  $\alpha 4^+ \alpha E^+ T$  cells showed higher  $\beta 7$  density when compared to cells carrying only  $\alpha 4$  or  $\alpha E$  (S4 Fig). In blood, T cells co-expressing  $\alpha 4$  and  $\alpha E$  were shown to have a higher density of  $\alpha 4$  when compared to cells carrying only one of the integrins (S4 Fig). The same trend was observed in blood for the density of  $\alpha E$  (S4 Fig). In contrast, mucosal T cells co-expressing  $\alpha 4$  and  $\alpha E$  showed lower  $\alpha 4$  MFI then  $\alpha 4^+ \alpha E^- T$  cells. Interestingly,  $\alpha E$  MFI in  $\alpha 4^+ \alpha E^+ T$  cells were equivalent to  $\alpha 4^- \alpha E^+ T$  cells in both cervix and blood and was significantly higher in rectal  $\alpha 4^+ \alpha E^+ T$  cells (S4 Fig).

### The highest levels of CCR5 and CD69 expressions are observed in the $\alpha E^+\beta 7^{hi}CD4^+T$ cells at the mucosa

Representative flow cytometry plots for the identification of  $\alpha\beta$  subsets within CD4<sup>+</sup>T cells populations as well as CCR5<sup>+</sup> and CD69<sup>+</sup>CD4<sup>+</sup> T cell populations in blood, cervix and rectum are shown in Fig 2A. We observed that systemic and cervical CD4<sup>+</sup>T cells predominantly expressed  $\alpha4^+\beta1^+$  (17.5% and 17.1% of total CD4<sup>+</sup>T cells, respectively) followed by  $\alpha4^+\beta7^{hi}$ (8.0% in blood and 3.4% in cervix) (Fig 2B). As expected, CD4<sup>+</sup>T cells expressing  $\alpha E^+\beta7^{hi}$  were very rare (0.05%) in blood. Interestingly, we observed that  $\alpha E^+\beta7^{hi}CD4^+T$  cells in cervix were present at comparable level to the  $\alpha4^+\beta7^{hi}CD4^+T$  cell population. Similarly, in the rectum, the frequency of  $\alpha4^+\beta7^{hi}CD4^+T$  cells and  $\alpha E^+\beta7^{hi}CD4^+T$  cells were equivalent (approximately 5%), although in this tissue  $\alpha4^+\beta1^+CD4^+T$  cells were shown to be significantly less frequent (Fig 2B). Approximately 30% of blood and rectal CD4<sup>+</sup>T cells displayed intermediate expression of  $\alpha4$  and  $\beta7$  ( $\alpha4^{int}\beta7^{int}$ ) (Fig 2B), a population that in these tissues were shown to minimally co-express  $\alpha E$  (< 1%). The frequency of  $\alpha4^{int}\beta7^{int}CD4^+T$  cell population in cervix was 7.9%, from which approximately 10% are expected to co-express  $\alpha E$  (Figs 1B and 2B). It is worth noting that approximately one-quarter of the CD4<sup>+</sup>T cells in blood, cervix and rectum were negative for these three integrins (Fig 2B).

We next sought to compare the frequency of integrin-expressing CD4<sup>+</sup>T cells harboring the HIV co-receptor CCR5. We observed that overall  $\alpha E^+\beta 7^{hi}$ ,  $\alpha 4^+\beta 7^{hi}$ ,  $\alpha 4^{int}\beta 7^{int}$  and  $\alpha 4^+\beta 1^+CD4^+T$  cells at the mucosa often co-expressed CCR5, with strikingly more than 90% of

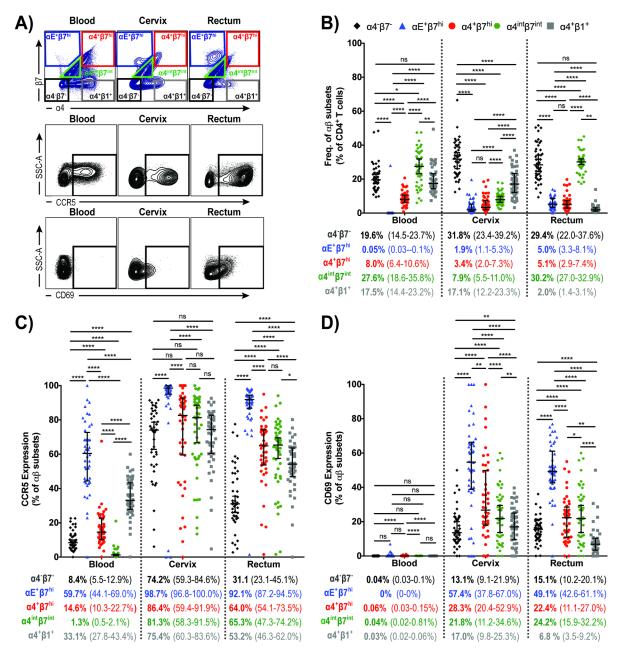


Fig 2. Integrin-expressing CD4<sup>+</sup>T cells isolated from blood, cervix and rectum and their co-expression with CCR5 and CD69. (A) Representative flow cytometry plots for the identification of  $\alpha 4 \beta 7$ ,  $\alpha E^+ \beta 7^{hi}$ ,  $\alpha 4^+ \beta 7^{hi}$ ,  $\alpha 4^+ \beta 7^{hi}$ ,  $\alpha 4^+ \beta 1^+$ , CCR5<sup>+</sup> and CD69<sup>+</sup> on CD4<sup>+</sup>T cell populations in blood, cervix and rectum; (B)  $\alpha 4^- \beta 7^- CD4^+T$  cells (black),  $\alpha E^+ \beta 7^{hi}$  (blue),  $\alpha 4^+ \beta 7^{hi}$  (red),  $\alpha 4^{int} \beta 7^{int}$  (green) and  $\alpha 4^+ \beta 1^+$  (gray) expression on CD4<sup>+</sup>T cells isolated from blood, cervix and rectum. (C) Frequency of CCR5-expressing cells on  $\alpha 4 \beta 7^- CD4^+T$  cells (black),  $\alpha E^+ \beta 7^{hi}CD4^+T$  cells (blue),  $\alpha 4^+ \beta 7^{hi}CD4^+T$  cells (red),  $\alpha 4^{int} \beta 7^{int}CD4^+T$  cells (gray). (D) Frequency of CD69-expressing cells on  $\alpha 4^+ \beta 7^{-1}CD4^+T$  cells (black),  $\alpha E^+ \beta 7^{hi}CD4^+T$  cells (blue),  $\alpha 4^+ \beta 1^{hi}CD4^+T$  cells (grey). (D) Frequency of CD69-expressing cells on  $\alpha 4^+ \beta 7^{-1}CD4^+T$  cells (black),  $\alpha E^+ \beta 7^{hi}CD4^+T$  cells (blue),  $\alpha 4^+ \beta 1^{hi}CD4^+T$  cells (grey). (D) Frequency of CD69-expressing cells on  $\alpha 4^- \beta 7^{-1}CD4^+T$  cells (black),  $\alpha E^+ \beta 7^{hi}CD4^+T$  cells (blue),  $\alpha 4^+ \beta 1^{hi}CD4^+T$  cells (gray). Data from 45 female subjects presented as median (IQR). \* $P < 0.01^{***}P < 0.001^{****}P < 0.0001$ , as calculated by Friedman Test, followed by Wilcoxon signed rank-test, and adjusted for multiple comparisons using step-down procedure.

 $\alpha E^+\beta 7^{hi}CD4^+T$  cells in both cervix and rectum expressing CCR5 (Fig 2C). The frequency of  $\alpha 4^+\beta 7^{hi}CD4^+T$  cells and  $\alpha 4^+\beta 1^+CD4^+T$  cells expressing CCR5 at the rectal tissue was 64% and 53%, respectively (Fig 2C). At the mucosal sites, the frequency of CCR5-expressing  $\alpha 4^{int}\beta 7^{int}$  CD4<sup>+</sup>T cells was similar to the ones observed for  $\alpha 4^+\beta 7^{hi}CD4^+T$  cells (Fig 2C). With exception

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of blood  $\alpha 4^{int}\beta 7^{int} CD4^{+}T$  cells, integrin-expressing CD4<sup>+</sup>T cells showed higher CCR5 coexpression than  $\alpha 4^{-}\beta 1^{-}CD4^{+}T$  cells in the three tissues studied, yet the CCR5 expression on  $\alpha 4^{-}\beta 1^{-}CD4^{+}T$  cells was surprisingly high in cervix when compared to the other tissues (Fig 2C). Next, we analyzed the expression of CD69 on integrin-expressing CD4<sup>+</sup>T cells isolated from blood, cervix and rectum. Although CD69 expression has been used as a marker of early cell activation, more recently it has been shown to exercise functions related to tissue residence even in the absence of cell activation [26]. CD69 can physically interact to the Sphingosine-1-Phosphate Receptor-1 (S1P<sub>1</sub>) leading to its degradation, and prolonging T cell retention in the tissue, potentially assisting in T<sub>RM</sub> formation [27, 28]. Mucosal  $\alpha E^{+}\beta 7^{hi}CD4^{+}T$  cells presented the highest levels of CD69 expression when compared to the other integrin subtypes and to the  $\alpha 4^{-}\beta 7^{-}CD4^{+}T$  cell population (Fig 2D).

### CD4<sup>neg</sup>T cells in both cervix and rectum abundantly express αEβ7

Here we examined the expression of  $\alpha E\beta7$ ,  $\alpha 4\beta7$  and  $\alpha 4\beta1$  integrins on CD4<sup>neg</sup>T cells from blood, cervix and rectal tissue as well as their co-expression with CD69 (representative flow cytometry plots for their identification are shown in Fig 3A). As observed in Fig 3B,  $\alpha E^+\beta7^{hi}$  was predominantly expressed on both cervical and rectal CD4<sup>neg</sup>T cells (8.8% and 52.1%, respectively) and practically absent in blood CD4<sup>neg</sup>T cells (Fig 3B). The frequency of CD4<sup>neg</sup>T cells expressing  $\alpha 4^+\beta7^{hi}$  or  $\alpha 4^+\beta1^+$  was comparable in blood (17.3% and 19.3%, respectively). In cervix the frequency of  $\alpha 4^+\beta7^{hi}CD4^{neg}T$  cells,  $\alpha 4^+\beta1^+CD4^{neg}T$  cells and  $\alpha E^+\beta7^{hi}CD4^{neg}T$  cells were all very similar (Fig 3B), with predominance of  $\alpha 4^{int}\beta7^{int}$  in this tissue. Rectal CD4<sup>neg</sup>T cells exhibited a unique integrin expression profile, with approximately 50% of the cells harboring  $\alpha E^+\beta7^{hi}$ . Although in a significantly lower level than  $\alpha E^+\beta7^{hi}$ , CD4<sup>neg</sup>T cells expressing  $\alpha 4^{int}\beta7^{int}$ ,  $\alpha 4^+\beta7^{hi}$  and  $\alpha 4^+\beta1^+$  were also detected in rectum (10.3%, 2.0% and 0.7%, respectively) (Fig 3B).

When we analyzed the level of CD69 expression on  $\alpha E^+\beta 7^{hi}CD4^{neg}T$  cells, we observed that 43.8% of cervical  $\alpha E^+\beta 7^{hi}CD4^{neg}T$  cells and 76% of rectal  $\alpha E^+\beta 7^{hi}CD4^{neg}T$  cells expressed CD69, indicating that most of these cells have a low migratory capability (Fig 3C) potentially been identified as  $T_{RM}$  cells. CD69 expression was also high in  $\alpha 4^+\beta 7^{hi}CD4^{neg}T$  cells isolated from both cervix and rectum (27.6% and 48.5% respectively), indicating reduced circulatory potential (Fig 3C).

# The frequency of CD4<sup>+</sup>T cells expressing $\alpha 4^+\beta 7^{hi}$ correlated across blood, cervix and rectum

We further evaluated whether the frequency of integrin-expressing T cells in one tissue could be used as predictor of their expression in another tissue. Among all the integrin subsets studied here ( $\alpha 4^{-}\beta 7^{-}, \alpha E^{+}\beta 7^{hi}, \alpha 4^{+}\beta 7^{hi}, \alpha 4^{int}\beta 7^{int}$ , and  $\alpha 4^{+}\beta 1^{+}$ ) in CD4<sup>+</sup> and in CD4<sup>neg</sup>T cells, only  $\alpha 4^{+}\beta 7^{hi}$  CD4<sup>+</sup>T cells correlated across the three tissues after adjusting for multiple comparisons (Fig 4). There was also a positive correlation between the frequencies of  $\alpha 4^{+}\beta 7^{hi}$  CD4<sup>neg</sup>T cells in blood and cervix ( $r_s = 0.41$ ) and in rectum and blood ( $r_s = 0.39$ ); as well as between the frequencies of  $\alpha E^{+}\beta 7^{hi}$  T cells in blood and cervix ( $r_s = 0.34$ ),  $\alpha E^{+}\beta 7^{hi}$  CD4<sup>neg</sup>T cells in blood and rectum ( $r_s = 0.32$ ), and  $\alpha 4^{+}\beta 1^{+}$  CD4<sup>neg</sup>T cells in blood and cervix ( $r_s = 0.35$ ), however these correlations showed not to be significant after adjusting for multiple comparisons.

### Integrin contributions for the CD4<sup>neg</sup>:CD4<sup>+</sup> T cell ratio in blood, cervix and rectum

The presence of large numbers of effector CD8<sup>+</sup>T cells in proximity to target cells could potentially prevent or control infection locally. Unfortunately there is still a lack of information

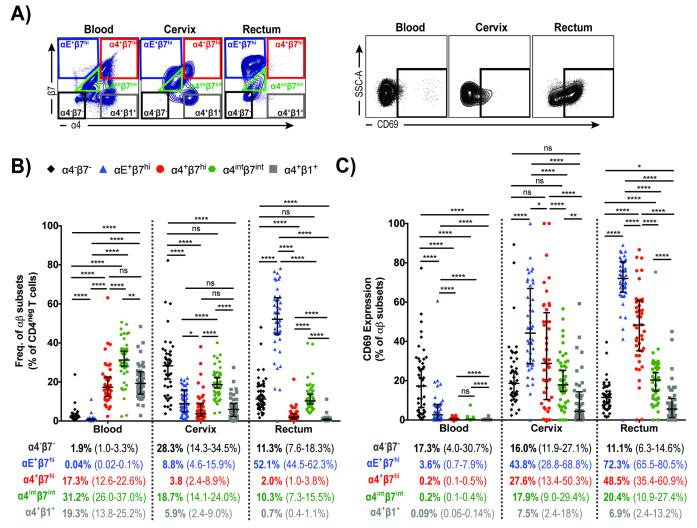
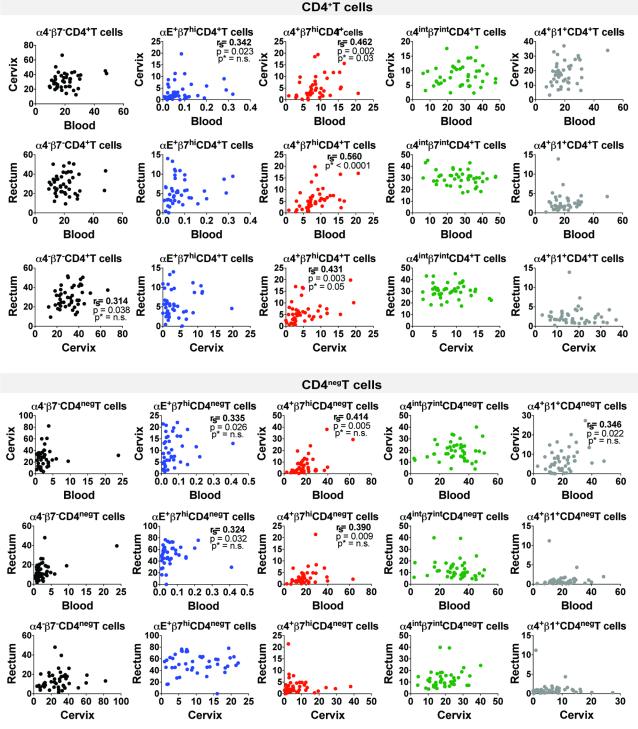


Fig 3. Integrin-expressing CD4<sup>neg</sup>T cells isolated from blood, cervix and rectum and their co-expression with CD69. (A) Representative flow cytometry plots for the identification of  $\alpha 4^{\circ}\beta^{7}$ ,  $\alpha E^{\circ}\beta^{7hi}$ ,  $\alpha 4^{int}\beta^{7hi}$ ,  $\alpha 4^{int}\beta^{7int}$ ,  $\alpha 4^{int}\beta^{7hi}$ ,  $\alpha 4^{i$ 

about the importance of integrins for both CD4<sup>+</sup> and CD8<sup>+</sup>T cells positioned at the mucosa, especially at the human genital mucosa. We therefore set out to explore the CD4<sup>neg</sup>:CD4<sup>+</sup> ratio of all CD3<sup>+</sup>cells expressing each of the three integrins studied here. Unlike  $\alpha 4^{-}\beta 7^{-}$ ,  $\alpha 4^{+}\beta 1^{+}$ , and  $\alpha 4^{+}\beta 7^{hi}$ ,  $\alpha E^{+}\beta 7^{hi}$  was predominantly expressed on mucosal CD4<sup>neg</sup>T cells, with a CD4<sup>neg</sup>: CD4<sup>+</sup> ratio of 2.1 in cervix and 7.1 in the rectum (Fig 5A) (p<0.0001). At the cervix,  $\alpha 4^{int}\beta 7^{int}$  was also shown to be expressed more in CD4<sup>neg</sup>T cells, with a CD4<sup>neg</sup>: CD4<sup>+</sup> ratio of 1.6 (Fig 5A). The contribution of  $\alpha 4^{+}\beta 1^{+}$ ,  $\alpha 4^{+}\beta 7^{hi}$ , and  $\alpha E^{+}\beta 7^{hi}$  to integrin-expressing T cell densities in blood, cervix, and rectum are shown in Fig 5B. Circulating CD4<sup>+</sup>T cells expressed predominantly  $\alpha 4^{+}\beta 1^{+}$  integrin while circulating CD4<sup>neg</sup>T cells in the cervix was more heterogeneous than in the other tissues analyzed, with a predominance of  $\alpha 4^{+}\beta 1^{+}$  CD4<sup>+</sup>T cells and  $\alpha E^{+}\beta 7^{hi}$ CD4<sup>neg</sup>T cells in this tissue. Conversely, the rectal tissue showed an unparalleled T cell



**Fig 4.** Correlations of integrin-expressing cells between tissues. Graphs display Spearman's correlation  $(r_s)$ , and both unadjusted and adjusted p values (n = 10). P values adjusted for multiple comparisons are marked with asterisks  $(p^*)$ .

composition based on the integrin expression.  $CD4^{+}T$  cells expressing high levels of  $\alpha E^{+}\beta 7^{hi}$  or  $\alpha 4^{+}\beta 7^{hi}$  were equally frequent in the rectum, while,  $CD4^{neg}T$  cells in rectum frequently expressed  $\alpha E^{+}\beta 7^{hi}$ , with a minimal presence of  $\alpha 4^{+}\beta 7^{hi}$  or  $\alpha 4^{+}\beta 1^{+}CD4^{neg}T$  cells (Fig 5B).

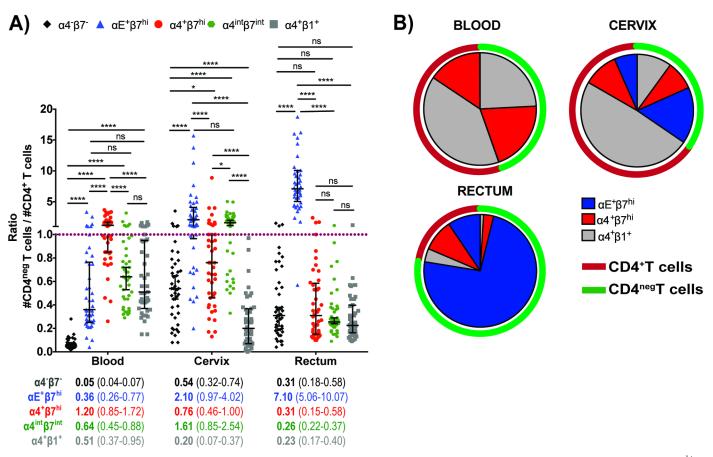


Fig 5. Integrin-expressing CD4<sup>+</sup> and CD4<sup>neg</sup>T cell densities in the blood, cervix and rectum. (A) CD4<sup>neg</sup>:CD4<sup>+</sup> ratio of all CD3<sup>+</sup>cells expressing  $\alpha 4^{\beta}\beta^{\gamma}$ ,  $\alpha 4^{\beta}\beta^{\gamma hi}$ ,  $\alpha 4^{i\alpha}\beta^{\gamma hi}$ ,  $\alpha 4^{i\alpha}\beta^{\gamma hi}$ ,  $\alpha 4^{i\alpha}\beta^{\gamma hi}$ ,  $\alpha 4^{i\beta}\beta^{\gamma hi}$ 

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#### Discussion

The distribution of T cells in mucosal tissues directly impacts on protection against pathogens as well as disease outcome. The availability of activated  $CCR5^+CD4^+T$  cells is known to increase susceptibility to HIV infection [29, 30]. In addition,  $CD4^+T$  cell depletion in HIV infected individuals leads to a pronounced impairment of their gut-associated lymphoid tissue (GALT) function which is not reversed even after viral suppression with ART [31–34]. Therefore, understanding the distinct integrin expression that mediated cell migration and retention may assist in targeting HIV at the mucosa and restore gut immunity.

In this study we comprehensively assessed the frequency of  $CD4^+$  and  $CD4^{neg}T$  cells expressing the integrins  $\alpha E\beta7$ ,  $\alpha 4\beta7$  and  $\alpha 4\beta1$  in blood and in two major sites for HIV infection, cervix and rectum. Importantly, all of these findings are based on the *ex vivo* determinations of these integrins on HIV susceptible  $CD4^+T$  cells and other T cell populations at the sites of HIV entry, significantly enhancing the importance of our findings to establish new strategies aimed at the treatment and prevention of HIV infection.

Here we demonstrated that it is correct to identify the  $\alpha 4^{-/low}\beta 7^{hi}$  population as  $\alpha E^+\beta 7^{hi}$ , encouraging its analysis even when the number of markers that can be included in the flow cytometric panels and the costs of reagents may pose a challenge. Additionally, this

observation proves that it is incorrect to identify all  $\beta 7^{hi}$  populations as  $\alpha 4^+ \beta 7^{hi}$  for cells isolated from mucosal tissues.

Admittedly the complexities, cost and potential invasiveness associated with mucosal sampling and analyses have confined most HIV clinical trials to base their conclusions on parameters from peripheral blood. However, as we have shown, blood phenotypic analysis is not always reflective of cell phenotypes at the critically relevant mucosal sites of initial viral challenge, infection and multiplication. Here, we demonstrated that CD4<sup>+</sup> and CD4<sup>neg</sup>T cells differentially exhibit various integrin-populations depending on their location. It has been shown that the frequency of  $\alpha 4^+\beta 7^{hi}$  in blood positively correlated with the frequency of these cells in cervix [10]. Here we also observed a positive correlation between  $\alpha 4^+\beta 7^{hi}$  on CD4<sup>+</sup>T cells between these tissues and were able to demonstrate that the frequency of this population also correlated between blood and rectum, and between cervix and rectum (Fig 4). For all the other  $\alpha\beta$  subsets studied here, no significant correlation was observed across the three tissues after adjusting for multiple comparisons.

It has previously been shown that in black men who have sex with men (MSM), a population with higher HIV incidence rates, exhibited significantly higher density of the  $\beta$ 7 integrin on blood CD4<sup>+</sup>T cells than MSM of other race/ethnicities [35]. In a recent study, Sivro et al (2018) demonstrated that in blood the frequency of  $\beta$ 7<sup>hi</sup> CD4<sup>+</sup>T cells prior to infection, but not  $\beta$ 7<sup>nint</sup> or  $\beta$ 7<sup>neg</sup>, was correlated with set point viral load post-infection [10]. In our study we showed that the density of  $\beta$ 7 was higher in  $\alpha$ 4<sup>+</sup> $\beta$ 7<sup>hi</sup>CD4<sup>+</sup>T cells isolated from blood and cervix and in  $\alpha$ E<sup>+</sup> $\beta$ 7<sup>hi</sup>CD4<sup>+</sup>T cells isolated from rectum (S3 Fig). Additionally, a subset of T cells double positive for  $\alpha$ 4 and  $\alpha$ E also displayed higher  $\beta$ 7 density when compared to cells carrying only  $\alpha$ 4 or  $\alpha$ E (S4 Fig), implicating this population to be a facilitator of HIV infection.

Overall the majority of mucosal CD4<sup>+</sup>T cells expressing integrins, especially  $\alpha E\beta7^{hi}CD4^{+}T$  cells, were CCR5 positive, constituting potential targets to HIV infection (Fig 2C). The level of CCR5 expression in mucosal CD4<sup>+</sup>T cells expressing  $\alpha E\beta7$ ,  $\alpha 4\beta7$  or  $\alpha 4\beta1$  warrants the investigation of targeting integrin-mediated migration or retention to reduce the availability of HIV target cells at the mucosa.

Therapeutically targeting integrin adhesion has already been used against autoimmune diseases such as inflammatory bowel disease (IBD), ulcerative colitis (UC) and multiple sclerosis (MS) and most recently gastrointestinal (GI) graft versus host disease [12, 13, 36]. Humanized monoclonal antibodies directed against the  $\alpha$ 4 subunit (Natalizumab) and against the  $\alpha$ 4 $\beta$ 7 integrin (Vedolizumab) are among the therapies used in patients suffering with IBD, UC or MS. Vedolizumab has been the mAb of choice to block  $\alpha$ 4 $\beta$ 7. Etrolizumab, a mAb that binds to  $\beta$ 7 integrin subunit, can block  $\alpha$ 4 $\beta$ 7-MAdCAM-1 and  $\alpha$ E $\beta$ 7-E-cadherin interactions and is being currently tested for the treatment of IBD and UC in clinical trials [37, 38].

The utilization of anti- $\alpha$ 4 $\beta$ 7 mAbs in NHP studies of HIV/SIV prevention and cure has shown promising results [14, 15, 39]. In Byrareddy et al (2016) animals treated with combined ART and anti- $\alpha$ 4 $\beta$ 7 mAb were able to sustain viral control for more than 2 years even after both therapies were withdrawn [15]. Although the mechanisms associated with this protection are unclear, the animals were able to restore T<sub>H</sub>17, T<sub>H</sub>22 and CD4<sup>+</sup>T<sub>EM</sub> cells and displayed reduced plasma biomarkers associated with gut damage and inflammation [15]. Given the broad range of GI diseases where Vedolizumab has shown efficacy, there has been speculation that the relative protection in the viral controllers was mediated by reduced gut damage in the earliest phase of infection and thus preserving a functional immunological micro-environment.

The high levels of CD69 expression on  $\alpha E^+\beta 7^{hi}$  T cells may indicate that these cells are tissue resident. Vaccine candidates that can promote and maintain specialized effector cells in the mucosa may offer a chance against challenging infectious agents, such as HIV [40-42]. It is conceivable to consider that pre-polarizing the mucosal immune response towards CD8<sup>+</sup>T<sub>RM</sub> cells could have a protective effect against HIV. When therapeutically targeting integrin expression to modulate cell migration and retention it is important to consider that integrin expression by cells is dynamic, and targeting integrins such as  $\alpha 4\beta 7$  can potentially lead to a compensatory use of alternative integrins, such as  $\alpha E\beta 7$  and  $\alpha 4\beta 1[24, 43]$ . Hence, understanding the regulatory mechanisms for integrins' expression, the risk-benefits associated with anti-integrin blockade, and the contribution of each integrin for the migration and retention of a healthy CD8<sup>+</sup>:CD4<sup>+</sup>T cell ratio in the mucosa will help advancing towards better therapeutic and preventive strategies against infections such as HIV.

### Supporting information

S1 Fig. Characterization of  $\alpha E$  expression in distinct populations identified using anti- $\alpha 4$  and anti- $\beta 7$  co-staining.

(TIF)

S2 Fig. Correlations between  $\alpha$ E,  $\beta$ 7 and  $\alpha$ 4 mean fluorescence intensities (MFI) in T cells isolated from blood, cervix and rectum.

(TIF)

S3 Fig. Density of  $\beta$ 7,  $\alpha$ 4 and  $\alpha$ E in integrin-expressing T cell subsets. (TIF)

S4 Fig. Density of  $\beta$ 7,  $\alpha$ 4 and  $\alpha$ E in  $\alpha$ 4 and/or  $\alpha$ E- expressing T cells. (TIF)

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