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Phase I Study of OPB-31121, an Oral STAT3 Inhibitor, in Patients with Advanced Solid Tumors

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Purpose

OPB-31121 is an oral STAT3 inhibitor with a good preclinical antitumor activity. This phase I dose-escalation study of OPB-31121 was conducted to determine maximum-tolerated dose (MTD), safety, pharmacokinetics, and preliminary antitumor efficacy in patients with advanced solid tumors.

Materials and Methods

Patients received OPB-31121 once daily for 28 days of each cycle followed by 2 weeks rest. A standard 3+3 design was used for dose-escalation. Safety and response were evaluated by the National Cancer Institute–Common Terminology Criteria for Adverse Events (NCI-CTCAE) ver. 3.0 and Response Evaluation Criteria in Solid Tumor (RECIST) ver. 1.0, respectively.

Results

Twenty-five patients were treated with OPB-31121 at five dose levels: 100 mg (n=4), 200 mg (n=3), 400 mg (n=3), 600 mg (n=7), and 800 mg (n=8). Seven patients discontinued treatment during cycle 1 for various reasons other than study drug-related adverse events. Among 18 patients who were evaluable for dose-limiting toxicity (DLT), three DLTs were observed: one DLT (grade 3 vomiting) at 600 mg and two DLTs (grade 3 vomiting, grade 3 diarrhea) at 800 mg. The MTD was determined as 800 mg/day. Common adverse events were gastrointestinal adverse event including nausea (84%), vomiting (80%), and diarrhea (72%). Pharmacokinetics did not demonstrate dose-proportionality of OPB-31121. Eight patients had stable disease and 10 patients had disease progression. Two patients (1 colon cancer, 1 rectal cancer) showed tumor shrinkage. One gastric cancer patient continued treatment up to cycle 13 before disease progression.

Conclusion

This study demonstrates feasibility of STAT3 inhibition in patients with advanced solid tumor. OPB-31121, at the MTD of 800 mg/day, was safe and relatively well tolerated, and has a preliminary antitumor activity.

Key words OPB-31121, STAT3, STAT3 inhibitor, Solid tumor, Phase I

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Introduction

Signal transducer and activator of transcription (STAT) family proteins are latent cytoplasmic transcription factors that are activated in response to various stimuli, such as cytokines (e.g., interleukin 6), growth factors (e.g., epidermal growth factor, transforming growth factor α , hepatocyte growth factor) and hormones, and convey signals to the nucleus [1-4]. Among seven members of this family, aberrant STAT3 activity is known to be involved in all stages of tumor development [5-7]. In addition, many proto-oncogenes also require STAT3 for their oncogenic functions [8,9]. The effect of activated STAT3 is not only limited to tumor development and progression but also influences the outcome of chemotherapy and/or radiotherapy [10,11]. This critical role of STAT3 in the molecular pathogenesis of many tumors provides promise for targeting this protein for discovery of useful new anticancer drugs [12]. However, no specific inhibitor of STAT3 itself with drug-like characteristics has been introduced into clinical practice so far. Currently the most promising compound is JAK2 inhibitor, which targets upstream of STAT3 [13].

OPB-31121 is a novel low-molecular-weight compound from a compound library of antifibrotic agents. This compound is orally available. In our previous preclinical study, OPB-31121 showed a potent growth inhibition effect against gastric cancer cells and it also showed synergistic activity in combination with cytotoxic chemotherapeutic agents [14]. OPB-31121 is unique in that it has no appreciable effect on the activity of kinases or receptors as well-known target molecules for cancer therapy. Modulation of STAT3 is a key molecular action mechanism for the antitumor effects of OPB-31121, which shows promise as a useful new anticancer agent.

These promising preclinical data led to this phase I, firstin-human, dose-escalation study of OPB-31121 in patients with advanced solid tumors. The primary objective was to determine the maximum-tolerated dose (MTD) of OPB-31121 when administered once daily for 28 days. Secondary objectives included assessment of safety and tolerability, doselimiting toxicity (DLT), preliminary antitumor activity, and characterization of the pharmacokinetics.

Materials and Methods

This was a phase I, first-in-human, open-label, non-randomized, single-center, dose-escalation study of OPB-31121 in patients with advanced solid tumors. This study was approved by the Institutional Review Board of Seoul National University Hospital and was registered with the US National Library of Medicine (ClinicalTrials.gov) as NCT00657176.

1. Patient eligibility criteria

The following inclusion criteria were used for patient selection: (1) histologically confirmed solid tumors refractory to standard therapy or for which there is no standard therapy; (2) age \geq 19 years; (3) the Eastern Cooperative Oncology Group (ECOG) performance status ≤ 2 ; (4) life expectancy of longer than three months; (5) adequate organ function (absolute neutrophil count \ge 1,500/µL, platelet count \ge 75,000 cells/ μ L, hemoglobin ≥ 10.0 g/dL, serum creatinine $\le 1.5 \times$ upper limit of normal [ULN], serum bilirubin $\leq 2.5 \times ULN$, aspartate aminotransferase, alanine transaminase, alkaline phosphatase $\leq 2.5 \times ULN$; and (6) capable of swallowing OPB-31121 tablets. Written informed consent was obtained from all patients. The important exclusion criteria were (1) symptomatic central nervous system metastasis; (2) prior chemotherapy, radiation therapy, or surgery within 4 weeks prior to enrolling in the study; (3) uncontrolled concurrent illness, including active infection, heart failure, angina pectoris, and cardiac arrhythmia; and (4) use of CYP3A4 and CYP2C9 inducers, inhibitors, or substrates, and CYP2B6, CYP2C8, and CYP2D6 substrates.

2. Treatment

OPB-31121 was administered orally to patients after breakfast once daily for 28 days followed by 2 weeks rest in each cycle of treatment. In the first cycle (cycle 1), OPB-31121 was administered on day 1, followed by a 2-day treatment-free interval for pharmacokinetics evaluation, and then administration resumed on day 4 and continued until day 28. The starting dose was 100 mg/day, and dose was escalated using 3+3 design. In each cohort, patients who were withdrawn for any reason other than DLT before completion of the 28-day administration period were replaced by other patients.

For management of nausea and vomiting, metoclopramide, 5-HT3 antagonist, NK1 receptor antagonist or dexamethasone were allowed.

Patients in whom antitumor effect was assessed as 'stable disease' (SD), 'partial response' (PR), or 'complete response' (CR) for overall response at the end of cycle 1 of treatment were allowed to receive cycle 2 of treatment after a 2-week rest period. Treatment was to be continued until the subject experienced disease progression or unacceptable toxicity, withdrew consent, or required treatment with another therapeutic modality.

Characteristic			Dose l	evel		
	100 mg (n=4)	200 mg (n=3)	400 mg (n=3)	600 mg (n=7)	800 mg (n=8)	Total (n=25)
Median age (range, yr)	55 (47-63)	58 (49-63)	64 (50-68)	59 (19-76)	53 (40-62)	53 (19-76)
Gender (male:female)	4:0	3:0	1:2	6:1	2:6	16:9
ECOG performance status						
0	0	0	2	4	0	6
1	4	3	0	3	8	18
2	0	0	1	0	0	1
Diagnosis	NSCLC (1)	Esophageal ca (1) Gastric ca (1)	PNET (1)	Pancreatic ca (1) NSCLC (1)
	Colon ca (1)	Gastric ca (2)	HCC (1)	Gastric ca (2)	Gastric ca (1)	Colon ca (6)
	Melanoma (1)		Rectal ca (1)	Colon ca (2)	Colon ca (3)	Rectal ca (2)
	Esophageal ca (1)		Rectal ca (1)	Cervix ca (1)	Gastric ca (6)
				Parotid gland	Breast ca (1)	Esophageal ca (2
				ca (1)	Skin ca (1)	HCC (1)
						Pancreatic ca (1)
						Melanoma (1)
						Breast ca (1)
						Cervix ca (1)
						Skin ca (1)
						PNET (1)
						Parotid gland
						ca (1)
No. of previous	2 (1)	2 (1)	2 (1)	1(1)	2 (1)	1 (1)
chemotherapies	3 (1)	4 (1)	3 (1)	2 (2)	3 (3)	2 (6)
	4(1)	6 (1)	5 (1)	4 (2)	4 (1)	3 (5)
	5 (1)			5(1)	6 (1)	4 (5)
				7(1)	7 (2)	5 (3)
						6 (2)
						7 (3)

Table 1. Patient characteristics

ECOG, Eastern Cooperative Oncology Group; NSCLC, non-small cell lung cancer; ca, cancer; HCC, hepatocellular carcinoma; PNET, primitive neuroectodermal tumor.

3. Definition of dose limiting toxicity

DLT was defined as follows: (1) grade 4 neutropenia lasting for \geq 7 days, grade 3 or 4 neutropenia with fever or infection; (2) grade 4 thrombocytopenia or grade 3 thrombocytopenia lasting for \geq 7 days; (3) grade 3 or 4 nausea/vomiting or diarrhea despite optimal use of antiemetic drugs or antidiarrheal drugs; (4) other grade 3 non-hematological toxicity (except alopecia); (5) missed doses: more than three consecutive doses per cycle due to treatment related toxicity; and (6) delay of > 2 weeks in administration of the next cycle due to inadequate recovery from toxicity of cycle 1.

The lowest dose at which DLT was observed in 2 or more of the three or six patients in cycle 1 of treatment was judged to be the MTD.

4. Pharmacokinetics study procedure

Blood was collected before and 1, 2, 4, 6, 8, 12, 24 (days 2 and 29), 36 (days 2 and 29), 48 (days 3 and 30), 60 (days 3 and 30), and 72 (days 4 and 31) hours after dosing. Plasma concentrations of OPB-31121 were measured by liquid chromatography tandem mass spectrometry (LC-MS/MS) in accordance with the specified procedure of the bioanalytical laboratory.

5. Assessment of outcomes

The primary outcomes were safety and tolerability, which were measured by adverse events (AEs), vital sign, body weight, electrocardiogram, and laboratory tests. Safety was assessed according to the National Cancer Institute–Common Terminology Criteria for Adverse Events (NCI-CTCAE)

Dose level	Tumor type	Cause of consent withdrawal	Adverse event	Drug compliance rate (%)
100 mg	Colon cancer	Do not want the study	None	7.7
600 mg	Colon cancer	Aspiration pneumonia (unrelated to drug)	Dyspnea (Gr 4)	19.2
800 mg	Colon cancer	Do not want the study	Nausea (Gr 1)	26.9
			Vomiting (Gr1)	
	Breast cancer	Dyspnea (unrelated to drug)	Vomiting (Gr 1)	3.8
			Nausea (Gr 2)	

Table 2. Description of patients with consent withdrawal

Gr, grade.

Table 3. Adverse events

Adverse event	100 mg	g (n=4)	200 mg	; (n=3)	400 mg	; (n=3)	600 mg	g (n=7)	800 mg	g (n=8)	Total	(n=25)
	Gr 1/2	Gr 3/4	Gr 1/2	Gr 3/4								
Anemia	0	0	2	0	0	0	0	0	1	0	3 (12.0)	0
Neutropenia	0	0	0	0	1	0	0	0	0	0	1 (4.0)	0
Thrombocytopenia	0	0	0	0	0	0	1	0	0	0	1 (4.0)	0
Nausea	2	0	2	0	3	0	7	0	7	0	21 (84.0)	0
Vomiting	2	0	3	0	3	0	4	1	6	1	18 (72.0)	2 (8.0)
Diarrhea	3	0	2	0	3	0	6	0	3	1	17 (68.0)	1 (4.0)
Constipation	0	0	2	0	0	0	1	0	1	0	4 (16.0)	0
Anorexia	0	0	2	0	1	0	2	0	5	0	10 (40.0)	0
Asthenia	1	0	1	0	1	0	3	0	8	0	14 (56.0)	0
Skin rash	0	0	1	0	0	0	0	0	0	0	1 (4.0)	0
Pitting edema	0	0	0	0	0	0	0	0	1	0	1 (4.0)	0
Paresthesia	0	0	1	0	0	0	0	0	0	0	1 (4.0)	0
Hyperglycemia	0	0	0	0	1	0	1	0	0	0	2 (8.0)	0
TSH elevation	0	0	0	0	1	0	0	0	0	0	1 (4.0)	0
TSH decrease	0	0	0	0	0	0	0	0	1	0	1 (4.0)	0
Hyponatremia	0	0	0	0	0	0	1	0	0	0	1 (4.0)	0
Hypocalcemia	0	0	0	0	0	0	1	0	0	0	1 (4.0)	0
Mood alteration	0	0	1	0	0	0	0	0	1	0	2 (8.0)	0

Values are presented as number (%). Gr, grade; TSH, thyroid-stimulating hormone.

ver. 3.0. The secondary outcomes were pharmacokinetics and efficacy. The following pharmacokinetic parameters were determined: maximum (peak) plasma concentration (Cmax), time to Cmax (tmax), area under concentration-time curve (AUC), terminal-phase elimination half-life (t1/2,z), CL/F and accumulation ratio. Efficacy was measured using Response Evaluation Criteria in Solid Tumor (RECIST) ver. 1.0. Tumor responses were assessed after cycle 1 or earlier in patients with suspected progression.

6. Statistical analysis

All safety analyses were performed on the intentionto-treat population. The objective response was assessed on the per-protocol population. The results are expressed as the mean±standard deviation or as ranges when appropriate. Follow-up started at the outset of treatment.

Results

1. Study population

A total of 25 patients were enrolled in the study and received the investigational product. Baseline characteristics of patients are shown in Table 1. Median age was 53 years

121 Cans. AUCs. trus. U2, CUF Cus. AUCsHu trus. U2, CUF Cus. AUCsHu Cons. AUCsHu trus. U2, CUF AUCsHu Cus. AUCsHu AUCsHu Cus. AUCsHu Cus. AUCsHu AUCsHu				Day 1					Day 20					
3 2 3 2 3 2 3 2 3 2 3	OPB-31121	Cmax (ng/mL)	AUC∞ (ng·hr/mL)	tmax (hr)	t1/2,z (hr)	CL/F (L/hr)	Cmax (ng/mL)	AUC24hr (ng·hr/mL)	tmax (hr)	t1/2,z (hr)	CL/F (L/hr)	AUC24hr	Cmax	C24hr
	100 mg													
5948 7.05 4.0 18.4 3.67 3.701 53.30 4.0 4.02 3.435 12.20 12.60 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 12.66 22.23 3 1 3.6 1 3.66 5.107 5.107 5.10 5.11 2.66 12.90 12.96 22.23 3 1 3.26 7.060 5.5107 5.10 2.46 12.90 12.9 22.23 28390 2.306 4.10 22.6 4.10 23.6 4.0 24.2 12.96 12.96 12.96 22.2 38390 2.10 2.166 2.166 2.166 1.660 12.96 12.96 12.96 22.233 3322356 379 2.1167 2.166 2.166 12.66 12.66 12.66 12.6	No.	С	2	ю	2	2	3	2	Э	2	2	2	ю	2
	Mean ^{b)}	5.9498	37.05	4.0	18.4	3,675	3.7617	35.30	4.0	40.2	3,435	1.220	1.565	3.69
	Min	0.9363	18.0	2.0	8.77	1,780	2.121	20.5	4.0	39.4	1,990	1.15	0.374	3.19
	Max	15.22	56.1	8.0	28.1	5,570	5.698	50.1	6.0	41.1	4,880	1.29	2.27	4.19
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	200 mg													
83047 2830 4.10 3.26 7,060 25.107 3370 4.0 24.8 372 - 2.233 2809 - 4.0 25.4 - 5.113 - 2.11 21.5 - 3.47 2809 - 6.0 39.8 - 6.445 - 5.113 - 2.1 21.5 - 3.47 2803 37.90 6.0 34.3 - 6.445 - 6.0 28.2 - 3.47 32836 37.90 6.0 4.13 1,060 38.890 24.20 4.0 15.3 - - 3.47 32836 37.90 6.0 4.16 38.890 24.20 4.0 15.3 - - 2.145 58.13 - 8.890 24.20 - 4.0 11.8 - - 2.143 7 58.1 7 5 4 2 4 2 1.166 1	No.	С	1	3	2	1	3	1	3	2	1	0	3	3
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Mean ^{b)}	8.3047	28.30	4.10	32.6	7,060	25.107	537.0	4.0	24.8	372	ı	2.323	5.94
	Min	2.809	1	4.0	25.4	ı	5.113	ı	2.1	21.5	·	ı	1.45	4.88
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Max	18.57	ı	6.0	39.8	ı	64.45	ı	6.0	28.2	·	ı	3.47	7.68
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	00 mg													
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Vo.	С	1	3	1	1	3	1	3	2	1	1	3	2
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	/lean ^{b)}	32.836	37.90	6.0	44.3	1,060	38.890	242.0	4.0	66.6	1,660	1.950	1.643	4.10
58.13 - 8.0 - 65.77 - 4.0 118 - - 2.71 7 5 7 5 7 5 4 2 4 3 2 1 4 11.671 76.06 4.0 17.9 2,489 36.120 216.5 2.0 52.6 1,378 20.20 6.737 2.450 7.88 2.0 2.69 2,820 1.666 2.3.0 0.0 19.4 1,460 - 21.4 2.871 212 8.0 2.66 7,610 109.4 410 6.0 889 2,610 - 21.4 2.871 212 8.0 26.6 7,610 109.4 410 6.0 889 2,610 - 21.4 8 2 2 3 2 3 2 2 2 1.4 1.368 2.650 5.315 16.314 186.5 4.0 2.57 2.30	Ain	4.299		2.0		ı	11.67	ı	4.0	15.3	ı	ı	1.09	2.99
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Лах	58.13	ı	8.0			65.77	I	4.0	118	·	ı	2.71	5.22
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	0 mg													
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Vo.	7	5	~	5	5	4	2	4	Э	2	1	4	4
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	Mean ^{b)}	11.671	76.06	4.0	17.9	2,489	36.120	216.5	2.0	52.6	1,378	20.20	6.737	11.46
$ \begin{array}{cccccccccccccccccccccccccccccccccccc$	vlin	2.450	7.88	2.0	2.69	2,820	1.668	23.0	0.0	19.4	1,460	ı	0.058	0.84
8 2 8 4 2 3 2 3 2 1 3 9.4083 226.2 2.05 25.9 5,315 16.314 186.5 4.0 28.7 5,290 43.30 4.770 1.534 95.3 1.0 15.7 2,240 6.712 105 2.0 15.0 2,980 - 0.521 30.02 357 8.0 42.7 8,390 32.23 268 4.0 42.5 7,600 - 7.27	Max	28.71	212	8.0	26.6	7,610	109.4	410	6.0	88.9	2,610	ı	21.4	28.6
8 2 8 4 2 3 2 3 2 2 1 3 9.4083 226.2 2.05 25.9 5,315 16.314 186.5 4.0 28.7 5,290 43.30 4.770 1.534 95.3 1.0 15.7 2,240 6.712 105 2.0 15.0 2,980 - 0.521 30.02 357 8.0 42.7 8,390 32.23 268 4.0 42.5 7,600 - 7.27	800 mg													
9.4083 226.2 2.05 25.9 5,315 16.314 186.5 4.0 28.7 5,290 4.3.30 4.770 1.534 95.3 1.0 15.7 2,240 6.712 105 2.0 15.0 2,980 - 0.521 30.02 357 8.0 42.7 8,390 32.23 268 4.0 42.5 7,600 - 7.27	No.	8	2	8	4	2	3	2	З	2	2	1	З	1
1.534 95.3 1.0 15.7 2,240 6.712 105 2.0 15.0 2,980 - 30.02 357 8.0 42.7 8,390 32.23 268 4.0 42.5 7,600 -	Mean ^{b)}	9.4083	226.2	2.05	25.9	5,315	16.314	186.5	4.0	28.7	5,290	43.30	4.770	15.9
30.02 357 8.0 42.7 8.390 32.23 268 4.0 42.5 7,600 -	Min	1.534	95.3	1.0	15.7	2,240	6.712	105	2.0	15.0	2,980	ı	0.521	ı
	Max	30.02	357	8.0	42.7	8,390	32.23	268	4.0	42.5	7,600	I	7.27	ı

Do-Youn Oh, OPB-31121, STAT3 Inhibitor, in Solid Tumor

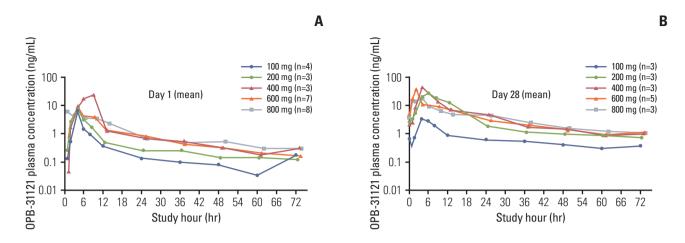


Fig. 1. Plasma concentration versus time profiles of OPB-31121 following oral administration of OPB-31121 on day 1 (A) and on day 28 (B).

(range, 19 to 76 years). Sixteen patients (64.0%) were male. Six patients had ECOG performance status 0, 18 ECOG 1, and one ECOG 2. The most common tumors were colorectal cancer [8] and gastric cancer [6]. Others included lung cancer, esophageal cancer, melanoma, breast cancer, and pancreatic cancer, etc. All patients had been heavily pretreated with multiple lines of chemotherapy before enrollment.

2. Dose-escalation and DLTs

The investigational products were administered at doses of up to 800 mg/day. Finally, 18 patients completed cycle 1 of the study, and seven patients (1 patient at 100 mg level, 1 patient at 600 mg level, and 5 patients at 800 mg level) discontinued during cycle 1 for various reasons (consent withdrawal, 4; disease progression, 1; other medical illness, 2). The reasons for consent withdrawal were aspiration pneumonia in one patient, dyspnea in one patient, and refusal of further treatment in two patients (Table 2).

DLT was reported in three patients. Two patients (1 patient at 600 mg, 1 patient at 800 mg) experienced grade 3 vomiting and one patient at 800 mg experienced grade 3 diarrhea. Thus, the MTD of OPB-31121 was determined as 800 mg/day once daily.

3. Adverse events

Most commonly reported AEs were nausea (84%), vomiting (72%), diarrhea (68%), and asthenia (56%). Most were grade 1/2 except DLT cases (Table 3). Grade 1/2 anorexia and asthenia were 40% and 56%. Grade 1/2 anemia, neu-

tropenia, and thrombocytopenia were reported as 12%, 4%, and 4%, respectively. Death occurred in two patients, at 600 mg (progression of underlying disease, timing of death was 51 days after last medication of OPB-31121) and 800 mg (pulmonary embolism, on the last day of medication of OPB-31121), respectively.

4. Pharmacokinetic analysis

The maximum plasma concentration of OPB-31121 was reached between 2.0 and 6.0 hours after single and multiple administrations at all dose levels (Table 4). After that, OPB-31121 was gradually eliminated from the plasma with t1/2, z of 17.92 to 44.30 hours on day 1, and 24.80 to 66.60 hours on day 28, and the plasma concentration of OPB-31121 could still be measured 72 hours after administration at all dose levels. Higher exposure was observed on day 28 compared to day 1 (Fig. 1). No dose-proportionality for OPB-31121 was confirmed.

5. Antitumor activity

Tumor response was assessed in 18 patients. There was no patient with CR or PR response. Overall responses were assessed as SD in eight patients and progressive disease in 10 patients (Table 5). Two patients obtained tumor shrinkage (1 colon cancer and 1 rectal cancer, –8.5% and –3.3%, respectively).

One patient with gastric cancer at 400 mg continued the study treatment up to cycle 13 before disease progression. One patient with colon cancer at 600 mg continued the study

Dose level	Subject No.	Diagnosis	Target lesion	Non-target lesion	New lesion	Overall response	Delivered cycle
100 mg	A001	NSCLC	SD	SD	No	SD	3
	A003	Melanoma	PD	PD	Yes	PD	1
	A004	Esophageal ca	SD	PD	Yes	PD	1
200 mg	A005	Esophageal ca	SD	PD	Yes	PD	1
	A006	Gastric ca	SD	SD	No	SD	2
	A007	Gastric ca	PD	PD	Yes	PD	1
400 mg	A008	HCC	SD	SD	No	SD	2
_	A009	Gastric ca	-	SD	No	SD	13
	A010	Rectal ca	SD	PD	No	PD	1
600 mg	A011	PNET	SD	-	No	SD	1
	A012	Gastric ca	PD	PD	Yes	PD	1
	A013	Rectal ca	SD	SD	No	SD	2
	A014	Colon ca	SD	SD	No	SD	4
	A016	Gastric ca	SD	-	No	SD	1
	A017	Parotid gland ca	SD	PD	Yes	PD	1
800 mg	A020	Cervix ca	PD	PD	No	PD	1
	A021	Colon ca	PD	SD	No	PD	1
	A022	Gastric ca	SD	PD	Yes	PD	1

Table 5. Therapeutic efficacy of OPB31121 in patients with refractory solid tumor

NSCLC, non-small cell lung cancer; SD, stable disease; PD, progressive disease; HCC, hepatocellular carcinoma; PNET, primitive neuroectodermal tumor.

up to cycle 4. One patient with non-small cell lung cancer at 100 mg continued the study up to cycle 3. Three patients (one gastric cancer, one hepatocellular carcinoma, and one rectal cancer) continued the treatment up to cycle 2.

Discussion

This was the first-in-human phase I study of the novel STAT3 inhibitor, OPB-31121, once daily for 28 days in patients with advanced solid tumors, and information on the safety, tolerability, pharmacokinetics and preliminary efficacy was provided. The results of this study provide evidence of the feasibility of inhibition of STAT3 in patients with solid tumor. The MTD of oral OPB-31121 administered on a continuous daily schedule was defined as 800 mg/day on the basis of DLTs of vomiting and diarrhea. Up to the MTD, most common AEs were nausea (84%, all grade), vomiting (80%, all grade), and diarrhea (72%, all grade). Therefore, more careful attention regarding gastrointestinal AEs and their active management is advised in further clinical development of OPB-31121.

The pharmacokinetics of OPB-31121 in plasma was

confirmed in the study. Dose-proportionality of OPB-31121 was not found. The exposures (i.e., AUC and Cmax) of OPB-31121 had large deviations. The reasons for these deviations may be as follows. (1) Due to the physical properties of OPB-31121: OPB-31121 is practically insoluble under neutral pH conditions (solubility: 2.38×10-6% w/v at pH 7). Therefore, OPB-31121 may be deposited in the intestine. (2) Due to a multidrug transporter mechanism: since OPB-31121 is an MDR-1 substrate, OPB-31121 would be excreted by P-glycoprotein (P-gp) in the intestine. It is known that the expression of P-gp in intestine varies among individuals. Therefore, the pharmacokinetics of OPB-31121 in plasma may be affected by variations in P-gp expression. (3) Due to the sensitivity of OPB-31121 detection: when the plasma concentration decreased to below the lower limit of quantification relatively rapidly after tmax in a subject, there were very few evaluable time points for the subject. In this case, the values of AUC ∞ may have been underestimated.

After initiation of our study, another phase I study using OPB-31121 was conducted to test a different schedule [15]. That study tested twice daily administration of OPB-31121 for 21 days of each 28-day cycle, and enrolled 30 patients with solid tumor and tested six dosing levels. The DLTs were grade 3 vomiting, grade 3 diarrhea, and grade 3 lactic acidosis, which leads to MTD of 300 mg. Therefore, both studies

of OPB-31121 showed the same DLTs despite different dosing schedules.

The role of STAT3 in cancer is indicated by numerous avenues of evidence and there are several strategies to block STAT3 and its signaling pathways; inhibition of ligand, inhibition of kinases that phosphorylate the receptor, induction of the activity of phosphatases which dephosphorylate STAT3, inhibition of upstream JAK kinase, blocking the translocation from cytoplasm to nucleus, direct inhibition of STAT3 DNA binding and transcriptional activity, and inhibition of STAT3 activity by STAT3 antisense. Compounds which block STAT3 have been shown to have off target effects and this effect limited the usefulness of some of these compounds. JAK2 inhibitor is currently the most promising compound [13]. The main reason for the success of JAK2 inhibitor in myelofibrosis lies in an activating point mutation in the JAK2 gene observed in approximately 96%, 50%, and 50% of patients with polycythemia vera, essential thrombocythemia, and primary myelofibrosis, respectively [16-19]. However, in solid tumors, mutations in a critical functional domain of JAK genes are rarely or not involved in the frequent JAK/STAT pathway activation [20].

Compared to previous compounds targeting STAT3, OPB-31121 has a unique action mechanism of modulating STAT3. In this study, patients with colon cancer and rectal cancer showed tumor shrinkage, which is a consistent finding of *in vivo* study showing good antitumor activity in colon cancer. These results are supported by reports on the importance of the STAT signaling pathway in colorectal cancer

[21,22]. STAT3 activation in gastric cancer has been repeatedly reported [23-25]. In our study, one gastric cancer patient achieved 19 months of progression-free survival. To the best of our knowledge, this is the first report on the antitumor effects of STAT3 inhibition in colon cancer and gastric cancer patients. However, finding a good biomarker to STAT3 inhibitor is still a challenge.

Conclusion

In conclusion, this study demonstrates feasibility of STAT3 inhibition in patients with advanced solid tumor. OPB-31121, at the MTD of 800 mg/day, is safe and well tolerated, and has a preliminary antitumor activity. Further characterization of OPB-31121 and clinical development combined with a biomarker is warranted.

Conflicts of Interest

Yung-Jue Bang received research funding from Otsuka Pharmaceutical and Miyuki Yuasa and Yasuo Yanagihar are Employee from Otsuka Pharmaceutical. None of the other investigators have any conflicts of interest to disclose.

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