# EFFECTS OF DIFFERENT MONTAGES OF TRANSCRANIAL DIRECT CURRENT STIMULATION ON HAEMODYNAMIC RESPONSES AND MOTOR PERFORMANCE IN ACUTE STROKE: A RANDOMIZED CONTROLLED TRIAL

Wanalee KLOMJAI, PhD, PT<sup>1,2\*</sup>, Benchaporn ANEKSAN, PhD, PT<sup>1,2</sup>, Songkram CHOTIK-ANUCHIT, MD<sup>3,4</sup>, Pentida JITKAEW, MSc, PT<sup>1,2</sup>, Kasina CHAICHANUDOMSUK, MSc, PT<sup>1,2</sup>, Pagamas PIRIYAPRASARTH, PhD, PT<sup>1,2</sup>, Roongtiwa VACHALATHITI, PhD, PT<sup>1</sup>, Yongchai NILANON, MD<sup>3,4</sup> and Vimonwan HIENGKAEW, PhD, PT<sup>1</sup> *From the* <sup>1</sup>*Faculty of Physical Therapy,* <sup>2</sup>*Neuro Electrical Stimulation Laboratory (NeuE Lab), Faculty of Physical Therapy,* <sup>4</sup>*Anilol* 

From the "Faculty of Physical Therapy, "Neuro Electrical Stimulation Laboratory (NeuE Lab), Faculty of Physical Therapy, Mahidol University, Salaya, Phutthamonthon, Nakhon Pathom, <sup>3</sup>Department of Medicine and <sup>4</sup>Siriraj Stroke Center, Faculty of Medicine Siriraj Hospital, Mahidol University, Bangkok, Thailand

*Objective:* Transcranial direct current stimulation (tDCS) has shown positive results in neurorehabilitation. However, there is limited evidence on its use in acute stroke, and unclear evidence regarding the best tDCS montage (anodal-, cathodal-, or dual-tDCS) for stroke recovery. This study investigated the effects of these montages combined with physical therapy on haemodynamic response and motor performance.

Methods: Eighty-two eligible acute stroke participants were allocated randomly into anodal, cathodal, dual, and sham groups. They received 5 consecutive sessions of tDCS combined with physical therapy for 5 days. Cerebral mean blood flow velocity (MFV) and motor outcomes were assessed preand post-intervention and at a 1-month follow-up.

*Results:* None of the groups showed significant changes in the MFV in the lesioned or non-lesioned hemispheres immediately post-intervention or at a 1-month follow-up. For motor performance, all outcomes improved over time for all groups; betweengroup comparisons showed that the dual-tDCS group had significantly greater improvement than the other groups for most of the lower-limb performance measures. All 5-day tDCS montages were safe.

*Conclusion:* MFV was not modulated following active or sham groups. However, dual-tDCS was more efficient in improving motor performance than other groups, especially for lower-limb performance, with after-effects lasting at least 1 month.

Key words: acute stroke; ischaemic; transcranial direct current stimulation; unilateral; dual; cerebral blood flow; physical therapy.

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Correspondence address: Wanalee Klomjai, Faculty of Physical Therapy, Mahidol University, 999 Phuttamonthon 4 Road, Salaya, Nakhon Pathom 73170, Thailand. E-mail: wanalee.klo@mahidol. edu

### LAY ABSTRACT

Different methods (anodal, cathodal, dual, or sham) of transcranial direct current stimulation (tDCS) combined with physical therapy were randomly provided to patients with acute stroke for 5 consecutive daily sessions. The outcome measures were evaluated before the intervention, post-intervention, and at 1-month followup using clinical motor assessments and transcranial colour-coded Doppler ultrasonography to measure blood flow velocity in the middle cerebral artery. The results showed no significant changes in cerebral blood flow in the lesioned and non-lesioned brain in all groups. For motor outcomes, dual-tDCS was more efficient for improving motor performance, especially lower-limb performance, than other montages, with a positive effect lasting for at least 1 month. All tDCS montages combined with physical therapy were safe for use in patients with acute stroke.

A fter a unilateral stroke, the excitability of the affected hemisphere is decreased. This is coupled with an increase in the excitability of the unaffected hemisphere and abnormally high interhemispheric inhibition (IHI) from the intact to the lesioned hemisphere (1, 2). This reorganization of neuronal plasticity begins in the early stages after stroke (3). Transcranial direct current stimulation (tDCS) can induce changes in cortical excitability, which modulates brain plasticity in humans, and positive and safe results have been reported for tDCS in stroke neurorehabilitation (4, 5).

Unilateral and dual-tDCS are used to induce poststroke motor recovery. Anodal-tDCS has been shown to increase cortical excitability, and cathodal-tDCS decreases cortical excitability, based on polarityspecific effects with limited doses (i.e. 0.5–1.5 mA) (8–13). Unilateral anodal-tDCS is used to restore excitability in the ipsilesional hemisphere by anodal stimulation, while cathodal-tDCS is used to decrease

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excitability in the contralesional hemisphere and to rebalance the IHI. Dual-tDCS can stimulate both hemispheres simultaneously. However, the evidence is unclear regarding the best tDCS montage to perform for stroke recovery, especially in the early phase (12).

One of the signs of a change in cortical activity is a subsequent variation in the haemodynamic response (13). Cerebral blood flow velocity is a haemodynamic evaluation that can be measured by transcranial Doppler ultrasonography through the major intracranial vessels and relatively thin bone windows. This method is a non-invasive, relatively inexpensive, safe, and portable bedside method that is convenient in the intensive care setting (14).

Five consecutive days of tDCS applied over the primary motor cortex (M1) area is reportedly safe in acute stroke treatment (15) and at least 5 sessions of physical therapy (PT) are required to induce clinical changes in motor performance (16). The aim of the current study was to investigate the haemodynamic and motor responses immediately following different montages of 5-session tDCS applied over the M1, and at a 1-month follow-up.

#### **MATERIAL AND METHODS**

### Participants

A total of 82 eligible patients (see Table I for characteristics) were recruited by convenience sampling from the acute stroke unit of Siriraj Hospital, Bangkok, Thailand. Each patient was diagnosed with first unilateral ischaemic stroke in the anterior circulation (confirmed by magnetic resonance imaging/computed tomography (MRI/CT)), had unilateral weakness, stable vital signs, was able to follow commands, and had a modified Rankin Scale score  $\leq 4$ . Participants were excluded if they had a National Institute of Health Stroke Scale (NIHSS) score>20, hemineglect, presence of a contraindication to tDCS (17), or moderate-to-severe pain in any limb. Hemineglect and cognitive problems were screened by subscale of the NIHSS (inattention subscale for neglect (18), and cognitive subscale (Cog-4 : orientation, command, language and inattention) for cognitive problem (19, 20)). None of the participants had a history of mental health conditions or received psychological drugs (e.g. antidepressants) during study participation.

#### Table I. Baseline characteristics of participants

Characteristics	Anodal	Cathodal	Dual	Sham	<i>p</i> -value
Age (years), mean (SD)	59.94 (9.80)	61.11 (9.70)	57.20 (12.54)	60.18 (10.20)	0.599ª
NIHSS (/42 score), mean (SD)	3.38 (2.50)	3.00 (2.62)	3.12 (2.70)	4.01 (2.28)	0.895ª
Time since stroke (days), Mean (SD)	4.71 (1.38)	3.56 (1.04)	3.58 (1.42)	3.62 (1.22)	0.554ª
BMI (kg/m²), mean (SD)	24.12 (2.73)	26.63 (2.98)	24.59 (2.78)	24,69 (2.37)	0.054ª
Sex					
Male	17	9	11	12	0.120 <sup>b</sup>
Female	4	9	9	8	
Artery occlusion (n)					
ACA	4	2	1	0	0.086 <sup>b</sup>
MCA	17	16	19	20	
Lesion (n)					
Subcortical	19	11	17	18	0.088 <sup>b</sup>
Cortical	2	7	3	2	
Handedness (n)					
Right	20	17	20	20	0.968 <sup>b</sup>
Left	1	1	0	0	
Affected limb (n)					
Right	11	9	11	12	0.840 <sup>b</sup>
Left	10	9	9	8	
Thrombolytic therapy (n)					
Received	11	4	15	12	0.011 <sup>b</sup>
Not received	10	14	5	8	
Rehabilitation post-discharge (n)					
Continue rehabilitation at hospital or centre	6	6	7	5	0.906 <sup>b</sup>
Continue home programme exercise by themselves	15	12	13	15	
CCB treatment during 5 days intervention $(n)$					
Received	10	9	7	N/A	0.75⁵
Not received	11	9	13	N/A	

p-value from (a) one-way ANOVA and (b)  $x^2$  test.

NIHSS: National Institutes of Health Stroke Scale; ACA: anterior cerebral artery; MCA: middle cerebral artery; CCB: calcium channel blocker drug; N/A: not assessed.

p-value significant level at < 0.05.

The study protocol is registered at ClinicalTrials.gov (NCT04051658). The participants provided written informed consent before the study commenced, and the study was approved by the ethics committee of the Faculty of Medicine at Siriraj Hospital. This study was a double-blind (participants, assessor) randomized controlled trial. Participants were allocated randomly to the anodal group (n=21), cathodal group (n=20), dual group (n=20), or sham group (n=21) by a third party. Match-paired design was used to match age  $(\pm 5)$ years), lesions, and motor subscale from the NIHSS (upper extremities (UE): 0-1, 2, 3-4 or lower extremities (LE): 0-1, 2). Randomization was performed using sealed envelopes marked "active," "cathodal," "dual," or "sham" when it was necessary to start a new pair. The assessments were performed at the baseline (pre-), immediately after day-5 intervention (post-), and at 1-month follow-up (Fig. 1).

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## Assessment

*Haemodynamic response*. Transcranial colour-coded Doppler (TCCD) ultrasonography (EPIQ 5C Ultrasound System, Philips, Bothell, Washington, USA) was used to measure the blood flow velocity in each middle cerebral artery (MCA) through the temporal bone, using a 5-1 MHz hand-held probe. The participants underwent TCCD in the supine position. The mean blood flow velocity (MFV) is a consistent measure used to document changes in cerebral blood flow; it is minimally affected by high flow velocity and lowamplitude signals from small vessels surrounding the major vessels being studied (21). The assessment was performed by the first researcher, (PJ) who was blinded to the group allocation.

*Motor performance.* The motor section of the Fugl– Meyer Assessment (FMA), FMA-UE and FMA-LE were used to assess motor function. Performance times on the Wolf Motor Function Test (WMFT) (lifting a



Fig. 1. Study flow chart. PT: physical therapy; tDCS: transcranial direct current stimulation.

can and lifting a pencil) were used to assess upper-limb function (22). The Five-Times Sit-To-Stand (FTSTS) and Timed Up and Go (TUG) tests were used to assess lower-limb function. The muscle strength of the elbow extensor, wrist extensor, hip extensor, hip flexor, knee extensor, and ankle dorsiflexor were measured using a hand-held dynamometer (Lafayette Manual Muscle Test System, model 01165; Lafayette, Indiana, USA). All assessments were performed using 1 trial, except for muscle strength, in which the better of 2 trials was used. All motor assessments were performed by the second researcher (PJ), who was blinded to the group allocation. Blood pressure, heart rate, and the adverse effects of tDCS were monitored throughout the experiment.

# Intervention

tDCS. tDCS (Ybrain, MINDD STIM; Seongnam-si, Gyeonggi-do, Republic of Korea) was applied before the PT session (23). tDCS applied a direct current via 2 rectangular saline-soaked sponge-pad electrodes (35 cm<sup>2</sup>) that were attached firmly using a head cap. For the anodal group, the anodal electrode was placed at the M1 position of the ipsilesional hemisphere using the international 10-20 electrode placement system (C3 or C4), and the reference electrode was positioned over the contralateral supraorbital area (Fp1 or Fp2). For the cathodal group, the cathodal electrode was positioned over the M1 of the contralesional hemisphere, and the reference electrode was positioned over Fp1 or Fp2. For the dual group, the anodal electrode was placed over the M1 of the ipsilesional hemisphere, and the cathode was positioned over the M1 of the contralesional hemisphere (C3 or C4). The current intensity was 1.5 mA for 20 min for the active group (anodal/cathodal/dual); for the sham group 1.5 mA was applied for 30 s, and the electrodes remained in place for 20 min. The total charge density was 0.07 mAh/cm<sup>2</sup>. If electrode displacement occurred, an auto-alarm system was triggered, and the current was stopped immediately. The tDCS application was performed by the third researcher (KC), who was not involved in the outcome assessment or treatment. The participants were seated in a comfortable position during the stimulation.

*PT session.* A 1-h PT session was provided immediately after the tDCS by a physical therapist. The treatment programme followed the stroke rehabilitation guidelines for each individual impairment (24), with 30 min spent on each of the upper and lower limbs. The programme consisted of passive stretching, range of motion exercises, active/active-assisted/active-resisted exercises, weight-bearing on the arm, hand-function training (reaching to an object), sitting and standing balance exercises, and gait training.

## Statistical analysis

Sample size was calculated based on the determination of input parameters for comparison of 4 groups (effect size f=0.4,  $\alpha$  error probability p=0.05, power=0.8), based on 1-way analysis of variance (ANOVA). The determined effect size is the intermediate effect size for statistically significant results reported for tDCS studies (25). The 0.8 power was selected as it is the minimal requirement for clinical research (26). Results showed that a sample size of 76 was adequate to attain reliable effects. Therefore, 82 participants were enrolled and, after dropout, data from 78 participants were used for statistical analysis.

Shapiro-Wilk test was performed to analyse parametric assumptions. Between-group comparisons of baseline data and demographic characteristics were performed using 1-way ANOVA and the  $\chi^2$  test. All motor performance outcome data were transformed to percentage changes prior to analysis. Comparisons between groups (anodal vs cathodal vs dual vs sham) were performed using the Kruskal-Wallis test (post hoc by Dunnett's test), and within-group comparisons (baseline (Pre) vs post-intervention (Post) vs follow-up (F/U)) were performed using the Friedman test (post hoc by Tukey's test). Statistical significance was set at p < 0.05. All statistical analyses were performed using SPSS software. Data are reported as the mean (SD) for haemodynamic response data and the median (O1, O3) for motor performance data.

# RESULTS

There were similarities in the characteristics and baseline data between the groups except for the number of participants who received thrombolytic therapy in each group (p=0.011) (Table I). Minor adverse effects were reported only in the active group: tingling (50%), mild dizziness (20%), itching (10%), burning sensation (10%), and sleepiness (10%). However, the symptoms disappeared within 1 h. No significant changes in blood pressure or heart rate were observed during the experiment.

### MFV

Data were missing for 11 participants (3, 4, 2, and 2 from the anodal, cathodal, dual, and sham groups, respectively) due to the poor temporal acoustic window. Friedman's test showed that Pre vs Post vs F/U were non-significant in anodal/cathodal/dual/sham groups. Kruskal–Wallis test showed that differences between groups (anodal vs cathodal vs dual vs sham) for both the lesioned and non-lesioned hemispheres were non-significant (Table II).

#### Table II. Result of haemodynamic response

Outcome measures (MCV)	Group				<i>p</i> -value			
		Mean (SD) (cm/s)			Within-group comparison: Time effect	Between-group comparison: Stimulation effect		
		Pre	Post	F/U	Overall	Pre	Post	F/U
Lesion	Anodal	74.71(35.46)	75.28(25.45)	72.83(22.17)	0.664ª	0.730 <sup>b</sup>	0.825 <sup>b</sup>	0.183 <sup>b</sup>
	Cathodal	71.71(38.44)	76.48(44.86)	76.97(39.97)	0.789ª			
	Dual	78.37(30.04)	73.92(24.20)	71.62(29.00)	0.486ª			
	Sham	67.27(26.84)	70.31(33.64)	59.01(20.99)	0.179ª			
Non-Lesion	Anodal	65.00(28.93)	83.33(39.38)	78.26(28.68)	0.152ª	0.574 <sup>b</sup>	0.475 <sup>b</sup>	0.447 <sup>b</sup>
	Cathodal	79.12(28.16)	74.76(24.46)	77.34(28.35)	0.396ª			
	Dual	68.85(21.90)	66.19(22.64)	70.45(21.69)	0.249ª			
	Sham	68.31(31.76)	67.29(26.56)	65.72(26.95)	0.348ª			

<sup>a</sup>Testing by Friedman test; <sup>b</sup>testing by Kruskal–Wallis test.

MCV: mean cerebral velocity; A: anodal; C: cathodal; Pre: baseline; Pre: pre-intervention; Post: post-intervention; F/U: follow-up. Significant level at \*p<0.005.

## Motor outcomes (Table III)

- *FMA-UE*: Significant within-group differences were found in all groups (*p*<0.001) with no significant differences between the groups (Fig. 2a).
- *FMA-LE*: Significant within-group differences were found in anodal/dual/sham (p < 0.001), and cathodal (p = 0.002) groups. Significant differences between the groups were found at F/U (H(3)=10.95, p = 0.012). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the anodal and sham groups (Fig. 2b).
- *WMFT-pencil:* Significant within-group differences were found in anodal/cathodal/dual (p<0.001) and sham (p=0.001). Significant differences between groups were found at Post (H(3)=10.95, p=0.011) and F/U (H(3)=11.32, p=0.01). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the other treatments at Post and F/U (Fig. 2c).
- *WMFT-can:* Significant within-group differences were found in dual (p < 0.001), anodal/cathodal (p = 0.001), and sham (p = 0.043). Significant differences between the groups were found at Post (H(3)=14.83, p = 0.011) and F/U (H(3)=9.19, p = 0.014). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the other treatments at Post and F/U (Fig. 2d).
- *FTSTS*: Significant within-group differences were found in all groups (p < 0.001) with no significant differences between the groups (Fig. 2e).
- *TUG:* Significant within-group differences were found in anodal/cathodal/dual groups (p < 0.001). Significant differences were found at Post (H(3) = 12.04, p=0.007) and F/U (H(3)=18.01, p < 0.001). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the other treatments at Post and F/U (Fig. 2f).

## Muscle strength

 Wrist extensor: Significant within-group differences were found in anodal (p<0.001), dual (p=0.001),</li> and cathodal/sham (p=0.002) groups, while the differences between groups were non-significant (Fig. 2g).

- *Elbow extensor:* Significant within-group differences were found in dual (p < 0.001), cathodal (p = 0.001), anodal (p = 0.005), and sham (p = 0.008) groups, while the differences between groups were non-significant (Fig. 2h).
- *Hip flexor:* Significant within-group differences were found in dual (p < 0.001), cathodal (p = 0.002), anodal (p = 0.005), and sham (p = 0.012) groups. Significant differences between the groups were found at Post (H(3)=7.92, p = 0.048). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the other treatments (Fig. 2i).
- *Hip extensor:* Significant within-group differences were found in dual (p < 0.001), anodal (p = 0.001), cathodal (p = 0.005), and sham (p = 0.024). Significant differences between the groups were found at F/U (H(3) = 8.34, p = 0.039). *Post hoc* comparisons suggested that the dual treatment induced greater improvement than the other treatments (Fig. 2j).
- *Knee extensor:* Significant within-group differences were found in dual (p < 0.001), anodal (p = 0.005), and sham (p = 0.007). Significant differences between the groups were found at Post (H(3)=12.01, p = 0.007) and F/U (H(3)=11.10, p = 0.011). *Post hoc* comparisons revealed that the dual treatment induced greater improvement than the other treatments at Post and F/U (Fig. 2k).
- Ankle dorsiflexor: Significant within-group differences were found in anodal (p < 0.001), dual (p = 0.001), and cathodal (p = 0.007). Significant differences between groups were found at Post (H(3)=9.19, p = 0.027) and F/U (H(3)=12.08, p = 0.007). Post hoc comparisons revealed that the anodal treatment induced greater improvement than the cathodal and sham treatments at Post, and the dual treatment showed greater improvement than the sham treatment at F/U (Fig. 21).

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Table III. Result of motor outcomes (percentage change calculation)

			<i>p</i> -value						_
			Within-group comparison: Time effect			Between-group comparison: Stimulation effect			
				Post hoc		Post		F/U	_
Outcome me	easures	Group	Overall	Pre vs Post	Pre vs F/U	Overall	Post hoc	Overall	– Post hoc
Motor performance	FMA-UE	Anodal	<0.001 <sup>a***</sup>	0.001**	0.001**	0.228 <sup>b</sup>	not-test	0.186 <sup>b</sup>	not-test
		Cathodal	<0.001ª***	0.023*	0.002**				
		Dual	<0.001 <sup>a***</sup>	0.005**	< 0.001**				
		Sham	<0.001ª***	0.001**	0.010*				
	FMA-LE	Anodal	<0.001ª***	0.001**	0.002**	0.551 <sup>b</sup>	not-test	0.018 <sup>b*</sup>	D > C (0.003**)
		Cathodal	0.002 <sup>a</sup> **	0.032*	0.002**				D > S (0.008**)
		Dual	<0.001ª***	0.061	< 0.001***				
		Sham	<0.001ª***	0.001**	0.010*				
Motor	WMFT-pencil	Anodal	<0.001ª***	0.004**	0.006**	0.011 <sup>b*</sup>	D > A (0.003**)	0.010 <sup>b*</sup>	D > A (<0.001***)
function		Cathodal	<0.001ª***	0.007**	0.003**		D > S (0.024*)		D > C (0.003**)
		Dual	<0.001ª***	0.001**	<0.001**				
		Sham	0.001 <sup>a**</sup>	0.001**	0.263				
	WMFT-can	Anodal	0.001 <sup>a**</sup>	0.008**	0.008**	0.002 <sup>b</sup> *	D > A (<0.001***)	0.011 <sup>b*</sup>	D > A (0.003**)
		Cathodal	0.001 <sup>a**</sup>	0.006**	0.001**		D > C (0.001**)		D > C (0.019*)
		Dual	<0.001 <sup>a***</sup>	< 0.001**	< 0.001**				
		Sham	0.043ª*	0.001**	0.478				
	FTSTST	Anodal	<0.001 <sup>a***</sup>	0.003**	0.001**	0.774 <sup>b</sup>	not-test	0.369 <sup>b</sup>	not-test
		Cathodal	<0.001 <sup>a***</sup>	0.016**	<0.001***				
		Dual	<0.001ª***	0.001**	<0.001***				
		Sham	<0.001ª***	0.001*	0.002**				
	TUG	Anodal	<0.001 <sup>a***</sup>	0.017**	0.001**	0.007 ***	D > C (0.006**)	< 0.001 **	* A > S (0.001**)
		Cathodal	<0.001 <sup>a***</sup>	0.001**	<0.001***		D > S (<0.001***)		C > S (0.010*)
		Dual	<0.001 <sup>a***</sup>	0.001**	<0.001**				D > S (<0.001***)
		Sham	0.086	not-test	not-test				
Muscle	Wrist E	Anodal	<0.001ª***	0.001**	<0.001***	0.201 <sup>b</sup>	not-test	0.505 <sup>b</sup>	not-test
strength		Cathodal	0.002 <sup>a</sup> **	0001**	0.001**				
		Dual	0.001ª**	0.001**	0.001**				
		Sham	0.002 <sup>a</sup> **	0.030*	0.002**				
	Elbow E	Anodal	0.005***	0.022*	0.003**	0.852 <sup>₅</sup>	not-test	0.495	not-test
		Cathodal	0.001**	0.022*	0.001**				
		Dual	<0.001ª***	0.031*	0.002**				
		Sham	0.008**	0.005**	0.009**				
	Hip F	Anodal	0.005**	0.039*	0.001**	0.048**	D > C (0.008**)	0.055	not-test
		Cathodal	0.002**	0.094	0.001**		$D > S(0.026^*)$		
		Dual	< 0.001****	< 0.001***	< 0.001***				
		Sham	0.012**	0.052	0.011*	0. 40.0h		e eestu	
Muscle strength	Нір Е	Anodal	0.001**	0.002**	0.001**	0.420	not-test	0.039**	$D > C (0.006^{**})$
		Cathodal	0.005***	0.001**	0.001**				$D > S(0.024^*)$
		Dual	< 0.001****	0.001**	< 0.001***				
		Snam	0.024**	0.012*	0.002**	0.0075***		0.0115*	
	Knee E	Anodal	0.005***	0.011*	0.002**	0.0075**	$D > S(0.009^{**})$	0.0115*	$D > A(0.007^{**})$
		Cathodal	0.059	not test	not test		D > A (0.048*)		
		Cham	< U.UU1°***	< U.UU1***	< 0.001***				
			U.UU/***	0.03/~	0.000**	0.0275*	A > C (0.000**)	0.0076**	A > C (0.001**)
	ANKIE DF	Cothedal	< U.UU1°***	0.001**	< 0.001	0.0275	A > S (U.UU8**)	0.007***	$A > C (0.001^{**})$
		Catrioual	0.007	0.005	0.001**				$A > 5 (0.004^{7})$
		Cham	0.001	0.001**	0.001**				∪ > S (0.024 <sup>∞</sup> )
		Snam	0.076	not test	not test				

<sup>a</sup>Testing by Friedman test (*post hoc* by Tukey Test), <sup>b</sup>Testing by Kruskal-Wallis test (*post hoc* by Dunnett's test).

FMA-UE: Fugl-Meyer assessments upper extremity; FMA-LE: Fugl-Meyer assessments lower extremity; WMFT: Wolf Motor Function Test; TUG: Timed Up and Go Test; FTSTS: Five Times Sit to Stand Test; Muscles strength; F: flexor; E: extensor; DF: dorsiflexor; A: anodal; C: cathodal; D: dual; S: sham; Pre: preintervention; Post: post-intervention; F/U: follow-up.

Significant level at \*p < 0.05; \*\*p < 0.01; \*\*\*p < 0.001.

# DISCUSSION

The main finding of this study was that there were no significant within- or between-group changes in MFV in the lesioned or non-lesioned brain for all montages (anodal, cathodal, dual, or sham) of tDCS combined with PT provided for patients with acute stroke for 5 consecutive daily sessions. For motor performance, all outcome measures improved over time (Pre vs Post vs F/U) in all groups. For between-group comparisons,

the FMA-LE, WMFT, TUG, and muscle strength of the lower-limb muscles (hip, knee, and ankle) showed greater improvement after dual-tDCS than the other groups at Post and F/U; there were no significant differences between groups for FMA-UE, FTSTS, and muscle strength of the upper-limb muscles (wrist and elbow) (Table III).

Considering participants' characteristics, no between-group differences were observed except for the

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Fig. 2. Box plots represent percentage change of motor outcomes. The data are reported as median (Q1,Q3) at baseline, post-test (POST), and follow-up (F/U).

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Fig. 2. continued

number of participants who received thrombolytic therapy that was low in the cathodal group (see Table I). However, it was shown that stroke patients who did not receive thrombolytic therapy had no significantly different function outcomes at 3 months post-stroke compared with those who received thrombolytic therapy. Age, premorbid functional status, the NIHSS score, Modified Ranking Scale score on the first day of admission at the hospital were shown to be significant predictors for the improvement of motor recovery within 3 months post-stroke (27). In the current study, age, the NIHSS score and motor performance at baseline were similar between groups.

### Haemodynamic response

It has been shown that cerebral blood flow is continuously coupled to neural activity (28), and changes in blood flow velocity correlate with blood flow changes in the area supplied by the monitored arteries (29). Thus, changes in haemodynamic responses after ischaemic stroke are important pathophysiological measures. The current results showed that the MFVs of MCA in both hemispheres were significantly unchanged within 1-month post-stroke in all groups; however, we hypothesize that active tDCS might be able to induce changes in the cerebral blood flow. A longitudinal study reported that the MFV response to neural activation showed a triphasic trend from 72 h to 3 months post-stroke. The initially reduced bilateral MFV response was followed by an increase in MFV in the lesioned brain after 1 month, progressively returning to the control level by 3 months (30). A study showed that the cerebral blood flow response was poor in the lesioned brain during movement of the affected hand in the first stage of stroke (during 1-month poststroke), progressively improving to a normal pattern at 6 months post-stroke (31). This could explain the unchanged MFVs we found, since the 1-month followup period had the first triphasic pattern. The MFVs of both hemispheres may continue to decrease during this phase (30); thus, it might be difficult to modulate the MFV by tDCS or training. The current study showed a slight decrease in the MFV in the lesioned brains of the sham group at 1-month post-stroke compared with that in the active-tDCS groups (Table II). The MFV correlates with oxygen delivery to the cortical tissue (32) during the first month of the recovery period and for long-term changes, including the sprouting of fibres and building new synaptic connections (33). However, blood flow redistribution is expected following cortical vessel occlusion (34). A computer modelling showed an important role of the Circle of Willis and pial

collaterals in redistribution after anterior circulation occlusion (35). The lack of significant changes in the MFV of MCA found here is thus not able to exclude the possibility of redistribution in other areas.

It has been shown that unilateral-tDCS can alter cerebral haemodynamic response bilaterally in a polarity-specific manner: anodal-tDCS increases MFV, and cathodal-tDCS decreases it (36). A study of acute ischaemic stroke proposed that cathodal-tDCS was beneficial for preventing infarct growth in hyperacute MCA-area stroke patients receiving reperfusion therapy who had a baseline NIHSS score>10; however, there was no significant difference compared with the sham treatment (37). The current results did not demonstrate a polarity-specific effect of tDCS on the MFV. However, since there was a positive correlation between the MFV in the non-lesioned brain and movement of the affected hand after the stroke (31), the possibility of the MFV decreasing following cathodal stimulation may be a concern. That there was no decrease in the MFV observed in the current study suggests that use of cathodal stimulation in the acute phase is safe.

#### *Motor assessment*

All within-group motor outcomes improved significantly for all groups. In between-group comparison, the dual-tDCS was the most effective intervention for improving upper- and lower-limb performance. Among the motor outcomes that improved (FMA-LE, WMFT, TUG, and muscle strength of the lower-limb muscle), the improvement in lower-limb performance was greater than that of the upper limb. This could be due to several reasons: (i) most of the participants (90%) had an MCA occlusion that led to more muscle weakness of the arm than of the leg (38), suggesting that a lower baseline of upper-limb muscle strength leads to difficulties in making improvement compared with the lower-limb muscles; and (ii) an unequal rate of motor recovery between the upper and lower limbs. In the early subacute phase, lower-limb muscles have a greater ability to recover than upper-limb muscles (39). In addition, the stimulation site was C3/C4, which is related more to the upper-limb M1. Previous studies have shown that tDCS over the upper-limb M1 influences both upper- and lower-limb performance in subacute to chronic stroke (40–43). This could be due to the wide spatial focus of tDCS, which can activate a larger area (44). The accumulative effect of multiple stimulations over the upper-limb M1 could also influence the area of the lower limb. The total charge densities in previous studies were 0.11-0.27 C/cm<sup>2</sup> (40–42); the total charge density used in the present study was only 0.07C/cm<sup>2</sup>, which was sufficient to improve both upper- and lower-limb motor abilities.

Very few studies have compared the efficacy of tDCS montages in stroke patients. A sham-controlled study in subacute stroke showed greater motor recovery of the lower-limb for dual-tDCS combined with training compared with unilateral-tDCS (45). Recent meta-analyses reported that dual-tDCS induced better results than unilateral-tDCS on lower-limb motor performance in subacute stroke (46), and unilateraltDCS was more effective than dual-tDCS for improving upper-limb motor performance in chronic stroke (47). These findings are consistent with our result that dual-tDCS induced better lower-limb performance than unilateral-tDCS. It was proposed in individuals with stroke that lower-limb function (i.e. walking) is controlled by combined activation in both ipsilateral corticospinal and cortico-reticulospinal pathways and contralateral superior cerebellar peduncle (48), while the ipsilateral descending control has a limited capacity to support the upper-limb function (49). This may explain the difference responses of upper- and lower-limb motor performance induced by unilateral- and dual-stimulation in the stroke population. Mordillo-Mateos et al. showed that dual-tDCS induced similar cortical excitability changes to the unilateral anodal-tDCS on the cathode-stimulated side, while on the anodal side, the simultaneous dual-tDCS seems to be slightly less robust. However, using bilateral montage resulted in lower intersubject variability than unilateral montage in the excitability changes induced by anodal stimulation. The concomitant effects of cathodal stimulation on the non-lesioned hemisphere during dual-tDCS may promote an increased excitability of the motor cortex stimulated by the anode (50).

Moreover, it was shown that dual-tDCS over the M1 decreased the IHI during stimulation and increased the intracortical activity under the anodal electrode after termination of the intervention; unilateral anodaltDCS resulted in similar effects during stimulation, but no changes could be observed after termination of tDCS (51). The delayed effect on intracortical activity within M1 during dual-tDCS might be beneficial for the application of tDCS before the training used in the current study. Dual-tDCS has been demonstrated to rebalance the IHI (52). To date, there is a lack of clarity regarding the initial timing of IHI imbalance presentation after stroke. Evidence has shown that the IHI for the first 1-12 weeks after stroke onset was normal, but became imbalanced after 12 weeks, despite that motor impairment had occurred since the onset (2, 53). Since our participants' onsets ranged from 2 to 7 days, dual-tDCS probably did not have a role in rebalancing the IHI in this acute phase, but it might have slowed the IHI imbalance and, thus, reduced the motor deficit.

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To date, only a handful of tDCS studies have been performed for acute stroke. Sattler et al. showed that anodaltDCS (1.2 mA, 35 cm<sup>2</sup>, 20 min) combined with repetitive nerve stimulation for 5 consecutive sessions improved motor ability, and the effect lasted for at least 1 month (54). A recent study reported significant improvement in motor performance using anodal-tDCS combined with PT for 20 sessions (5 sessions/week, 4 weeks) with effects lasted for at least 1 year (42). Rabidi et al. reported that cathodal-tDCS combined with training for 10 sessions improved motor performance with an aftereffect for at least 3 months (55). Thus, all tDCS montages seem to be beneficial in acute stroke. However, the current study demonstrated that 5 consecutive sessions of dual-tDCS with PT had the greatest benefit on motor performance, especially for the lower limb.

## Study limitations

First, as multiple comparisons were performed due to the large number of outcomes compared between the groups in the present study, a higher risk of type I error should be noted. Secondly, the follow-up period was short; a longer follow-up period is recommended because the contribution of both hemispheres (i.e. changes in cerebral blood flow) to motor recovery may increase over several months. Thirdly, the current study recruited only patients with mild-to-moderate motor deficits. Because people with different levels of motor deficits could respond differently to tDCS, recruiting participants with more-severe motor deficits is suggested for further studies. Fourthly, some participants had a poor temporal window that limited the TCCD examination; this should be avoided in future studies. Fifthly, the present study did not control the rehabilitation programme after discharge from the acute stroke unit. However, there was no difference reported between the group of patients who received rehabilitation at the hospital and those who performed continuous exercises by themselves at home (Table I). Lastly, previous studies revealed that stroke patients with subcortical lesions responded better to tDCS than those with cortical lesions (56, 57). A previous study in acute stroke also showed a poorer haemodynamic response to rTMS in patients with cortical infarcts compared with those with subcortical infarcts (58). However, the number of participants with cortical infarcts in the present study was too small to evaluate this effect.

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The authors have no conflicts of interest to declare.

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