



# Tauopathy in veterans with long-term posttraumatic stress disorder and traumatic brain injury

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## Abstract

**Purpose** Traumatic brain injury (TBI) and posttraumatic stress disorder (PTSD) have emerged as independent risk factors for an earlier onset of Alzheimer's disease (AD), although the pathophysiology underlying this risk is unclear. Postmortem studies have revealed extensive cerebral accumulation of tau following multiple and single TBI incidents. We hypothesized that a history of TBI and/or PTSD may induce an AD-like pattern of tau accumulation in the brain of nondemented war veterans.

**Methods** Vietnam War veterans (mean age 71.4 years) with a history of war-related TBI and/or PTSD underwent [<sup>18</sup>F]AV145 PET as part of the US Department of Defense Alzheimer's Disease Neuroimaging Initiative. Subjects were classified into the following four groups: healthy controls (*n* = 21), TBI (*n* = 10), PTSD (*n* = 32), and TBI+PTSD (*n* = 17). [<sup>18</sup>F]AV1451 reference tissue-normalized standardized uptake value (SUVr) maps, scaled to the cerebellar grey matter, were tested for differences in tau accumulation between groups using voxel-wise and region of interest approaches, and the SUVr results were correlated with neuropsychological test scores.

**Results** Compared to healthy controls, all groups showed widespread tau accumulation in neocortical regions overlapping with typical and atypical patterns of AD-like tau distribution. The TBI group showed higher tau accumulation than the other clinical groups. The extent of tauopathy was positively correlated with the neuropsychological deficit scores in the TBI+PTSD and PTSD groups.

**Conclusion** A history of TBI and/or PTSD may manifest in neurocognitive deficits in association with increased tau deposition in the brain of nondemented war veterans decades after their trauma. Further investigation is required to establish the burden of increased risk of dementia imparted by earlier TBI and/or PTSD.

**Keywords** Traumatic brain injury · Posttraumatic stress disorder · Alzheimer's disease · Tau · Positron emission tomography · US Department of Defense Alzheimer's Disease Neuroimaging Initiative

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## Introduction

Alzheimer's disease (AD) is the most common form of dementia in the elderly, leading to a progressive deterioration of memory and spatial cognition, along with other cognitive impairments [1]. AD pathology is characterized by the aggregation of amyloid- $\beta$  and phosphorylated tau [2–4], and tau deposition is particularly associated with progression of clinical symptoms [2]. It is increasingly recognized that traumatic brain injury (TBI) and posttraumatic stress disorder (PTSD) increase the risk of cognitive decline and dementia [5, 6], suggesting a link with AD. In addition, there is considerable comorbidity of PTSD with TBI in both civilian and military settings [7–9], which raises the possibility of synergistic effects favouring the risk of dementia.

A retrospective cohort study by Yaffe et al. showed that veterans with PTSD have a twofold higher risk of developing dementia than veterans without PTSD [10]. In addition, a systematic review revealed an association between TBI and the

development of AD with an odds ratio of 2.3 [11]. These associations imply that the two conditions may interact by increasing the risk of neurodegeneration and dementia. Indeed, several neuroimaging studies have shown overlapping patterns of brain volume loss in TBI, PTSD and AD [12–14]. Post-mortem investigations have shown intraneuronal tau accumulation after a single TBI incident [15] and in subjects with multiple TBI events suffering from chronic traumatic encephalopathy (CTE) [16]. PET with [<sup>18</sup>F]AV1451 [17] and other tau ligands [18] has recently been used to detect tau deposits in the brain of living AD patients. There is a single report in abstract form of tauopathy in the cerebral cortex of living veterans with PTSD [19].

According to the National Institute on Aging and the Alzheimer's Association (NIA-AA) research framework, AD is defined by the presence of both amyloid- $\beta$  and pathological tau deposits. However, when amyloid deposition is accompanied by primary age-related tauopathy, the disorder should properly be designated as “Alzheimer's pathological change” which could be considered as an early presentation of the “Alzheimer's continuum” [20]. Tau PET is a new in vivo molecular imaging modality used to investigate the progression of tauopathy in the brain, and has been correlated with the Braak neurofibrillary tangle (NFT) stages as defined post mortem [21]. Indeed, Schwarz et al. used [<sup>18</sup>F]AV1451 PET to identify Braak stages that represent the well-defined neuroanatomical signature of tau pathology in typical AD [22]. Furthermore, elevated tau binding on PET has been shown to be associated with amyloid positivity and cognitive impairment in both normal ageing and dementia [20, 22–24].

Inspired by this background, we analysed tau PET data that had been acquired using [<sup>18</sup>F]AV1451 PET from the Alzheimer's Disease Neuroimaging Initiative-Department of Defense (ADNI-DOD) study of nondemented Vietnam War veterans suffering from service-related TBI, PTSD, and comorbid TBI with PTSD. Using parametric mapping procedures, we evaluated tau deposition in cohorts with TBI and/or PTSD compared with healthy veterans, and addressed the relationship between the individual tau burden and cognitive test scores. In addition, we investigated tau pathology in relation to amyloid PET findings and to the histopathological Braak stages, which were defined using the criteria of Schwarz et al. [22].

## Materials and methods

### Study design

All data were obtained from the ADNI-DOD which is a multimodal (MRI, PET and neuropsychological assessment), nonrandomized study that recruited Vietnam War veterans selected from the Department of Veterans Affairs compensation and pension records, investigating TBI and/or PTSD as potential risk factors for the development of AD. ADNI-DOD is part of the

ADNI project launched in 2003 as a public/private partnership led by Principal Investigator Michael W. Weiner, MD. All participants signed a consent form, and the use of deidentified data was approved by the Human Research Ethics Committee of the University of Queensland, Australia (IRB number 2017000630).

[<sup>18</sup>F]AV1451 PET for tau imaging had been performed in a total of 99 subjects as part of the ADNI-DOD study, and 81 of these subjects had their T1-weighted structural MRI data available at the time of this research. Data from one female participant was excluded to avoid gender effects, leaving a total of 80 datasets from male Vietnam War veterans of mean age  $71.4 \pm 5.1$  years. PET with [<sup>18</sup>F]AV45 for amyloid imaging had also been performed in all 80 subjects. Subjects were classified into the following four groups: healthy controls ( $n = 21$ ), moderate/severe TBI ( $n = 10$ ), PTSD ( $n = 32$ ), and TBI with PTSD (TBI+PTSD,  $n = 17$ ). All subjects' clinical categories were identified from the “VAELG.csv” file provided by the ADNI-DOD administration. In addition, subjects with mild cognitive impairment (MCI) were identified by ADNI-DOD based on cognitive test scores. The TBI subjects had a documented history of moderate-to-severe nonpenetrating TBI during their military service. PTSD subjects were identified using the clinician-administered PTSD scale (CAPS) within DSM-IV (CAPS score  $>40$ ).

In addition to imaging, all participants completed several neuropsychological questionnaires, including Everyday Cognition (ECog), Clinical Dementia Rating (CDR), Mini-Mental State Examination (MMSE), Montreal Cognitive Assessment (MOCA), Alzheimer's Disease Assessment Scale–Cognitive (ADAS-Cog), Geriatric Depression Scale, Functional Assessment Questionnaire, Combat Exposure Scale, and the Armed Forces Qualification Test (AFQT). All participants were also assessed using a battery of neuropsychological tests including the Clock Drawing Test, the Rey Auditory Verbal Learning Test, the Category Fluency Test, the Trail Making Test, the Boston Naming Test, and the American National Adult Reading Test. The ECog ratings were reported by the participants that cover multiple cognitive domains, including language, memory, visual spatial ability, and executive function, including planning, organization, and divided attention. The ECog questionnaire contains 39 items, which are rated on a four-point scale: 1 = better or no change compared with 10 years earlier, 2 = questionable/occasionally worse, 3 = consistently a little worse, 4 = consistently much worse, and subjects can respond with “9” if they wish to indicate “I don't know.” The score of each category was calculated as the average of the answered questions in each subcategory and the total ECog score as the mean of all answered questions in all categories.

### MRI/PET image acquisition and processing

PET tau imaging was performed with [<sup>18</sup>F]AV1451. Data acquisition procedures were standardized across all ADNI sites (information can be found at: <http://adni.loni.usc.edu/wp-content/>

uploads/2015/02/01\_DOD-ADNI\_Tau-Addendum-Protocol\_23Oct2014.pdf). Data were preprocessed and analysed as described in our previous paper [25] using the FMRIB software library (FSL 5.0.9). MRI images were corrected for intensity inhomogeneity, skull-stripped, and segmented using the RECON-ALL [26] from Freesurfer. Structural data were then resampled to an isotropic resolution of 1.5 mm and normalized to the Montreal Neurological Institute (MNI) structural template nonlinearly using FSL-FNIRT [27].

The preprocessed data were downloaded from ADNI-DOD (<http://adni.loni.usc.edu/methods/pet-analysis-method/pet-analysis/>). The four sequential emission frames were coregistered, and standardized uptake values (SUV) were calculated and averaged. SUV maps were intensity-normalized and spatially smoothed using a scanner-specific filter function to generate SUV maps with a uniform isotropic resolution of 8 mm full-width at half-maximum. The SUV maps were skull-stripped using FSL-BET and linearly coregistered to each individual's T1-weighted image using FSL-FLIRT. Each individual's SUV map was scaled to the mean intensity in a cerebellar grey matter template to generate reference tissue-normalized standardized uptake (SUVr) maps [28] in the native (individual) space. Finally, [ $^{18}\text{F}$ ]AV1451 SUVr maps were spatially normalized to the MNI template using the transformation matrix and warp calculated for T1 structural MR-to-MNI registration.

To assess amyloid positivity, SUV maps of the amyloid [ $^{18}\text{F}$ ]AV45 PET tracer from the same subjects were downloaded. The acquisition parameters were as described previously [25]. The [ $^{18}\text{F}$ ]AV45 SUV maps were coregistered to individual T1-weighted MR images in native space using FSL-Flirt, and amyloid-PET SUVr values were calculated using the whole cerebellum as the reference region [22]. To identify amyloid-positive subjects, a global SUVr score was calculated which was the mean SUVr in the whole cerebral cortex, where SUVr >1.1 was deemed as amyloid-positive.

### Regions of interest and algorithm for estimating Braak staging using [ $^{18}\text{F}$ ]AV1451 SUVr

Braak staging is based on the characteristic progression of tau pathology starting in the medial temporal lobe and eventually encompassing the neocortex as revealed by post-mortem examination. We applied methods developed by Schwarz et al. [22], whose algorithm scores Braak staging noninvasively using [ $^{18}\text{F}$ ]AV1451 SUVr measured in the entorhinal cortex, hippocampus, superior and middle temporal gyri (STG, MTG), fusiform cortex, lingual gyrus (BA17), and pericalcarine visual cortex (V1+V2+V3). Whereas Schwarz et al. defined regions of interest (ROIs) in MNI space based on 2-mm isotropic voxels lying close to slices of the histological Braak staging protocol [29], we defined the same ROIs in the individual's native space after Free-surfer segmentation. We then calculated the mean [ $^{18}\text{F}$ ]AV1451 SUVr in bilateral ROIs, from which we assigned

the Braak stage using the staging algorithm described by Schwarz et al. [22], with visual confirmation from the SUVr maps. The final Braak stage was defined as the highest score between the two hemispheres.

### Statistical analysis

To investigate tau accumulation associated with a history of TBI and/or PTSD, the three clinical groups were compared to the healthy control group using voxel-based approaches encompassing all brain voxels of the tau PET SUVr images, using a nonparametric permutation test (FSL-randomise) with 5,000 permutations, with correction for multiple comparisons using false discovery rate (FDR) ( $p < 0.05$ ). All analyses were corrected for ApoE4 status, age, MCI status (for confirmed cases) and hypertension. We investigated the correlation between tau accumulation and the ADAS-Cog score, ECog total score, and CDR score, as well as cerebral total amyloid. These correlations were calculated using a multilinear regression performed using (FSL-GLM) that generated Pearson correlation maps, with FDR correction for multiple comparisons ( $p < 0.05$ ).

Statistical analyses were performed with R-studio, version 3.3.1 © Foundation for Statistical Computing, Vienna, Austria). Differences in neuropsychological assessment measures and ROI-based SUVr values between groups were evaluated using the Kruskal–Wallis test, with the significance level set at  $p < 0.05$  persisting after Bonferroni correction for multiple comparisons ( $n = 6$ ). To investigate tau distribution in the four great lobes of the cortex, each individual's mean regional [ $^{18}\text{F}$ ]AV1451 SUVr values in the frontal, cingulate, parietal, and temporal lobes were extracted.

## Results

### Clinical outcome in TBI and/or PTSD groups

In this cross-sectional study, cognitive function in groups of veterans with a history of TBI and/or PTSD was investigated. The four subject groups were healthy controls (age  $74.3 \pm 7.2$  years, mean  $\pm$  standard deviation), TBI ( $72.6 \pm 6.8$  years), PTSD ( $70 \pm 2.7$  years), and TBI+PTSD ( $69.9 \pm 2.5$  years; Table 1). Overall, the neuropsychological test results suggested that cognitive deficits were more pronounced in the PTSD and TBI+PTSD groups than in the TBI or healthy control groups, without any subject being diagnosed with AD by any test (Fig. 1, Table 1).

According to the information provided by ADNI-DOD, subjects with memory deficits were identified by applying the criterion of a CDR score of  $\geq 0.5$  to each group: 14 of 32 subjects were identified in the PTSD group, 4 of 10 in the TBI group, 6 of 17 in the TBI+PTSD, and none of 21 in the healthy controls. Furthermore, MCI was diagnosed in 8 subjects in the PTSD

**Table 1** Demographic characteristics and neuropsychological performance of the subjects

	Group				p values		
	Healthy controls	TBI	TBI+PTSD	PTSD		PTSD vs. controls	TBI vs. controls
<b>Demographic</b>							
Number of subjects	21	10	17	32			
Age (years), mean (SD)	74.29 (7.2)	72.6 (6.82)	69.88 (2.5)	70 (2.72)			
Years of education, mean (SD)	15.57 (2.5)	16.2 (1.99)	14.47 (2.48)	15.03 (2.63)			
ApoE4 status (+/-), n/n <sup>a</sup>	5/16	2/6	4/7	6/23			
Mild cognitive impairment, n	0	4	3	8			
Amyloid status (+/-), n/n	8/13	7/3	9/8	18/14			
Hypertension, n	12	20	5	13			
Current	0.85 (1.87)	4.67 (4.92)	36.29 (18.63)	57.72 (9.74)	<0.001		<0.001
Life	3.45 (6.3)	13.33 (10.26)	53.76 (18.91)	77.81 (19.5)	<0.001		<0.001
<b>Neuropsychological questionnaires</b>							
Montreal Cognitive Assessment	25.52 (2.25)	23 (3.43)	22.12 (3.66)	23.59 (2.82)	<0.05		<0.05
MMSE	28.86 (1.06)	28.1 (2.02)	27.41 (2.62)	28.03 (1.49)	<0.05		<0.05
ADAS-Cognitive	10.19 (4.65)	13.3 (4.74)	12.82 (6.04)	13.09 (3.31)	<0.05		<0.05
Clinical Dementia Rating	0 (0)	0.2 (0.26)	0.18 (0.25)	0.16 (0.39)	<0.05		<0.05
Combat Exposure Score	13.05 (5.25)	14.89 (7.9)	19.5 (6.41)	20.58 (7.45)	<0.01		<0.05
Armed Forces Qualification Test percentile	57.68 (22.46)	58 (24.99)	45.57 (25.79)	49.7 (19.52)	<0.05		<0.05
Functional Assessment Questionnaire total	0.11 (0.33)	3.4 (5.98)	3 (4.52)	2.82 (4.22)	<0.05		<0.05
Geriatric Depression Scale	0.81 (0.87)	0.7 (1.25)	2.71 (2.52)	4.91 (3.6)	<0.001		<0.001
ECog Memory	1.6 (0.5)	1.95 (0.73)	2.09 (0.75)	2.43 (0.7)	<0.001		<0.001
ECog Language	1.41 (0.56)	1.52 (0.57)	1.85 (0.80)	2.13 (0.69)	<0.001		<0.001
ECog Spatial Visual	1.12 (0.21)	1.17 (0.36)	1.29 (0.51)	1.46 (0.61)	<0.05		<0.05
ECog Plan	1.19 (0.23)	1.42 (0.54)	1.50 (0.73)	1.65 (0.71)	<0.05		<0.05
ECog Organize	1.23 (0.35)	1.76 (0.58)	1.45 (0.9)	1.86 (0.85)	<0.01	<0.05	<0.01
ECog Divide Attention	1.40 (0.47)	1.70 (0.66)	1.63 (0.9)	2.14 (0.66)	<0.001		<0.05
ECog total	1.33 (0.32)	1.59 (0.47)	1.63 (0.58)	1.95 (0.59)	<0.001		<0.001
Rey Auditory Verbal Learning Test	12.62 (2.09)	12.3 (1.95)	12.12 (2.93)	12.44 (2.47)			
Clock Drawing Test	4.48 (0.75)	4.2 (1.32)	4.18 (0.88)	4.38 (0.79)			
Clock Copy Test	4.95 (0.22)	4.9 (0.32)	4.35 (0.61)	4.69 (0.47)			
Category Fluency Test	22.95 (5.61)	20 (5.66)	19.76 (5.08)	18.63 (4.55)	<0.05		<0.05
Trail Making Test – Part A	34.76 (9.16)	40.1 (15.41)	43 (30.98)	35.84 (8.27)			
Trail Making Test – Part B	78.24 (19.99)	105.7 (47.06)	114.59 (78.99)	98.06 (41.33)			
American National Adult Reading Test	10.76 (7.44)	13.1 (8.56)	21 (11.95)	16.84 (7.69)	<0.05		<0.05
Boston Naming Test	28.9 (1.04)	28 (1.33)	28.12 (2.09)	27.88 (2.15)			

ADAS Alzheimer’s Disease Assessment Scale, ECog Everyday Cognition, MMSE Mini-Mental State Examination

<sup>a</sup> Numbers of subjects with available APOE4 status: healthy control group, 21; TBI group, 8; TBI+PTSD group, 11; PTSD group, 29

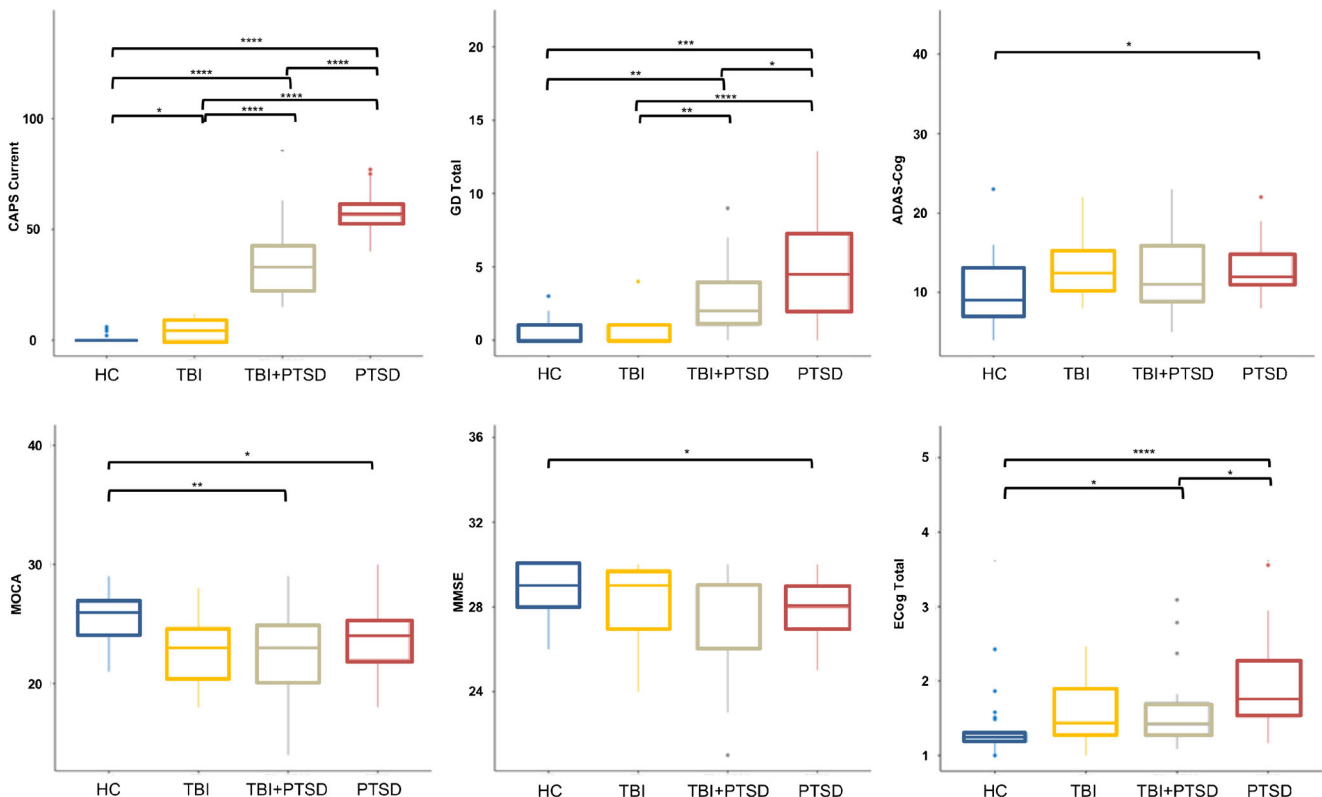
group (6 amnesic and 2 nonamnesic), 4 subjects in the TBI group (all amnesic), and 3 subjects in the TBI+PTSD group (all amnesic; Table 1). Thus, we found that at least one third of the subjects with PTSD and/or TBI had a significant memory decline based on the CDR score, most of whom were diagnosed with amnesic MCI, suggesting an ongoing memory decline with likely eventual conversion to AD. Those same subjects had tau pathology with Braak stages II–V, which is consistent with previously reported findings in MCI subjects [22].

### Differences in tau deposition between TBI and/or PTSD groups

Foci of mean [<sup>18</sup>F]AV1451 SUVR that showed significant differences between healthy controls and each of the three clinical groups are shown in Fig. 2. The TBI group (Fig. 2a) showed significantly higher mean SUVR in the superior frontal gyrus (SFG;  $1.17 \pm 0.05$  versus  $1.12 \pm 0.10$ ;  $p = 0.01$ ), middle frontal gyrus (MFG;  $1.18 \pm 0.12$  versus  $1.16 \pm 0.12$ ;  $p = 0.02$ ), medial orbitofrontal cortex (mOFC;  $1.21 \pm 0.14$  versus  $1.16 \pm 0.13$ ;  $p = 0.001$ ), lateral orbitofrontal cortex (OFC;  $1.18 \pm 0.12$  versus  $1.16 \pm 0.12$ ;  $p = 0.01$ ), precentral gyrus ( $1.19 \pm 0.14$  versus  $1.16 \pm 0.13$ ;  $p = 0.001$ ), postcentral gyrus ( $1.10 \pm 0.06$

versus  $0.99 \pm 0.07$ ;  $p = 0.025$ ), insula ( $1.13 \pm 0.17$  versus  $1.10 \pm 0.11$ ;  $p = 0.003$ ), supramarginal gyrus (SMG;  $1.08 \pm 0.08$  versus  $1.00 \pm 0.07$ ;  $p = 0.015$ ), precuneus ( $1.11 \pm 0.08$  versus  $1.06 \pm 0.07$ ;  $p = 0.03$ ), STG ( $1.17 \pm 0.12$  versus  $1.03 \pm 0.11$ ;  $p = 0.04$ ), transverse temporal gyrus (TTG;  $1.10 \pm 0.11$  versus  $0.93 \pm 0.06$ ;  $p = 0.02$ ), temporal pole ( $1.10 \pm 0.07$  versus  $1.04 \pm 0.11$ ;  $p = 0.04$ ), STG ( $1.08 \pm 0.08$  versus  $1.00 \pm 0.07$ ;  $p = 0.003$ ), and basal ganglia ( $1.41 \pm 0.16$  versus  $1.32 \pm 0.15$ ;  $p = 0.05$ ). Tau PET SUVR was lower in the TBI group compared to the control group in the left inferior temporal gyrus (ITG;  $1.21 \pm 0.16$  versus  $1.25 \pm 0.15$ ;  $p = 0.04$ ).

The TBI+PTSD group (Fig. 2b) showed higher mean [<sup>18</sup>F]AV1451 SUVR in the TTG ( $1.10 \pm 0.08$  versus  $0.93 \pm 0.06$ ;  $p = 0.045$ ), SMG ( $1.10 \pm 0.17$  versus  $1.01 \pm 0.10$ ;  $p = 0.032$ ), MFG ( $1.04 \pm 0.19$  versus  $0.97 \pm 0.1$ ;  $p = 0.05$ ), precuneus ( $1.13 \pm 0.2$  versus  $1.06 \pm 0.07$ ;  $p = 0.04$ ), and STG ( $1.06 \pm 0.13$  versus  $1.00 \pm 0.07$ ;  $p = 0.05$ ). The PTSD group (Fig. 2c) showed higher mean SUVR in the brainstem ( $1.00 \pm 0.01$  versus  $0.95 \pm 0.05$ ;  $p = 0.03$ ), precuneus ( $1.1 \pm 0.07$  versus  $1.06 \pm 0.07$ ;  $p = 0.05$ ), insula ( $1.07 \pm 0.09$  versus  $1.03 \pm 0.06$ ;  $p = 0.02$ ), pars-opercularis ( $1.04 \pm 0.1$  versus  $1.0 \pm 0.06$ ;  $p = 0.04$ ), cuneus ( $1.10 \pm 0.09$  versus  $1.05 \pm 0.06$ ;  $p = 0.02$ ),



**Fig. 1** Differences in neuropsychological test scores between groups. CAPS Current clinician-administered PTSD scale current score, GD Total Geriatric Depression Scale, ADAS-Cog Alzheimer’s Disease Assessment Scale–Cognitive, MOCA Montreal Cognitive Assessment,

MMSE Mini-Mental State Examination, ECog Everyday Cognition, TBI traumatic brain injury, PTSD posttraumatic stress disorder, TBI+PTSD TBI subjects who developed PTSD. \* $p < 0.05$ , \*\* $p < 0.01$ , \*\*\* $p < 0.001$ , \*\*\*\* $p < 0.0001$

pericalcarine ( $1.10 \pm 0.1$  versus  $1.07 \pm 0.07$ ;  $p = 0.03$ ), STG ( $1.04 \pm 0.07$  versus  $0.99 \pm 0.07$ ;  $p = 0.05$ ), TTG ( $1.00 \pm 0.08$  versus  $0.93 \pm 0.06$ ;  $p = 0.003$ ), mOFC ( $1.07 \pm 0.07$  versus  $1.02 \pm 0.07$ ;  $p = 0.04$ ). [ $^{18}\text{F}$ ]AV1451 SUVr in the ITG was lower in the TBI group ( $1.18 \pm 0.17$  versus  $1.25 \pm 0.15$ ;  $p = 0.04$ ) than in healthy controls.

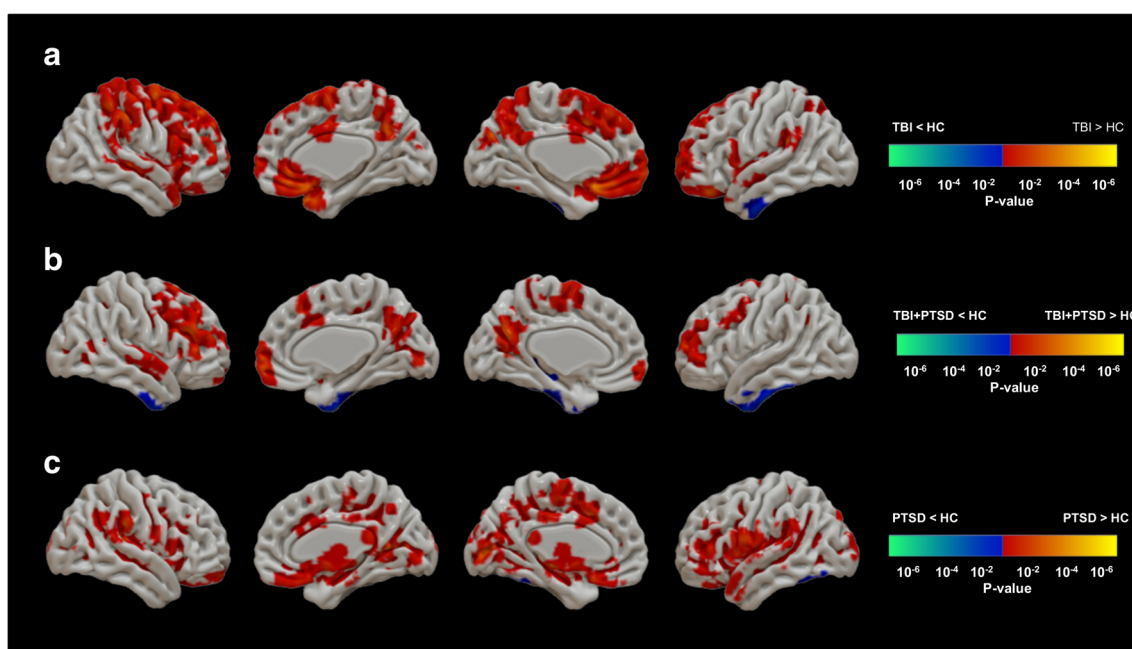
Figure 3 shows the [ $^{18}\text{F}$ ]AV1451 SUVr in the frontal, parietal, and temporal lobes along with the cingulate cortex in each of the clinical groups. The TBI group showed significantly higher SUVr only in the frontal lobe ( $1.06 \pm 0.05$  versus  $1.00 \pm 0.07$ ;  $p = 0.015$ ) as compared to healthy controls, whereas the PTSD and TBI+PTSD groups showed no significant differences in any of the large ROIs compared to healthy controls ( $p > 0.05$ ).

### Correlation between regional [ $^{18}\text{F}$ ]AV1451 SUVr and clinical outcomes

The TBI group (Fig. 4a) showed negative correlations between the ADAS-Cog score and [ $^{18}\text{F}$ ]AV1451 SUVr in the anterior cingulate cortex (ACC;  $r = -0.65$ ;  $p = 0.04$ ), paracentral gyrus ( $r = -0.71$ ;  $p = 0.02$ ), and SFG ( $r = -0.45$ ;  $p = 0.02$ ). The TBI+PTSD group (Fig. 4b) showed significant positive correlations between the ADAS-Cog score and [ $^{18}\text{F}$ ]AV1451 SUVr in the frontal pole ( $r = 0.59$ ;  $p = 0.01$ ), posterior cingulate cortex (PCC;  $r = 0.53$ ;  $p = 0.03$ ), SFG ( $r = 0.69$ ;  $p < 0.01$ ), pars-orbital gyrus ( $r = 0.59$ ;  $p = 0.01$ ),

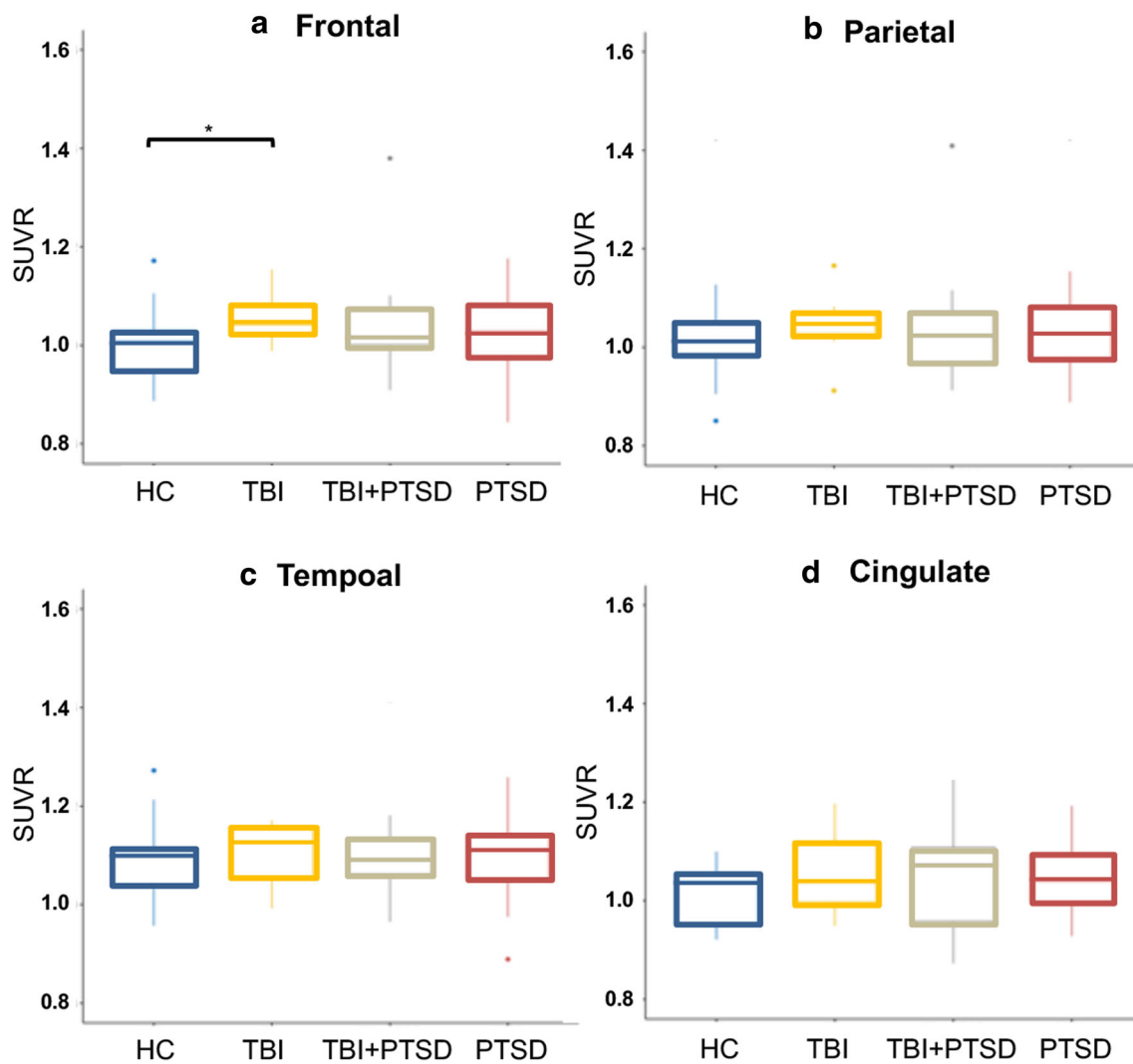
pars-triangularis ( $r = 0.7$ ;  $p < 0.01$ ), pars-opercularis ( $r = 0.63$ ;  $p = 0.01$ ), rostral MFG ( $r = 0.71$ ;  $p < 0.01$ ), temporal pole ( $r = 0.51$ ;  $p = 0.04$ ), transentorhinal cortex ( $r = 0.55$ ;  $p = 0.02$ ), MTG ( $r = 0.71$ ;  $p < 0.01$ ), ITG ( $r = 0.72$ ;  $p < 0.01$ ), STG ( $r = 0.69$ ;  $p < 0.01$ ), lateral occipital cortex ( $r = 0.58$ ;  $p = 0.01$ ), fusiform gyrus ( $r = 0.62$ ;  $p = 0.01$ ), inferior parietal lobule (IPL;  $r = 0.67$ ;  $p < 0.01$ ), superior parietal lobule (SPL;  $r = 0.66$ ;  $p < 0.01$ ), and SMG ( $r = 0.72$ ;  $p < 0.01$ ), while in the PTSD group (Fig. 4c) a positive correlation was observed in the lateral occipital cortex ( $r = 0.43$ ;  $p = 0.05$ ).

The TBI group (Fig. 5a) showed a negative correlation between [ $^{18}\text{F}$ ]AV1451 SUVr and ECog total score only in the left SMG ( $r = -0.45$ ;  $p = 0.02$ ), while the TBI+PTSD group (Fig. 5b) showed positive correlations in the ACC ( $r = 0.59$ ;  $p = 0.01$ ), PCC ( $r = 0.64$ ;  $p < 0.01$ ), lateral orbitofrontal gyrus (OFG;  $r = 0.52$ ;  $p = 0.03$ ), SFG ( $r = 0.69$ ;  $p < 0.01$ ), MFG ( $r = 0.62$ ;  $p = 0.01$ ), MTG ( $r = 0.6$ ;  $p = 0.01$ ), parahippocampus ( $r = 0.59$ ;  $p = 0.01$ ), temporal pole ( $r = 0.58$ ;  $p = 0.01$ ), fusiform gyrus ( $r = 0.7$ ;  $p < 0.01$ ), ITG ( $r = 0.69$ ;  $p < 0.01$ ), STG ( $r = 0.65$ ;  $p < 0.01$ ), transentorhinal cortex ( $r = 0.8$ ;  $p < 0.01$ ), precuneus ( $r = 0.56$ ;  $p = 0.02$ ), IPL ( $r = 0.65$ ;  $p = 0.01$ ), SPL ( $r = 0.68$ ;  $p < 0.01$ ), supramarginal gyrus ( $r = 0.70$ ;  $p < 0.01$ ), and amygdala ( $r = 0.63$ ;  $p = 0.01$ ), and negative correlations in the cuneus ( $r = -0.47$ ;  $p = 0.01$ ), SMG ( $r = -0.47$ ;  $p = 0.04$ ), and left insula ( $r = -0.50$ ;  $p = 0.02$ ). The PTSD group (Fig. 5c) showed positive correlations in the caudal MFG ( $r = 0.4$ ;  $p = 0.02$ ), fusiform gyrus ( $r = 0.35$ ;



**Fig. 2** Comparisons of [ $^{18}\text{F}$ ]AV1451 SUVr between healthy controls and (a) the TBI group, (b) the TBI+PTSD group, and (c) the PTSD group. The red–yellow scale represents more tau accumulation in the clinical groups and the blue–green scale indicates more tau in healthy controls. Difference maps were calculated using the unpaired  $t$  test for

[ $^{18}\text{F}$ ]AV1451 uptake in the clinical groups. The results were corrected using the false discovery rate ( $p < 0.05$ , cluster volume  $> 40$  voxels). *TBI* traumatic brain injury, *PTSD* posttraumatic stress disorder, *TBI+PTSD* TBI subjects who developed PTSD



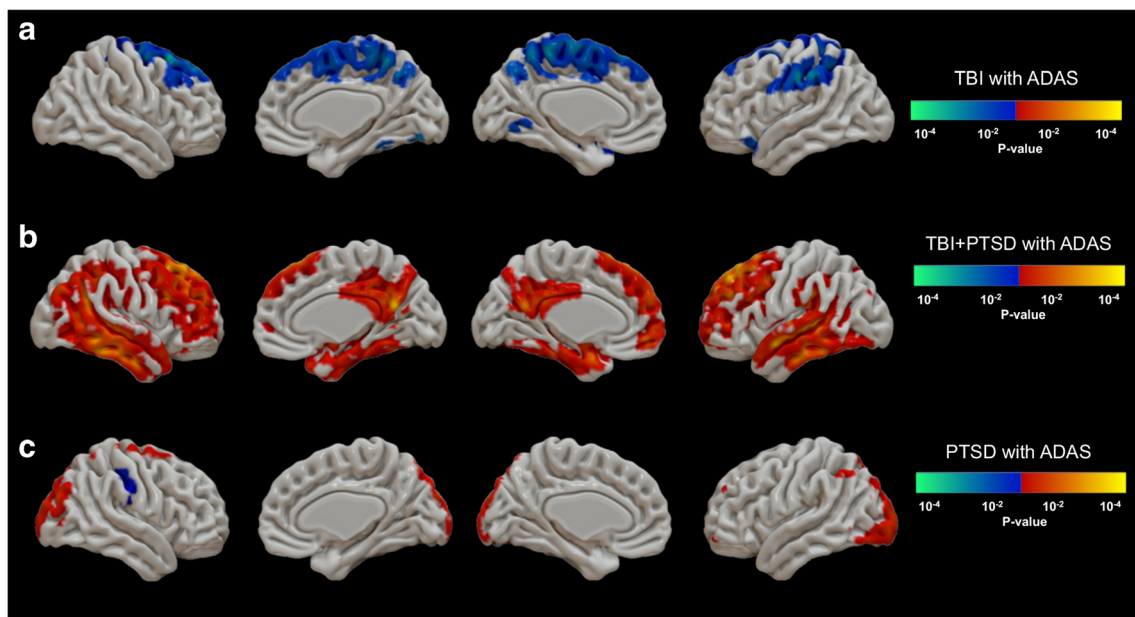
**Fig. 3** [<sup>18</sup>F]AV1451 SUVR in four cortical regions of interest including (a) the frontal cortex, (b) the parietal cortex, (c) the temporal cortex, and (d) cingulate cortex. TBI traumatic brain injury, PTSD posttraumatic stress disorder, TBI+PTSD TBI subjects who developed PTSD

$p = 0.05$ ), lateral occipital cortex ( $r = 0.40$ ;  $p = 0.02$ ), ITG ( $r = 0.40$ ;  $p = 0.02$ ), postcentral gyrus ( $r = 0.40$ ;  $p = 0.02$ ), precentral gyrus ( $r = 0.41$ ;  $p = 0.02$ ), PCC ( $r = 0.38$ ;  $p = 0.03$ ), ACC ( $r = 0.45$ ;  $p = 0.01$ ), SPL ( $r = 0.41$ ;  $p = 0.02$ ), and cuneus ( $r = 0.47$ ;  $p = 0.01$ ).

Figure 6 shows the correlations between total cortical amyloid represented by mean [<sup>18</sup>F]AV45 SUVR and [<sup>18</sup>F]AV1451 SUVR voxel-wise maps. The TBI group (Fig. 6a) showed negative correlations in the PCC ( $r = -0.56$ ;  $p = 0.02$ ), SMG ( $r = 0.50$ ;  $p = 0.04$ ), and transentorhinal cortex ( $r = 0.46$ ;  $p < 0.05$ ), and a positive correlation in the MFG ( $r = 0.40$ ;  $p < 0.05$ ). The TBI+PTSD group (Fig. 6b) showed positive correlations in the PCC ( $r = 0.64$ ;  $p = 0.01$ ), pars-opercularis ( $r = 0.69$ ;  $p < 0.01$ ), MFG ( $r = 0.73$ ;  $p < 0.01$ ), frontal pole ( $r = 0.84$ ;  $p < 0.01$ ), SFG ( $r = 0.75$ ;  $p < 0.01$ ), precuneus ( $r = 0.66$ ;  $p < 0.01$ ), IPL ( $r = 0.79$ ;  $p < 0.01$ ), SPL ( $r = 0.73$ ;  $p < 0.01$ ), SMG ( $r = 0.80$ ;  $p < 0.01$ ), transentorhinal cortex ( $r = 0.57$ ;  $p = 0.02$ ), fusiform gyrus ( $r = 0.71$ ;  $p < 0.01$ ), temporal pole ( $r = 0.66$ ;  $p < 0.01$ ), ITG ( $r = 0.83$ ;

$p < 0.01$ ), MTG ( $r = 0.86$ ;  $p < 0.1$ ), and STG ( $r = 0.81$ ;  $p < 0.01$ ), while negative correlations were observed in the caudate nucleus ( $r = -0.54$ ;  $p = 0.02$ ), pallidum ( $r = -0.49$ ;  $p = 0.04$ ), and thalamus ( $r = -0.62$ ;  $p = 0.01$ ). The PTSD group (Fig. 6c) showed positive correlations in the amygdala ( $r = 0.38$ ;  $p = 0.03$ ), fusiform gyrus ( $r = 0.38$ ;  $p = 0.03$ ), hippocampus ( $r = 0.36$ ;  $p = 0.04$ ), ITG ( $r = 0.36$ ;  $p = 0.04$ ), MTG ( $r = 0.37$ ;  $p = 0.04$ ), parahippocampus ( $r = 0.5$ ;  $p < 0.01$ ), transentorhinal cortex ( $r = 0.45$ ;  $p = 0.01$ ), ACC ( $r = 0.38$ ;  $p = 0.03$ ), and PCC ( $r = 0.50$ ;  $p < 0.01$ ).

Of the three clinical groups, the TBI+PTSD group showed the most significant positive correlations between tau PET data and CDR scores, whereas the PTSD group showed a trend towards a positive correlation (see Supplementary Fig. 1). This might suggest that individuals with a CDR score  $\geq 0.5$  have relatively more tau accumulation in regions typically involved in AD. However, as part of the inclusion criteria of ADNI-DOD, none of the participants had a diagnosis of AD or other dementia at the time of scanning.



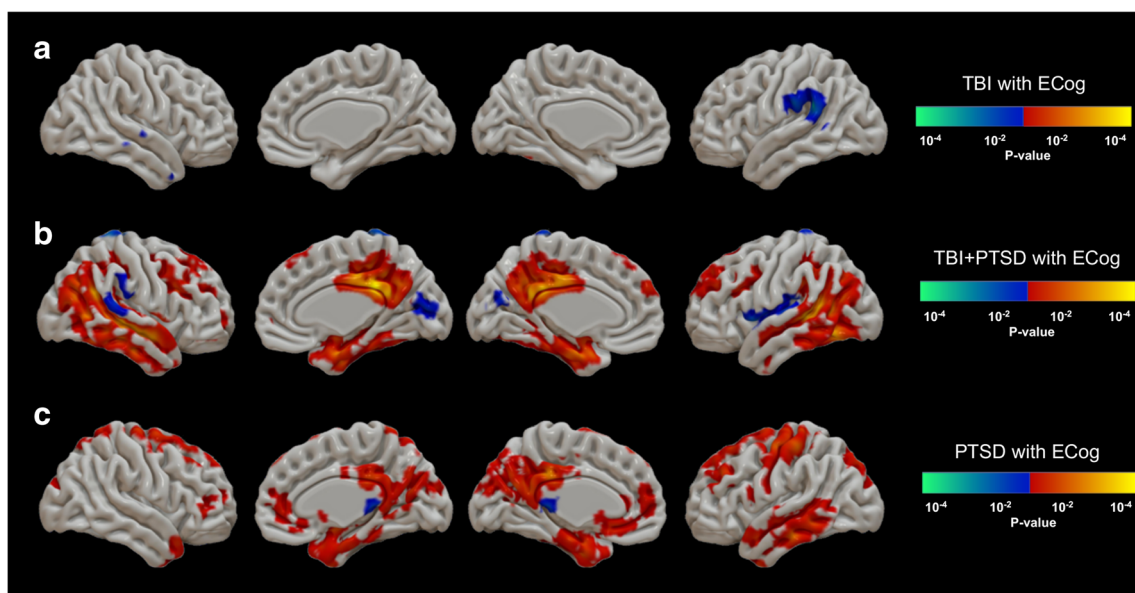
**Fig. 4** Correlations between [ $^{18}\text{F}$ ]AV1451 SUVr and ADAS-Cog scores in (a) the TBI group, (b) the TBI+PTSD group, and (c) the PTSD group. The red–yellow scale represents positive correlations between [ $^{18}\text{F}$ ]AV1451 SUVr and the ADAS-Cog score, while the blue–green scale

represents negative correlations between [ $^{18}\text{F}$ ]AV1451 SUVr and the ADAS-Cog score. *TBI* traumatic brain injury, *PTSD* posttraumatic stress disorder, *TBI+PTSD* TBI subjects who developed PTSD

#### Estimated Braak stages for different clinical groups recapitulated by [ $^{18}\text{F}$ ]AV1451 PET

The [ $^{18}\text{F}$ ]AV1451 PET images in the present study exhibited distributions of tau pathology consistent with those expected from post-mortem studies of healthy control, MCI and AD subjects. Many subjects (mostly healthy controls) showed a

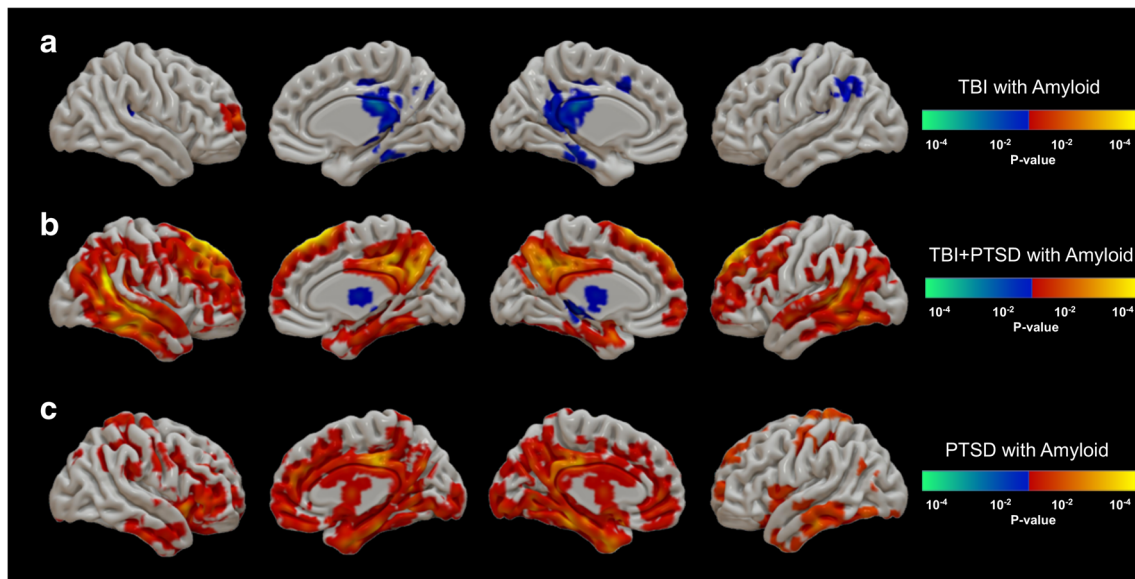
uniformly low cortical SUVr similar to the reference region's SUVr (stage 0;  $n = 39$ ), while other subjects showed focally increased tracer retention in the medial temporal lobes consistent with Braak stages I–III ( $n = 35$ ), and still others showed more widespread distributions of tracer binding characteristic of Braak stage IV ( $n = 5$ ) and even Braak stage V ( $n = 1$ ; Table 2). Upon further visual investigation, subjects in the



**Fig. 5** Correlations between [ $^{18}\text{F}$ ]AV1451 SUVr and the ECog total score in (a) the TBI group, (b) the TBI+PTSD group, and (c) the PTSD group. The red–yellow scale represents positive correlations between [ $^{18}\text{F}$ ]AV1451 SUVr in the clinical groups and the ECog score, while the

blue–green scale represents negative correlation between [ $^{18}\text{F}$ ]AV1451 SUVr and the ECog score. *TBI* traumatic brain injury, *PTSD* posttraumatic stress disorder, *TBI+PTSD* TBI subjects who developed PTSD





**Fig. 6** Correlations between [ $^{18}\text{F}$ ]AV1451 SUVR and the total cortical amyloid represented by mean [ $^{18}\text{F}$ ]AV45 SUVR in (a) the TBI group, (b) the TBI+PTSD group, and (c) the PTSD group. The red–yellow scale represents positive correlations between tau and amyloid

accumulation in the clinical groups, while the blue–green scale represents negative correlations between tau and amyloid accumulation. *TBI* traumatic brain injury, *PTSD* posttraumatic stress disorder, *TBI+PTSD* TBI subjects who developed PTSD

TBI group exhibited increased SUVR in the frontal cortex in association with the different Braak stages shown in Table 2.

## Discussion

In vivo tau PET imaging in our clinical groups revealed increased tau tracer binding with topographical patterns resembling the distributions of tau pathology in neurodegenerative disorders such as AD and CTE [29–32]. We also observed positive correlations between tau and the severity of deficits in the various cognitive tests in the PTSD and TBI+PTSD groups. These results suggest that a history of TBI and/or PTSD might initiate pathological changes eventually coming to resemble aspects of tauopathy in AD, and manifesting in significant (but not yet pathological) deficits across a range of cognitive domains.

### Neurocognition suggests more progressive impairments of TBI+PTSD and PTSD towards AD

Among subjects in the investigated clinical groups, PTSD subjects exhibited the worst cognitive performance in all assessments, followed by TBI+PTSD subjects, whereas cognitive scores in TBI subjects and healthy controls did not differ significantly (Fig. 1, Table 1). Yaffe et al. have shown that military personnel with PTSD are twice as likely to develop dementia as those without PTSD [10]. TBI and PTSD are highly comorbid conditions in civilian life and among veterans [7, 9], and both conditions are associated with an increased risk of developing dementia later in life [5]. This link

between TBI and PTSD may result from the physical injury and consequent cognitive impairments arising from TBI [33], or may be due to persistent trauma-related memory [34]. The ADAS-Cog, MMSE, ECog and CDR scores all showed greater memory and cognitive impairment in subjects with PTSD, recapitulating the findings of our earlier study in a larger group of ADNI-DOD subjects who had undergone amyloid PET imaging [25]. In their review, Regehr and LeBlanc found that the degree of impairment of cognitive and working memory was correlated with the severity of PTSD [35].

In the present study, >35% of the subjects with TBI and/or PTSD had some memory decline (CDR score  $\geq 0.5$ ), and most of these subjects were diagnosed with amnesic MCI, suggesting a progressive memory decline and raising the suspicion of early AD pathology. Indeed, these subjects were classified as Braak stages II–V, which is consistent with the range of Braak stages reported in MCI subjects [22]. Furthermore, this also suggests that a history of TBI and/or PTSD might predict memory deficits occurring decades after the trauma.

### Increased tau deposition might suggest typical AD progression in TBI+PTSD and PTSD as a possible link to AD

In the present study, elevated tau deposition (10–20%) was found in the cerebral cortex of TBI subjects compared with controls. Tau is a scaffolding protein binding axonal microtubules and other proteins, and TBI causes tau to abnormally phosphorylate, misfold and cleave, and thus to form NFTs [36]. A post-mortem study of long-term (up to 49 years) survivors of a single TBI event showed exceptionally abundant

**Table 2.** Estimated Braak stages in different groups

Braak stage	Group (n)						
	Total	Healthy controls		TBI		PTSD	
		Amyloid-negative	Amyloid-positive	Amyloid-negative	Amyloid-positive	Amyloid-negative	Amyloid-positive
0	39	10	4	1	2	4	7
I	3	0	0	1	0	0	2
II	25	3	3	1	2	3	5
III	7	0	0	0	2	1	3
IV	5	0	1	0	1	0	3
V	1	0	0	0	0	1	0
VI	0	0	0	0	0	0	0
Total	80	13	8	3	7	8	18

TBI traumatic brain injury, PTSD posttraumatic stress disorder, TBI+PTSD subjects with TBI who developed PTSD

NFTs in the cingulate gyrus, SFG and insular cortex, which led the authors to suggest a causal relationship between a single TBI event and the acquisition of AD-like neuropathological features [15]. Tauopathy has also been reported in cohorts of individuals with a history of repetitive TBI leading to CTE and ultimately proceeding to AD [16, 32], and in a group of players of American football with repeated concussion who showed high [ $^{18}\text{F}$ ]AV1451 uptake in the cortical grey matter–white matter junction of multiple regions, which is considered pathognomonic for CTE [16]. The relationship between TBI and tau deposition may be a consequence of the physical damage to the axonal cytoskeleton by shearing forces [37] in conjunction with the nucleation of abnormal tau promoting the formation of NFTs [38]. This biophysical model of tau pathogenesis was proposed by Ahmadzadeh et al., who suggested that tau-crosslinked microtubules are sufficiently flexible to accommodate mechanical strain in the brain when it arises slowly [39, 40], but may fail if severe mechanical strain arises rapidly, and thus overwhelms the integrity of microtubules crosslinked by tau, causing tau dissociation and aggregation [41, 42].

Another possible mechanism may be that damage to the blood–brain barrier (BBB) after TBI facilitates tau accumulation. In this scenario, TBI induces NFT formation particularly around small blood vessels of the cortex, typically in the depths of the sulci, and this may lead to CTE [32]. Ramos-Cejudo et al. proposed that TBI first accelerates amyloid aggregation, leading to cerebrovascular injury and BBB damage, which then results in a deleterious feed-forward mechanism in which increased arterial stiffness favours further amyloid and tau deposition [42]. PET and histopathological examination have shown that amyloid plaque density increased within a year of the occurrence of a TBI event [43, 44]. On the other hand, Chen et al. found no evidence of provoked amyloid plaques in subjects who had suffered their TBI 3 years previously, despite ongoing elevation of the expression of the amyloid precursor protein in the white matter [45].

Taken together, these studies imply that transient amyloid plaques may form rapidly after TBI, but are normally cleared in subsequent years. This acute or transient response to TBI might be an initiator of a more chronic increase in tau accumulation in a pathological cascade that eventually leads to a form of tauopathy. The TBI group included subjects showing an AD-typical profile of tau deposition, with regions of increased tau appearing during Braak stages I–IV, in addition to atypical-AD regions including the frontal and cingulate cortex (Fig. 2a). A sea change in the perception of the long-term consequences of TBI has been seen in recent years, suggesting that the risks of CTE, Lewy body disease and parkinsonism are higher than the risk of AD [46–48]. However, we cannot currently establish if the increased tau in our TBI group was related to AD per se or to other tauopathies, mainly because of the absence of most of the cognitive impairments

evident in the PTSD groups. Longitudinal tau PET studies in this or a similar cohort may better establish the relationship between TBI and AD-like pathology.

The PTSD group also showed elevated tau accumulation in the neocortex compared with controls. A single report has so far shown increased binding of the tau tracer [ $^{18}\text{F}$ ]AV1451 in subjects with chronic PTSD from an Australian cohort of Vietnam War veterans [19]. To elucidate the underlying mechanism by which PTSD induces tau accumulation, Miller et al. investigated the influence of the lipoxygenase genes *ALOX12* and *ALOX15* (enzymes involved in inflammatory responses) on the decreasing cerebrocortical thickness seen in subjects with PTSD, and found that *ALOX12* moderates the association between PTSD severity and thinning of the prefrontal cortex [49]. The *ALOX12* pathway has been found to modulate tau metabolism [50] and may be a mediator of inflammatory mechanisms in early AD [51].

By examining the tau accumulation profiles in individual subjects, we were able to identify those with PTSD and TBI+PTSD who showed similar tau profiles to that in AD patients. Jack proposed that early accumulation of cortical amyloid might accelerate the progression and spread of tauopathy in AD [52]. This author proposed that “primary age-related tauopathy” develops at some stage in life followed by increased amyloid deposition in certain neocortical areas that triggers (by an unidentified mechanism) accelerated tauopathy ultimately leading to severe cognitive deficits and AD [20, 22–24]. In the current study, elevated tau binding on PET was positively correlated with amyloid positivity and cognitive impairment in the PTSD and TBI+PTSD groups, but this association was not present in the TBI or healthy control groups, suggesting a particular association with PTSD.

Although none of the participants in our cohorts met the clinical diagnosis of AD, the correlation analysis of amyloid and tau PET findings suggested a strong predisposition for tau accumulation to track amyloid deposition, especially in the TBI+PTSD group, thus suggesting a complex relationship between the two pathologies. However, further investigation is required to substantiate this association. In amyloid-negative subjects, tauopathy with Braak stages above zero might be primary age-related tauopathy [37], and this also might explain the occasional finding of tau accumulation in our healthy control group. Alternately, our criterion for amyloid PET positivity of  $\text{SUVR} > 1.1$  in the whole cerebral cortex [53] may have resulted in early amyloid changes being missed in some subjects.

We found significant correlations between ADAS-Cog, ECog total and CDR scores and tau accumulation in both the TBI+PTSD and PTSD groups, with the most compelling correlations in the TBI+PTSD group (Figs. 4 and 5, and Supplementary Fig. 1). In this group, the spatial pattern of positive correlations broadly matched the default mode network (DMN), that involves the precuneus, PCC and medial frontal cortex [54]. Furthermore, tau accumulation in these same

regions was positively correlated with total cortical amyloid deposition (Fig. 6). These regions of the DMN have previously been shown to contain amyloid deposits in patients with MCI [55] and early AD [54], suggesting that the DMN is the first functional network to be disrupted in AD [55]. These various correlations between tau and cognitive impairments and amyloid may suggest that TBI+PTSD and PTSD subjects are at higher risk of conversion to AD, following the typical AD progression profile proposed by the NIA-AA framework [20].

The data presented here imply that those veterans who developed PTSD following their TBI might be at the highest risk of progression to AD, while those with TBI only might be more at risk of developing other neuropathies [46–48], a conjecture that could be investigated by longitudinal molecular imaging studies. Work by Li et al. showed that a self-reported history of TBI was associated with an onset of cognitive impairment in older adults 3–4 years earlier than in those without a history of TBI [56], but these authors did not report interactions with PTSD.

The major limitation of this study was the small number of subjects in the TBI cohort ( $n = 10$ ), which was insufficient to support strong conclusions. Further investigations are required to establish better links between TBI or PTSD with tau pathology and the risk of AD or other forms of dementia. In addition, there is a need for further investigation of the mechanisms triggering AD onset and progression. Future studies in a larger cohort may establish cut-off criteria for tau PET conforming to Braak staging.

## Conclusion

Our findings show for the first time that a history of TBI and/or PTSD is associated with increased tauopathy resembling AD-typical and atypical patterns, and is correlated with impaired neuropsychological function relative to healthy controls.

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## Compliance with ethical standards

**Conflicts of interest** None.

**Research involving human participants and/or animals** This research involved de-identified human data collected by the ADNI project. This study obtained ethics approval to use de-identified data from the Human Research Ethics Committee of the University of Queensland, Australia (IRB number 2017000630).

**Informed consent** Informed consent was obtained from all individual participants included in the study.

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