### Influence of photon energy on the quality of prostate intensity modulated radiation therapy plans based on analysis of physical indices

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#### ABSTRACT

The goal of the present study was to study the effects of low- and high-energy intensity-modulated photon beams on the planning of target volume and the critical organs in cases of localized prostate tumors in a cohort of 8 patients. To ensure that the difference between the plans is due to energy alone, all other parameters were kept constant. A mean dose volume histogram (DVH) for each value of energy and for each contoured structure was created and was considered as completely representative for all patients. To facilitate comparison between 6-MV and 15-MV beams, the DVH-s were normalized. The different parameters that were compared for 6-MV and 15-MV beams included mean DVH, different homogeneity indices, conformity index, etc. Analysis of several indices depicts more homogeneous dose for 15-MV beam and more conformity for 6-MV beam. Comparison of all these parameters showed that there was little difference between the 6-MV and 15-MV beams. For rectum, 2 to 4 % more volume received high dose with the 6-MV beam in comparison with the 15-MV beam, which was not clinically significant, since in practice much tighter constraints are maintained, such that Normal Tissue Complication Probability (NTCP) is kept within 5 %. Such tighter constraints might increase the dose to other regions and other critical organs but are unlikely to increase their complication probabilities. Hence the slight advantages of 15-MV beam in providing benefits of better normal-tissue sparing and better coverage cannot be considered to outweigh its well-known risk of non-negligible neutron production.

Key words: Energy, H-index, HI-index, normal tissue complication probability, prostate intensity-modulated radiation therapy, Sigma-index, tumor control probability

### Introduction

Intensity-modulated radiation therapy (IMRT) is one of the treatment choices for localized prostate tumor irradiations. IMRT is often delivered with low-energy photons, viz., below 10 MV. These deep-seated prostate

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tumors were earlier treated with 3D-conformal radiation therapy (3D-CRT) using high-energy photons (15 to 25 MV). Utilization of low-energy photons in IMRT is known to have certain advantages, like high conformity, negligible neutron contamination, etc. But, there are indications provided by certain investigations, that these low-energy photons may deliver high doses in regions far from the) target.<sup>[1]</sup>Also, there are some indications in literature of better target coverage and very low risk of induction of secondary malignancies due to neutron contamination in high-energy beams.<sup>[1-4]</sup> Hence, a complete analysis of the influence of the photon energy on the quality of the IMRT plans is necessary.

For this study, a cohort of 8 prostate patients was selected, and 6-MV and 15-MV step and shoot IMRT plans were compared by calculating relative efficacies of several physical indices,<sup>[5]</sup> including primitive H-index, modified H-index, primitive HI-index, modified HI-index, S-index, conformity index and mean dose volume histogram (DVH). Two mean DVH's were generated and were considered

as completely representative for 6-MV and 15-MV plans, respectively.

### **Materials and Methods**

At our center, the radiation therapy is delivered using Elekta Precise high-energy linear accelerator. Inverse IMRT planning is done using segmental inverse optimizer of Elekta PrecisePlan planning system, version 2.03. Sample IMRT plans were created for all 8 prostate patients, and the dose prescription was 80 Gy in 40 fractions with 6-MV photons. Five co-planar fields at gantry angles of 255, 315, 45, 105, 180 degrees were used. Gross Tumor Volume (GTV), Planning Target Volume (PTV), rectum, bladder and femoral heads were contoured. The dose constraints used for these plans were in accordance with Radiation Therapy Oncology Group (RTOG) protocol and are shown in Table 1a. Segments need to be manually created in this planning system. Thus, the advantage is that, segments too can be kept constant between 6-MV and 15-MV plans. In order to study the effect of energy on the quality of the plans, competing plans were also carried out using 15-MV beams for all patients. To ensure that the similarity or difference was due to energy alone, all other parameters like beam angles, number of beams, number of segments, shapes of the segments, dose constraints, etc., were kept constant for both groups (6 MV and 15 MV). All the patients' image sets were chosen such that, there was not much variation in their anatomy. All the patients' Antero-Posterior (AP) and lateral dimensions were very close. The AP diameters were between 22 and 23 cm, and the lateral diameters were between 33 and 34 cm. A dose calculation grid of 3 mm was used for all patients.

GTV included gross prostate and seminal vesicles. PTV was drawn by expanding GTV by 1 cm in all directions except in the posterior direction, where 0.6 cm was used. RECT\_IMRT (a portion of rectum) was derived from rectum such that it extended only 3 mm beyond PTV in superior and inferior directions. For the coplanar beams used, this definition of RECT\_IMRT was chosen, as it is more sensitive to the optimization and less sensitive to the anatomy.

The following parameters were evaluated for comparison: mean DVH, primitive H-index, modified H-index, primitive HI-index, modified HI-index, S-index and conformity index.

Dose volume histograms (DVH-s) are two-dimensional representations of complex 3D dose distributions inside the patient. DVH-s can be in either differential or cumulative form. Evaluation of homogeneity of dose inside the PTV using DVH-s is of paramount importance, as the cold spots can negatively affect the tumor control<sup>[6]</sup> probability (TCP). This evaluation is also important to evaluate the efficacy of the treatment plan. All the 6-MV plans were compared with corresponding 15-MV plans on a one-to-one basis. To facilitate DVH comparison, all the DVH-s were normalized such that 95% volume of the PTV was covered by the prescribed dose of 80 Gy. In addition, a mean DVH for each contoured organ (PTV, RECT\_IMRT, bladder, rt. femoral head, lt. femoral head) and energy was determined. Mean DVH can be found by averaging the volumes in each dose bin and re-plotting the data as a function of dose. BIOPLAN software developed by Nahum *et al.*,<sup>[7]</sup> was used to generate the mean DVH-s.

Many physical indices quantifying the homogeneity and conformity have been used over years. But, many of them have their limitations and fail to predict the homogeneity correctly. Some of them used in this study are defined below.

The conventionally used homogeneity index (H-index) is defined as the ratio of the maximum dose  $(D_{max})$  in the PTV to the prescription dose (Dp), with a value closer to 1 indicating better homogeneity. The H-index can be made more robust by using D5 instead of  $D_{max}$  (modified H-index). As an improvement to H-index and modified H-index, another index called HI-index has been defined as

$$HI = \frac{D_2 - D_{98}}{D_8} \times 100\%$$

where  $D_2$  and  $D_{98}$  represent doses to 2% and 98% volume of PTV, respectively. Thus, they are representative of nominal maximum and minimum doses.  $D_p$  is the prescribed dose. This HI-index can be further improved by using  $D_5$  and  $D_{95}$  instead of  $D_2$  and  $D_{98}$  (modified HI-index). This improvisation can be realized, as these new substitutions clip the usually small-volume high and low dose extremities in the cumulative DVH of the PTV and get only the steeper portion of the curve into the calculation. This work demonstrates the limitation of the H-index. Hence, other parameters were also used in an attempt to find out the appropriate energy.

One promising solution was recently reported by Yoon *et al.*<sup>[5]</sup> A new index called Sigma index (S-index), which gives better representation of homogeneity, was introduced by them. This index uses the differential DVH, unlike other indices, which use cumulative DVH. S-index is a measure of the standard deviation of the doses (entire curve) about the mean dose. The S-index can be evaluated using the following expression.

S-index = 
$$D_{SD} = \sum \sqrt{(D_i - D_{mean})^2} \times \frac{V_i}{V}$$

 $D_{mean}$  is the mean dose of the target (PTV in this study) curve.  $D_i$  is dose to the i<sup>th</sup> bin having a volume  $v_i$ . V is the total volume of the target.

Conformity index is defined as the ratio of the volume of the body receiving the prescription dose to the volume of the PTV receiving the same dose.

### **Results and Discussion**

As stated above, all physical indices were evaluated for the two mean DVH-s of 6 MV and 15 MV, respectively.

#### Comparison of mean DVH-s

In Figure 1, 6-MV and 15-MV curves are shown in red and green color, respectively. Figure 1a shows the mean DVH-s of PTV for both 6 MV and 15 MV. As mentioned earlier, the PTV DVH-s were normalized, to facilitate comparison, thus resulting in very similar curves for both 6 MV and 15 MV. In the mean DVH-s of rectum, shown in Figure 1b, for doses greater than 80% (approximately > 64 Gy), 2% to 4% more volume received higher dose in 6 MV in comparison to 15 MV. Rectum received a higher entry dose with 6-MV beam than with 15-MV beam. There was no significant difference between 6-MV and 15-MV for bladder, as clearly evident from Figure 1c. BIOPLAN tool does not have cursors to notice the values along the graph line. Hence, the graphs were magnified and the values were measured.

In Figure 1d, the mean DVH-s of the body are shown.



Figure 1a: Mean dose volume histogram of Planning Target Volume



Figure 1c: Mean dose volume histogram of bladder

For 20 % to 60 % of doses, 1% to 1.5% more volume was found to receive high dose with 6-MV beam than with 15-MV beam. For 15% to 20% of doses, approximately 0.5% more volume was found to receive high dose with 15-MV beam than with 6-MV beam. Since, the magnitude of the dose delivered to PTV was very high in this study, the slight differences at very low doses (less than 10%) are not reflected in this figure. But, from the data used to plot this graph, it is clear that greater volume received high dose with the 6-MV beam than with the 15-MV beam.

As evident from Figures 1e and 1f, the mean DVH-s of femoral heads favor 6 MV in comparison with 15 MV. For 42 % to 78% of doses, 4% to 5% more volume of femoral heads received high dose with the 15-MV beam. This is because of the higher depth dose of 15-MV beam.

### H, HI, modified H, modified HI, Sigma and conformity indices

The different homogeneity indices for all the prostate patients and for both 6 and 15-MV energies are summarized in the Table 1, Table 2 and Table 3. Conformity indices are summarized in Table 4. The relative efficacy values for H-index, modified H-index, HI-index, modified HI-index and Sigma index are 1.015, 1.006, 1.031, 1.060 and 1.089, respectively. These clearly depict more homogeneous dose for 15-MV plan than for the 6-MV plan.



Figure 1b: Mean dose volume histogram of rectum



Figure 1d: Mean dose volume histogram of body



Figure 1e: Mean dose volume histogram of left femoral head



Figure 2: Cumulative dose volume histogram of Patient 1(red) and Patient 3 (green) - 6 MV

In Figure 2, the cumulative DVH-s of 6-MV plan for Patient 1 and Patient 3 are shown in red and green solid colors, respectively. It is obvious that the dose homogeneity index of Patient 1 is better than that of Patient 3. But the H-indices are almost similar (1.128 and 1.126), as evident from Table 1. Thus, H-indices fail to predict the correct homogeneity. This work demonstrates the failure of H-index. On the other hand, the S-indices are 2.233 and 5.14 for Patient 1 and Patient 3, as evident from Table 3, predicting good accuracy. The lower the value of the S-index, the better is the homogeneity.

Miften *et al.*,<sup>[8]</sup> have demonstrated the use of target conformity index (TCI) and normal tissue-sparing index (NTSI) to assist in the process of judging the merit of a clinical treatment plan. But, in this work, the widely accepted conformity index was used to evaluate the conformity of the treatment plans. Table 4 shows the conformity index for all the patients and both beam energies. The mean conformity index was 1.172 and 1.194 for 6 MV and 15 MV, respectively. These small differences indicate that the plans are nearly identical in their conformity of dose to the target.

### Conclusions

The mean DVH-s of PTV for both 6 MV and 15 MV show



Figure 1f: Mean dose volume histogram of right femoral head

very little difference. These are consistent with the findings of Boer *et al.*<sup>[1]</sup> In the dose range greater than 64 Gy, 2 % to 4 % more rectum volume received a higher dose with 6-MV beam because of high entry dose. Femoral heads consistently received more dose with 15-MV beam for all patients as they received a higher depth dose. But, it is unlikely that such small magnitudes of doses can produce any complications. Except for minor differences, the mean DVH-s of body were almost same in both groups.

This work also assessed widely used homogeneity indices like H, HI, modified H and modified HI. The limitations of H-index were demonstrated, as evident from Figure 2. The S-index, proposed recently by Yoon et al.,<sup>[3]</sup> was successfully used to predict correct homogeneity, as it takes the entire DVH curve into consideration. S-index, was then, successfully used to evaluate the relative homogeneity between 6-MV and 15-MV groups. Thus, the S-index value of 1.089, as seen in Table 3, should be a good estimate of relative homogeneity between 6 MV and 15 MV energies. In addition, H-index, modified H-index, HI-index and modified HI-index were also used to evaluate the relative efficacy. Evaluation of all these parameters clearly depicts less homogeneity for 6 MV than 15 MV group. The sample size was not a bad number, and H-index was also consistent with other parameters though they failed occasionally.

A relative conformity index value of 0.976 between 6-MV and 15-MV energies shows that 6-MV group is more conformal than 15-MV group.

In general, comparison of all above parameters showed that there was little difference between 6-MV and 15-MV groups. In practice, much tighter constraints are maintained in lowenergy treatment plans such that the complication probability is kept within 5%. These tighter constraints might increase dose to other regions and other critical organs but are unlikely to increase their complication probabilities. Bladder is a relatively large organ when compared to rectum and also exhibits a higher partial volume effect. Usually, only the posterior part of bladder receives a high dose, and a small increase in dose is unlikely to increase its complication probability significantly. Similarly,

Patient no.		H-index			Mod H-index	
	6 MV	15 MV	6 MV / 15 MV	6 MV	15 MV	6 MV / 15 MV
Patient 1	1.128	1.111	1.015	1.072	1.077	0.995
Patient 2	1.06	1.055	1.005	1.05	1.045	1.005
Patient 3	1.126	1.13	0.996	1.103	1.093	1.009
Patient 4	1.21	1.186	1.02	1.147	1.14	1.006
Patient 5	1.136	1.134	1.002	1.115	1.103	1.011
Patient 6	1.141	1.06	1.076	1.102	1.098	1.004
Patient 7	1.13	1.123	1.006	1.125	1.116	1.008
Patient 8	1.14	1.137	1.003	1.137	1.123	1.012
Mean	1.134	1.117	1.015	1.106	1.099	1.006

Table 1: H and Mod H-Indices for eight patient intensity-modulated radiation therapy plans with 6 MV and15 MV X-rays

### Table 1a: Constraints used for segmental inverse optimizer

Structure name	Priority	Mean dose	Overdose nominal (cGy)	Overdose maxmum (cGy)	Overdose volume (%)
RECT-IMRT	10	5400	6600	7400	15
Bladder	10	5800	7000	7800	15
Femoral heads	10	5400	-	-	-
Unspecified tissue	1	-	8000	8000	-

### Table 2: HI and Mod HI-indices for eight patient intensity-modulated radiation therapy plans with 6 MV and 15 MV X-rays

Patient no.		HI-index			Mod HI-index	
_	6 MV	15 MV	6 MV / 15 MV	6 MV	15 MV	6 MV / 15 MV
Patient 1	9.312	10.037	0.928	6.925	7.537	0.919
Patient 2	6.000	5.793	1.036	4.980	4.450	1.119
Patient 3	12.537	11.680	1.073	10.263	9.350	1.098
Patient 4	19.916	19.087	1.043	14.650	13.950	1.050
Patient 5	13.847	12.950	1.069	11.500	10.387	1.107
Patient 6	12.950	12.500	1.036	10.130	9.850	1.028
Patient 7	10.106	9.976	1.013	9.990	8.944	1.117
Patient 8	11.312	11.101	1.019	10.115	9.643	1.049
Mean	12.000	11.640	1.031	9.816	9.264	1.060

## Table 3: Sigma indices for eight patient intensity-modulated radiation therapy plans with 6 MV and15 MV X-rays

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Patient no.	s-index		
	6 MV	15 MV	6 MV / 15 MV
Patient 1	2.233	2.389	0.935
Patient 2	1.639	1.802	0.910
Patient 3	5.140	3.390	1.516
Patient 4	4.101	4.012	1.022
Patient 5	3.586	3.082	1.164
Patient 6	3.126	2.799	1.117
Patient 7	5.022	4.970	1.010
Patient 8	4.782	4.619	1.035
Mean	3.704	3.383	1.089

# Table 4: Conformity indices for eight patientintensity-modulated radiation therapy plans with6 MV and15 MV X-rays

Patient no.	Conformity index			
	6 MV	15 MV	6 MV / 15 MV	
Patient 1	1.154	1.205	0.958	
Patient 2	1.149	1.108	1.037	
Patient 3	1.208	1.268	0.953	
Patient 4	1.131	1.189	0.951	
Patient 5	1.167	1.204	0.969	
Patient 6	1.269	1.271	0.998	
Patient 7	1.159	1.190	0.973	
Patient 8	1.139	1.173	0.971	
Mean	1.172	1.194	0.976	

femoral heads are at a clinically insignificant distance from PTV (prostate and seminal vesicles) and usually experience small doses. Hence, this work encourages using 6 MV in IMRT for localized prostate tumors. Hence the slight advantages of 15 MV in providing benefits of better normal-tissue sparing and better coverage cannot be considered to outweigh its well-known risk of non-negligible neutron production.

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